

- To:
 - WADA Accredited Laboratories
 - IOC Medical and Scientific Commission Members
 - National Olympic Committees
 - NOC Medical Liaison Officers (via relevant NOC)
 - International Summer Sports Federations on Olympic Programme
 - Olympic Games Organising Committees
 - National Anti-Doping Organisations (via relevant NOC)
 - World Anti-Doping Agency (WADA)
- Cc:
 - Court of Arbitration for Sport
 - Association of Summer Olympic International Federations (ASOIF)
 - International Testing Agency
 - IOC Members

Lausanne, 6 April 2021

Ref. ADR/2021

IOC Anti-Doping Rules applicable to the Games of the XXXII Olympiad Tokyo 2020 in 2021

Dear Madam, Dear Sir,

A. IOC Anti-Doping Rules

We refer to the letter the *IOC* sent you on 11 July 2019 regarding the IOC Anti-Doping Rules applicable to the Games of the XXXII Olympiad Tokyo 2020 (the "Games").

Following the rescheduling of the Games in 2021, the IOC Anti-Doping Rules applicable to these Games have to be amended in order to be in line with the revised version of the World Anti-Doping Code (the "**Code**") and the relevant *International Standards* that entered into force on 1 January 2021.

Please find attached a copy of the *IOC Anti-Doping Rules applicable to the Games of the XXXII Olympiad Tokyo 2020* (the "**IOC Anti-Doping Rules**"), which are based on the *WADA* "2021 Model

Rules for Major Events Organizations" pursuant to the 2021 Code. A copy of these IOC Anti-Doping Rules, and the Code currently in force, can also be found, in English and French, on both the *IOC* website <u>http://www.olympic.org/medical</u> and the *WADA* website <u>http://www.wadaama.org</u>.

Please note that all italicised terms contained in this letter have the same meaning as in the IOC Anti-Doping Rules.

Although it is your responsibility to study the contents of such IOC Anti-Doping Rules, we wish to bring the following points to your specific attention:

- I. <u>Roles and responsibilities</u>
- The IOC delegated some of its responsibilities related to the implementation of the Doping Control in relation to the Olympic Games Tokyo 2020 to the International Testing Agency (the "ITA") in accordance with the Code and the IOC Anti-Doping Rules. This delegation includes without limitation, risk assessment, test distribution planning, therapeutic use exemptions ("TUEs") and results management.

Notwithstanding the above, the *IOC*, as the *Signatory* to the Code, remains responsible from a Code compliance perspective for all aspects of *Doping Control* conducted by the ITA or other third parties on the *IOC*'s behalf at the *Olympic Games Tokyo 2020*.

2. While the *IOC* remains the *Testing* authority for all *Athletes* entered to participate in the *Olympic Games Tokyo 2020* and is the results management authority, in practice, the ITA will carry out *Testing* activities and conduct results management process on behalf of the *IOC*.

To the extent that an anti-doping rule violation is asserted, the ITA will file an application with the Anti-Doping Division of the Court of Arbitration for Sport ("**CAS Anti-Doping Division**") in the name of the *IOC*. We inform you that CAS Anti-Doping Division will be present on site during the *Olympic Games Tokyo 2020*.

- II. Doping Controls during the Period of the Olympic Games Tokyo 2020
- 3. During the *Period of the Olympic Games Tokyo 2020*, all *Doping Controls* conducted by the ITA will be carried out in compliance with *WADA*'s *International Standards* in addition to the IOC Anti-Doping Rules.

The *Period of the Olympic Games Tokyo 2020* is defined as "the period commencing on the date of the opening of the Olympic Village for the *Olympic Games Tokyo 2020*, namely, 13 July 2021, up until and including the day of the Closing Ceremony of the *Olympic Games Tokyo 2020*, namely, 8 August 2021".

The Period of the Olympic Games Tokyo 2020 includes "In-Competition" (i.e. "the period commencing at 11.59 p.m. on the day before a *Competition* in which the Athlete is scheduled to participate through to the end of such Competition and the Sample collection process related to such Competition") and "*Out of Competition*" (i.e. any period that is not "*In-Competition*") periods. Please note however that, pursuant to the Code, *WADA* may approve, for a particular sport, an alternative definition for the "*In-Competition*" period if an *International Federation* provides a compelling justification that a different definition is necessary for its sport; and upon such approval by *WADA*, <u>the alternative definition shall be followed by the *IOC* for that particular sport. Based on the information received from *WADA*, an alternative definition has been approved for the following sports at the Olympic Games Tokyo 2020: equestrian and tennis. Please refer to the respective *International Federation* anti-doping rules for further details.</u>

- 4. During the *Period of the Olympic Games Tokyo 2020*, all *Athletes* shall be subject to *Doping Controls* initiated by the ITA at any time or place, with no advance notice required to be given to the *Athletes*.
- 5. Anti-Doping Organisations wishing to carry out Doping Controls on the Athletes within their authority during the Period of the Olympic Games Tokyo 2020 are invited to contact the ITA to coordinate Testing. This also applies to the period prior to the Athletes having validated their Olympic identity and accreditation cards for the Olympic Games Tokyo 2020 and subsequent to the Athletes having finished their final competitions at the Olympic Games Tokyo 2020.

III. <u>Therapeutic Use Exemptions ("TUE")</u>

6. We remind you that an *Athlete* has to request a *TUE* prior to taking any substance that is included on the *Prohibited List. This* procedure is explained in further detail in the IOC Anti-Doping Rules.

The Anti-Doping Administration & Management System ("*ADAMS*") is the preferred and recommended way to apply for *TUE*s in relation to the *Period of the Olympic Games Tokyo 2020*. Dedicated ITA *TUE* staff will be available at the polyclinic in the Olympic Village and by phone to support *Athletes* and *National Olympic Committees* ("*NOCs*") in the *TUE* application process.

- IV. International Federation's Participation in CAS Anti-Doping Division's Procedure
- 7. All International Federations are encouraged to delegate their first-instance authority to adjudicate sanctions arising out of anti-doping rule violations occurring on the occasion of the Olympic Games Tokyo 2020 to the CAS Anti-Doping Division.

Upon such delegation, and to the extent that an *Athlete* is accused of having committed an anti-doping rule violation, the *International Federation* may join the procedure as a Co-Claimant alongside the *IOC* in order to ensure that any sanctions imposed by the CAS Anti-Doping Division are as provided in the applicable rules of the relevant *International Federation*. Moreover, by participating in the procedure, the *International Federation* may seek to ensure that any *provisional suspension* rendered against an *Athlete* during the *Period of the Olympic Games Tokyo 2020* remains in place after the *Period of the Olympic Games Tokyo 2020*.

To the extent that an *International Federation* does not delegate its first-instance authority to adjudicate sanctions to the CAS Anti-Doping Division, it will be the responsibility of the *International Federation* to later bring sanction proceedings against an *Athlete* convicted of an anti-doping rule violation occurring on the occasion of the *Olympic Games Tokyo 2020* after the *Period of the Olympic Games Tokyo 2020*.

Any *International Federation* interested in making such delegation should contact the CAS Anti-Doping Division as soon as possible by email (<u>antidoping@tas-cas.org</u>).

B. <u>Whereabouts Information</u>

With respect to whereabouts information, *Athletes* and their respective *NOCs* are requested to ensure that they understand their obligations under the IOC Anti-Doping Rules, in particular as detailed in Article 5.6 of the IOC Anti-Doping Rules.

In order to protect clean *Athletes* and increase the efficiency and effectiveness of the fight against doping for the *Period of the Olympic Games Tokyo 2020*, it is required that all *Athletes* currently included in a whereabouts system provide sufficient details (such as block and room number in the Olympic village, place of training, accommodation information for *Athletes* not staying in the Olympic village), so that they can be easily located from the date of the opening of the Olympic village up to and including the date of the Closing Ceremony of the *Olympic Games Tokyo 2020*. *International Federations* and *NOCs* are encouraged to remind *Athletes* included in the *Registered Testing Pools* of the importance of maintaining accurate and detailed whereabouts information during the *Period of the Olympic Games Tokyo 2020*.

ADAMS will be used to access whereabouts information. Furthermore, the NOCs will be required to submit specific event-related whereabouts information for its *Athletes* such as rooming lists via a mobile application developed by the ITA and also to ensure that *Athletes* realise the importance of full compliance with whereabouts requirements. In view of the unparalleled logistical challenges and limitations imposed in response to the COVID-19 pandemic, all the stakeholders are urged to cooperate fully and timely with any whereabouts-related request coming from the ITA prior to or during the *Olympic Games Tokyo 2020*. Further instructions as to the rooming information submission process and functioning of the mobile application will be provided directly by the ITA

to the *NOCs* in the coming weeks. In specific cases, the relevant *International Federation* or *National Anti-Doping Organisation* may be asked to include *Athletes* in their formal whereabouts system. In the meantime, if you have any questions regarding this matter, please contact the ITA by e-mail (Tokyo2020_antidoping@ita.sport).

C. <u>The Prohibited List</u>

The *Prohibited List* applicable during the *Olympic Games Tokyo 2020* will be the *WADA Prohibited List* 2021. It will be available on *WADA*'s website and also on the *IOC*'s website. All *Samples* collected by the ITA in the scope of the *Olympic Games Tokyo 2020* will be screened for all *Prohibited Substances* and all *Prohibited Methods* referred to in the *Prohibited List* 2021.

D. <u>Resolving pending cases involving possible violations of anti-doping rules</u>

Every effort made by the National Anti-Doping Organisations, the International Federations and the NOCs will be appreciated to ensure that pending cases involving possible violations of antidoping rules committed by Athletes or Athlete Support Personnel who might participate in the Olympic Games Tokyo 2020 are resolved before the Athletes take part in their first competitions at the Olympic Games Tokyo 2020 and before the Athlete Support Personnel validate their Olympic identity and accreditation cards for the Olympic Games Tokyo 2020.

E. NOC Contact Person for anti-doping-related matters

The ITA has obtained the list and contact details of the Chefs de Mission of all *NOCs*, which will be used for anti-doping related communication to *NOCs* upon the occasion of the *Olympic Games Tokyo 2020*. Should you wish to appoint a specific person, please provide the name and the contact details (email address and mobile phone number) of the person from your NOC whom the ITA can contact by email (<u>Tokyo2020_antidoping@ita.sport</u>), not later than 30 April 2021.

F. International Federation Contact Person for anti-doping-related matters

The ITA has obtained from the *International Federations* whose sport is on the programme of the *Olympic Games Tokyo 2020* the list of their respective representatives acting as anti-doping points of contact upon the occasion of the *Olympic Games Tokyo 2020*. Should there be any changes, please inform the ITA by email (<u>Tokyo2020_antidoping@ita.sport</u>) as soon as possible.

For the *Period of the Olympic Games Tokyo 2020*, the ITA will provide the relevant organisations (including the *NOCs* and *International Federations*) with online access to a helpdesk application that will facilitate efficient communication with the ITA's specialists from different fields. This will be the standard channel for addressing anti-doping related questions, issues or possible non-compliance observed on the field. Each organisation will be asked in the coming weeks to provide a list of users who will be authorised to register tickets in the application.

G. Intelligence sharing and whistleblowing platform

All stakeholders are strongly encouraged to share with the ITA any available doping-related intelligence relevant to *Athletes* or other *Persons* involved in the *Olympic Games Tokyo 2020*, both before and during the *Period of the Olympic Games Tokyo 2020*, via a dedicated email address (tokyo2020_intelligence@ita.sport) or other suitable channels.

Furthermore, we inform you that the ITA has launched a dedicated secure whistleblowing platform, REVEAL (www.reveal.sport), which will also cover doping-related intelligence reports relating to the *Olympic Games Tokyo 2020*. All *Athletes*, coaches, medical personnel and other *Persons* involved in the *Olympic Games Tokyo 2020* may use REVEAL as an encrypted channel of communication for sharing any whistleblowing or intelligence information related to doping information or suspicions. Any report may be submitted in complete anonymity.

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Please ensure that the documentation and information referred to in this letter is forwarded to all persons linked to your organisation who have a need to know such documentation, in particular to the *Athletes*, coaches and medical personnel.

Yours faithfully,

Mariam Mahdavi Legal Affairs Director Richard Budgett Medical and Scientific Director

Enclosed: IOC Anti-Doping Rules applicable to the Games of the XXXII Olympiad Tokyo 2020 (March 2021)