

WORLD ANTI-DOPING CODE

2015

~~with 2019 amendments~~

2021

World Anti-Doping Code

The World Anti-Doping Code was first adopted in 2003, ~~and~~ took effect in 2004, ~~and.~~ ~~It~~ was ~~then~~ subsequently amended ~~four times, the first time~~ effective 1 January 2009. ~~The following document incorporates revisions to the World Anti-Doping Code that were approved by the World Anti-Doping Agency Foundation Board in Johannesburg, South Africa on 15 November 2013, the second time effective 1 January 2015, the third time effective 1 April 2018 (compliance amendments) and the fourth time effective 1 June 2019 (reporting of certain endogenous substances as *Atypical Findings*).~~ The revised ~~2015~~ ~~2021~~ World Anti-Doping Code is effective as of 1 January ~~2015~~ ~~2021~~. ~~This version of the document also incorporates amendments that were adopted by the World Anti-Doping Agency Foundation Board in Seoul, Korea on 16 November 2017 and are effective as of 1 April 2018.~~

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Table of Contents

	<u>Page</u>
PURPOSE, SCOPE AND ORGANIZATION OF THE WORLD ANTI-DOPING PROGRAM AND THE CODE	<u>98</u>
THE CODE	<u>98</u>
THE WORLD ANTI-DOPING PROGRAM	<u>98</u>
INTERNATIONAL STANDARDS	<u>9</u>
TECHNICAL DOCUMENTS	<u>9</u>
MODELS OF BEST PRACTICE AND GUIDELINES	<u>9</u>
FUNDAMENTAL RATIONALE FOR THE WORLD ANTI-DOPING CODE	<u>11</u>
PART ONE DOPING CONTROL	<u>11</u>
INTRODUCTION	<u>12</u>
ARTICLE 1 DEFINITION OF DOPING	<u>13</u>
ARTICLE 2 ANTI-DOPING RULE VIOLATIONS	<u>13</u>
2.1 PRESENCE OF A PROHIBITED SUBSTANCE OR ITS METABOLITES OR MARKERS IN AN ATHLETE'S SAMPLE	<u>13</u>
2.2 USE OR ATTEMPTED USE BY AN ATHLETE OF A PROHIBITED SUBSTANCE OR A PROHIBITED METHOD	<u>14</u>
2.3 EVADING, REFUSING OR FAILING TO SUBMIT TO SAMPLE COLLECTION	<u>15 BY AN ATHLETE</u>
2.4 WHEREABOUTS FAILURES	<u>15 BY AN ATHLETE</u>
2.5 TAMPERING OR ATTEMPTED TAMPERING WITH ANY PART OF DOPING CONTROL	<u>15 BY AN ATHLETE OR OTHER PERSON</u>
2.6 POSSESSION OF A PROHIBITED SUBSTANCE OR A PROHIBITED METHOD	<u>15 BY AN ATHLETE OR ATHLETE SUPPORT PERSON</u>
2.7 TRAFFICKING OR ATTEMPTED TRAFFICKING IN ANY PROHIBITED SUBSTANCE OR PROHIBITED METHOD	<u>16 BY AN ATHLETE OR OTHER PERSON</u>
2.8 ADMINISTRATION OR ATTEMPTED ADMINISTRATION	<u>ADMINISTRATION BY AN ATHLETE OR OTHER PERSON TO ANY ATHLETE IN-COMPETITION OF ANY PROHIBITED SUBSTANCE OR PROHIBITED METHOD, OR ADMINISTRATION OR ATTEMPTED ADMINISTRATION TO ANY ATHLETE OUT-OF-COMPETITION OF ANY PROHIBITED SUBSTANCE OR ANY PROHIBITED METHOD THAT IS PROHIBITED OUT-OF-COMPETITION</u>
2.9 COMPLICITY	<u>16 OR ATTEMPTED COMPLICITY BY AN ATHLETE OR OTHER PERSON</u>
2.10 PROHIBITED ASSOCIATION	<u>BY AN ATHLETE OR OTHER PERSON</u>
2.11 ACTS BY AN ATHLETE OR OTHER PERSON TO DISCOURAGE OR RETALIATE AGAINST REPORTING TO AUTHORITIES	<u>16</u>

ARTICLE 3 PROOF OF DOPING	17	16
3.1 BURDENS AND STANDARDS OF PROOF		16
3.2 METHODS OF ESTABLISHING FACTS AND PRESUMPTIONS	17	
ARTICLE 4 THE <i>PROHIBITED LIST</i>		19
4.1 PUBLICATION AND REVISION OF THE <i>PROHIBITED LIST</i>		19
4.2 <i>PROHIBITED SUBSTANCES AND PROHIBITED METHODS IDENTIFIED ON THE PROHIBITED LIST</i>		19
4.3 CRITERIA FOR INCLUDING SUBSTANCES AND METHODS ON THE <i>PROHIBITED LIST</i>		20
4.4 <i>THERAPEUTIC USE EXEMPTIONS (“TUES”)</i>	20	
4.5 MONITORING PROGRAM	22	23
ARTICLE 5 TESTING AND INVESTIGATIONS		23
5.1 PURPOSE OF TESTING AND INVESTIGATIONS	23	
5.2 SCOPE OF TESTING	23	
<u>5.2 AUTHORITY TO TEST</u>	<u>24</u>	
5.3 EVENT TESTING	24	
5.4 TEST DISTRIBUTION PLANNING	24	
5.5		
<u>5.4 TESTING REQUIREMENTS</u>	<u>25</u>	
5.6 <u>5.5</u> ATHLETE WHEREABOUTS INFORMATION	25	
5.7 <u>5.6</u> RETIRED ATHLETES RETURNING TO COMPETITION	25	26
5.8 <u>5.7</u> INVESTIGATIONS AND INTELLIGENCE GATHERING	26	
ARTICLE 6 ANALYSIS OF SAMPLES		26
6.1 USE OF ACCREDITED AND APPROVED LABORATORIES <u>AND OTHER LABORATORIES</u>	26	
6.2 PURPOSE OF ANALYSIS OF SAMPLES AND DATA <u>AND DATA</u>	<u>27</u>	
6.3 RESEARCH ON SAMPLES <u>AND DATA</u>	27	
6.4 STANDARDS FOR SAMPLE ANALYSIS AND REPORTING	27	
6.5 FURTHER ANALYSIS OF <u>A SAMPLE PRIOR TO OR DURING RESULTS MANAGEMENT</u>	<u>27</u>	
<u>6.6 FURTHER ANALYSIS OF A SAMPLE AFTER IT HAS BEEN REPORTED AS NEGATIVE OR HAS OTHERWISE NOT RESULTED IN AN ANTI-DOPING RULE VIOLATION CHARGE</u>	<u>27</u>	
<u>6.7 SPLIT OF A OR B SAMPLE</u>	<u>28</u>	
<u>6.8 WADA’S RIGHT TO TAKE POSSESSION OF SAMPLES AND DATA</u>	<u>28</u>	
ARTICLE 7 RESULTS MANAGEMENT: <u>RESPONSIBILITY, INITIAL REVIEW, NOTICE AND PROVISIONAL SUSPENSIONS</u>		28
7.1 RESPONSIBILITY FOR CONDUCTING RESULTS MANAGEMENT	28	29

7.2	REVIEW REGARDING ADVERSE ANALYTICAL FINDINGS	29
7.3	AND NOTIFICATION AFTER REVIEW REGARDING ADVERSE ANALYTICAL FINDINGS	29
7.4	REVIEW OF ATYPICAL FINDINGS	29
7.5	REVIEW OF ATYPICAL PASSPORT FINDINGS AND ADVERSE PASSPORT FINDINGS	30
7.6	REVIEW OF WHEREABOUTS FAILURES	30
7.7	REVIEW OF OTHER <u>POTENTIAL</u> ANTI-DOPING RULE VIOLATIONS NOT COVERED BY ARTICLES 7.1- 7.6	30
7.8 <u>7.3</u>	IDENTIFICATION OF PRIOR ANTI-DOPING RULE VIOLATIONS	<u>34</u> <u>30</u>
7.9 <u>7.4</u>	PRINCIPLES APPLICABLE TO <i>PROVISIONAL SUSPENSIONS</i>	<u>34</u> <u>30</u>
7.10		
<u>7.5</u>	<u>RESULTS MANAGEMENT DECISIONS</u>	<u>32</u>
<u>7.6</u>	NOTIFICATION OF <i>RESULTS MANAGEMENT DECISIONS</i>	32
7.11 <u>7.7</u>	RETIREMENT FROM SPORT	32
ARTICLE 8	<u>RESULTS MANAGEMENT: RIGHT TO A FAIR HEARING AND NOTICE OF HEARING DECISION</u>	<u>32</u> <u>33</u>
8.1	FAIR HEARINGS	<u>32</u> <u>33</u>
8.2	<i>EVENT HEARINGS</i>	33
8.3	WAIVER OF HEARING	33
8.4	NOTICE OF DECISIONS	33
8.5	SINGLE HEARING BEFORE CAS	33
ARTICLE 9	AUTOMATIC <i>DISQUALIFICATION</i> OF INDIVIDUAL RESULTS	<u>33</u> <u>34</u>
ARTICLE 10	SANCTIONS ON INDIVIDUALS	<u>33</u> <u>34</u>
10.1	<i>DISQUALIFICATION</i> OF RESULTS IN THE <i>EVENT</i> DURING WHICH AN ANTI-DOPING RULE VIOLATION OCCURS	<u>33</u> <u>34</u>
10.2	<i>INELIGIBILITY</i> FOR PRESENCE, <i>USE</i> OR <i>ATTEMPTED USE</i> OR <i>POSSESSION</i> OF A <i>PROHIBITED SUBSTANCE</i> OR <i>PROHIBITED METHOD</i>	34
10.3	<i>INELIGIBILITY</i> FOR OTHER ANTI-DOPING RULE VIOLATIONS	<u>34</u> <u>36</u>
10.4	<u>AGGRAVATING CIRCUMSTANCES WHICH MAY INCREASE THE PERIOD OF INELIGIBILITY</u>	<u>37</u>
<u>10.5</u>	ELIMINATION OF THE PERIOD OF <i>INELIGIBILITY</i> WHERE THERE IS <i>NO FAULT</i> OR <i>NEGLIGENCE</i>	<u>35</u> <u>37</u>
10.5 <u>10.6</u>	REDUCTION OF THE PERIOD OF <i>INELIGIBILITY</i> BASED ON <i>NO SIGNIFICANT FAULT</i> OR <i>NEGLIGENCE</i>	<u>35</u> <u>37</u>
10.6 <u>10.7</u>	ELIMINATION, REDUCTION, OR SUSPENSION OF PERIOD OF <i>INELIGIBILITY</i> OR OTHER <i>CONSEQUENCES</i> FOR REASONS OTHER THAN <i>FAULT</i>	<u>36</u> <u>38</u>
10.7		
<u>10.8</u>	<u>RESULTS MANAGEMENT AGREEMENTS</u>	<u>40</u>

10.9	MULTIPLE VIOLATIONS	3841	
40.8 10.10	DISQUALIFICATION OF RESULTS IN COMPETITIONS SUBSEQUENT TO SAMPLE COLLECTION OR COMMISSION OF AN ANTI-DOPING RULE VIOLATION	3943	
40.9	ALLOCATION OF CAS COST AWARDS AND 10.11 FORFEITED PRIZE MONEY	3943	
40.10 10.12	FINANCIAL CONSEQUENCES	3943	
40.14 10.13	COMMENCEMENT OF INELIGIBILITY PERIOD	4044	
40.12 10.14	STATUS DURING INELIGIBILITY 41 OR PROVISIONAL SUSPENSION	45	
40.13 10.15	AUTOMATIC PUBLICATION OF SANCTION	4246	
ARTICLE 11 CONSEQUENCES TO TEAMS			4346
11.1	TESTING OF TEAM SPORTS	4346	
11.2	CONSEQUENCES FOR TEAM SPORTS	4346	
11.3	EVENT RULING BODY OR INTERNATIONAL FEDERATION MAY ESTABLISH STRICTER CONSEQUENCES FOR TEAM SPORTS	4346	
ARTICLE 12 SANCTIONS AGAINST BY SIGNATORIES AND AGAINST OTHER SPORTING BODIES THAT ARE NOT SIGNATORIES			4347
12.1	PROCEEDINGS AGAINST A SIGNATORY	43	
12.2	PROCEEDINGS AGAINST OTHER SPORTING BODY	43	
ARTICLE 13 RESULTS MANAGEMENT : APPEALS			4447
13.1	DECISIONS SUBJECT TO APPEAL	4447	
13.2	APPEALS FROM DECISIONS REGARDING ANTI-DOPING RULE VIOLATIONS, CONSEQUENCES, PROVISIONAL SUSPENSIONS, RECOGNITION IMPLEMENTATION OF DECISIONS AND JURISDICTION 44 AUTHORITY	48	
13.3	FAILURE TO RENDER A TIMELY DECISION BY AN ANTI-DOPING ORGANIZATION	4650	
13.4	APPEALS RELATING TO TUES	4650	
13.5	NOTIFICATION OF APPEAL DECISIONS	4650	
13.6	APPEALS FROM DECISIONS UNDER ARTICLE 23.5.5 46 24.1	51	
13.7	APPEALS FROM DECISIONS SUSPENDING OR REVOKING LABORATORY ACCREDITATION	4751	
ARTICLE 14 CONFIDENTIALITY AND REPORTING			4751
14.1	INFORMATION CONCERNING ADVERSE ANALYTICAL FINDINGS, ATYPICAL FINDINGS, AND OTHER ASSERTED ANTI-DOPING RULE VIOLATIONS	4751	
14.2	NOTICE OF ANTI-DOPING RULE VIOLATION OR VIOLATIONS OF INELIGIBILITY OR PROVISIONAL SUSPENSION DECISIONS AND REQUEST FOR FILES	4852	
14.3	PUBLIC DISCLOSURE	4852	
14.4	STATISTICAL REPORTING	4953	
14.5	DOPING CONTROL INFORMATION CLEARINGHOUSE 49 DATABASE AND MONITORING OF COMPLIANCE	53	

14.6	DATA PRIVACY	49 54	
ARTICLE 15	APPLICATION AND RECOGNITION IMPLEMENTATION OF DECISIONS		50 54
15.1	AUTOMATIC BINDING EFFECT OF DECISIONS BY SIGNATORY ANTI-DOPING ORGANIZATIONS		54
15.2	IMPLEMENTATION OF OTHER DECISIONS BY ANTI-DOPING ORGANIZATIONS		55
15.3	IMPLEMENTATION OF DECISIONS BY BODY THAT IS NOT A SIGNATORY		55
ARTICLE 16	DOPING CONTROL FOR ANIMALS COMPETING IN SPORT		50 56
ARTICLE 17	STATUTE OF LIMITATIONS		50 56
PART TWO	EDUCATION AND RESEARCH	54 57	
ARTICLE 18	EDUCATION		52 58
18.1	BASIC PRINCIPLE AND PRIMARY GOAL		52
18.2	PROGRAMS AND ACTIVITIES		52
18.3	PROFESSIONAL CODES OF CONDUCT		53
18.4	COORDINATION AND COOPERATION		53
18.1	PRINCIPLES		58
18.2	EDUCATION PROGRAM AND PLAN BY SIGNATORIES		58
ARTICLE 19	RESEARCH		53 60
19.1	PURPOSE AND AIMS OF ANTI-DOPING RESEARCH	53 60	
19.2	TYPES OF RESEARCH	53 60	
19.3	COORDINATION OF RESEARCH AND SHARING OF RESULTS	53 60	
19.4	RESEARCH PRACTICES	53 60	
19.5	RESEARCH USING <i>PROHIBITED SUBSTANCES</i> AND <i>PROHIBITED METHODS</i>	53 60	
19.6	MISUSE OF RESULTS	54 60	
PART THREE	ROLES AND RESPONSIBILITIES	55 61	
ARTICLE 20	ADDITIONAL ROLES AND RESPONSIBILITIES OF <i>SIGNATORIES</i> 56 AND WADA		62 62
20.1	ROLES AND RESPONSIBILITIES OF THE INTERNATIONAL OLYMPIC COMMITTEE	56 62	
20.2	ROLES AND RESPONSIBILITIES OF THE INTERNATIONAL PARALYMPIC COMMITTEE	56 63	
20.3	ROLES AND RESPONSIBILITIES OF INTERNATIONAL FEDERATIONS	57 64	
20.4	ROLES AND RESPONSIBILITIES OF <i>NATIONAL OLYMPIC COMMITTEES</i> AND <i>NATIONAL PARALYMPIC COMMITTEES</i>	58 66	
20.5	ROLES AND RESPONSIBILITIES OF <i>NATIONAL ANTI-DOPING ORGANIZATIONS</i>	59 68	
20.6	ROLES AND RESPONSIBILITIES OF <i>MAJOR EVENT ORGANIZATIONS</i>	60 69	
20.7	ROLES AND RESPONSIBILITIES OF WADA	60 70	

<u>20.8</u>	<u>COOPERATION REGARDING THIRD PARTY REGULATIONS</u>	<u>71</u>
ARTICLE 21	ADDITIONAL ROLES AND RESPONSIBILITIES OF <i>ATHLETES</i> AND OTHER <i>PERSONS</i>	64 <u>71</u>
21.1	ROLES AND RESPONSIBILITIES OF <i>ATHLETES</i>	64 <u>71</u>
21.2	ROLES AND RESPONSIBILITIES OF <i>ATHLETE SUPPORT PERSONNEL</i>	62 <u>72</u>
21.3	ROLES AND RESPONSIBILITIES OF <u>OTHER PERSONS SUBJECT TO THE CODE</u>	<u>73</u>
<u>21.4</u>	<u>ROLES AND RESPONSIBILITIES OF REGIONAL ANTI-DOPING ORGANIZATIONS</u>	62 <u>73</u>
ARTICLE 22	INVOLVEMENT OF GOVERNMENTS	63 <u>73</u>
PART FOUR ACCEPTANCE, COMPLIANCE, MODIFICATION AND INTERPRETATION		64 <u>75</u>
ARTICLE 23	ACCEPTANCE, COMPLIANCE AND MODIFICATION	65 <u>IMPLEMENTATION 76</u>
23.1	ACCEPTANCE OF THE <i>CODE</i>	65 <u>76</u>
23.2	IMPLEMENTATION OF THE <i>CODE</i>	65 <u>76</u>
23.3	IMPLEMENTATION OF ANTI-DOPING PROGRAMS	66 <u>77</u>
23.4	COMPLIANCE WITH THE CODE	66
23.5		
<u>ARTICLE 24</u>	<u>MONITORING AND ENFORCING COMPLIANCE WITH THE CODE</u>	66
23.6	MONITORING COMPLIANCE WITH THE AND UNESCO CONVENTION	68 <u>77</u>
23.7		
<u>24.1</u>	<u>MONITORING AND ENFORCING COMPLIANCE WITH THE CODE</u>	<u>77</u>
<u>24.2</u>	<u>MONITORING COMPLIANCE WITH THE UNESCO CONVENTION</u>	<u>82</u>
<u>ARTICLE 25</u>	<u>MODIFICATION OF THE CODE</u>	68 <u>AND WITHDRAWAL 83</u>
23.8		
<u>25.1</u>	<u>MODIFICATION</u>	<u>83</u>
<u>25.2</u>	WITHDRAWAL OF ACCEPTANCE OF THE <i>CODE</i>	68 <u>83</u>
ARTICLE 24 <u>26</u>	INTERPRETATION OF THE <i>CODE</i>	68 <u>83</u>
ARTICLE 25 <u>27</u>	TRANSITIONAL PROVISIONS	69 <u>84</u>
25.1 <u>27.1</u>	GENERAL APPLICATION OF THE 2015 <u>2021</u> <i>CODE</i>	69 <u>84</u>
25.2 <u>27.2</u>	NON-RETROACTIVE EXCEPT FOR ARTICLES 10.7.5 <u>10.9.4</u> AND 17 OR UNLESS PRINCIPLE OF "LEX MITIOR" APPLIES	69 <u>84</u>
25.3 <u>27.3</u>	APPLICATION TO DECISIONS RENDERED PRIOR TO THE 2015 <u>2021</u> <i>CODE</i>	69 <u>84</u>
25.4 <u>27.4</u>	MULTIPLE VIOLATIONS WHERE THE FIRST VIOLATION OCCURS PRIOR TO 1 JANUARY 2015-69 <u>2021</u>	<u>84</u>
25.5 <u>27.5</u>	ADDITIONAL <i>CODE</i> AMENDMENTS	70 <u>85</u>
<u>27.6</u>	<u>CHANGES TO THE PROHIBITED LIST</u>	<u>85</u>

APPENDIX 1 DEFINITIONS ~~74~~[86](#)

DEFINITIONS ~~72~~[87](#)

~~APPENDIX TWO EXAMPLES OF THE APPLICATION OF ARTICLE 10~~ — 78

~~EXAMPLES OF THE APPLICATION OF ARTICLE 10~~ ~~79~~

PURPOSE, SCOPE AND ORGANIZATION OF THE WORLD ANTI-DOPING PROGRAM AND THE CODE

The purposes of the World Anti-Doping Code and the World Anti-Doping Program which supports it are:

- To protect the *Athletes'* fundamental right to participate in doping-free sport and thus promote health, fairness and equality for *Athletes* worldwide, and
- To ensure harmonized, coordinated and effective anti-doping programs at the international and national level with regard to ~~detection, deterrence and~~the prevention of doping, including:

Education – to raise awareness, inform, communicate, to instill values, develop life skills and decision-making capability to prevent intentional and unintentional anti-doping rule violations.

Deterrence – to divert potential dopers, through ensuring that robust rules and sanctions are in place and salient for all stakeholders.

Detection – an effective *Testing* and investigations system not only enhances a deterrent effect, but also is effective in protecting clean *Athletes* and the spirit of sport by catching those committing anti-doping rule violations, while also helping to disrupt anyone engaged in doping behavior.

Enforcement – to adjudicate and sanction those found to have committed an anti-doping rule violation.

Rule of law – to ensure that all relevant stakeholders have agreed to submit to the *Code* and the *International Standards*, and that all measures taken in application of their anti-doping programs respect the *Code*, the *International Standards*, and the principles of proportionality and human rights.

The Code

The *Code* is the fundamental and universal document upon which the World Anti-Doping Program in sport is based. The purpose of the *Code* is to advance the anti-doping effort through universal harmonization of core anti-doping elements. It is intended to be specific enough to achieve complete harmonization on issues where uniformity is required, yet general enough in other areas to permit flexibility on how agreed-upon anti-doping principles are implemented. The *Code* has been drafted giving consideration to the principles of proportionality and human rights.¹

The World Anti-Doping Program

The World Anti-Doping Program encompasses all of the elements needed in order to ensure optimal harmonization and best practice in international and national anti-doping programs. The main elements are:

Level 1: The *Code*

Level 2: *International Standards and Technical Documents*

Level 3: Models of Best Practice and Guidelines

¹ [Comment: The Olympic Charter and the International Convention against Doping in Sport 2005 adopted in Paris on 19 October 2005 (“UNESCO Convention”), both recognize the prevention of and the fight against doping in sport as a critical part of the mission of the International Olympic Committee and UNESCO, and also recognize the fundamental role of the Code.]

International Standards²

International Standards for different technical and operational areas within the anti-doping program have been and will be developed in consultation with the *Signatories* and governments and approved by WADA. The purpose of the *International Standards* is harmonization among *Anti-Doping Organizations* responsible for specific technical and operational parts of anti-doping programs. Adherence to the *International Standards* is mandatory for compliance with the *Code*. The *International Standards* may be revised from time to time by the WADA Executive Committee after reasonable consultation with ~~the~~ *Signatories*, governments and other relevant stakeholders. *International Standards* and all revisions will be published on the WADA website and shall become effective on the date specified in the *International Standard* or revision.²

Technical Documents

Technical Documents relating to mandatory technical requirements for the implementation of an *International Standard* may be approved and published from time to time by the WADA Executive Committee. Adherence to *Technical Documents* is mandatory for compliance with the *Code*. Where the implementation of a new or revised *Technical Document* is not time sensitive, the WADA Executive Committee shall allow for reasonable consultation with *Signatories*, governments and other relevant stakeholders. *Technical Documents* shall become effective immediately upon publication on the WADA website unless a later date is specified.³

Models of Best Practice and Guidelines³

Models of best practice and guidelines based on the *Code* and *International Standards* have been and will be developed to provide solutions in different areas of anti-doping. The models and guidelines will be recommended by WADA and made available to *Signatories* and other relevant stakeholders, but will not be mandatory. In addition to providing models of anti-doping documentation, WADA will also make some training assistance available to the *Signatories*.⁴

□□

² ~~[Comment: The *International Standards* contain much of the technical detail necessary for implementing the *Code*. *International Standards* will, in consultation with the *Signatories*, governments and other relevant stakeholders, be developed by experts and set forth in separate documents. It is important that the WADA Executive Committee be able to make timely changes to the *International Standards* without requiring any amendment of the *Code*.]~~

² [Comment: The *International Standards* contain much of the technical detail necessary for implementing the *Code*. *International Standards* will, in consultation with the *Signatories*, governments and other relevant stakeholders, be developed by experts and set forth in separate documents. It is important that the WADA Executive Committee be able to make timely changes to the *International Standards* without requiring any amendment of the *Code*.]

³ [Comment: For example, where an additional analytical procedure is required before reporting a Sample as an Adverse Analytical Finding, that procedure would be mandated in a *Technical Document* issued immediately by the WADA Executive Committee.]

³ ~~[Comment: These model documents may provide alternatives from which stakeholders may select. Some stakeholders may choose to adopt the model rules and other models of best practices verbatim. Others may decide to adopt the models with modifications. Still other stakeholders may choose to develop their own rules consistent with the general principles and specific requirements set forth in the *Code*.]~~

~~Model documents or guidelines for specific parts of anti-doping work have been developed and may continue to be developed based on generally recognized stakeholder needs and expectations.]~~

⁴ [Comment: These model documents may provide alternatives from which stakeholders may select. Some stakeholders may choose to adopt the model rules and other models of best practices verbatim. Others may decide to adopt the models with modifications. Still other stakeholders may choose to develop their own rules consistent with the general principles and specific requirements set forth in the *Code*.]

Model documents or guidelines for specific parts of anti-doping work have been developed and may continue to be developed based on generally recognized stakeholder needs and expectations.]

FUNDAMENTAL RATIONALE FOR THE WORLD ANTI-DOPING CODE

Anti-doping programs ~~seek to preserve what is intrinsically valuable about~~ are founded on the intrinsic value of sport. This intrinsic value is often referred to as “the spirit of sport.” ~~It is the essence of Olympism;~~ the ethical pursuit of human excellence through the dedicated perfection of each ~~person~~ Athlete’s natural talents. ~~It is how we play true.~~

Anti-doping programs seek to protect the health of Athletes and to provide the opportunity for Athletes to pursue human excellence without the Use of Prohibited Substances and Methods.

Anti-doping programs seek to maintain the integrity of sport in terms of respect for rules, other competitors, fair competition, a level playing field, and the value of clean sport to the world.

The spirit of sport is the celebration of the human spirit, body and mind; It is the essence of Olympism and is reflected in the values we find in and through sport, including:

- Health
- Ethics, fair play and honesty
- ~~Health~~ Athletes’ rights as set forth in the Code
- Excellence in performance
- Character and ~~education~~ Education
- Fun and joy
- Teamwork
- Dedication and commitment
- Respect for rules and laws
- Respect for self and other *Participants*
- Courage
- Community and solidarity

The spirit of sport is expressed in how we play true.

Doping is fundamentally contrary to the spirit of sport.

~~To fight doping by promoting the spirit of sport, the Code requires each Anti-Doping Organization to develop and implement education and prevention programs for Athletes, including youth, and Athlete Support Personnel.~~



PART ONE
DOPING CONTROL



INTRODUCTION

Part One of the *Code* sets forth specific anti-doping rules and principles that are to be followed by organizations responsible for adopting, implementing or enforcing anti-doping rules within their authority, e.g., the International Olympic Committee, International Paralympic Committee, International Federations, *National Olympic Committees* and Paralympic Committees, *Major Event Organizations*, and *National Anti-Doping Organizations*. All such organizations are collectively referred to as *Anti-Doping Organizations*.

All provisions of the *Code* are mandatory in substance and must be followed as applicable by each *Anti-Doping Organization* and *Athlete* or other *Person*. The *Code* does not, however, replace or eliminate the need for comprehensive anti-doping rules to be adopted by each *Anti-Doping Organization*. While some provisions of the *Code* must be incorporated without substantive change by each *Anti-Doping Organization* in its own anti-doping rules, other provisions of the *Code* establish mandatory guiding principles that allow flexibility in the formulation of rules by each *Anti-Doping Organization* or establish requirements that must be followed by each *Anti-Doping Organization* but need not be repeated in its own anti-doping rules.⁵

Anti-doping rules, like competition rules, are sport rules governing the conditions under which sport is played. *Athletes*, *Athlete Support Personnel* or other *Persons* (including board members, directors, officers, and specified employees and Delegated Third Parties and their employees) accept these rules as a condition of participation or involvement in sport and shall be bound by these rules.⁶ Each *Signatory* shall establish rules and procedures to ensure that all *Athletes*, *Athlete Support Personnel* or other *Persons* under the authority of the *Signatory* and its member organizations are informed of and agree to be bound by anti-doping rules in force of the relevant *Anti-Doping Organizations*.

Each *Signatory* shall establish rules and procedures to ensure that all *Athletes*, *Athlete Support Personnel* or other *Persons* under the authority of the *Signatory* and its member organizations consent to be informed of the dissemination of their private data as required or authorized by the *Code*, and are bound by and compliant with ~~Code~~ the anti-doping rules found in the *Code*, and that the appropriate *Consequences* are imposed on those *Athletes* or other *Persons* who ~~are not in conformity with~~ breach those rules. These sport-specific rules and procedures, aimed at enforcing anti-doping rules in a global and harmonized way, are distinct in nature from criminal and civil proceedings. They are not intended to be subject to or limited by any national requirements and legal standards applicable to such proceedings, although they are intended to be applied in a manner which respects the principles of proportionality and human rights. When

⁵ [Comment: Those Articles of the Code which must be incorporated into each Anti-Doping Organization's rules without substantive change are set forth in Article 23.2.2. For example, it is critical for purposes of harmonization that all Signatories base their decisions on the same list of anti-doping rule violations, the same burdens of proof and impose the same Consequences for the same anti-doping rule violations. These rules must be the same whether a hearing takes place before an International Federation, at the national level or before the Court of Arbitration for Sport.]

Code provisions not listed in Article 23.2.2 are still mandatory in substance even though an Anti-Doping Organization is not required to incorporate them verbatim. Those provisions generally fall into two categories. First, some provisions direct Anti-Doping Organizations to take certain actions but there is no need to restate the provision in the Anti-Doping Organization's own anti-doping rules. For example, each Anti-Doping Organization must plan and conduct Testing as required by Article 5, but these directives to the Anti-Doping Organization need not be repeated in the Anti-Doping Organization's own rules. Second, some provisions are mandatory in substance but give each Anti-Doping Organization some flexibility in the implementation of the principles stated in the provision. As an example, it is not necessary for effective harmonization to force all Signatories to use one single Results Management process as long as the process utilized satisfies the requirements stated in the Code and the International Standard for Results Management.]

⁶ [Comment: Where the Code requires a Person other than an Athlete or Athlete Support Person to be bound by the Code, such Person would of course not be subject to Sample collection or Testing, and would not be charged with an anti-doping rule violation under the Code for Use or Possession of a Prohibited Substance or Prohibited Method. Rather, such Person would only be subject to discipline for a violation of Code Articles 2.5 (Tampering), 2.7 (Trafficking), 2.8 (Administration), 2.9 (Complicity), 2.10 (Prohibited Association) and 2.11 (Retaliation). Furthermore, such Person would be subject to the additional roles and responsibilities according to Article 21.3. Also, the obligation to require an employee to be bound by the Code is subject to applicable law.]

reviewing the facts and the law of a given case, all courts, arbitral hearing panels and other adjudicating bodies should be aware of and respect the distinct nature of the anti-doping rules in the Code and the fact that those rules represent the consensus of a broad spectrum of stakeholders around the world with an interest in fair sport.⁴

As provided in the Code, each Anti-Doping Organization shall be responsible for conducting all aspects of Doping Control. Any aspect of Doping Control or anti-doping Education may be delegated by an Anti-Doping Organization to a Delegated Third Party, however, the delegating Anti-Doping Organization shall require the Delegated Third Party to perform such aspects in compliance with the Code and International Standards, and the Anti-Doping Organization shall remain fully responsible for ensuring that any delegated aspects are performed in compliance with the Code.

ARTICLE 1 DEFINITION OF DOPING

Doping is defined as the occurrence of one or more of the anti-doping rule violations set forth in Article 2.1 through Article ~~2.10~~2.11 of the Code.

ARTICLE 2 ANTI-DOPING RULE VIOLATIONS

The purpose of Article 2 is to specify the circumstances and conduct which constitute anti-doping rule violations. Hearings in doping cases will proceed based on the assertion that one or more of these specific rules have been violated.

Athletes or other *Persons* shall be responsible for knowing what constitutes an anti-doping rule violation and the substances and methods which have been included on the *Prohibited List*.

The following constitute anti-doping rule violations:

2.1 Presence of a *Prohibited Substance* or its *Metabolites* or *Markers* in an *Athlete's Sample*

- 2.1.1 It is ~~each Athlete~~the Athletes's personal duty to ensure that no *Prohibited Substance* enters ~~his or her body~~their bodies. *Athletes* are responsible for any *Prohibited Substance* or its *Metabolites* or *Markers* found to be present in their *Samples*. Accordingly, it is not necessary that intent, *Fault*, ~~negligence~~Negligence or knowing

⁴ [Comment: Those Articles of the Code which must be incorporated into each Anti-Doping Organization's rules without substantive change are set forth in Article 23.2.2. For example, it is critical for purposes of harmonization that all Signatories base their decisions on the same list of anti-doping rule violations, the same burdens of proof and impose the same Consequences for the same anti-doping rule violations. These rules must be the same whether a hearing takes place before an International Federation, at the national level or before the Court of Arbitration for Sport.// Code provisions not listed in Article 23.2.2 are still mandatory in substance even though an Anti-Doping Organization is not required to incorporate them verbatim. Those provisions generally fall into two categories. First, some provisions direct Anti-Doping Organizations to take certain actions but there is no need to restate the provision in the Anti-Doping Organization's own anti-doping rules. For example, each Anti-Doping Organization must plan and conduct Testing as required by Article 5, but these directives to the Anti-Doping Organization need not be repeated in the Anti-Doping Organization's own rules. Second, some provisions are mandatory in substance but give each Anti-Doping Organization some flexibility in the implementation of the principles stated in the provision. As an example, it is not necessary for effective harmonization to force all Signatories to use one single results management and hearing process. At present, there are many different, yet equally effective processes for results management and hearings within different International Federations and different national bodies. The Code does not require absolute uniformity in results management and hearing procedures; it does, however, require that the diverse approaches of the Signatories satisfy principles stated in the Code.]

Use on the *Athlete's* part be demonstrated in order to establish an anti-doping rule violation under Article 2.1^{5,7}

- 2.1.2 Sufficient proof of an anti-doping rule violation under Article 2.1 is established by any of the following: presence of a *Prohibited Substance* or its *Metabolites* or *Markers* in the *Athlete's* A Sample where the *Athlete* waives analysis of the B Sample and the B Sample is not analyzed; or, where the *Athlete's* B Sample is analyzed and the analysis of the *Athlete's* B Sample confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the *Athlete's* A Sample; or, where the *Athlete's* A or B Sample is split into two bottlesparts and the analysis of the second-bottleconfirmation part of the split Sample confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the first bottlepart of the split Sample or the Athlete waives analysis of the confirmation part of the split Sample.^{6,8}
- 2.1.3 Excepting those substances for which a ~~quantitative threshold~~Decision Limit is specifically identified in the *Prohibited List* or a Technical Document, the presence of any reported quantity of a *Prohibited Substance* or its *Metabolites* or *Markers* in an *Athlete's* Sample shall constitute an anti-doping rule violation.
- 2.1.4 As an exception to the general rule of Article 2.1, the *Prohibited List* ~~or~~International Standards, or Technical Documents may establish special criteria for reporting or the evaluation of certain *Prohibited Substances* ~~that can also be produced endogenously~~.
- 2.1.5 *Use* or *Attempted Use* by an *Athlete* of a *Prohibited Substance* or a *Prohibited Method*^{7,9}

2.2

- 2.2.1 It is ~~each Athlete~~the Athletes's personal duty to ensure that no *Prohibited Substance* enters ~~his or her body~~their bodies and that no *Prohibited Method* is *Used*. Accordingly, it is not necessary that intent, *Fault*, ~~negligence~~Negligence or knowing *Use* on the *Athlete's* part be demonstrated in order to establish an anti-doping rule violation for *Use* of a *Prohibited Substance* or a *Prohibited Method*.
- 2.2.2 The success or failure of the *Use* or *Attempted Use* of a *Prohibited Substance* or *Prohibited Method* is not material. It is sufficient that the *Prohibited Substance* or

⁵ ~~[Comment to Article 2.1.1: An anti-doping rule violation is committed under this Article without regard to an Athlete's Fault. This rule has been referred to in various CAS decisions as "Strict Liability". An Athlete's Fault is taken into consideration in determining the Consequences of this anti-doping rule violation under Article 10. This principle has consistently been upheld by CAS.]~~

⁷ [Comment to Article 2.1.1: An anti-doping rule violation is committed under this Article without regard to an Athlete's Fault. This rule has been referred to in various CAS decisions as "Strict Liability". An Athlete's Fault is taken into consideration in determining the Consequences of this anti-doping rule violation under Article 10. This principle has consistently been upheld by CAS.]

^{6,8} [Comment to Article 2.1.2: The Anti-Doping Organization with ~~results management~~Results Management responsibility may, at its discretion, choose to have the B Sample analyzed even if the Athlete does not request the analysis of the B Sample.]

^{7,9} [Comment to Article 2.2: It has always been the case that Use or Attempted Use of a Prohibited Substance or Prohibited Method may be established by any reliable means. As noted in the Comment to Article 3.2, unlike the proof required to establish an anti-doping rule violation under Article 2.1, Use or Attempted Use may also be established by other reliable means such as admissions by the Athlete, witness statements, documentary evidence, conclusions drawn from longitudinal profiling, including data collected as part of the Athlete Biological Passport, or other analytical information which does not otherwise satisfy all the requirements to establish "Presence" of a Prohibited Substance under Article 2.1.]

For example, Use may be established based upon reliable analytical data from the analysis of an A Sample (without confirmation from an analysis of a B Sample) or from the analysis of a B Sample alone where the Anti-Doping Organization provides a satisfactory explanation for the lack of confirmation in the other Sample.]

Prohibited Method was Used or Attempted to be Used for an anti-doping rule violation to be committed.⁸¹⁰

2.2.3

2.3 Evading, Refusing or Failing to Submit to Sample Collection⁹ by an Athlete

Evading Sample collection~~;~~ or ~~without compelling justification~~, refusing or failing to submit to Sample collection without compelling justification after notification as by a duly authorized ~~in applicable anti-doping rules~~ Person.¹¹

2.4 Whereabouts Failures by an Athlete

Any combination of three missed tests and/or filing failures, as defined in the *International Standard for Testing and Investigations Results Management*, within a twelve-month period by an Athlete in a Registered Testing Pool.

Tampering or Attempted Tampering with any ~~part~~ Part of Doping Control¹⁰

2.5 by an Athlete or Other Person

~~Conduct which subverts the Doping Control process but which would not otherwise be included in the definition of Prohibited Methods. Tampering shall include, without limitation, intentionally interfering or attempting to interfere with a Doping Control official, providing fraudulent information to an Anti-Doping Organization or intimidating or attempting to intimidate a potential witness.~~

2.6 Possession of a Prohibited Substance or a Prohibited Method by an Athlete or Athlete Support Person

2.6.1 Possession by an Athlete In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by an Athlete Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition unless the Athlete establishes that the Possession is consistent

⁸¹⁰ [Comment to Article 2.2.2: Demonstrating the “Attempted Use” of a Prohibited Substance or a Prohibited Method requires proof of intent on the Athlete’s part. The fact that intent may be required to prove this particular anti-doping rule violation does not undermine the Strict Liability principle established for violations of Article 2.1 and violations of Article 2.2 in respect of Use of a Prohibited Substance or Prohibited Method.]

An Athlete’s Use of a Prohibited Substance constitutes an anti-doping rule violation unless such ~~substance~~ Substance is not prohibited Out-of-Competition and the Athlete’s Use takes place Out-of-Competition. (However, the presence of a Prohibited Substance or its Metabolites or Markers in a Sample collected In-Competition is a violation of Article 2.1 regardless of when that ~~substance~~ Substance might have been administered.)]

⁹ [Comment to Article 2.3: For example, it would be an anti-doping rule violation of “evading Sample collection” if it were established that an Athlete was deliberately avoiding a Doping Control official to evade notification or Testing. A violation of “failing to submit to Sample collection” may be based on either intentional or negligent conduct of the Athlete, while “evading” or “refusing” Sample collection contemplates intentional conduct by the Athlete.]

¹¹ [Comment to Article 2.3: For example, it would be an anti-doping rule violation of “evading Sample collection” if it were established that an Athlete was deliberately avoiding a Doping Control official to evade notification or Testing. A violation of “failing to submit to Sample collection” may be based on either intentional or negligent conduct of the Athlete, while “evading” or “refusing” Sample collection contemplates intentional conduct by the Athlete.]

¹⁰ [Comment to Article 2.5: For example, this Article would prohibit altering identification numbers on a Doping Control form during Testing, breaking the B bottle at the time of B Sample analysis, or altering a Sample by the addition of a foreign substance.]
Offensive conduct towards a Doping Control official or other Person involved in Doping Control which does not otherwise constitute Tampering shall be addressed in the disciplinary rules of sport organizations.]

with a *Therapeutic Use Exemption* (“TUE”) granted in accordance with Article 4.4 or other acceptable justification.⁴⁴¹²

2.6.2 Possession by an *Athlete Support Person In-Competition* of any *Prohibited Substance* or any *Prohibited Method*, or Possession by an *Athlete Support Person Out-of-Competition* of any *Prohibited Substance* or any *Prohibited Method* which is prohibited *Out-of-Competition* in connection with an *Athlete*, *Competition* or training, unless the *Athlete Support Person* establishes that the *Possession* is consistent with a *TUE* granted to an *Athlete* in accordance with Article 4.4 or other acceptable justification.⁴²¹³

2.7 Trafficking or Attempted Trafficking in any *Prohibited Substance* or *Prohibited Method* by an Athlete or Other Person

2.8 Administration or Attempted Administration by an Athlete or Other Person to any *Athlete In-Competition* of any *Prohibited Substance* or *Prohibited Method*, or Administration or Attempted Administration to any *Athlete Out-of-Competition* of any *Prohibited Substance* or any *Prohibited Method* that is ~~prohibited~~Prohibited *Out-of-Competition*

2.9 Complicity or Attempted Complicity by an Athlete or Other Person

Assisting, encouraging, aiding, abetting, conspiring, covering up or any other type of intentional complicity or Attempted complicity involving an anti-doping rule violation, *Attempted* anti-doping rule violation or violation of Article ~~10.12.1~~10.14.1 by another *Person*.¹⁴

2.10 Prohibited Association¹³ by an Athlete or Other Person

2.10.1 Association by an *Athlete* or other *Person* subject to the authority of an *Anti-Doping Organization* in a professional or sport-related capacity with any *Athlete Support Person* who:

2.10.1.1 ~~2.10.1~~ If subject to the authority of an *Anti-Doping Organization*, is serving a period of *Ineligibility*; or

2.10.1.2 ~~2.10.2~~ If not subject to the authority of an *Anti-Doping Organization*, and where *Ineligibility* has not been addressed in a ~~results-management~~Results Management process pursuant to the *Code*, has been convicted or found in a criminal, disciplinary or professional proceeding to have engaged in conduct which would have constituted a violation of anti-doping rules if *Code-compliant* rules had been

⁴⁴¹² [Comment to Articles 2.6.1 and 2.6.2: Acceptable justification would not include, for example, buying or Possessing a Prohibited Substance for purposes of giving it to a friend or relative, except under justifiable medical circumstances where that Person had a physician’s prescription, e.g., buying Insulin for a diabetic child.]

⁴²¹³ [Comment to ~~Article~~Articles 2.6.1 and 2.6.2: Acceptable justification ~~would~~may include, for example, (a) an Athlete or a team doctor carrying Prohibited Substances or Prohibited Methods for dealing with acute and emergency situations (e.g., an epinephrine auto-injector), or (b) an Athlete Possessing a Prohibited Substance or Prohibited Method for therapeutic reasons shortly prior to applying for and receiving a determination on a TUE.]

¹⁴ [Comment to Article 2.9: Complicity or Attempted Complicity may include either physical or psychological assistance.]

¹³ [Comment to Article 2.10: Athletes and other Persons must not work with coaches, trainers, physicians or other Athlete Support Personnel who are Ineligible on account of an anti-doping rule violation or who have been criminally convicted or professionally disciplined in relation to doping. Some examples of the types of association which are prohibited include: obtaining training, strategy, technique, nutrition or medical advice; obtaining therapy, treatment or prescriptions; providing any bodily products for analysis; or allowing the Athlete Support Person to serve as an agent or representative. Prohibited association need not involve any form of compensation.]

applicable to such *Person*. The disqualifying status of such *Person* shall be in force for the longer of six (6) years from the criminal, professional or disciplinary decision or the duration of the criminal, disciplinary or professional sanction imposed; or

2.10.1.3 ~~2.10.3~~ Is serving as a front or intermediary for an individual described in Article ~~2.10.4~~2.10.1.1 or ~~2.10.22~~2.10.1.2.

~~In order for this provision to apply, it is necessary that the Athlete or other Person has previously been advised in writing by~~

2.10.2 ~~To establish a violation of Article 2.10, an Anti-Doping Organization with jurisdiction over must establish that the Athlete or other Person, or by WADA, knew of the Athlete Support Person's disqualifying status and the potential consequence of prohibited association and that the Athlete or other Person can reasonably avoid the association. The Anti-Doping Organization shall also use reasonable efforts to advise the Athlete Support Person who is the subject of the notice to the Athlete or other Person that the Athlete Support Person may, within 15 days, come forward to the Anti-Doping Organization to explain that the criteria described in Articles 2.10.1 and 2.10.2 do not apply to him or her. (Notwithstanding Article 17, this Article applies even when the Athlete Support Person's disqualifying conduct occurred prior to the effective date provided in Article 25.)~~

The burden shall be on the *Athlete* or other *Person* to establish that any association with an Athlete Support Personnel~~Person~~ described in Article ~~2.10.4~~2.10.1.1 or ~~2.10.2~~2.10.1.2 is not in a professional or sport-related capacity and/or that such association could not have been reasonably avoided.

Anti-Doping Organizations that are aware of *Athlete Support Personnel* who meet the criteria described in Article ~~2.10.4~~2.10.1.1, ~~2.10.2~~2.10.1.2, or ~~2.10.3~~2.10.1.3 shall submit that information to WADA.¹⁵

2.11 Acts by an Athlete or Other Person to Discourage or Retaliate Against Reporting to Authorities

Where such conduct does not otherwise constitute a violation of Article 2.5:

2.11.1 Any act which threatens or seeks to intimidate another Person with the intent of discouraging the Person from the good-faith reporting of information that relates to an alleged anti-doping rule violation or alleged non-compliance with the Code to WADA, an Anti-Doping Organization, law enforcement, regulatory or professional

¹⁵ [Comment to Article 2.10: Athletes and other Persons must not work with coaches, trainers, physicians or other Athlete Support Personnel who are ineligible on account of an anti-doping rule violation or who have been criminally convicted or professionally disciplined in relation to doping. This also prohibits association with any other Athlete who is acting as a coach or Athlete Support Person while serving a period of ineligibility. Some examples of the types of association which are prohibited include: obtaining training, strategy, technique, nutrition or medical advice; obtaining therapy, treatment or prescriptions; providing any bodily products for analysis; or allowing the Athlete Support Person to serve as an agent or representative. Prohibited association need not involve any form of compensation.]
While Article 2.10 does not require the Anti-Doping Organization to notify the Athlete or other Person about the Athlete Support Person's disqualifying status, such notice, if provided, would be important evidence to establish that the Athlete or other Person knew about the disqualifying status of the Athlete Support Person.]

disciplinary body, hearing body or Person conducting an investigation for WADA or an Anti-Doping Organization.

2.11.2 Retaliation against a Person who, in good faith, has provided evidence or information that relates to an alleged anti-doping rule violation or alleged non-compliance with the Code to WADA, an Anti-Doping Organization, law enforcement, regulatory or professional disciplinary body, hearing body or Person conducting an investigation for WADA or an Anti-Doping Organization.¹⁶

For purposes of Article 2.11, retaliation, threatening and intimidation include an act taken against such Person either because the act lacks a good faith basis or is a disproportionate response.¹⁷

ARTICLE 3 PROOF OF DOPING

3.1 Burdens and Standards of Proof¹⁴

The *Anti-Doping Organization* shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether the *Anti-Doping Organization* has established an anti-doping rule violation to the comfortable satisfaction of the hearing panel, bearing in mind the seriousness of the allegation which is made. This standard of proof in all cases is greater than a mere balance of probability but less than proof beyond a reasonable doubt.¹⁸ Where the *Code* places the burden of proof upon the *Athlete* or other *Person* alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances, except as provided in Articles 3.2.2 and 3.2.3, the standard of proof shall be by a balance of probability.

3.2 Methods of Establishing Facts and Presumptions¹⁵

Facts related to anti-doping rule violations may be established by any reliable means, including admissions.¹⁹ The following rules of proof shall be applicable in doping cases:

3.2.1 Analytical methods or ~~decision limits~~ Decision Limits approved by WADA after consultation within the relevant scientific community ~~and/or~~ which have been the subject of peer review are presumed to be scientifically valid. Any *Athlete* or other *Person* seeking to challenge whether the conditions for such presumption have been

¹⁶ [Comment to Article 2.11.2: This Article is intended to protect Persons who make good faith reports, and does not protect Persons who knowingly make false reports.]

¹⁷ [Comment to Article 2.11.2: Retaliation would include, for example, actions that threaten the physical or mental well-being or economic interests of the reporting Persons, their families or associates. Retaliation would not include an Anti-Doping Organization asserting in good faith an anti-doping rule violation against the reporting Person. For purposes of Article 2.11, a report is not made in good faith where the Person making the report knows the report to be false.]

¹⁴ [Comment to Article 3.1: This standard of proof required to be met by the Anti-Doping Organization is comparable to the standard which is applied in most countries to cases involving professional misconduct.]

¹⁸ [Comment to Article 3.1: This standard of proof required to be met by the Anti-Doping Organization is comparable to the standard which is applied in most countries to cases involving professional misconduct.]

¹⁵ [Comment to Article 3.2: For example, an Anti-Doping Organization may establish an anti-doping rule violation under Article 2.2 based on the Athlete's admissions, the credible testimony of third Persons, reliable documentary evidence, reliable analytical data from either an A or B Sample as provided in the Comments to Article 2.2, or conclusions drawn from the profile of a series of the Athlete's blood or urine Samples, such as data from the Athlete Biological Passport.]

¹⁹ [Comment to Article 3.2: For example, an Anti-Doping Organization may establish an anti-doping rule violation under Article 2.2 based on the Athlete's admissions, the credible testimony of third Persons, reliable documentary evidence, reliable analytical data from either an A or B Sample as provided in the Comments to Article 2.2, or conclusions drawn from the profile of a series of the Athlete's blood or urine Samples, such as data from the Athlete Biological Passport.]

met or to rebut this presumption of scientific validity shall, as a condition precedent to any such challenge, first notify WADA of the challenge and the basis of the challenge. The initial hearing body, appellate body or CAS, on its own initiative, may also inform WADA of any such challenge. ~~At WADA's request, the CAS panel shall appoint an appropriate scientific expert to assist the panel in its evaluation of the challenge.~~ Within ten (10) days of WADA's receipt of such notice, and ~~WADA's receipt of the CAS case file related to such challenge~~, WADA shall also have the right to intervene as a party, appear as amicus curiae or otherwise provide evidence in such proceeding. In cases before CAS, at WADA's request, the CAS panel shall appoint an appropriate scientific expert to assist the panel in its evaluation of the challenge.²⁰

- 3.2.2 WADA-accredited laboratories, and other laboratories approved by WADA, are presumed to have conducted *Sample* analysis and custodial procedures in accordance with the *International Standard* for Laboratories. The *Athlete* or other *Person* may rebut this presumption by establishing that a departure from the *International Standard* for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*.

If the *Athlete* or other *Person* rebuts the preceding presumption by showing that a departure from the *International Standard* for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*, then the *Anti-Doping Organization* shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*.⁴⁶²¹

- 3.2.3 Departures from any other *International Standard* or other anti-doping rule or policy set forth in the *Code* or in an Anti-Doping Organization's rules ~~which did not cause an Adverse Analytical Finding or other anti-doping rule violation~~ shall not invalidate such analytical results or other evidence or results. ~~If of an anti-doping rule violation, and shall not constitute a defense to an anti-doping rule violation;~~²² provided, however, if the Athlete or other Person establishes that a departure from another one of the specific International Standard or other anti-doping rule or policy which provisions listed below could reasonably have caused an anti-doping rule violation based on an Adverse Analytical Finding or other anti-doping rule violation whereabouts failure, then the *Anti-Doping Organization* shall have the

²⁰ [Comment to Article 3.2.1: For certain Prohibited Substances, WADA may instruct WADA-accredited laboratories not to report Samples as an Adverse Analytical Finding if the estimated concentration of the Prohibited Substance or its Metabolites or Markers is below a Minimum Reporting Level. WADA's decision in determining that Minimum Reporting Level or in determining which Prohibited Substances should be subject to Minimum Reporting Levels shall not be subject to challenge. Further, the laboratory's estimated concentration of such Prohibited Substance in a Sample may only be an estimate. In no event shall the possibility that the exact concentration of the Prohibited Substance in the Sample may be below the Minimum Reporting Level constitute a defense to an anti-doping rule violation based on the presence of that Prohibited Substance in the Sample.]

⁴⁶²¹ [Comment to Article 3.2.2: The burden is on the Athlete or other Person to establish, by a balance of probability, a departure from the International Standard for Laboratories that could reasonably have caused the Adverse Analytical Finding. Thus, once the Athlete or other Person establishes the departure by a balance of probability, the Athlete or other Person's burden on causation is the somewhat lower standard of proof— "could reasonably have caused." If the Athlete or other Person does so satisfies these standards, the burden shifts to the Anti-Doping Organization to prove to the comfortable satisfaction of the hearing panel that the departure did not cause the Adverse Analytical Finding.]

²² [Comment to Article 3.2.3: Departures from an International Standard or other rule unrelated to Sample collection or handling, Adverse Passport Finding, or Athlete notification relating to whereabouts failure or B Sample opening - e.g., the International Standard for Education, International Standard for the Protection of Privacy and Personal Information or International Standard for Therapeutic Use Exemptions - may result in compliance proceedings by WADA but are not a defense in an anti-doping rule violation proceeding and are not relevant on the issue of whether the Athlete committed an anti-doping rule violation. Similarly, an Anti-Doping Organization's violation of the document referenced in Article 20.7.7 shall not constitute a defense to an anti-doping rule violation.]

burden to establish that such departure did not cause the *Adverse Analytical Finding* or ~~the factual basis for the anti-doping rule violation~~. whereabouts failure:

(i) a departure from the *International Standard for Testing and Investigations* related to *Sample* collection or *Sample* handling which could reasonably have caused an anti-doping rule violation based on an *Adverse Analytical Finding*, in which case the *Anti-Doping Organization* shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*;

(ii) a departure from the *International Standard for Results Management* or *International Standard for Testing and Investigations* related to an *Adverse Passport Finding* which could reasonably have caused an anti-doping rule violation, in which case the *Anti-Doping Organization* shall have the burden to establish that such departure did not cause the anti-doping rule violation;

(iii) a departure from the *International Standard for Results Management* related to the requirement to provide notice to the *Athlete* of the *B Sample* opening which could reasonably have caused an anti-doping rule violation based on an *Adverse Analytical Finding*, in which case the *Anti-Doping Organization* shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*;²³

(iv) a departure from the *International Standard for Results Management* related to *Athlete* notification which could reasonably have caused an anti-doping rule violation based on a whereabouts failure, in which case the *Anti-Doping Organization* shall have the burden to establish that such departure did not cause the whereabouts failure.

- 3.2.4 The facts established by a decision of a court or professional disciplinary tribunal of competent jurisdiction which is not the subject of a pending appeal shall be irrebuttable evidence against the *Athlete* or other *Person* to whom the decision pertained of those facts unless the *Athlete* or other *Person* establishes that the decision violated principles of natural justice.
- 3.2.5 The hearing panel in a hearing on an anti-doping rule violation may draw an inference adverse to the *Athlete* or other *Person* who is asserted to have committed an anti-doping rule violation based on the *Athlete's* or other *Person's* refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in person or telephonically as directed by the hearing panel) and to answer questions from the hearing panel or the *Anti-Doping Organization* asserting the anti-doping rule violation.

²³ [Comment to Article 3.2.3 (iii): An *Anti-Doping Organization* would meet its burden to establish that such departure did not cause the *Adverse Analytical Finding* by showing that, for example, the *B Sample* opening and analysis were observed by an independent witness and no irregularities were observed.]

ARTICLE 4 THE PROHIBITED LIST

4.1 Publication and Revision of the *Prohibited List*¹⁷

WADA shall, as often as necessary and no less often than annually, publish the *Prohibited List* as an *International Standard*. The proposed content of the *Prohibited List* and all revisions shall be provided in writing promptly to all *Signatories* and governments for comment and consultation. Each annual version of the *Prohibited List* and all revisions shall be distributed promptly by WADA to each *Signatory*, WADA-accredited or approved laboratory, and government, and shall be published on WADA's website, and each *Signatory* shall take appropriate steps to distribute the *Prohibited List* to its members and constituents. The rules of each *Anti-Doping Organization* shall specify that, unless provided otherwise in the *Prohibited List* or a revision, the *Prohibited List* and revisions shall go into effect under the *Anti-Doping Organization's* rules three (3) months after publication of the *Prohibited List* by WADA without requiring any further action by the *Anti-Doping Organization*.²⁴

4.2 *Prohibited Substances and Prohibited Methods* Identified on the *Prohibited List*4.2.1 *Prohibited Substances and Prohibited Methods*¹⁸

The *Prohibited List* shall identify those *Prohibited Substances* and *Prohibited Methods* which are prohibited as doping at all times (both *In-Competition* and *Out-of-Competition*) because of their potential to enhance performance in future *Competitions* or their masking potential, and those substances and methods which are prohibited *In-Competition* only. The *Prohibited List* may be expanded by WADA for a particular sport. *Prohibited Substances* and *Prohibited Methods* may be included in the *Prohibited List* by general category (e.g., anabolic agents) or by specific reference to a particular substance or method.²⁵

4.2.2 *Specified Substances*¹⁹ or *Specified Methods*

For purposes of the application of Article 10, all *Prohibited Substances* shall be *Specified Substances* except ~~substances in the classes of anabolic agents and hormones and those stimulants and hormone antagonists and modulators so as~~ identified on the

¹⁷ ~~[Comment to Article 4.1: The Prohibited List will be revised and published on an expedited basis whenever the need arises. However, for the sake of predictability, a new Prohibited List will be published every year whether or not changes have been made. WADA will always have the most current Prohibited List published on its website. The Prohibited List is an integral part of the International Convention against Doping in Sport. WADA will inform the Director-General of UNESCO of any change to the Prohibited List.]~~

²⁴ [Comment to Article 4.1: The Prohibited List will be revised and published on an expedited basis whenever the need arises. However, for the sake of predictability, a new Prohibited List will be published every year whether or not changes have been made. WADA will always have the most current Prohibited List published on its website. The Prohibited List is an integral part of the International Convention against Doping in Sport. WADA will inform the Director-General of UNESCO of any change to the Prohibited List.]

¹⁸ ~~[Comment to Article 4.2.1: Out-of-Competition Use of a substance which is only prohibited In-Competition is not an anti-doping rule violation unless an Adverse Analytical Finding for the substance or its Metabolites or Markers is reported for a Sample collected In-Competition.]~~

²⁵ [Comment to Article 4.2.1: Out-of-Competition Use of a Substance which is only prohibited In-Competition is not an anti-doping rule violation unless an Adverse Analytical Finding for the Substance or its Metabolites or Markers is reported for a Sample collected In-Competition.]

¹⁹ ~~[Comment to Article 4.2.2: The Specified Substances identified in Article 4.2.2 should not in any way be considered less important or less dangerous than other doping substances. Rather, they are simply substances which are more likely to have been consumed by an Athlete for a purpose other than the enhancement of sport performance.]~~

Prohibited List. ~~The category of~~ No Prohibited Method shall be a Specified Method unless it is specifically identified as a Specified Method on the Prohibited List.²⁶

4.2.3 ~~Substances shall not include Prohibited Methods of Abuse~~

For purposes of applying Article 10, Substances of Abuse shall include those Prohibited Substances which are specifically identified as Substances of Abuse on the Prohibited List because they are frequently abused in society outside of the context of sport.

4.2.4 ~~4.2.3~~ New Classes of Prohibited Substances or Prohibited Methods

In the event WADA expands the *Prohibited List* by adding a new class of *Prohibited Substances or Prohibited Methods* in accordance with Article 4.1, WADA's Executive Committee shall determine whether any or all *Prohibited Substances or Prohibited Methods* within the new class ~~of Prohibited Substances~~ shall be considered *Specified Substances or Specified Methods* under Article 4.2.2 or Substances of Abuse under Article 4.2.3.

4.3 Criteria for Including Substances and Methods on the *Prohibited List*

WADA shall consider the following criteria in deciding whether to include a substance or method on the *Prohibited List*:

4.3.1 A substance or method shall be considered for inclusion on the *Prohibited List* if WADA, in its sole discretion, determines that the substance or method meets any two of the following three criteria:

4.3.1.1 Medical or other scientific evidence, pharmacological effect or experience that the substance or method, alone or in combination with other substances or methods, has the potential to enhance or enhances sport performance;²⁰²⁷

4.3.1.2 Medical or other scientific evidence, pharmacological effect or experience that the *Use* of the substance or method represents an actual or potential health risk to the *Athlete*;

4.3.1.3 WADA's determination that the *Use* of the substance or method violates the spirit of sport described in the introduction to the *Code*.

4.3.2 A substance or method shall also be included on the *Prohibited List* if WADA determines there is medical or other scientific evidence, pharmacological effect or experience that the substance or method has the potential to mask the *Use* of other *Prohibited Substances or Prohibited Methods*.²⁴²⁸

²⁶ [Comment to Article 4.2.2: The Specified Substances and Methods identified in Article 4.2.2 should not in any way be considered less important or less dangerous than other doping Substances or methods. Rather, they are simply Substances and Methods which are more likely to have been consumed or used by an Athlete for a purpose other than the enhancement of sport performance.]

²⁰²⁷ [Comment to Article 4.3.1.1: This Article anticipates that there may be substancesSubstances that, when used alone, are not prohibited but which will be prohibited if used in combination with certain other substancesSubstances. A substanceSubstance which is added to the Prohibited List because it has the potential to enhance performance only in combination with another substanceSubstance shall be so noted and shall be prohibited only if there is evidence relating to both substancesSubstances in combination.]

²⁴²⁸ [Comment to Article 4.3.2: As part of the process each year, all Signatories, governments and other interested Persons are invited to provide comments to WADA on the content of the Prohibited List.]

- 4.3.3 WADA's determination of the *Prohibited Substances* and *Prohibited Methods* that will be included on the *Prohibited List*, the classification of substances into categories on the *Prohibited List*, ~~and~~ the classification of a substance as prohibited at all times or *In-Competition* only, the classification of a substance or method as a *Specified Substance, Specified Method or Substance of Abuse* is final and shall not be subject to any challenge by an *Athlete* or other *Person* including, but not limited to, any challenge based on an argument that the substance or method was not a masking agent or did not have the potential to enhance performance, represent a health risk or violate the spirit of sport.

4.4 Therapeutic Use Exemptions ("TUEs")

- 4.4.1 The presence of a *Prohibited Substance* or its *Metabolites* or *Markers*, and/or the *Use* or *Attempted Use*, *Possession* or *Administration* or *Attempted Administration* of a *Prohibited Substance* or *Prohibited Method* shall not be considered an anti-doping rule violation if it is consistent with the provisions of a *TUE* granted in accordance with the *International Standard for Therapeutic Use Exemptions*.

- 4.4.2 ~~An Athlete~~ Athletes who ~~is~~ are not an *International-Level Athlete* ~~should~~ Athletes shall apply to ~~his or her~~ their *National Anti-Doping Organization* for a *TUE*. If the *National Anti-Doping Organization* denies the application, the *Athlete* may appeal exclusively to the national-level appeal body described in ~~Articles~~ Article 13.2.2 ~~and 13.2.3~~.

- 4.4.3 ~~An Athlete~~ Athletes who ~~is~~ are an *International-Level Athlete* ~~should~~ Athletes shall apply to ~~his or her~~ their *International Federation*.²²²⁹

4.4.4

- 4.4.4.1 Where the *Athlete* already has a *TUE* granted by ~~his or her~~ their *National Anti-Doping Organization* for the substance or method in question, if that *TUE* meets the criteria set out in the *International Standard for Therapeutic Use Exemptions*, then the *International Federation* must recognize it. If the *International Federation* considers that the *TUE* does not meet those criteria and so refuses to recognize it, it must notify the *Athlete* and ~~his or her~~ the Athlete's *National Anti-Doping Organization* promptly, with reasons. The *Athlete* or the *National Anti-Doping Organization* shall have twenty-one (21) days from such notification to refer the matter to WADA for review. If the matter is referred to WADA for review, the *TUE* granted by the *National Anti-Doping Organization* remains valid for national-level *Competition* and *Out-of-Competition Testing* (but is not valid for international-level *Competition*) pending WADA's decision. If the matter is not referred to WADA for review, ~~the TUE becomes invalid for any purpose when within the 21-day review deadline expires, the Athlete's National Anti-Doping Organization must determine whether the original TUE granted by that National Anti-Doping Organization should nevertheless remain valid for national-level Competition and~~

²²²⁹ [Comment to Article 4.4.3: If the *International Federation* refuses to recognize a *TUE* granted by a *National Anti-Doping Organization* only because medical records or other information are missing that are needed to demonstrate satisfaction with the criteria in the *International Standard for Therapeutic Use Exemptions*, the matter should not be referred to WADA. Instead, the file should be completed and re-submitted to the *International Federation*.
If an *International Federation* chooses to test an *Athlete* who is not an *International-Level Athlete*, it must recognize a *TUE* granted ~~to~~ by that *Athlete* ~~by his or her's~~ *National Anti-Doping Organization*.]

Out-of-Competition Testing (provided that the Athlete ceases to be an International-Level Athlete and does not participate in international-level Competition). Pending the National Anti-Doping Organization's decision, the TUE remains valid for national-level Competition and Out-of-Competition Testing (but is not valid for international-level Competition).

- 4.4.4.2 If the *Athlete* does not already have a *TUE* granted by ~~his or her~~ their *National Anti-Doping Organization* for the substance or method in question, the *Athlete* must apply directly to ~~his or her~~ the Athlete's *International Federation* for a *TUE* as soon as the need arises. If the *International Federation* (or the *National Anti-Doping Organization*, where it has agreed to consider the application on behalf of the *International Federation*) denies the *Athlete's* application, it must notify the *Athlete* promptly, with reasons. If the *International Federation* grants the *Athlete's* application, it must notify not only the *Athlete* but also ~~his or her~~ the Athlete's *National Anti-Doping Organization*, and if the *National Anti-Doping Organization* considers that the *TUE* does not meet the criteria set out in the *International Standard for Therapeutic Use Exemptions*, it has twenty-one (21) days from such notification to refer the matter to *WADA* for review. If the *National Anti-Doping Organization* refers the matter to *WADA* for review, the *TUE* granted by the *International Federation* remains valid for international-level *Competition* and *Out-of-Competition Testing* (but is not valid for national-level *Competition*) pending *WADA's* decision. If the *National Anti-Doping Organization* does not refer the matter to *WADA* for review, the *TUE* granted by the *International Federation* becomes valid for national-level *Competition* as well when the twenty-one (21-) day review deadline expires.
- 4.4.5 A *Major Event Organization* may require *Athletes* to apply to it for a *TUE* if they wish to *Use* a *Prohibited Substance* or a *Prohibited Method* in connection with the *Event*. In that case:
- 4.4.5.1 The *Major Event Organization* must ensure a process is available for an *Athlete* to apply for a *TUE* if he or she does not already have one. If the *TUE* is granted, it is effective for its *Event* only.
- 4.4.5.2 Where the *Athlete* already has a *TUE* granted by ~~his or her~~ the Athlete's *National Anti-Doping Organization* or *International Federation*, if that *TUE* meets the criteria set out in the *International Standard for Therapeutic Use Exemptions*, the *Major Event Organization* must recognize it. If the *Major Event Organization* decides the *TUE* does not meet those criteria and so refuses to recognize it, it must notify the *Athlete* promptly, explaining its reasons.
- 4.4.5.3 A decision by a *Major Event Organization* not to recognize or not to grant a *TUE* may be appealed by the *Athlete* exclusively to an independent body established or appointed by the *Major Event Organization* for that purpose. If the *Athlete* does not appeal (or the appeal is unsuccessful), ~~he or she~~ the Athlete may not *Use* the substance or method in question in connection with the *Event*, but any

TUE granted by ~~his or her~~ the Athlete's National Anti-Doping Organization or International Federation for that substance or method remains valid outside of that Event.²³³⁰

- 4.4.6 If an Anti-Doping Organization chooses to collect a Sample from ~~a Person~~ an Athlete who is not an International-Level Athlete or National-Level Athlete, and that ~~Person~~ Athlete is Using a Prohibited Substance or Prohibited Method for therapeutic reasons, the Anti-Doping Organization ~~may~~ must permit ~~him or her~~ the Athlete to apply for a retroactive TUE.
- 4.4.7 WADA must review an International Federation's decision not to recognize a TUE granted by the National Anti-Doping Organization that is referred to it by the Athlete or the Athlete's National Anti-Doping Organization. In addition, WADA must review an International Federation's decision to grant a TUE that is referred to it by the Athlete's National Anti-Doping Organization. WADA may review any other TUE decisions at any time, whether upon request by those affected or on its own initiative. If the TUE decision being reviewed meets the criteria set out in the International Standard for Therapeutic Use Exemptions, WADA will not interfere with it. If the TUE decision does not meet those criteria, WADA will reverse it.²⁴³¹
- 4.4.8 Any TUE decision by an International Federation (or by a National Anti-Doping Organization where it has agreed to consider the application on behalf of an International Federation) that is not reviewed by WADA, or that is reviewed by WADA but is not reversed upon review, may be appealed by the Athlete and/or the Athlete's National Anti-Doping Organization, exclusively to CAS.²⁵³²
- 4.4.9 A decision by WADA to reverse a TUE decision may be appealed by the Athlete, the National Anti-Doping Organization and/or the International Federation affected, exclusively to CAS.
- 4.4.10 A failure to ~~take action~~ render a decision within a reasonable time on a properly submitted application for grant/ recognition of a TUE or for review of a TUE decision shall be considered a denial of the application thus triggering the applicable rights of review/appeal.

4.5 Monitoring Program

WADA, in consultation with Signatories and governments, shall establish a monitoring program regarding substances which are not on the Prohibited List, but which WADA wishes to monitor in order to detect potential patterns of misuse in sport. In addition, WADA may include in the monitoring program substances that are on the Prohibited List, but which are to be monitored under certain circumstances— e.g., Out-of-Competition Use of some substances prohibited In-Competition only or the combined Use of multiple substances at low doses ("stacking")— in order to establish

²³³⁰ [Comment to Article 4.4.4.3: For example, the CAS Ad Hoc Division or a similar body may act as the independent appeal body for particular Events, or WADA may agree to perform that function. If neither CAS nor WADA are performing that function, WADA retains the right (but not the obligation) to review the TUE decisions made in connection with the Event at any time, in accordance with Article 4.4.6.]

²⁴³¹ [Comment to Article 4.4.6: WADA shall be entitled to charge a fee to cover the costs of: (a) any review it is required to conduct in accordance with Article 4.4.6; and (b) any review it chooses to conduct, where the decision being reviewed is reversed.]

²⁵³² [Comment to Article 4.4.7: In such cases, the decision being appealed is the International Federation's TUE decision, not WADA's decision not to review the TUE decision or (having reviewed it) not to reverse the TUE decision. However, the time to appeal the TUE decision does not begin to run until the date that WADA communicates its decision. In any event, whether the decision has been reviewed by WADA or not, WADA shall be given notice of the appeal so that it may participate if it sees fit.]

prevalence of Use or to be able to implement adequate decisions in regards to their analysis by laboratories or their status within the Prohibited List.

WADA shall publish, ~~in advance of any Testing,~~ the substances that will be monitored.³³ Laboratories will report the instances of reported Use or detected presence of these substances to WADA ~~periodically on an aggregate basis by sport and whether the Samples were collected In-Competition or Out-of-Competition. Such reports shall not contain additional information regarding specific Samples.~~ WADA shall make available to International Federations and National Anti-Doping Organizations, on at least an annual basis, aggregate ~~statistical~~ information by sport regarding the ~~additional~~ monitored substances. Such monitoring program reports shall not contain additional details that could link the monitoring results to specific Samples. WADA shall implement measures to ensure that strict anonymity of individual Athletes is maintained with respect to such reports. The reported Use or detected presence of a monitored substance shall not constitute an anti-doping rule violation.

ARTICLE 5 TESTING AND INVESTIGATIONS

5.1 Purpose of Testing and Investigations

Testing and investigations ~~shall only~~ may be undertaken for any anti-doping ~~purposes~~ purpose.³⁴

5.1.1 Testing shall be undertaken to obtain analytical evidence as to whether the Athlete's ~~compliance (or non-compliance) with the strict Code prohibition on the presence/Use~~ has violated Article 2.1 (Presence of a Prohibited Substance or its Metabolites or Markers in an Athlete's Sample) or Article 2.2 (Use or Attempted Use by an Athlete of a Prohibited Substance or a Prohibited Method) of the Code.

~~5.1.2 — Investigations shall be undertaken:~~

~~(a) in relation to Atypical Findings and Adverse Passport Findings, in accordance with Articles 7.4 and 7.5 respectively, gathering intelligence or evidence (including, in particular, analytical evidence) in order to determine whether an anti-doping rule violation has occurred under Article 2.1 and/or Article 2.2; and~~

~~(b) in relation to other indications of potential anti-doping rule violations, in accordance with Articles 7.6 and 7.7, gathering intelligence or evidence (including, in particular, non-analytical evidence) in order to determine whether an anti-doping rule violation has occurred under any of Articles 2.2 to 2.10.~~

³³ [Comment to Article 4.5: In order to improve the efficiency of the monitoring program, once a new substance is added to the published monitoring program, laboratories may re-process data and Samples previously analyzed in order to determine the absence or presence of any new substance.]

³⁴ [Comment to Article 5.1: Where Testing is conducted for anti-doping purposes, the analytical results and data may be used for other legitimate purposes under the Anti-Doping Organization's rules. See, e.g., Comment to Article 23.2.2.]

5.2 ~~Scope of Testing~~²⁶ Authority to Test

Any *Athlete* may be required to provide a *Sample* at any time and at any place by any *Anti-Doping Organization* with *Testing* authority over him or her.³⁵ Subject to the ~~jurisdictional~~ limitations for *Event Testing* set out in Article 5.3:

- 5.2.1 Each *National Anti-Doping Organization* shall have *In-Competition* and *Out-of-Competition Testing* authority over all *Athletes* who are nationals, residents, license-holders or members of sport organizations of that country or who are present in that *National Anti-Doping Organization's* country.
- 5.2.2 Each *International Federation* shall have *In-Competition* and *Out-of-Competition Testing* authority over all *Athletes* who are subject to its rules, including those who participate in *International Events* or who participate in *Events* governed by the rules of that *International Federation*, or who are members or license-holders of that *International Federation* or its member *National Federations*, or their members.
- 5.2.3 Each *Major Event Organization*, including the *International Olympic Committee* and the *International Paralympic Committee*, shall have *In-Competition Testing* authority for its *Events* and *Out-of-Competition Testing* authority over all *Athletes* entered in one of its future *Events* or who have otherwise been made subject to the *Testing* authority of the *Major Event Organization* for a future *Event*.
- 5.2.4 WADA shall have *In-Competition* and *Out-of-Competition Testing* authority as set out in Article 20.7.10.
- 5.2.5 *Anti-Doping Organizations* may test any *Athlete* over whom they have *Testing* authority who has not retired, including *Athletes* serving a period of *Ineligibility*.
- 5.2.6 If an *International Federation* or *Major Event Organization* delegates or contracts any part of *Testing* to a *National Anti-Doping Organization* (directly or through a *National Federation*), that *National Anti-Doping Organization* may collect additional *Samples* or direct the laboratory to perform additional types of analysis at the *National Anti-Doping Organization's* expense. If additional *Samples* are collected or additional types of analysis are performed, the *International Federation* or *Major Event Organization* shall be notified.

5.3 *Event Testing*

- 5.3.1 Except as otherwise provided below, only a single organization ~~should be responsible for initiating and directing~~ shall have authority to conduct *Testing* at *Event Venues* during an *Event Period*. At *International Events*, ~~the collection of Samples shall be~~

²⁶ ~~[Comment to Article 5.2: Additional authority to conduct Testing may be conferred by means of bilateral or multilateral agreements among Signatories. Unless the Athlete has identified a 60-minute Testing window during the following described time period, or otherwise consented to Testing during that period, before Testing an Athlete between the hours of 11:00 p.m. and 6:00 a.m., an Anti-Doping Organization should have serious and specific suspicion that the Athlete may be engaged in doping. A challenge to whether an Anti-Doping Organization had sufficient suspicion for Testing during this time period shall not be a defense to an anti-doping rule violation based on such test or attempted test.]~~

³⁵ [Comment to Article 5.2: Additional authority to conduct Testing may be conferred by means of bilateral or multilateral agreements among Signatories. Unless the Athlete has identified a sixty-minute Testing window during the following described time period, or otherwise consented to Testing during that period, before Testing an Athlete between the hours of 11:00 p.m. and 6:00 a.m., an Anti-Doping Organization should have serious and specific suspicion that the Athlete may be engaged in doping. A challenge to whether an Anti-Doping Organization had sufficient suspicion for Testing during this time period shall not be a defense to an anti-doping rule violation based on such test or attempted test.]

~~initiated and directed by~~ the international organization which is the ruling body for the Event (e.g., the International Olympic Committee for the Olympic Games, the International Federation for a World Championship, and ~~the Pan-American~~ Panam Sports Organization for the Pan American Games) shall have authority to conduct Testing. At National Events, the ~~collection of Samples shall be initiated and directed by the~~ National Anti-Doping Organization of that country shall have authority to conduct Testing. At the request of the ruling body for an Event, any Testing during the Event Period outside of the Event Venues shall be coordinated with that ruling body.²⁷³⁶

- 5.3.2 If an Anti-Doping Organization²⁷³⁶ which would otherwise have Testing authority but is not responsible for initiating and directing Testing at an Event²⁷³⁶ desires to conduct Testing of Athletes at the Event Venues during the Event Period, the Anti-Doping Organization shall first confer with the ruling body of the Event to obtain permission to conduct and coordinate such Testing. If the Anti-Doping Organization is not satisfied with the response from the ruling body of the Event, the Anti-Doping Organization may, in accordance with procedures ~~published by WADA~~ described in the International Standard for Testing and Investigations, ask WADA for permission to conduct Testing and to determine how to coordinate such Testing. WADA shall not grant approval for such Testing before consulting with and informing the ruling body for the Event. WADA's decision shall be final and not subject to appeal. Unless otherwise provided in the authorization to conduct Testing, such tests shall be considered Out-of-Competition tests. Results ~~management~~ Management for any such test shall be the responsibility of the Anti-Doping Organization initiating the test unless provided otherwise in the rules of the ruling body of the Event.²⁸³⁷

5.4 ~~Test Distribution Planning~~ Testing Requirements

- 5.4.1 ~~WADA, in consultation with International Federations and other Anti-Doping Organizations, will adopt a Technical Document under~~ shall conduct test distribution planning and Testing as required by the International Standard for Testing and Investigations ~~that establishes by means of a risk assessment which Prohibited Substances and/or Prohibited Methods are most likely to be abused in particular sports and sport disciplines.~~

- ~~5.4.2 Starting with that risk assessment, each Anti-Doping Organization with Testing authority shall develop and implement an effective, intelligent and proportionate test distribution plan that prioritizes appropriately between disciplines, categories of Athletes, types of Testing, types of Samples collected, and types of Sample analysis, all in compliance with the requirements of the International Standard for Testing and Investigations. Each Anti-Doping Organization shall provide WADA upon request with a copy of its current test distribution plan.~~

²⁷³⁶ [Comment to Article 5.3.1: Some ruling bodies for International Events may be doing their own Testing outside of the Event Venues during the Event Period and thus want to coordinate that Testing with National Anti-Doping Organization Testing.]

²⁸³⁷ [Comment to Article 5.3.2: Before giving approval to a National Anti-Doping Organization to initiate and conduct Testing at an International Event, WADA shall consult with the international organization which is the ruling body for the Event. Before giving approval to an International Federation to initiate and conduct Testing at a National Event, WADA shall consult with the National Anti-Doping Organization of the country where the Event takes place. The Anti-Doping Organization "initiating and directing Testing" may, if it chooses, enter into agreements with ~~other organizations~~ a Delegated Third Party to which it delegates responsibility for Sample collection or other aspects of the Doping Control process.]

5.4.2 ~~5.4.3~~ Where reasonably feasible, *Testing* shall be coordinated through ADAMS ~~or another system approved by WADA~~, in order to maximize the effectiveness of the combined *Testing* effort and to avoid unnecessary repetitive *Testing*.

~~5.5~~ 5.5 Testing Requirements

~~All Testing shall be conducted in conformity with the International Standard for Testing and Investigations.~~

5.5 ~~5.6~~ Athlete Whereabouts Information

Athletes who have been included in a *Registered Testing Pool* by their International Federation and/or *National Anti-Doping Organization* shall provide whereabouts information in the manner specified in the *International Standard for Testing and Investigations* and shall be subject to Consequences for Article 2.4 violations as provided in Article 10.3.2. The International Federations and *National Anti-Doping Organizations* shall coordinate the identification of such *Athletes* and the collection of their whereabouts information. Each International Federation and *National Anti-Doping Organization* shall make available, through ADAMS ~~or another system approved by WADA~~, a list which identifies those *Athletes* included in its *Registered Testing Pool* ~~either by name or by clearly defined, specific criteria~~. *Athletes* shall be notified before they are included in a *Registered Testing Pool* and when they are removed from that pool. The whereabouts information they provide while in the *Registered Testing Pool* will be accessible, through ADAMS ~~or another system approved by WADA~~, to WADA and to other *Anti-Doping Organizations* having authority to test the *Athlete* as provided in Article 5.2. ~~This~~ Whereabouts information shall be maintained in strict confidence at all times; shall be used exclusively for purposes of planning, coordinating or conducting *Doping Control*, providing information relevant to the *Athlete Biological Passport* or other analytical results, to support an investigation into a potential anti-doping rule violation, or to support proceedings alleging an anti-doping rule violation; and shall be destroyed after it is no longer relevant for these purposes in accordance with the *International Standard for the Protection of Privacy and Personal Information*.

Anti-Doping Organizations may, in accordance with the *International Standard for Testing and Investigations*, collect whereabouts information from *Athletes* who are not included within a *Registered Testing Pool* and impose appropriate and proportionate non-Code Article 2.4 consequences under their own rules.

5.6 ~~5.7~~ Retired Athletes Returning to Competition

5.6.1 ~~5.7.1~~ If an *International- or National-Level Athlete* in a *Registered Testing Pool* retires and then wishes to return to active participation in sport, the *Athlete* shall not compete in *International Events* or *National Events* until the *Athlete* has made himself or herself available for *Testing*, by giving six months prior written notice to ~~his or her~~ their International Federation and *National Anti-Doping Organization*. WADA, in consultation with the relevant International Federation and *National Anti-Doping Organization*, may grant an exemption to the six-month written notice rule where the strict application of that rule would be ~~manifestly~~ unfair to an *Athlete*. This decision may be appealed under Article 13.³⁸

5.6.1.1 ~~5.7.1.1~~ Any competitive results obtained in violation of Article ~~5.7.1~~ 5.6.1 shall be *Disqualified* unless the Athlete can establish that he

³⁸ [Comment to Article 5.6.1: Guidance for determining whether an exemption is warranted will be provided by WADA.]

or she could not have reasonably known that this was an *International Event* or a *National Event*.

5.6.2 ~~5.7.2~~ If an *Athlete* retires from sport while subject to a period of *Ineligibility* ~~and,~~ the *Athlete* must notify the *Anti-Doping Organization* that imposed the period of *Ineligibility* in writing of such retirement. If the *Athlete* then wishes to return to active competition in sport, the *Athlete* shall not compete in *International Events* or *National Events* until the *Athlete* has made himself or herself available for *Testing* by giving six (6) months prior written notice (or notice equivalent to the period of *Ineligibility* remaining as of the date the *Athlete* retired, if that period was longer than six (6) months) to ~~his or her~~ the *Athlete's* *International Federation* and *National Anti-Doping Organization*.

5.7 ~~5.8~~ Investigations and Intelligence Gathering

Anti-Doping Organizations shall ~~ensure they are able to do each of the following, as applicable and in accordance with~~ have the capability to conduct, and shall conduct, investigations and gather intelligence as required by the *International Standard for Testing and Investigations*:

~~5.8.1 — Obtain, assess and process anti-doping intelligence from all available sources to inform the development of an effective, intelligent and proportionate test distribution plan, to plan Target Testing, and/or to form the basis of an investigation into a possible anti-doping rule violation(s); and~~

~~5.8.2 — Investigate Atypical Findings and Adverse Passport Findings, in accordance with Articles 7.4 and 7.5 respectively; and~~

~~5.8.3 — Investigate any other analytical or non-analytical information or intelligence that indicates a possible anti-doping rule violation(s), in accordance with Articles 7.6 and 7.7, in order either to rule out the possible violation or to develop evidence that would support the initiation of an anti-doping rule violation proceeding.~~

ARTICLE 6 ANALYSIS OF SAMPLES

Samples shall be analyzed in accordance with the following principles:

6.1 Use of Accredited ~~and~~ Approved Laboratories²⁹ and Other Laboratories

For purposes of directly establishing an *Adverse Analytical Finding* under Article 2.1, *Samples* shall be analyzed only in WADA-accredited laboratories or laboratories otherwise approved by WADA. The choice of the WADA-accredited or WADA-approved laboratory used for the *Sample* analysis shall be

²⁹ ~~[Comment to Article 6.1: For cost and geographic access reasons, WADA may approve laboratories which are not WADA-accredited to perform particular analyses, for example, analysis of blood which should be delivered from the collection site to the laboratory within a set deadline. Before approving any such laboratory, WADA will ensure it meets the high analytical and custodial standards required by WADA. Violations of Article 2.1 may be established only by Sample analysis performed by a WADA-accredited laboratory or another laboratory approved by WADA. Violations of other Articles may be established using analytical results from other laboratories so long as the results are reliable.]~~

determined exclusively by the Anti-Doping Organization responsible for ~~results management~~Results Management.³⁹

6.1.1 As provided in Article 3.2, facts related to anti-doping rule violations may be established by any reliable means. This would include, for example, reliable laboratory or other forensic testing conducted outside of WADA-accredited or approved laboratories.

6.2 Purpose of Analysis of Samples³⁰ and Data

Samples and related analytical data or Doping Control information shall be analyzed to detect *Prohibited Substances* and *Prohibited Methods* identified on the *Prohibited List* and other substances as may be directed by WADA pursuant to Article 4.5, or to assist an *Anti-Doping Organization* in profiling relevant parameters in an *Athlete's* urine, blood or other matrix, including for DNA or genomic profiling, or for any other legitimate anti-doping purpose.⁴⁰ ~~Samples may be collected and stored for future analysis.~~

6.3 Research on Samples³⁴ and Data

~~No~~Samples, related analytical data and Doping Control information may be used for anti-doping research purposes, although no Sample may be used for research without the *Athlete's* written consent. Samples and related analytical data or Doping Control information used for research purposes other than Article 6.2 shall ~~have any means of identification removed such that they cannot be~~first be processed in such a manner as to prevent Samples and related analytical data or Doping Control information being traced back to a particular *Athlete*.⁴¹ Any research involving Samples and related analytical data or Doping Control information shall adhere to the principles set out in Article 19.

6.4 Standards for Sample Analysis and Reporting^{32/42}

Laboratories shall analyze *Samples* and report results in conformity with the *International Standard for Laboratories*. ~~To ensure effective Testing, the Technical Document referenced at Article 5.4.1-~~

³⁹ [Comment to Article 6.1: For cost and geographic access reasons, WADA may approve laboratories which are not WADA-accredited to perform particular analyses, for example, analysis of blood which should be delivered from the collection site to the laboratory within a set deadline. Before approving any such laboratory, WADA will ensure it meets the high analytical and custodial standards required by WADA. Violations of Article 2.1 may be established only by Sample analysis performed by a WADA-accredited laboratory or another laboratory approved by WADA. Violations of other Articles may be established using analytical results from other laboratories so long as the results are reliable.]

³⁰ [Comment to Article 6.2: For example, relevant profile information could be used to direct Target Testing or to support an anti-doping rule violation proceeding under Article 2.2, or both.]

⁴⁰ [Comment to Article 6.2: For example, relevant Doping Control-related information could be used to direct Target Testing or to support an anti-doping rule violation proceeding under Article 2.2, or both. See also Comments to Articles 5.1 and 23.2.2.]

³⁴ [Comment to Article 6.3: As is the case in most medical contexts, use of anonymized Samples for quality assurance, quality improvement, or to establish reference populations is not considered research.]

⁴¹ [Comment to Article 6.3: As is the case in most medical or scientific contexts, use of Samples and related information for quality assurance, quality improvement, method improvement and development or to establish reference populations is not considered research. Samples and related information used for such permitted non-research purposes must also first be processed in such a manner as to prevent them from being traced back to the particular Athlete, having due regard to the principles set out in Article 19, as well as the requirements of the International Standard for Laboratories and International Standard for the Protection of Privacy and Personal Information.]

^{32/42} [Comment to Article 6.4: The objective of this Article is to extend the principle of "Intelligent Testing" to the Sample analysis menu so as to most effectively and efficiently detect doping. It is recognized that the resources available to fight doping are limited and that increasing the Sample analysis menu may, in some sports and countries, reduce the number of Samples which can be analyzed.]

~~will establish risk assessment-based Sample analysis menus appropriate for particular sports and sport disciplines, and laboratories shall analyze Samples in conformity with those menus, except as follows:~~

~~6.4.1 Anti-Doping Organizations may request that laboratories analyze their Samples using more extensive menus than those described in the Technical Document.~~

~~6.4.2 Anti-Doping Organizations may request that laboratories analyze their Samples using less extensive menus than those described in the Technical Document only if they have satisfied WADA that, because of the particular circumstances of their country or sport, as set out in their test distribution plan, less extensive analysis would be appropriate.~~

~~6.4.1~~ ~~6.4.3 As provided in the International Standard for Laboratories, laboratories at their own initiative and expense may analyze Samples for Prohibited Substances or Prohibited Methods not included on the standard Sample analysis menu described in the Technical Document or specified by the Testing authority, or as requested by the Anti-Doping Organization that initiated and directed Sample collection.~~ Results from any such analysis shall be reported to that Anti-Doping Organization and have the same validity and ~~Consequence~~ Consequences as any other analytical result.

6.5 Further Analysis of ~~Samples~~

~~Any~~ Sample may be subject to further Prior to or During Results Management

There shall be no limitation on the authority of a laboratory to conduct repeat or additional analysis by the Anti-Doping Organization responsible for results management at any time before both the A and B Sample analytical results (or A Sample result where B Sample analysis has been waived or will not be performed) have been communicated by the on a Sample prior to the time an Anti-Doping Organization to the notifies an Athlete as that the asserted Sample is the basis for an Article 2.1 anti-doping rule violation- charge. If after such notification the Anti-Doping Organization wishes to conduct additional analysis on that Sample, it may do so with the consent of the Athlete or approval from a hearing body.

~~Samples~~

6.6 Further Analysis of a Sample After it has been Reported as Negative or has Otherwise not Resulted in an Anti-Doping Rule Violation Charge

After a laboratory has reported a Sample as negative, or the Sample has not otherwise resulted in an anti-doping rule violation charge, it may be stored and subjected to further analyses for the purpose of Article 6.2 at any time exclusively at the direction of either the Anti-Doping Organization that initiated and directed Sample collection or WADA. (Any other Anti-Doping Organization with authority to test the Athlete that wishes to conduct further analysis on a stored Sample may do so with the permission of the Anti-Doping Organization that initiated and directed Sample collection or WADA, and shall be responsible for any follow-up Results Management. Any Sample storage or further analysis initiated by WADA or another Anti-Doping Organization shall be at WADA's or that organization's expense.) Further analysis of Samples shall conform with the requirements of the International Standard for Laboratories and.

6.7 Split of A or B Sample

Where WADA, an Anti-Doping Organization with Results Management authority and/or a WADA-accredited laboratory (with approval from WADA or the Anti-Doping Organization with Results Management authority) wishes to split an A or B Sample for the purpose of using the first part of the split Sample for an A Sample analysis and the second part of the split Sample for confirmation, then the procedures set forth in the International Standard for Testing and Investigations Laboratories shall be followed.

6.8 WADA's Right to Take Possession of Samples and Data

WADA may, in its sole discretion at any time, with or without prior notice, take physical possession of any Sample and related analytical data or information in the possession of a laboratory or Anti-Doping Organization. Upon request by WADA, the laboratory or Anti-Doping Organization in possession of the Sample or data shall immediately grant access to and enable WADA to take physical possession of the Sample or data.⁴³ If WADA has not provided prior notice to the laboratory or Anti-Doping Organization before taking possession of a Sample or data, it shall provide such notice to the laboratory and to each Anti-Doping Organization whose Samples or data have been taken by WADA within a reasonable time after taking possession. After analysis and any investigation of a seized Sample or data, WADA may direct another Anti-Doping Organization with authority to test the Athlete to assume Results Management responsibility for the Sample or data if a potential anti-doping rule violation is discovered.⁴⁴

ARTICLE 7 RESULTS MANAGEMENT³³: RESPONSIBILITY, INITIAL REVIEW, NOTICE AND PROVISIONAL SUSPENSIONS⁴⁵

Results Management under the Code (as set forth in Articles 7, 8 and 13) establishes a process designed to resolve anti-doping rule violation matters in a fair, expeditious and efficient manner. Each Anti-Doping

⁴³ [Comment to Article 6.8: Resistance or refusal to WADA taking physical possession of Samples or data could constitute Tampering, Complicity or an act of non-compliance as provided in the International Standard for Code Compliance by Signatories, and could also constitute a violation of the International Standard for Laboratories. Where necessary, the laboratory and/or the Anti-Doping Organization shall assist WADA in ensuring that the seized Sample or data are not delayed in exiting the applicable country.]

⁴⁴ [Comment to Article 6.8: WADA would not, of course, unilaterally take possession of Samples or analytical data without good cause related to a potential anti-doping rule violation, non-compliance by a Signatory or doping activities by another Person. However, the decision as to whether good cause exists is for WADA to make in its discretion and shall not be subject to challenge. In particular, whether there is good cause or not shall not be a defense against an anti-doping rule violation or its Consequences.]

³³ [Comment to Article 7: Various Signatories have created their own approaches to results management. While the various approaches have not been entirely uniform, many have proven to be fair and effective systems for results management. The Code does not supplant each of the Signatories' results management systems. This Article does, however, specify basic principles in order to ensure the fundamental fairness of the results management process which must be observed by each Signatory. The specific anti-doping rules of each Signatory shall be consistent with these basic principles. Not all anti-doping proceedings which have been initiated by an Anti-Doping Organization need to go to hearing. There may be cases where the Athlete or other Person agrees to the sanction which is either mandated by the Code or which the Anti-Doping Organization considers appropriate where flexibility in sanctioning is permitted. In all cases, a sanction imposed on the basis of such an agreement will be reported to parties with a right to appeal under Article 13.2.3 as provided in Article 14.2.2 and published as provided in Article 14.3.2.]

⁴⁵ [Comment to Article 7: Various Signatories have created their own approaches to Results Management. While the various approaches have not been entirely uniform, many have proven to be fair and effective systems for Results Management. The Code does not supplant each of the Signatories' Results Management systems. This Article and the International Standard for Results Management do, however, specify basic principles in order to ensure the fundamental fairness of the Results Management process which must be observed by each Signatory. The specific anti-doping rules of each Signatory shall be consistent with these basic principles. Not all anti-doping proceedings which have been initiated by an Anti-Doping Organization need to go to hearing. There may be cases where the Athlete or other Person agrees to the sanction which is either mandated by the Code or which the Anti-Doping Organization considers appropriate where flexibility in sanctioning is permitted. In all cases, a sanction imposed on the basis of such an agreement will be reported to parties with a right to appeal under Article 13.2.3 as provided in Article 14 and published as provided in Article 14.3.]

Organization conducting ~~results management~~Results Management shall establish a process for the pre-hearing administration of potential anti-doping rule violations that respects the ~~following principles:~~set forth in this Article. While each Anti-Doping Organization is permitted to adopt and implement its own Results Management process, Results Management for every Anti-Doping Organization shall at a minimum meet the requirements set forth in the International Standard for Results Management.

7.1 Responsibility for Conducting Results Management³⁴

Except as otherwise provided in Articles ~~7.1.4, 6.6, 6.8~~ and ~~7.2, 7.1.3 through 7.1.5~~ below, ~~results management and hearings~~Results Management shall be the responsibility of, and shall be governed by, the procedural rules of the *Anti-Doping Organization* that initiated and directed *Sample* collection (or, if no *Sample* collection is involved, the *Anti-Doping Organization* which first provides notice to an *Athlete* or other *Person* of ~~an asserted~~a potential anti-doping rule violation and then diligently pursues that anti-doping rule violation). Regardless of which organization conducts ~~results management or hearings~~Results Management, ~~it shall respect~~ the Results Management principles set forth in this Article ~~and, Article 8, Article 13 and the International Standard for Results Management, and each Anti-Doping Organization's rules shall be respected~~incorporate and implement the rules identified in Article 23.2.2 ~~to be incorporated~~ without substantive change ~~must be followed~~.

7.1.1 If a dispute arises between *Anti-Doping Organizations* over which *Anti-Doping Organization* has ~~results management~~Results Management responsibility, WADA shall decide which organization has such responsibility. WADA's decision may be appealed to CAS within seven (7) days of notification of the WADA decision by any of the *Anti-Doping Organizations* involved in the dispute. The appeal shall be dealt with by CAS in an expedited manner and shall be heard before a single arbitrator. Any Anti-Doping Organization seeking to conduct Results Management outside of the authority provided in this Article 7.1 may seek approval to do so from WADA.

7.1.2 Where a *National Anti-Doping Organization* elects to collect additional *Samples* pursuant to Article 5.2.6, then it shall be considered the *Anti-Doping Organization* that initiated and directed *Sample* collection. However, where the *National Anti-Doping Organization* only directs the laboratory to perform additional types of analysis at the *National Anti-Doping Organization's* expense, then the International Federation or *Major Event Organization* shall be considered the *Anti-Doping Organization* that initiated and directed *Sample* collection.

7.1.3 ~~7.1.4~~ In circumstances where the rules of a *National Anti-Doping Organization* do not give the *National Anti-Doping Organization* authority over an *Athlete* or other *Person* who is not a national, resident, license holder, or member of a sport organization of that country, or the *National Anti-Doping Organization* declines to exercise such authority, ~~results management~~Results Management shall be conducted by the applicable International Federation or by a third party with authority over the Athlete or other Person as directed by the rules of the International Federation. For Results management and the conduct of hearingsManagement purposes, for a test or a further analysis conducted by WADA on its own initiative, or an anti-doping rule violation discovered by WADA, ~~will be conducted by the~~WADA shall designate an

³⁴ ~~[Comment to Article 7.1: In some cases, the procedural rules of the Anti-Doping Organization which initiated and directed the Sample collection may specify that results management will be handled by another organization (e.g., the Athlete's National Federation). In such event, it shall be the Anti-Doping Organization's responsibility to confirm that the other organization's rules are consistent with the Code.]~~

~~Anti-Doping Organization designated by WADA with authority over the Athlete or other Person.~~ ⁴⁶

~~7.1.4 For Results management and the conduct of hearings for a test conducted by the International Olympic Committee, the International Paralympic Committee, or another Major Event Organization, or Management relating to a Sample initiated and taken during an Event conducted by a Major Event Organization, or an anti-doping rule violation occurring during such Event, the Major Event Organization for that Event shall assume Results Management responsibility to at least the limited extent of conducting a hearing to determine whether an anti-doping rule violation discovered by one of those organizations, shall be referred to the applicable International Federation in relation to Consequences beyond exclusion from the Event, Disqualification of Event results, was committed and, if so, the applicable Disqualifications under Articles 9 and 10.1, any forfeiture of any medals, points, or prizes from the that Event, or and any recovery of costs applicable to the anti-doping rule violation.~~ ³⁵

~~7.1.2 Results management in relation to a potential Whereabouts Failure (a filing failure or a missed test) In the event the Major Event Organization assumes only limited Results Management responsibility, the case shall be administered referred by the Major Event Organization to the applicable International Federation or the National Anti-Doping Organization with whom the Athlete in question files his or her whereabouts information, as provided in the International Standard for Testing and Investigations. The Anti-Doping Organization that determines a filing failure or a missed test shall submit that information to WADA through ADAMS or another system approved by WADA, where it will be made available to other relevant Anti-Doping Organizations for completion of Results Management.~~

~~7.2 Review Regarding Adverse Analytical Findings~~

~~Upon receipt of an Adverse Analytical Finding, the Anti-Doping Organization responsible for results management shall conduct a review to determine whether: (a) an applicable TUE has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the Adverse Analytical Finding.~~

~~7.3 Notification After Review Regarding Adverse Analytical Findings~~

~~If the review of an Adverse Analytical Finding under Article 7.2 does not reveal an applicable TUE or entitlement to a TUE as provided in the International Standard for Therapeutic Use Exemptions, or departure that caused the Adverse Analytical Finding, the Anti-Doping Organization shall promptly notify the Athlete, in the manner set out in Articles 14.1.1 and 14.1.3 and its own rules, of: (a) the Adverse Analytical Finding; (b) the anti-doping rule violated; and (c) the Athlete's right to promptly request the analysis of the B Sample or, failing such request, that the B Sample analysis may be deemed waived; (d) the scheduled date, time and place for the B Sample analysis if the Athlete or~~

⁴⁶ ~~[Comment to Article 7.1.3: The Athlete's or other Person's International Federation has been made the Anti-Doping Organization of last resort for Results Management to avoid the possibility that no Anti-Doping Organization would have authority to conduct Results Management. An International Federation is free to provide in its own anti-doping rules that the Athlete's or other Person's National Anti-Doping Organization shall conduct Results Management.]~~

³⁵ ~~[Comment to Article 7.1.1: The Athlete's or other Person's International Federation has been made the Anti-Doping Organization of last resort for results management to avoid the possibility that no Anti-Doping Organization would have authority to conduct results management. An International Federation is free to provide in its own anti-doping rules that the Athlete's or other Person's National Anti-Doping Organization shall conduct results management.]~~

~~Anti-Doping Organization chooses to request an analysis of the B Sample; (e) the opportunity for the Athlete and/ or the Athlete's representative to attend the B Sample opening and analysis within the time period specified in the International Standard for Laboratories if such analysis is requested; and (f) the Athlete's right to request copies of the A and B Sample laboratory documentation package which includes information as required by the International Standard for Laboratories. If the Anti-Doping Organization decides not to bring forward the Adverse Analytical Finding as an anti-doping rule violation, it shall so notify the Athlete and the Anti-Doping Organizations as described in Article 14.1.2.~~

~~In all cases where an Athlete has been notified of an anti-doping rule violation that does not result in a mandatory Provisional Suspension under Article 7.9.1, the Athlete shall be offered the opportunity to accept a Provisional Suspension pending the resolution of the matter.~~

~~7.4 — Review of Atypical Findings³⁶~~

~~As provided in the International Standard for Laboratories, in some circumstances laboratories are directed to report the presence of Prohibited Substances, which may be produced endogenously, or identified by WADA, as Atypical Findings subject to further investigation. Upon receipt of an Atypical Finding, the Anti-Doping Organization responsible for results management shall conduct a review to determine whether: (a) an applicable TUE has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the Atypical Finding. If that review does not reveal an applicable TUE or departure that caused the Atypical Finding, the Anti-Doping Organization shall conduct the required investigation. After the investigation is completed, the Athlete and other Anti-Doping Organizations identified in Article 14.1.2 shall be notified whether or not the Atypical Finding will be brought forward as an Adverse Analytical Finding. The Athlete shall be notified as provided in Article 7.3.~~

~~7.4.1 — The Anti-Doping Organization will not provide notice of an Atypical Finding until it has completed its investigation and decided whether it will bring the Atypical Finding forward as an Adverse Analytical Finding unless one of the following circumstances exists:~~

~~(a) If the Anti-Doping Organization determines the B Sample should be analyzed prior to the conclusion of its investigation under Article 7.4, the Anti-Doping Organization may conduct the B Sample analysis after notifying the Athlete, with such notice to include a description of the Atypical Finding and the information described in Article 7.3(d)-(f).~~

~~(b) If the Anti-Doping Organization receives a request, either from a Major Event Organization shortly before one of its International Events or a request from a sport organization responsible for meeting an imminent deadline for selecting team members for an International Event, to disclose whether any Athlete identified on a list provided by the Major Event Organization or sport organization has a pending Atypical Finding, the Anti-Doping Organization shall so identify any such Athlete after first providing notice of the Atypical Finding to the Athlete.³⁷~~

~~7.5 — Review of Atypical Passport Findings and Adverse Passport Findings~~

³⁶ ~~[Comment to Article 7.4: The "required investigation" described in this Article will depend on the situation. For example, if it has previously determined that an Athlete has a naturally elevated testosterone/epitestosterone ratio, confirmation that an Atypical Finding is consistent with that prior ratio is a sufficient investigation.]~~

³⁷ ~~[Comment to Article 7.4.1(b): Under the circumstance described in Article 7.4.1(b), the option to take action would be left to the Major Event Organization or sport organization consistent with its rules.]~~

~~Review of Atypical Passport Findings and Adverse Passport Findings shall take place as provided in the International Standard for Testing and Investigations and International Standard for Laboratories. At such time as the Anti-Doping Organization is satisfied that an anti-doping rule violation has occurred, it shall promptly give the Athlete notice, in the manner set out in its rules, of the anti-doping rule violated, and the basis of the violation. Other Anti-Doping Organizations shall be notified as provided in Article 14.1.2.~~

~~7.6~~ ~~Review of Whereabouts Failures~~

~~Review of~~

7.1.5 WADA may direct an Anti-Doping Organization with Results Management authority to conduct Results Management in a particular case. If that Anti-Doping Organization refuses to conduct Results Management within a reasonable deadline set by WADA, such refusal shall be considered an act of non-compliance, and WADA may direct another Anti-Doping Organization with authority over the Athlete or other Person, that is willing to do so, to take Results Management responsibility in place of the refusing Anti-Doping Organization or, if there is no such Anti-Doping Organization, any other Anti-Doping Organization that is willing to do so. In such case, the refusing Anti-Doping Organization shall reimburse the costs and attorney's fees of conducting Results Management to the other Anti-Doping Organization designated by WADA, and a failure to reimburse costs and attorney's fees shall be considered an act of non-compliance.⁴⁷

7.1.6 Results Management in relation to a potential whereabouts failure (a filing failures and failure or a missed teststest) shall take place as provided in the International Standard for Testing and Investigations. At such time as be administered by the International Federation or the National Anti-Doping Organization (as applicable) is satisfied that an Article 2.4 anti-doping rule violation has occurred, it shall promptly give the Athlete notice, in the manner set out in its rules, that it is asserting a violation of Article 2.4 and the basis of that assertion. Other with whom the Athlete in question files whereabouts information, as provided in the International Standard for Results Management. The Anti-Doping Organization that determines a filing failure or a missed test shall submit that information to WADA through ADAMS, where it will be made available to other relevant Anti-Doping Organizations shall be notified as provided in Article 14.1.2.

7.2 ~~7.7~~ Review of Other and Notification Regarding Potential Anti-Doping Rule Violations ~~Not Covered by Articles 7.1–7.6~~³⁸

~~The Anti-Doping Organization or other reviewing body established by such organization shall conduct any follow-up investigation into a possible anti-doping rule violation as may be required under applicable anti-doping policies and rules adopted pursuant to the Code or which the Anti-Doping Organization otherwise considers appropriate. At such time as the Anti-Doping Organization is satisfied that an anti-doping rule violation has occurred, it shall promptly give the Athlete or other Person notice, in the manner set out in its rules, of the anti-doping rule violated, and the basis of the violation. Other Anti-Doping Organizations shall be notified as provided in Article 14.1.2.~~

⁴⁷ [Comment to Article 7.1.5: Where WADA directs another Anti-Doping Organization to conduct Results Management or other Doping Control activities, this is not considered a "delegation" of such activities by WADA.]

³⁸ [Comment to Articles 7.1, 7.6 and 7.7: For example, an International Federation typically would notify the Athlete through the Athlete's National Federation.]

Review and notification with respect to a potential anti-doping rule violation shall be carried out in accordance with the *International Standard for Results Management*.

7.3 ~~7.8~~ Identification of Prior Anti-Doping Rule Violations

Before giving an *Athlete* or other *Person* notice of ~~an asserted~~ a potential anti-doping rule violation as provided above, the *Anti-Doping Organization* shall refer to *ADAMS* ~~or another system approved by WADA~~ and contact *WADA* and other relevant *Anti-Doping Organizations* to determine whether any prior anti-doping rule violation exists.

~~7.9~~ Principles Applicable to *Provisional Suspensions*³⁹⁴⁸

7.4

7.4.1 ~~7.9.1~~ Mandatory *Provisional Suspension* after an *Adverse Analytical Finding* ~~or~~ *Adverse Passport Finding*

The *Signatories* ~~listed~~ described below in this paragraph shall adopt rules providing that when an *Adverse Analytical Finding* or *Adverse Passport Finding* (upon completion of the *Adverse Passport Finding* review process) is received for a *Prohibited Substance* or a *Prohibited Method*, other than a *Specified Substance* or *Specified Method*, a *Provisional Suspension* shall be imposed promptly upon or after the review and notification ~~described in~~ required by Article 7.2, ~~7.3 or 7.5~~: where the *Signatory* is the ruling body of an *Event* (for application to that *Event*); where the *Signatory* is responsible for team selection (for application to that team selection); where the *Signatory* is the applicable *International Federation*; or where the *Signatory* is another *Anti-Doping Organization* which has ~~results management~~ *Results Management* authority over the alleged anti-doping rule violation. A mandatory *Provisional Suspension* may be eliminated if: (i) the *Athlete* demonstrates to the hearing panel that the violation is likely to have involved a *Contaminated Product*, or (ii) the violation involves a *Substance of Abuse* and the *Athlete* establishes entitlement to a reduced period of *Ineligibility* under Article 10.2.4.1. A hearing body's decision not to eliminate a mandatory *Provisional Suspension* on account of the *Athlete's* assertion regarding a *Contaminated Product* shall not be appealable.

~~Provided, however, that a *Provisional Suspension* may not be imposed unless the *Athlete* is given either: (a) an opportunity for a *Provisional Hearing*, either before imposition of the *Provisional Suspension* or on a timely basis after imposition of the *Provisional Suspension*; or (b) an opportunity for an expedited hearing in accordance with Article 8 on a timely basis after imposition of a *Provisional Suspension*.~~

³⁹⁴⁸ [Comment to Article ~~7.9~~ 7.4: Before a *Provisional Suspension* can be unilaterally imposed by an *Anti-Doping Organization*, the internal review specified in the Code must first be completed. In addition, the *Signatory* imposing a *Provisional Suspension* shall ensure that the *Athlete* is given an opportunity for a *Provisional Hearing* either before or promptly after the imposition of the *Provisional Suspension*, or an expedited final hearing under Article 8 promptly after imposition of the *Provisional Suspension*. The *Athlete* has a right to appeal under Article 13.2.3.]

In the rare circumstance where the *B Sample* analysis does not confirm the *A Sample* finding, the *Athlete* who had been *Provisionally Suspended* will be allowed, where circumstances permit, to participate in subsequent *Competitions* during the *Event*.
//

Similarly, depending upon the relevant rules of the *International Federation* in a *Team Sport*, if the team is still in *Competition*, the *Athlete* may be able to take part in future *Competitions*.//

Athletes and other *Persons* shall receive credit for a *Provisional Suspension* against any period of *Ineligibility* which is ultimately imposed or accepted as provided in Article ~~10.11.3 or 10.11.4~~ 10.13.2.]

7.4.2 ~~7.9.2~~ Optional Provisional Suspension based ~~Based~~ on an Adverse Analytical Finding for Specified Substances, Specified Methods, Contaminated Products, or ~~other~~ Other Anti-Doping Rule Violations-

A Signatory may adopt rules, applicable to any Event for which the Signatory is the ruling body or to any team selection process for which the Signatory is responsible or where the Signatory is the applicable International Federation or has ~~results-management~~ Results Management authority over the alleged anti-doping rule violation, permitting *Provisional Suspensions* to be imposed for anti-doping rule violations not covered by Article ~~7.9.1~~ 7.4.1 prior to analysis of the Athlete's B Sample or final hearing as described in Article 8.

~~Provided, however, that~~

7.4.3 Opportunity for Hearing or Appeal

Notwithstanding Articles 7.4.1 and 7.4.2, a *Provisional Suspension* may not be imposed unless the rules of the Anti-Doping Organization provide the Athlete or other Person is given either ~~with~~: (a) an opportunity for a *Provisional Hearing*, either before the imposition of the *Provisional Suspension* or on a timely basis after the imposition of the *Provisional Suspension*; or (b) an opportunity for an expedited hearing in accordance with Article 8 on a timely basis after imposition of a *Provisional Suspension*. The rules of the Anti-Doping Organization shall also provide an opportunity for an expedited appeal against the imposition of a Provisional Suspension, or the decision not to impose a Provisional Suspension, in accordance with Article 13.

7.4.4 Voluntary Acceptance of Provisional Suspension

Athletes on their own initiative may voluntarily accept a Provisional Suspension if done so prior to the later of: (i) the expiration of ten (10) days from the report of the B Sample (or waiver of the B Sample) or ten (10) days from the notice of any other anti-doping rule violation, or (ii) the date on which the Athlete first competes after such report or notice. Other Persons on their own initiative may voluntarily accept a Provisional Suspension if done so within ten (10) days from the notice of the anti-doping rule violation. Upon such voluntary acceptance, the Provisional Suspension shall have the full effect and be treated in the same manner as if the Provisional Suspension had been imposed under Article 7.4.1 or 7.4.2; provided, however, at any time after voluntarily accepting a Provisional Suspension, the Athlete or other Person may withdraw such acceptance, in which event the Athlete or other Person shall not receive any credit for time previously served during the Provisional Suspension.

7.4.5

If a *Provisional Suspension* is imposed based on an A Sample Adverse Analytical Finding and a subsequent B Sample analysis (if requested by the Athlete or Anti-Doping Organization) does not confirm the A Sample analysis, then the Athlete shall not be subject to any further *Provisional Suspension* on account of a violation of Article 2.1. In circumstances where the Athlete (or the Athlete's team as may be provided in the rules of the applicable Major Event Organization or International Federation) has been removed from a ~~Competition~~ an Event based on a violation of Article 2.1 and the subsequent B Sample analysis does not confirm the A Sample finding, if, without otherwise affecting the ~~Competition~~ Event, it is still possible for

the *Athlete* or team to be reinserted, the *Athlete* or team may continue to take part in the ~~Competition~~Event.

7.5 ~~7.10 Notification of~~ Results Management Decisions

~~In all cases where an Anti-Doping Organization has asserted the commission of an anti-doping rule violation, withdrawn the assertion of an anti-doping rule violation, imposed a Provisional Suspension, or agreed with an Athlete or other Person to the imposition of a sanction without a hearing, that Anti-Doping Organization shall give notice thereof as set forth in Article 14.2.1 to other~~

7.5.1 Results Management decisions or adjudications by Anti-Doping Organizations ~~with a right to appeal under Article 13.2.3,~~ must not purport to be limited to a particular geographic area or sport and shall address and determine without limitation the following issues: (i) whether an anti-doping rule violation was committed or a Provisional Suspension should be imposed, the factual basis for such determination, and the specific Code Articles violated, and (ii) all Consequences flowing from the anti-doping rule violation(s), including applicable Disqualifications under Articles 9 and 10.10, any forfeiture of medals or prizes, any period of Ineligibility (and the date it begins to run) and any Financial Consequences, except that Major Event Organizations shall not be required to determine Ineligibility or Financial Consequences beyond the scope of their Event.⁴⁹

7.5.2 A Results Management decision or adjudication by a Major Event Organization in connection with one of its Events may be limited in its scope but shall address and determine, at a minimum, the following issues: (i) whether an anti-doping rule violation was committed, the factual basis for such determination, and the specific Code Articles violated, and (ii) applicable Disqualifications under Articles 9 and 10.1, with any resulting forfeiture of medals, points and prizes. In the event a Major Event Organization accepts only limited responsibility for Results Management decisions, it must comply with Article 7.1.4.⁵⁰

7.6 Notification of Results Management Decisions

Athletes, other Persons, Signatories and WADA shall be notified of Results Management decisions as provided in Article 14 and the International Standard for Results Management.

7.7 ~~7.11 Retirement from Sport~~⁴⁰⁵¹

If an *Athlete* or other *Person* retires while a ~~results-management~~Results Management process is underway, the *Anti-Doping Organization* conducting the ~~results-management~~Results Management

⁴⁹ [Comment to Article 7.5.1: Results Management decisions include Provisional Suspensions.]

⁵⁰ [Comment to Article 7.5.2: With the exception of Results Management decisions by Major Event Organizations, each decision by an Anti-Doping Organization should address whether an anti-doping rule violation was committed and all Consequences flowing from the violation, including any Disqualifications other than Disqualification under Article 10.1 (which is left to the ruling body for an Event). Pursuant to Article 15, such decision and its imposition of Consequences shall have automatic effect in every sport in every country. For example, for a determination that an Athlete committed an anti-doping rule violation based on an Adverse Analytical Finding for a Sample taken In-Competition, the Athlete's results obtained in the Competition would be Disqualified under Article 9 and all other competitive results obtained by the Athlete from the date the Sample was collected through the duration of the period of Ineligibility are also Disqualified under Article 10.10; if the Adverse Analytical Finding resulted from Testing at an Event, it would be the Major Event Organization's responsibility to decide whether the Athlete's other individual results in the Event prior to Sample collection are also Disqualified under Article 10.1.]

⁴⁰⁵¹ [Comment to Article ~~7.11~~7.7: Conduct by an Athlete or other Person before the Athlete or other Person was subject to the ~~jurisdiction~~authority of any Anti-Doping Organization would not constitute an anti-doping rule violation but could be a legitimate basis for denying the Athlete or other Person membership in a sports organization.]

process retains ~~jurisdiction~~authority to complete its ~~results-management~~Results Management process. If an *Athlete* or other *Person* retires before any ~~results-management~~Results Management process has begun, the *Anti-Doping Organization* which would have had ~~results-management~~Results Management authority over the *Athlete* or other *Person* committed an anti-doping rule violation, has authority to conduct ~~results-management~~Results Management.

ARTICLE 8 RESULTS MANAGEMENT: RIGHT TO A FAIR HEARING AND NOTICE OF HEARING DECISION

8.1 Fair Hearings

For any *Person* who is asserted to have committed an anti-doping rule violation, ~~each~~the *Anti-Doping Organization* with responsibility for ~~results-management~~Results Management shall provide, at a minimum, a fair hearing within a reasonable time by a fair ~~and~~, impartial and Operationally Independent hearing panel in compliance with the WADA International Standard for Results Management. A timely reasoned decision specifically including an explanation of the reason(s) for any period of Ineligibility and Disqualification of results under Article 10.10 shall be *Publicly Disclosed* as provided in Article 14.3.⁴⁴⁵²

8.2 Event Hearings⁴²

Hearings held in connection with *Events* may be conducted by an expedited process as permitted by the rules of the relevant *Anti-Doping Organization* and the hearing panel.⁵³

8.3 Waiver of Hearing

The right to a hearing may be waived either expressly or by the *Athlete's* or other *Person's* failure to challenge an *Anti-Doping Organization's* assertion that an anti-doping rule violation has occurred within the specific time period provided in the *Anti-Doping Organization's* rules.

8.4 Notice of Decisions

The reasoned hearing decision, or in cases where the hearing has been waived, a reasoned decision explaining the action taken, shall be provided by the *Anti-Doping Organization* with ~~results-management~~Results Management responsibility to the *Athlete* and to other *Anti-Doping Organizations* with a right to appeal under Article 13.2.3 as provided in Article ~~14.2.114~~ and published in accordance with Article 14.3.

⁴⁴⁵² [Comment to Article 8.1: This Article requires that at some point in the ~~results-management~~Results Management process, the *Athlete* or other *Person* shall be provided the opportunity for a timely, fair and impartial hearing. These principles are also found in Article 6.1 of the Convention for the Protection of Human Rights and Fundamental Freedoms and are principles generally accepted in international law. This Article is not intended to supplant each *Anti-Doping Organization's* own rules for hearings but rather to ensure that each *Anti-Doping Organization* provides a hearing process consistent with these principles.]

⁴² [Comment to Article 8.2: For example, a hearing could be expedited on the eve of a major Event where the resolution of the anti-doping rule violation is necessary to determine the *Athlete's* eligibility to participate in the Event or during an Event where the resolution of the case will affect the validity of the *Athlete's* results or continued participation in the Event.]

⁵³ [Comment to Article 8.2: For example, a hearing could be expedited on the eve of a major Event where the resolution of the anti-doping rule violation is necessary to determine the *Athlete's* eligibility to participate in the Event or during an Event where the resolution of the case will affect the validity of the *Athlete's* results or continued participation in the Event.]

8.5 Single Hearing Before CAS⁴³

Anti-doping rule violations asserted against *International-Level Athletes* ~~or~~ *National-Level Athletes or other Persons* may, with the consent of the Athlete ~~or other Person~~, the Anti-Doping Organization with ~~results management~~ *Results Management* responsibility, ~~and WADA, and any other Anti-Doping Organization that would have had a right to appeal a first instance~~ *be heard in a single hearing decision to CAS, be heard* directly at CAS, ~~with no requirement for a prior hearing.~~⁵⁴

ARTICLE 9 AUTOMATIC DISQUALIFICATION OF INDIVIDUAL RESULTS⁴⁴

An anti-doping rule violation in *Individual Sports* in connection with an *In-Competition* test automatically leads to *Disqualification* of the result obtained in that *Competition* with all resulting *Consequences*, including forfeiture of any medals, points and prizes.⁵⁵

ARTICLE 10 SANCTIONS ON INDIVIDUALS⁵⁶10.1 *Disqualification* of Results in the Event during which an Anti-Doping Rule Violation Occurs⁴⁵

An anti-doping rule violation occurring during or in connection with an *Event* may, upon the decision of the ruling body of the *Event*, lead to *Disqualification* of all of the Athlete's individual results

⁴³ [Comment to Article 8.5: In some cases, the combined cost of holding a hearing in the first instance at the international or national level, then rehearing the case de novo before CAS can be very substantial. Where all of the parties identified in this Article are satisfied that their interests will be adequately protected in a single hearing, there is no need for the Athlete or Anti-Doping Organizations to incur the extra expense of two hearings. An Anti-Doping Organization that wants to participate in the CAS hearing as a party or as an observer may condition its approval of a single hearing on being granted that right.]

⁵⁴ [Comment to Article 8.5: In some cases, the combined cost of holding a hearing in the first instance at the international or national level, then rehearing the case de novo before CAS can be very substantial. Where all of the parties identified in this Article are satisfied that their interests will be adequately protected in a single hearing, there is no need for the Athlete or Anti-Doping Organizations to incur the extra expense of two hearings. An Anti-Doping Organization may participate in the CAS hearing as an observer.]

⁴⁴ [Comment to Article 9: For Team Sports, any awards received by individual players will be Disqualified. However, Disqualification of the team will be as provided in Article 11. In sports which are not Team Sports but where awards are given to teams, Disqualification or other disciplinary action against the team when one or more team members have committed an anti-doping rule violation shall be as provided in the applicable rules of the International Federation.]

⁵⁵ [Comment to Article 9: For Team Sports, any awards received by individual players will be Disqualified. However, Disqualification of the team will be as provided in Article 11. In sports which are not Team Sports but where awards are given to teams, Disqualification or other disciplinary action against the team when one or more team members have committed an anti-doping rule violation shall be as provided in the applicable rules of the International Federation.]

⁵⁶ [Comment to Article 10: Harmonization of sanctions has been one of the most discussed and debated areas of anti-doping. Harmonization means that the same rules and criteria are applied to assess the unique facts of each case. Arguments against requiring harmonization of sanctions are based on differences between sports including, for example, the following: in some sports the Athletes are professionals making a sizable income from the sport and in others the Athletes are true amateurs; in those sports where an Athlete's career is short, a standard period of Ineligibility has a much more significant effect on the Athlete than in sports where careers are traditionally much longer. A primary argument in favor of harmonization is that it is simply not right that two Athletes from the same country who test positive for the same Prohibited Substance under similar circumstances should receive different sanctions only because they participate in different sports. In addition, too much flexibility in sanctioning has often been viewed as an unacceptable opportunity for some sporting organizations to be more lenient with dopers. The lack of harmonization of sanctions has also frequently been the source of conflicts between International Federations and National Anti-Doping Organizations.]

⁴⁵ [Comment to Article 10.1: Whereas Article 9 Disqualifies the result in a single Competition in which the Athlete tested positive (e.g., the 100 meter backstroke), this Article may lead to Disqualification of all results in all races during the Event (e.g., the FINA World Championships).]

obtained in that *Event* with all *Consequences*, including forfeiture of all medals, points and prizes, except as provided in Article 10.1.1.⁵⁷

Factors to be included in considering whether to *Disqualify* other results in an *Event* might include, for example, the seriousness of the *Athlete's* anti-doping rule violation and whether the *Athlete* tested negative in the other *Competitions*.

10.1.1 If the *Athlete* establishes that he or she bears *No Fault* or *Negligence* for the violation, the *Athlete's* individual results in the other *Competitions* shall not be *Disqualified*, unless the *Athlete's* results in *Competitions* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

10.2 *Ineligibility* for Presence, Use or Attempted Use or Possession of a *Prohibited Substance* or *Prohibited Method*

The period of *Ineligibility* for a violation of Article 2.1, 2.2 or 2.6 shall be as follows, subject to potential elimination, reduction or suspension pursuant to Article ~~10.4~~, 10.5 ~~or~~ 10.6 or 10.7:

10.2.1 The period of *Ineligibility*, subject to Article 10.2.4, shall be four (4) years where:

10.2.1.1 The anti-doping rule violation does not involve a *Specified Substance* or a Specified Method, unless the *Athlete* or other *Person* can establish that the anti-doping rule violation was not intentional.⁵⁸

10.2.1.2 The anti-doping rule violation involves a *Specified Substance* or a Specified Method and the *Anti-Doping Organization* can establish that the anti-doping rule violation was intentional.

10.2.2 If Article 10.2.1 does not apply, subject to Article 10.2.4.1, the period of *Ineligibility* shall be two (2) years.

10.2.3 As used in ~~Articles~~ Article 10.2 ~~and 10.3~~, the term “intentional” is meant to identify those *Athletes* or other Persons who ~~cheat~~. ~~The term, therefore, requires that the Athlete or other Person engaged~~ engage in conduct which ~~he or she~~ they knew constituted an anti-doping rule violation or knew that there was a significant risk that the conduct might constitute or result in an anti-doping rule violation and manifestly disregarded that risk.⁵⁹ An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall be rebuttably presumed to be not “intentional” if the substance is a *Specified Substance* and the *Athlete* can establish that the *Prohibited Substance* was Used *Out-of-Competition*. An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall not be considered “intentional” if the substance is not a *Specified Substance* and the

⁵⁷ [Comment to Article 10.1: Whereas Article 9 Disqualifies the result in a single Competition in which the Athlete tested positive (e.g., the 100 meter backstroke), this Article may lead to Disqualification of all results in all races during the Event (e.g., the swimming World Championships).]

⁵⁸ [Comment to Article 10.2.1.1: While it is theoretically possible for an Athlete or other Person to establish that the anti-doping rule violation was not intentional without showing how the Prohibited Substance entered one's system, it is highly unlikely that in a doping case under Article 2.1 an Athlete will be successful in proving that the Athlete acted unintentionally without establishing the source of the Prohibited Substance.]

⁵⁹ [Comment to Article 10.2.3: Article 10.2.3 provides a special definition of “intentional” which is to be applied solely for purposes of Article 10.2.]

Athlete can establish that the *Prohibited Substance* was *Used Out-of-Competition* in a context unrelated to sport performance.

10.2.4 Notwithstanding any other provision in Article 10.2, where the anti-doping rule violation involves a *Substance of Abuse*:

10.2.4.1 If the *Athlete* can establish that any ingestion or *Use* occurred *Out-of-Competition* and was unrelated to sport performance, then the period of *Ineligibility* shall be three (3) months *Ineligibility*.

In addition, the period of *Ineligibility* calculated under this Article 10.2.4.1 may be reduced to one (1) month if the *Athlete* or other *Person* satisfactorily completes a *Substance of Abuse* treatment program approved by the *Anti-Doping Organization* with *Results Management* responsibility.⁶⁰ The period of *Ineligibility* established in this Article 10.2.4.1 is not subject to any reduction based on any provision in Article 10.6.

10.2.4.2 If the ingestion, *Use* or *Possession* occurred *In-Competition*, and the *Athlete* can establish that the context of the ingestion, *Use* or *Possession* was unrelated to sport performance, then the ingestion, *Use* or *Possession* shall not be considered intentional for purposes of Article 10.2.1 and shall not provide a basis for a finding of *Aggravating Circumstances* under Article 10.4.

10.3 *Ineligibility* for Other Anti-Doping Rule Violations

The period of *Ineligibility* for anti-doping rule violations other than as provided in Article 10.2 shall be as follows, unless Article ~~10.5 or~~ 10.6 or 10.7 are applicable:

10.3.1 For violations of Article 2.3 or ~~Article 2.5~~, the period of *Ineligibility* shall be four (4) years ~~unless, except:~~ (i) in the case of failing to submit to *Sample* collection, if the *Athlete* can establish that the commission of the anti-doping rule violation was not intentional ~~(as defined in Article 10.2.3), in which case, the period of *Ineligibility* shall be two (2) years;~~ (ii) in all other cases, if the *Athlete* or other *Person* can establish exceptional circumstances that justify a reduction of the period of *Ineligibility*, the period of *Ineligibility* shall be in a range from two (2) years to four (4) years depending on the *Athlete* or other *Person's* degree of *Fault*; or (iii) in a case involving a *Protected Person* or *Recreational Athlete*, the period of *Ineligibility* shall be in a range between a maximum of two (2) years and, at a minimum, a reprimand and no period of *Ineligibility*, depending on the *Protected Person* or *Recreational Athlete's* degree of *Fault*.

10.3.2 For violations of Article 2.4, the period of *Ineligibility* shall be two (2) years, subject to reduction down to a minimum of one (1) year, depending on the *Athlete's* degree of *Fault*. The flexibility between two (2) years and one (1) year of *Ineligibility* in this Article is not available to *Athletes* where a pattern of last-minute whereabouts

⁶⁰ [Comment to Article 10.2.4.1: The determinations as to whether the treatment program is approved and whether the *Athlete* or other *Person* has satisfactorily completed the program shall be made in the sole discretion of the *Anti-Doping Organization*. This Article is intended to give *Anti-Doping Organizations* the leeway to apply their own judgment to identify and approve legitimate and reputable, as opposed to "sham", treatment programs. It is anticipated, however, that the characteristics of legitimate treatment programs may vary widely and change over time such that it would not be practical for WADA to develop mandatory criteria for acceptable treatment programs.]

changes or other conduct raises a serious suspicion that the *Athlete* was trying to avoid being available for *Testing*.

- 10.3.3 For violations of Article 2.7 or 2.8, the period of *Ineligibility* shall be a minimum of four (4) years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Article 2.7 or Article 2.8 violation involving a ~~Minor~~ *Protected Person* shall be considered a particularly serious violation and, if committed by *Athlete Support Personnel* for violations other than for *Specified Substances*, shall result in lifetime *Ineligibility* for *Athlete Support Personnel*. In addition, significant violations of Article 2.7 or 2.8 which may also violate non-sporting laws and regulations, shall be reported to the competent administrative, professional or judicial authorities.⁴⁶⁶¹
- 10.3.4 For violations of Article 2.9, the period of *Ineligibility* imposed shall be a minimum of two (2) years, up to ~~four years~~ *lifetime Ineligibility*, depending on the seriousness of the violation.
- 10.3.5 For violations of Article 2.10, the period of *Ineligibility* shall be two (2) years, subject to reduction down to a minimum of one (1) year, depending on the *Athlete* or other *Person's* degree of *Fault* and other circumstances of the case.⁴⁷⁶²
- 10.3.6 For violations of Article 2.11, the period of *Ineligibility* shall be a minimum of two (2) years, up to lifetime *Ineligibility*, depending on the seriousness of the violation by the *Athlete* or other *Person*.⁶³

10.4 Aggravating Circumstances which may Increase the Period of *Ineligibility*

If the *Anti-Doping Organization* establishes in an individual case involving an anti-doping rule violation other than violations under Article 2.7 (*Trafficking or Attempted Trafficking*), 2.8 (*Administration or Attempted Administration*), 2.9 (*Complicity or Attempted Complicity*) or 2.11 (*Acts by an Athlete or Other Person to Discourage or Retaliate Against Reporting*) that *Aggravating Circumstances* are present which justify the imposition of a period of *Ineligibility* greater than the standard sanction, then the period of *Ineligibility* otherwise applicable shall be increased by an additional period of *Ineligibility* of up to two (2) years depending on the seriousness of the violation and the nature of the *Aggravating Circumstances*, unless the *Athlete* or other *Person* can establish that he or she did not knowingly commit the anti-doping rule violation.⁶⁴

⁴⁶⁶¹ [Comment to Article 10.3.3: Those who are involved in doping Athletes or covering up doping should be subject to sanctions which are more severe than the Athletes who test positive. Since the authority of sport organizations is generally limited to *Ineligibility* of accreditation, membership and other sport benefits, reporting *Athlete Support Personnel* to competent authorities is an important step in the deterrence of doping.]

⁴⁷⁶² [Comment to Article 10.3.5: Where the "other Person" referenced in Article 2.10 (*Prohibited Association by an Athlete or Other Person*) is an entity and not an individual, that entity may be disciplined as provided in Article 12.]

⁶³ [Comment to Article 10.3.6: Conduct that is found to violate both Article 2.5 (*Tampering*) and Article 2.11 (*Acts by an Athlete or Other Person to Discourage or Retaliate Against Reporting to Authorities*) shall be sanctioned based on the violation that carries the more severe sanction.]

⁶⁴ [Comment to Article 10.4: Violations under Articles 2.7 (*Trafficking or Attempted Trafficking*), 2.8 (*Administration or Attempted Administration*), 2.9 (*Complicity or Attempted Complicity*) and 2.11 (*Acts by an Athlete or Other Person to Discourage or Retaliate Against Reporting to Authorities*) are not included in the application of Article 10.4 because the sanctions for these violations already build in sufficient discretion up to a lifetime ban to allow consideration of any *Aggravating Circumstance*.]

10.5 ~~10.4~~ Elimination of the Period of *Ineligibility* where there is *No Fault* or *Negligence*⁴⁸

If an *Athlete* or other *Person* establishes in an individual case that he or she bears *No Fault* or *Negligence*, then the otherwise applicable period of *Ineligibility* shall be eliminated.⁶⁵

10.6 ~~10.5~~ Reduction of the Period of *Ineligibility* based on *No Significant Fault* or *Negligence*10.6.1 ~~10.5.1~~ Reduction of Sanctions ~~for Specified Substances or Contaminated Products in Particular Circumstances~~ for Violations of Article 2.1, 2.2 or 2.6.

All reductions under Article 10.6.1 are mutually exclusive and not cumulative.

10.6.1.1 ~~10.5.1.1~~ *Specified Substances or Specified Methods*

Where the anti-doping rule violation involves a *Specified Substance* (other than a Substance of Abuse) or Specified Method, and the *Athlete* or other *Person* can establish *No Significant Fault* or *Negligence*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a maximum, two (2) years of *Ineligibility*, depending on the *Athlete's* or other *Person's* degree of *Fault*.

10.6.1.2 ~~10.5.1.2~~ *Contaminated Products*⁴⁹10.6.1.3

In cases where the *Athlete* or other *Person* can establish both *No Significant Fault* or *Negligence* and that the detected *Prohibited Substance* (other than a Substance of Abuse) came from a *Contaminated Product*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a

⁴⁸ ~~[Comment to Article 10.4: This Article and Article 10.5.2 apply only to the imposition of sanctions; they are not applicable to the determination of whether an anti-doping rule violation has occurred. They will only apply in exceptional circumstances, for example, where an Athlete could prove that, despite all due care, he or she was sabotaged by a competitor. Conversely, No Fault or Negligence would not apply in the following circumstances: (a) a positive test resulting from a mislabeled or contaminated vitamin or nutritional supplement (Athletes are responsible for what they ingest (Article 2.1) and have been warned against the possibility of supplement contamination); (b) the Administration of a Prohibited Substance by the Athlete's personal physician or trainer without disclosure to the Athlete (Athletes are responsible for their choice of medical personnel and for advising medical personnel that they cannot be given any Prohibited Substance); and (c) sabotage of the Athlete's food or drink by a spouse, coach or other Person within the Athlete's circle of associates (Athletes are responsible for what they ingest and for the conduct of those Persons to whom they entrust access to their food and drink). However, depending on the unique facts of a particular case, any of the referenced illustrations could result in a reduced sanction under Article 10.5 based on No Significant Fault or Negligence.]~~

⁶⁵ [Comment to Article 10.5: This Article and Article 10.6.2 apply only to the imposition of sanctions; they are not applicable to the determination of whether an anti-doping rule violation has occurred. They will only apply in exceptional circumstances, for example, where an Athlete could prove that, despite all due care, he or she was sabotaged by a competitor. Conversely, No Fault or Negligence would not apply in the following circumstances: (a) a positive test resulting from a mislabeled or contaminated vitamin or nutritional supplement (Athletes are responsible for what they ingest (Article 2.1) and have been warned against the possibility of supplement contamination); (b) the Administration of a Prohibited Substance by the Athlete's personal physician or trainer without disclosure to the Athlete (Athletes are responsible for their choice of medical personnel and for advising medical personnel that they cannot be given any Prohibited Substance); and (c) sabotage of the Athlete's food or drink by a spouse, coach or other Person within the Athlete's circle of associates (Athletes are responsible for what they ingest and for the conduct of those Persons to whom they entrust access to their food and drink). However, depending on the unique facts of a particular case, any of the referenced illustrations could result in a reduced sanction under Article 10.6 based on No Significant Fault or Negligence.]

⁴⁹ ~~[Comment to Article 10.5.1.2: In assessing that Athlete's degree of Fault, it would, for example, be favorable for the Athlete if the Athlete had declared the product which was subsequently determined to be contaminated on his or her Doping Control form.]~~

maximum, two (2) years *Ineligibility*, depending on the *Athlete's* or other *Person's* degree of *Fault*.⁶⁶

10.6.1.4 *Protected Persons or Recreational Athletes*

Where the anti-doping rule violation not involving a *Substance of Abuse* is committed by a *Protected Person* or *Recreational Athlete*, and the *Protected Person* or *Recreational Athlete* can establish *No Significant Fault or Negligence*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a maximum, two (2) years *Ineligibility*, depending on the *Protected Person* or *Recreational Athlete's* degree of *Fault*.

10.6.2 ~~10.5.2~~ Application of *No Significant Fault or Negligence* beyond the Application of Article ~~10.5.1~~⁵⁰10.6.1⁶⁷

If an *Athlete* or other *Person* establishes in an individual case where Article ~~10.5.1~~10.6.1 is not applicable, that he or she bears *No Significant Fault or Negligence*, then, subject to further reduction or elimination as provided in Article ~~10.6~~10.7, the otherwise applicable period of *Ineligibility* may be reduced based on the *Athlete* or other *Person's* degree of *Fault*, but the reduced period of *Ineligibility* may not be less than one-half of the period of *Ineligibility* otherwise applicable. If the otherwise applicable period of *Ineligibility* is a lifetime, the reduced period under this Article may be no less than eight (8) years.

⁶⁶ [Comment to Article 10.6.1.2: In order to receive the benefit of this Article, the Athlete or other Person must establish not only that the detected Prohibited Substance came from a Contaminated Product, but must also separately establish No Significant Fault or Negligence. It should be further noted that Athletes are on notice that they take nutritional supplements at their own risk. The sanction reduction based on No Significant Fault or Negligence has rarely been applied in Contaminated Product cases unless the Athlete has exercised a high level of caution before taking the Contaminated Product. In assessing whether the Athlete can establish the source of the Prohibited Substance, it would, for example, be significant for purposes of establishing whether the Athlete actually Used the Contaminated Product, whether the Athlete had declared the product which was subsequently determined to be contaminated on the Doping Control form.]

This Article should not be extended beyond products that have gone through some process of manufacturing. Where an Adverse Analytical Finding results from environment contamination of a "non-product" such as tap water or lake water in circumstances where no reasonable person would expect any risk of an anti-doping rule violation, typically there would be No Fault or Negligence under Article 10.5.]

⁵⁰ [Comment to Article 10.5.2: Article 10.5.2 may be applied to any anti-doping rule violation, except those Articles where intent is an element of the anti-doping rule violation (e.g., Article 2.5, 2.7, 2.8 or 2.9) or an element of a particular sanction (e.g., Article 10.2.1) or a range of Ineligibility is already provided in an Article based on the Athlete or other Person's degree of Fault.]

⁶⁷ [Comment to Article 10.6.2: Article 10.6.2 may be applied to any anti-doping rule violation, except those Articles where intent is an element of the anti-doping rule violation (e.g., Article 2.5, 2.7, 2.8, 2.9 or 2.11) or an element of a particular sanction (e.g., Article 10.2.1) or a range of Ineligibility is already provided in an Article based on the Athlete or other Person's degree of Fault.]

10.7 ~~10.6~~–Elimination, Reduction, or Suspension of Period of *Ineligibility* or ~~other~~Other Consequences for Reasons ~~other~~Other than *Fault*

10.7.1 ~~10.6.1~~–*Substantial Assistance* in Discovering or Establishing ~~Anti-Doping Rule~~Code Violations.⁵⁴⁶⁸

10.7.1.1 ~~10.6.1.1~~–An *Anti-Doping Organization* with ~~results management~~Results Management responsibility for an anti-doping rule violation may, prior to ~~a final~~an appellate decision under Article 13 or the expiration of the time to appeal, suspend a part of the ~~period—of~~IneligibilityConsequences (other than Disqualification and mandatory Public Disclosure) imposed in an individual case where the *Athlete* or other *Person* has provided *Substantial Assistance* to an *Anti-Doping Organization*, criminal authority or professional disciplinary body which results in: (i) the *Anti-Doping Organization* discovering or bringing forward an anti-doping rule violation by another *Person*; or (ii) which results in a criminal or disciplinary body discovering or bringing forward a criminal offense or the breach of professional rules committed by another *Person* and the information provided by the *Person* providing *Substantial Assistance* is made available to the *Anti-Doping Organization* with ~~results—management~~Results Management responsibility; or (iii) which results in WADA initiating a proceeding against a *Signatory*, WADA-accredited laboratory or *Athlete* passport management unit (as defined in the *International Standard for Laboratories*) for non-compliance with the *Code*, *International Standard* or *Technical Document*; or (iv) with the approval by WADA, which results in a criminal or disciplinary body bringing forward a criminal offense or the breach of professional or sport rules arising out of a sport integrity violation other than doping. After ~~a final~~an appellate decision under Article 13 or the expiration of time to appeal, an *Anti-Doping Organization* may only suspend a part of the otherwise applicable ~~period—of~~IneligibilityConsequences with the approval of WADA and the applicable International Federation.

The extent to which the otherwise applicable period of *Ineligibility* may be suspended shall be based on the seriousness of the anti-doping rule violation committed by the *Athlete* or other *Person* and the significance of the *Substantial Assistance* provided by the *Athlete* or other *Person* to the effort to eliminate doping in sport, non-compliance with the Code and/or sport integrity violations. No more than three-quarters of the otherwise applicable period of *Ineligibility* may be suspended. If the otherwise applicable period of *Ineligibility* is a lifetime, the non-suspended period under this Article must be no less than eight (8) years. For purposes of this paragraph, the otherwise applicable period of Ineligibility shall not include any period of Ineligibility that could be added under Article 10.9.3.2.

⁵⁴ ~~[Comment to Article 10.6.1: The cooperation of Athletes, Athlete Support Personnel and other Persons who acknowledge their mistakes and are willing to bring other anti-doping rule violations to light is important to clean sport. This is the only circumstance under the Code where the suspension of an otherwise applicable period of Ineligibility is authorized.]~~

⁶⁸ [Comment to Article 10.7.1: The cooperation of Athletes, Athlete Support Personnel and other Persons who acknowledge their mistakes and are willing to bring other anti-doping rule violations to light is important to clean sport.]

If so requested by an Athlete or other Person who seeks to provide Substantial Assistance, the Anti-Doping Organization with Results Management responsibility shall allow the Athlete or other Person to provide the information to the Anti-Doping Organization subject to a Without Prejudice Agreement.

If the Athlete or other Person fails to continue to cooperate and to provide the complete and credible *Substantial Assistance* upon which a suspension of ~~the period of Ineligibility~~Consequences was based, the Anti-Doping Organization that suspended ~~the period of Ineligibility~~Consequences shall reinstate the original ~~period of Ineligibility~~Consequences. If an Anti-Doping Organization decides to reinstate ~~a suspended period of Ineligibility~~Consequences or decides not to reinstate ~~a suspended period of Ineligibility~~Consequences, that decision may be appealed by any Person entitled to appeal under Article 13.

10.7.1.2

~~10.6.1.2~~ To further encourage Athletes and other Persons to provide *Substantial Assistance* to Anti-Doping Organizations, at the request of the Anti-Doping Organization conducting ~~results management~~Results Management or at the request of the Athlete or other Person who has, or has been asserted to have, committed an anti-doping rule violation, or other violation of the Code, WADA may agree at any stage of the ~~results management~~Results Management process, including after ~~a final~~an appellate decision under Article 13, to what it considers to be an appropriate suspension of the otherwise-applicable period of *Ineligibility* and other *Consequences*. In exceptional circumstances, WADA may agree to suspensions of the period of *Ineligibility* and other *Consequences* for *Substantial Assistance* greater than those otherwise provided in this Article, or even no period of *Ineligibility*, no mandatory Public Disclosure and/or no return of prize money or payment of fines or costs. WADA's approval shall be subject to reinstatement of ~~sanction~~Consequences, as otherwise provided in this Article. Notwithstanding Article 13, WADA's decisions in the context of this Article 10.7.1.2 may not be appealed ~~by any other Anti-Doping Organization~~.

10.7.1.3

~~10.6.1.3~~ If an Anti-Doping Organization suspends any part of an otherwise applicable sanction because of *Substantial Assistance*, then notice providing justification for the decision shall be provided to the other Anti-Doping Organizations with a right to appeal under Article 13.2.3 as provided in Article ~~14.2~~14.

In unique circumstances where WADA determines that it would be in the best interest of anti-doping, WADA may authorize an Anti-Doping Organization to enter into appropriate confidentiality agreements limiting or delaying the disclosure of the *Substantial Assistance* agreement or the nature of *Substantial Assistance* being provided.

10.7.2 ~~10.6.2~~ Admission of an Anti-Doping Rule Violation in the Absence of Other Evidence⁵²

Where an *Athlete* or other *Person* voluntarily admits the commission of an anti-doping rule violation before having received notice of a *Sample* collection which could establish an anti-doping rule violation (or, in the case of an anti-doping rule violation other than Article 2.1, before receiving first notice of the admitted violation pursuant to Article 7) and that admission is the only reliable evidence of the violation at the time of admission, then the period of *Ineligibility* may be reduced, but not below one-half of the period of *Ineligibility* otherwise applicable.⁶⁹

~~10.6.3~~ ~~Prompt Admission of an Anti-Doping Rule Violation after being Confronted with a Violation Sanctionable under Article 10.2.1 or Article 10.3.1~~

~~An Athlete or other Person potentially subject to a four-year sanction under Article 10.2.1 or 10.3.1 (for evading or refusing Sample Collection or Tampering with Sample Collection), by promptly admitting the asserted anti-doping rule violation after being confronted by an Anti-Doping Organization, and also upon the approval and at the discretion of both WADA and the Anti-Doping Organization with results management responsibility, may receive a reduction in the period of Ineligibility down to a minimum of two years, depending on the seriousness of the violation and the Athlete or other Person's degree of Fault.~~

~~10.6.4~~ Application of Multiple Grounds for Reduction of a Sanction⁵³10.7.3

Where an *Athlete* or other *Person* establishes entitlement to reduction in sanction under more than one provision of Article ~~10.4~~, 10.5 ~~or~~, 10.6 or 10.7, before applying any reduction or suspension under Article ~~10.6~~10.7, the otherwise applicable period of *Ineligibility* shall be determined in accordance with Articles 10.2, 10.3, ~~10.4~~10.5, and ~~10.5~~10.6. If the *Athlete* or other *Person* establishes entitlement to a reduction or suspension of the period of *Ineligibility* under Article ~~10.6~~10.7, then the period of *Ineligibility* may be reduced or suspended, but not below one-fourth of the otherwise applicable period of *Ineligibility*.

⁵² ~~[Comment to Article 10.6.2: This Article is intended to apply when an Athlete or other Person comes forward and admits to an anti-doping rule violation in circumstances where no Anti-Doping Organization is aware that an anti-doping rule violation might have been committed. It is not intended to apply to circumstances where the admission occurs after the Athlete or other Person believes he or she is about to be caught. The amount by which Ineligibility is reduced should be based on the likelihood that the Athlete or other Person would have been caught had he or she not come forward voluntarily.]~~

⁶⁹ ~~[Comment to Article 10.7.2: This Article is intended to apply when an Athlete or other Person comes forward and admits to an anti-doping rule violation in circumstances where no Anti-Doping Organization is aware that an anti-doping rule violation might have been committed. It is not intended to apply to circumstances where the admission occurs after the Athlete or other Person believes he or she is about to be caught. The amount by which Ineligibility is reduced should be based on the likelihood that the Athlete or other Person would have been caught had he or she not come forward voluntarily.]~~

⁵³ ~~[Comment to Article 10.6.4: The appropriate sanction is determined in a sequence of four steps. First, the hearing panel determines which of the basic sanctions (Article 10.2, 10.3, 10.4, or 10.5) apply to the particular anti-doping rule violation. Second, if the basic sanction provides for a range of sanctions, the hearing panel must determine the applicable sanction within that range according to the Athlete or other Person's degree of Fault. In a third step, the hearing panel establishes whether there is a basis for elimination, suspension, or reduction of the sanction (Article 10.6). Finally, the hearing panel decides on the commencement of the period of Ineligibility under Article 10.11.]~~

~~Several examples of how Article 10 is to be applied are found in Appendix 2.]~~

10.8 Results Management Agreements

10.8.1 One-Year Reduction for Certain Anti-Doping Rule Violations Based on Early Admission and Acceptance of Sanction

Where an Athlete or other Person, after being notified by an Anti-Doping Organization of a potential anti-doping rule violation that carries an asserted period of Ineligibility of four (4) or more years (including any period of Ineligibility asserted under Article 10.4), admits the violation and accepts the asserted period of Ineligibility no later than twenty (20) days after receiving notice of an anti-doping rule violation charge, the Athlete or other Person may receive a one-year reduction in the period of Ineligibility asserted by the Anti-Doping Organization. Where the Athlete or other Person receives the one-year reduction in the asserted period of Ineligibility under this Article 10.8.1, no further reduction in the asserted period of Ineligibility shall be allowed under any other Article.⁷⁰

10.8.2 Case Resolution Agreement

Where the Athlete or other Person admits an anti-doping rule violation after being confronted with the anti-doping rule violation by an Anti-Doping Organization and agrees to Consequences acceptable to the Anti-Doping Organization and WADA, at their sole discretion, then: (a) the Athlete or other Person may receive a reduction in the period of Ineligibility based on an assessment by the Anti-Doping Organization and WADA of the application of Articles 10.1 through 10.7 to the asserted anti-doping rule violation, the seriousness of the violation, the Athlete or other Person's degree of Fault and how promptly the Athlete or other Person admitted the violation; and (b) the period of Ineligibility may start as early as the date of Sample collection or the date on which another anti-doping rule violation last occurred. In each case, however, where this Article is applied, the Athlete or other Person shall serve at least one-half of the agreed-upon period of Ineligibility going forward from the earlier of the date the Athlete or other Person accepted the imposition of a sanction or a Provisional Suspension which was subsequently respected by the Athlete or other Person. The decision by WADA and the Anti-Doping Organization to enter or not enter into a case resolution agreement, and the amount of the reduction to, and the starting date of the period of Ineligibility, are not matters for determination or review by a hearing body and are not subject to appeal under Article 13.

If so requested by an Athlete or other Person who seeks to enter into a case resolution agreement under this Article, the Anti-Doping Organization with Results Management responsibility shall allow the Athlete or other Person to discuss an admission of the anti-doping rule violation with the Anti-Doping Organization subject to a Without Prejudice Agreement.⁷¹

⁷⁰ [Comment to Article 10.8.1: For example, if an Anti-Doping Organization alleges that an Athlete has violated Article 2.1 for Use of an anabolic steroid and asserts the applicable period of Ineligibility is four (4) years, then the Athlete may unilaterally reduce the period of Ineligibility to three (3) years by admitting the violation and accepting the three-year period of Ineligibility within the time specified in this Article, with no further reduction allowed. This resolves the case without any need for a hearing.]

⁷¹ [Comment to Article 10.8.2: Any mitigating or aggravating factors set forth in this Article 10 shall be considered in arriving at the Consequences set forth in the case resolution agreement, and shall not be applicable beyond the terms of that agreement.] In some countries, the imposition of a period of Ineligibility is left entirely to a hearing body. In those countries, the Anti-Doping Organization may not assert a specific period of Ineligibility for purposes of Article 10.8.1 nor have the power to agree to a specific period of Ineligibility under Article 10.8.2. In these circumstances, Articles 10.8.1 and 10.8.2 will not be applicable but may be considered by the hearing body.]

10.9 ~~40.7~~ Multiple Violations10.9.1 Second or Third Anti-Doping Rule Violation

10.9.1.1 ~~40.7.1~~—For an *Athlete* or other *Person's* second anti-doping rule violation, the period of *Ineligibility* shall be the greater of:

(a) ~~six months~~; A six-month period of *Ineligibility*; or

(b) A period of *Ineligibility* in the range between:

~~(b) one-half~~ (i) the sum of the period of *Ineligibility* imposed for the first anti-doping rule violation ~~without taking into account any reduction under Article 10.6; or plus the period of *Ineligibility* otherwise applicable to the second anti-doping rule violation treated as if it were a first violation, and~~

~~(c) (ii) twice the period of *Ineligibility* otherwise applicable to the second anti-doping rule violation treated as if it were a first violation, without taking into account any reduction under Article 10.6.~~

The period of *Ineligibility* ~~established above may then be further reduced by~~ within this range shall be determined based on the ~~application entirety of Article 10.6~~ the circumstances and the *Athlete* or other *Person's* degree of *Fault* with respect to the second violation.

10.9.1.2 ~~40.7.2~~—A third anti-doping rule violation will always result in a lifetime period of *Ineligibility*, except if the third violation fulfills the condition for elimination or reduction of the period of *Ineligibility* under Article ~~10.4 or~~ 10.5 or 10.6, or involves a violation of Article 2.4. In these particular cases, the period of *Ineligibility* shall be from eight (8) years to lifetime *Ineligibility*.

10.9.1.3 The period of *Ineligibility* established in Articles 10.9.1.1 and 10.9.1.2 may then be further reduced by the application of Article 10.7.

10.9.2 ~~40.7.3~~—An anti-doping rule violation for which an *Athlete* or other *Person* has established *No Fault* or *Negligence* shall not be considered a ~~prior~~ violation for purposes of ~~this Article-~~ 10.9. In addition, an anti-doping rule violation sanctioned under Article 10.2.4.1 shall not be considered a violation for purposes of Article 10.9.

10.9.3 ~~40.7.4~~ Additional Rules for Certain Potential Multiple Violations

10.9.3.1 ~~40.7.4.1~~—For purposes of imposing sanctions under Article ~~40.7~~ 10.9, except as provided in Articles 10.9.3.2 and 10.9.3.3, an anti-doping rule violation will only be considered a second violation if the *Anti-Doping Organization* can establish that the *Athlete* or other *Person* committed the ~~second~~ additional anti-doping rule violation after the *Athlete* or other *Person* received notice pursuant to Article 7, or

after the *Anti-Doping Organization* made reasonable efforts to give notice of the first anti-doping rule violation. If the *Anti-Doping Organization* cannot establish this, the violations shall be considered together as one single first violation, and the sanction imposed shall be based on the violation that carries the more severe sanction.

~~10.7.4.2~~ ~~If, after, including the imposition of a sanction for a first anti-doping rule violation, an Anti-Doping Organization discovers facts involving an anti-doping rule violation by the Athlete or other Person which occurred prior to notification regarding the first violation, then the Anti-Doping Organization shall impose an additional sanction based on the sanction that could have been imposed if the two violations had been adjudicated at the same time~~application of *Aggravating Circumstances*. Results in all *Competitions* dating back to the earlier anti-doping rule violation will be *Disqualified* as provided in Article ~~10.8~~10.10.⁷²

10.9.3.2 If the *Anti-Doping Organization* establishes that an *Athlete* or other *Person* committed an additional anti-doping rule violation prior to notification, and that the additional violation occurred twelve (12) months or more before or after the first-noticed violation, then the period of *Ineligibility* for the additional violation shall be calculated as if the additional violation were a stand-alone first violation and this period of *Ineligibility* is served consecutively, rather than concurrently, with the period of *Ineligibility* imposed for the earlier-noticed violation. Where this Article 10.9.3.2 applies, the violations taken together shall constitute a single violation for purposes of Article 10.9.1.

10.9.3.3 If the *Anti-Doping Organization* establishes that an *Athlete* or other *Person* committed a violation of Article 2.5 in connection with the *Doping Control* process for an underlying asserted anti-doping rule violation, the violation of Article 2.5 shall be treated as a stand-alone first violation and the period of *Ineligibility* for such violation shall be served consecutively, rather than concurrently, with the period of *Ineligibility*, if any, imposed for the underlying anti-doping rule violation. Where this Article 10.9.3.3 is applied, the violations taken together shall constitute a single violation for purposes of Article 10.9.1.

10.9.3.4 If an *Anti-Doping Organization* establishes that an *Athlete* or other *Person* has committed a second or third anti-doping rule violation during a period of *Ineligibility*, the periods of *Ineligibility* for the multiple violations shall run consecutively, rather than concurrently.

⁷² [Comment to Article 10.9.3.1: The same rule applies where, after the imposition of a sanction, the *Anti-Doping Organization* discovers facts involving an anti-doping rule violation that occurred prior to notification for a first anti-doping rule violation— e.g., the *Anti-Doping Organization* shall impose a sanction based on the sanction that could have been imposed if the two violations had been adjudicated at the same time, including the application of *Aggravating Circumstances*.]

[10.9.4](#) ~~10.7.5~~ Multiple Anti-Doping Rule Violations during Ten-Year Period

For purposes of Article ~~10.7~~[10.9](#), each anti-doping rule violation must take place within the same ten-year period in order to be considered multiple violations.

[10.10](#) ~~10.8~~ Disqualification of Results in Competitions Subsequent to Sample Collection or Commission of an Anti-Doping Rule Violation⁵⁴

In addition to the automatic *Disqualification* of the results in the *Competition* which produced the positive *Sample* under Article 9, all other competitive results of the *Athlete* obtained from the date a positive *Sample* was collected (whether *In-Competition* or *Out-of-Competition*), or other anti-doping rule violation occurred, through the commencement of any *Provisional Suspension* or *Ineligibility* period, shall, unless fairness requires otherwise, be *Disqualified* with all of the resulting *Consequences* including forfeiture of any medals, points and prizes.⁷³

[10.11](#) ~~10.9~~ Allocation of CAS Cost Awards and Forfeited Prize Money

~~The priority for repayment of CAS cost awards and forfeited prize money shall be: first, payment of costs awarded by CAS; second, reallocation of forfeited prize money to other Athletes if provided for in the rules of the applicable International Federation; and third, reimbursement of the expenses of the Anti-Doping Organization that conducted results management in the case.~~

An *Anti-Doping Organization* or other *Signatory* that has recovered prize money forfeited as a result of an anti-doping rule violation shall take reasonable measures to allocate and distribute this prize money to the *Athletes* who would have been entitled to it had the forfeiting *Athlete* not competed. An *International Federation* may provide in its rules whether or not the redistributed prize money shall be considered for purposes of its ranking of *Athletes*.⁷⁴

[10.12](#) ~~10.10~~ Financial Consequences

Anti-Doping Organizations may, in their own rules, provide for proportionate recovery of costs or financial sanctions on account of anti-doping rule violations. However, *Anti-Doping Organizations* may only impose financial sanctions in cases where the maximum period of *Ineligibility* otherwise applicable has already been imposed. Financial sanctions may only be imposed where the principle of proportionality is satisfied. No recovery of costs or financial sanction may be considered a basis for reducing the *Ineligibility* or other sanction which would otherwise be applicable under the *Code*.

⁵⁴ ~~[Comment to Article 10.8: Nothing in the Code precludes clean Athletes or other Persons who have been damaged by the actions of a Person who has committed an anti-doping rule violation from pursuing any right which they would otherwise have to seek damages from such Person.]~~

⁷³ [Comment to Article 10.10: Nothing in the Code precludes clean Athletes or other Persons who have been damaged by the actions of a Person who has committed an anti-doping rule violation from pursuing any right which they would otherwise have to seek damages from such Person.]

⁷⁴ [Comment to Article 10.11: This Article is not intended to impose an affirmative duty on the Anti-Doping Organization or other Signatory to take any action to collect forfeited prize money. If the Anti-Doping Organization elects not to take any action to collect forfeited prize money, it may assign its right to recover such money to the Athlete(s) who should have otherwise received the money. "Reasonable measures to allocate and distribute this prize money" could include using collected forfeited prize money as agreed upon by an International Federation and its Athletes.]

10.13 ~~10.11~~ Commencement of *Ineligibility* Period⁵⁵

~~Except~~ Where an *Athlete* is already serving a period of *Ineligibility* for an anti-doping rule violation, any new period of *Ineligibility* shall commence on the first day after the current period of *Ineligibility* has been served. Otherwise, except as provided below, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived or there is no hearing, on the date *Ineligibility* is accepted or otherwise imposed.

10.13.1 ~~10.11.1~~ Delays Not Attributable to the *Athlete* or other *Person*⁵⁶

Where there have been substantial delays in the hearing process or other aspects of *Doping Control*, and the *Athlete* or other *Person* can establish that such delays are not attributable to the *Athlete* or other *Person*, the body imposing the sanction may start the period of *Ineligibility* at an earlier date commencing as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. All competitive results achieved during the period of *Ineligibility*, including retroactive *Ineligibility*, shall be *Disqualified*.⁷⁵

~~10.11.2~~ — Timely Admission

~~Where the *Athlete* or other *Person* promptly (which, in all events, for an *Athlete* means before the *Athlete* competes again) admits the anti-doping rule violation after being confronted with the anti-doping rule violation by the Anti-Doping Organization, the period of *Ineligibility* may start as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. In each case, however, where this Article is applied, the *Athlete* or other *Person* shall serve at least one-half of the period of *Ineligibility* going forward from the date the *Athlete* or other *Person* accepted the imposition of a sanction, the date of a hearing decision imposing a sanction, or the date the sanction is otherwise imposed. This Article shall not apply where the period of *Ineligibility* already has been reduced under Article 10.6.3.~~

10.13.2 ~~10.11.3~~ Credit for *Provisional Suspension* or Period of *Ineligibility* Served

10.13.2.1 ~~10.11.3.1~~ If a *Provisional Suspension* is ~~imposed and~~ respected by the *Athlete* or other *Person*, then the *Athlete* or other *Person* shall receive a credit for such period of *Provisional Suspension* against any period of *Ineligibility* which may ultimately be imposed. If the *Athlete* or other *Person* does not respect a *Provisional Suspension*, then the *Athlete* or other *Person* shall receive no credit for any period of *Provisional Suspension* served. If a period of *Ineligibility* is served pursuant to a decision that is subsequently appealed, then the *Athlete* or other *Person* shall receive a credit for such period of *Ineligibility* served against any period of *Ineligibility* which may ultimately be imposed on appeal.

⁵⁵ ~~[Comment to Article 10.11: Article 10.11 makes clear that delays not attributable to the *Athlete*, timely admission by the *Athlete* and *Provisional Suspension* are the only justifications for starting the period of *Ineligibility* earlier than the date of the final hearing decision.]~~

⁵⁶ ~~[Comment to Article 10.11.1: In cases of anti-doping rule violations other than under Article 2.1, the time required for an Anti-Doping Organization to discover and develop facts sufficient to establish an anti-doping rule violation may be lengthy, particularly where the *Athlete* or other *Person* has taken affirmative action to avoid detection. In these circumstances, the flexibility provided in this Article to start the sanction at an earlier date should not be used.]~~

⁷⁵ [Comment to Article 10.13.1: In cases of anti-doping rule violations other than under Article 2.1, the time required for an Anti-Doping Organization to discover and develop facts sufficient to establish an anti-doping rule violation may be lengthy, particularly where the *Athlete* or other *Person* has taken affirmative action to avoid detection. In these circumstances, the flexibility provided in this Article to start the sanction at an earlier date should not be used.]

- [10.13.2.2](#) ~~10.11.3.2~~—If an *Athlete* or other *Person* voluntarily accepts a *Provisional Suspension* in writing from an *Anti-Doping Organization* with ~~results management~~[Results Management](#) authority and thereafter respects the *Provisional Suspension*, the *Athlete* or other *Person* shall receive a credit for such period of voluntary *Provisional Suspension* against any period of *Ineligibility* which may ultimately be imposed. A copy of the *Athlete* or other *Person's* voluntary acceptance of a *Provisional Suspension* shall be provided promptly to each party entitled to receive notice of an asserted anti-doping rule violation under Article 14.1.⁵⁷⁷⁶
- [10.13.2.3](#) ~~10.11.3.3~~—No credit against a period of *Ineligibility* shall be given for any time period before the effective date of the *Provisional Suspension* or voluntary *Provisional Suspension* regardless of whether the *Athlete* elected not to compete or was suspended by ~~his or her~~[her](#) team.
- [10.13.2.4](#) ~~10.11.3.4~~—In *Team Sports*, where a period of *Ineligibility* is imposed upon a team, unless fairness requires otherwise, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived, on the date *Ineligibility* is accepted or otherwise imposed. Any period of team *Provisional Suspension* (whether imposed or voluntarily accepted) shall be credited against the total period of *Ineligibility* to be served.

[10.14](#) ~~10.12~~-Status during *Ineligibility* or *Provisional Suspension*

[10.14.1](#) ~~10.12.1~~ Prohibition against Participation during *Ineligibility*⁵⁸ or *Provisional Suspension*

No *Athlete* or other *Person* who has been declared *Ineligible* or is subject to a *Provisional Suspension* may, during ~~the~~[the](#) period of *Ineligibility* or *Provisional Suspension*, participate in any capacity in a *Competition* or activity (other than authorized anti-doping ~~education~~[Education](#) or rehabilitation programs) authorized or organized by any *Signatory*, *Signatory's* member organization, or a club or other member organization of a *Signatory's* member organization, or in *Competitions* authorized or organized by any professional league or any international- or

⁵⁷⁷⁶ [Comment to Article ~~10.11.3.2~~[10.13.2.2](#): An *Athlete's* voluntary acceptance of a *Provisional Suspension* is not an admission by the *Athlete* and shall not be used in any way to draw an adverse inference against the *Athlete*.]

⁵⁸ [Comment to Article 10.12.1: For example, subject to Article 10.12.2 below, an *Ineligible Athlete* cannot participate in a training camp, exhibition or practice organized by his or her National Federation or a club which is a member of that National Federation or which is funded by a governmental agency. Further, an *Ineligible Athlete* may not compete in a non-*Signatory* professional league (e.g., the National Hockey League, the National Basketball Association, etc.), Events organized by a non-*Signatory* International Event organization or a non-*Signatory* national-level event organization without triggering the Consequences set forth in Article 10.12.3. The term "activity" also includes, for example, administrative activities, such as serving as an official, director, officer, employee, or volunteer of the organization described in this Article. *Ineligibility* imposed in one sport shall also be recognized by other sports (see Article 15.1, Mutual Recognition).]

national-level *Event* organization or any elite or national-level sporting activity funded by a governmental agency.⁷⁷

An *Athlete* or other *Person* subject to a period of *Ineligibility* longer than four (4) years may, after completing four (4) years of the period of *Ineligibility*, participate as an *Athlete* in local sport events not sanctioned or otherwise under the jurisdiction authority of a *Code Signatory* or member of a *Code Signatory*, but only so long as the local sport event is not at a level that could otherwise qualify such *Athlete* or other *Person* directly or indirectly to compete in (or accumulate points toward) a national championship or *International Event*, and does not involve the *Athlete* or other *Person* working in any capacity with Minors Protected Persons.

An *Athlete* or other *Person* subject to a period of *Ineligibility* shall remain subject to Testing and any requirement by an Anti-Doping Organization to provide whereabouts information.

10.14.2 ~~10.12.2~~ Return to Training⁵⁹

As an exception to Article ~~10.12.1~~10.14.1, an *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory's* member organization during the shorter of: (1) the last two (2) months of the *Athlete's* period of *Ineligibility*, or (2) the last one-quarter of the period of *Ineligibility* imposed.⁷⁸

10.14.3 ~~10.12.3~~ Violation of the Prohibition of Participation during *Ineligibility* or Provisional Suspension

Where an *Athlete* or other *Person* who has been declared *Ineligible* violates the prohibition against participation during *Ineligibility* described in Article ~~10.12.1~~10.14.1, the results of such participation shall be *Disqualified* and a new period of *Ineligibility* equal in length to the original period of *Ineligibility* shall be added to the end of the original period of *Ineligibility*. The new period of *Ineligibility*, including a reprimand and no period of *Ineligibility*, may be adjusted based on the *Athlete* or other *Person's* degree of *Fault* and other circumstances of the case. The determination of whether an *Athlete* or other *Person* has violated the prohibition against participation, and whether an adjustment is appropriate, shall be made by the *Anti-Doping Organization* whose

⁷⁷ [Comment to Article 10.14.1: For example, subject to Article 10.14.2 below, *Ineligible Athletes cannot participate in a training camp, exhibition or practice organized by their National Federation or a club which is a member of that National Federation or which is funded by a governmental agency. Further, an *Ineligible Athlete* may not compete in a non-Signatory professional league (e.g., the National Hockey League, the National Basketball Association, etc.), Events organized by a non-Signatory International Event organization or a non-Signatory national-level Event organization without triggering the Consequences set forth in Article 10.14.3. The term "activity" also includes, for example, administrative activities, such as serving as an official, director, officer, employee, or volunteer of the organization described in this Article. *Ineligibility* imposed in one sport shall also be recognized by other sports (see Article 15.1. Automatic Binding Effect of Decisions). An *Athlete* or other *Person* serving a period of *Ineligibility* is prohibited from coaching or serving as an *Athlete Support Person* in any other capacity at any time during the period of *Ineligibility*, and doing so could also result in a violation of 2.10 by another *Athlete*. Any performance standard accomplished during a period of *Ineligibility* shall not be recognized by a *Signatory* or its *National Federations* for any purpose.]*

⁵⁹ [Comment to Article 10.12.2: In many Team Sports and some individual sports (e.g., ski jumping and gymnastics), an *Athlete* cannot effectively train on his or her own so as to be ready to compete at the end of the *Athlete's* period of *Ineligibility*. During the training period described in this Article, an *Ineligible Athlete* may not compete or engage in any activity described in Article 10.12.1 other than training.]

⁷⁸ [Comment to Article 10.14.2: In many Team Sports and some individual sports (e.g., ski jumping and gymnastics), *Athletes* cannot effectively train on their own so as to be ready to compete at the end of the *Athlete's* period of *Ineligibility*. During the training period described in this Article, an *Ineligible Athlete* may not compete or engage in any activity described in Article 10.14.1 other than training.]

~~results management~~ Results Management led to the imposition of the initial period of *Ineligibility*. This decision may be appealed under Article 13.

An Athlete or other Person who violates the prohibition against participation during a Provisional Suspension described in Article 10.14.1 shall receive no credit for any period of Provisional Suspension served and the results of such participation shall be Disqualified.

Where an *Athlete Support Person* or other *Person* assists a *Person* in violating the prohibition against participation during *Ineligibility* or a Provisional Suspension, an *Anti-Doping Organization* with jurisdiction authority over such *Athlete Support Person* or other *Person* shall impose sanctions for a violation of Article 2.9 for such assistance.

10.14.4 ~~10.12.4~~ Withholding of Financial Support during *Ineligibility*

In addition, for any anti-doping rule violation not involving a reduced sanction as described in Article ~~10.4 or~~ 10.5 or 10.6, some or all sport-related financial support or other sport-related benefits received by such *Person* will be withheld by *Signatories*, *Signatories'* member organizations and governments.

10.15 ~~10.13~~ Automatic Publication of Sanction

A mandatory part of each sanction shall include automatic publication, as provided in Article 14.3.

[Comment to Article 10: Harmonization of sanctions has been one of the most discussed and debated areas of anti-doping. Harmonization means that the same rules and criteria are applied to assess the unique facts of each case. Arguments against requiring harmonization of sanctions are based on differences between sports including, for example, the following: in some sports the Athletes are professionals making a sizable income from the sport and in others the Athletes are true amateurs; in those sports where an Athlete's career is short, a standard period of Ineligibility has a much more significant effect on the Athlete than in sports where careers are traditionally much longer. A primary argument in favor of harmonization is that it is simply not right that two Athletes from the same country who test positive for the same Prohibited Substance under similar circumstances should receive different sanctions only because they participate in different sports. In addition, flexibility in sanctioning has often been viewed as an unacceptable opportunity for some sporting organizations to be more lenient with dopers. The lack of harmonization of sanctions has also frequently been the source of jurisdictional conflicts between International Federations and National Anti-Doping Organizations.]

ARTICLE 11 CONSEQUENCES TO TEAMS

11.1 Testing of Team Sports

Where more than one member of a team in a *Team Sport* has been notified of an anti-doping rule violation under Article 7 in connection with an *Event*, the ruling body for the *Event* shall conduct appropriate *Target Testing* of the team during the *Event Period*.

11.2 Consequences for Team Sports

If more than two members of a team in a *Team Sport* are found to have committed an anti-doping rule violation during an *Event Period*, the ruling body of the *Event* shall impose an appropriate sanction on the team (e.g., loss of points, *Disqualification* from a *Competition* or *Event*, or other sanction) in addition to any *Consequences* imposed upon the individual *Athletes* committing the anti-doping rule violation.

11.3 Event Ruling Body or International Federation may Establish Stricter Consequences for Team Sports⁶⁰

The ruling body for an *Event* may elect to establish rules for the *Event* which impose *Consequences* for *Team Sports* stricter than those in Article 11.2 for purposes of the *Event*.⁷⁹ Similarly, an International Federation may elect to establish rules imposing stricter Consequences for Team Sports within its authority than those in Article 11.2.

ARTICLE 12 SANCTIONS ~~AGAINST BY SIGNATORIES AND AGAINST OTHER~~ SPORTING BODIES ~~THAT ARE NOT SIGNATORIES~~⁶⁴

~~12.1 Proceedings Against A Signatory~~

~~The International Standard for Code Compliance by Signatories sets out when and how WADA may proceed against a Signatory for failure to comply with its obligations under the Code and/or the International Standards, and identifies the range of possible sanctions that may be imposed on the Signatory for such non-compliance.~~

~~12.2 Proceedings Against Other Sporting Body~~

~~Nothing in the Code or the International Standard for Code Compliance by Signatories restricts the ability of any Signatory or government to take action under its own rules to enforce the obligation on~~

Each Signatory shall adopt rules that obligate each of its member organizations and any other sporting body over which it has authority to comply with, implement, uphold and enforce the Code within that organization's or body's area of competence. When a Signatory becomes aware that one of its member organizations or other sporting body over which it has authority has failed to fulfill such obligation, the Signatory shall take appropriate action against such organization or body.⁸⁰ In particular, a Signatory's action and rules shall include the possibility of excluding all, or some group of, members of that organization or body from specified future Events or all Events conducted within a specified period of time.⁸¹

⁶⁰ ~~[Comment to Article 11.3: For example, the International Olympic Committee could establish rules which would require Disqualification of a team from the Olympic Games based on a lesser number of anti-doping rule violations during the period of the Games.]~~

⁷⁹ ~~[Comment to Article 11.3: For example, the International Olympic Committee could establish rules which would require Disqualification of a team from the Olympic Games based on a lesser number of anti-doping rule violations during the period of the Games.]~~

⁶⁴ ~~[Comment to Article 12: This Article makes it clear that the Code does not restrict whatever disciplinary rights between organizations may otherwise exist.]~~

⁸⁰ ~~[Comment to Article 12: This Article is not intended to impose an affirmative duty on the Signatory to actively monitor each of its member organizations for acts of non-compliance, but rather only requires the Signatory to take action when it becomes aware of such acts.]~~

⁸¹ ~~[Comment to Article 12: This Article makes it clear that the Code does not restrict whatever disciplinary rights between organizations may otherwise exist. For sanctions against Signatories for non-compliance with the Code, see Article 24.1]~~

ARTICLE 13 RESULTS MANAGEMENT: APPEALS⁶²⁸²

13.1 Decisions Subject to Appeal

Decisions made under the *Code* or under rules adopted pursuant to the *Code* may be appealed as set forth below in Articles 13.2 through 13.4 or as otherwise provided in the *Code* or *International Standards*. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise. ~~Before an appeal is commenced, any post-decision review provided in the Anti-Doping Organization's rules must be exhausted, provided that such review respects the principles set forth in Article 13.2.2 below (except as provided in Article 13.1.3).~~

13.1.1 Scope of Review Not Limited

The scope of review on appeal includes all issues relevant to the matter and is expressly not limited to the issues or scope of review before the initial decision maker. Any party to the appeal may submit evidence, legal arguments and claims that were not raised in the first instance hearing so long as they arise from the same cause of action or same general facts or circumstances raised or addressed in the first instance hearing.⁸³

13.1.2 CAS Shall Not Defer to the Findings Being Appealed⁶³

In making its decision, CAS ~~needs~~shall not give deference to the discretion exercised by the body whose decision is being appealed.⁸⁴

13.1.3 WADA Not Required to Exhaust Internal Remedies⁶⁴⁸⁵

Where WADA has a right to appeal under Article 13 and no other party has appealed a final decision within the *Anti-Doping Organization's* process, WADA may appeal such decision directly to CAS without having to exhaust other remedies in the *Anti-Doping Organization's* process.

13.2 Appeals from Decisions Regarding Anti-Doping Rule Violations, *Consequences*, *Provisional Suspensions*, Recognition/Implementation of Decisions and Jurisdiction/Authority

A decision that an anti-doping rule violation was committed, a decision imposing *Consequences* or not imposing *Consequences* for an anti-doping rule violation, or a decision that no anti-doping rule violation was committed; a decision that an anti-doping rule violation proceeding cannot go forward

⁶²⁸² [Comment to Article 13: The object of the Code is to have anti-doping matters resolved through fair and transparent internal processes with a final appeal. Anti-doping decisions by Anti-Doping Organizations are made transparent in Article 14. Specified Persons and organizations, including WADA, are then given the opportunity to appeal those decisions. Note that the definition of interested Persons and organizations with a right to appeal under Article 13 does not include Athletes, or their federations/National Federations, who might benefit from having another competitor disqualified/Disqualified.]

⁸³ [Comment to Article 13.1.1: The revised language is not intended to make a substantive change to the 2015 Code, but rather for clarification. For example, where an Athlete was charged in the first instance hearing only with Tampering but the same conduct could also constitute Complicity, an appealing party could pursue both Tampering and Complicity charges against the Athlete in the appeal.]

⁶³ [Comment to Article 13.1.2: CAS proceedings are de novo. Prior proceedings do not limit the evidence or carry weight in the hearing before CAS.]

⁸⁴ [Comment to Article 13.1.2: CAS proceedings are de novo. Prior proceedings do not limit the evidence or carry weight in the hearing before CAS.]

⁶⁴⁸⁵ [Comment to Article 13.1.3: Where a decision has been rendered before the final stage of an Anti-Doping Organization's process (for example, a first hearing) and no party elects to appeal that decision to the next level of the Anti-Doping Organization's process (e.g., the Managing Board), then WADA may bypass the remaining steps in the Anti-Doping Organization's internal process and appeal directly to CAS.]

for procedural reasons (including, for example, prescription); a decision by WADA not to grant an exception to the six months notice requirement for a retired *Athlete* to return to ~~Competition~~competition under Article ~~5.7.4~~5.6.1; a decision by WADA assigning ~~results management~~Results Management under Article 7.1; a decision by an *Anti-Doping Organization* not to bring forward an *Adverse Analytical Finding* or an *Atypical Finding* as an anti-doping rule violation, or a decision not to go forward with an anti-doping rule violation after an investigation ~~under Article 7.7~~in accordance with the International Standard for Results Management; a decision to impose, ~~or lift,~~ a *Provisional Suspension* as a result of a *Provisional Hearing*; an *Anti-Doping Organization's* failure to comply with Article ~~7.9~~7.4; a decision that an *Anti-Doping Organization* lacks ~~jurisdiction~~authority to rule on an alleged anti-doping rule violation or its *Consequences*; a decision to suspend, or not suspend, ~~a period of Ineligibility~~Consequences or to reinstate, or not reinstate, ~~a suspended period of Ineligibility~~Consequences under Article ~~10.6.1~~10.7.1; ~~failure to comply with Articles 7.1.4 and 7.1.5; failure to comply with Article 10.8.1;~~ a decision under Article ~~10.12.3; and 10.14.3;~~ a decision by an *Anti-Doping Organization* not to ~~recognize~~implement another *Anti-Doping Organization's* decision under Article 15; and a decision under Article 27.3 may be appealed exclusively as provided in this Article 13.2.

13.2.1 Appeals Involving *International-Level Athletes* or *International Events*⁶⁵

In cases arising from participation in an *International Event* or in cases involving *International-Level Athletes*, the decision may be appealed exclusively to CAS.⁸⁶

13.2.2 Appeals Involving Other *Athletes* or Other *Persons*⁶⁶

In cases where Article 13.2.1 is not applicable, the decision may be appealed to an ~~independent and impartial~~appellate body in accordance with rules established by the *National Anti-Doping Organization*. The rules for such appeal shall respect the following principles:

- a timely hearing;
- a fair ~~and,~~ impartial, and Operationally Independent and Institutionally Independent hearing panel;
- the right to be represented by counsel at the *Person's* own expense; and
- a timely, written, reasoned decision.

If no such body as described above is in place and available at the time of the appeal, the Athlete or other Person shall have a right to appeal to CAS.

13.2.3 *Persons* Entitled to Appeal

13.2.3.1 Appeals Involving *International-Level Athletes* or *International Events*

In cases under Article 13.2.1, the following parties shall have the right to appeal to CAS: (a) the *Athlete* or other *Person* who is the subject of

⁶⁵ ~~[Comment to Article 13.2.1: CAS decisions are final and binding except for any review required by law applicable to the annulment or enforcement of arbitral awards.]~~

⁸⁶ [Comment to Article 13.2.1: CAS decisions are final and binding except for any review required by law applicable to the annulment or enforcement of arbitral awards.]

⁶⁶ ~~[Comment to Article 13.2.2: An Anti-Doping Organization may elect to comply with this Article by providing for the right to appeal directly to CAS.]~~

the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) the relevant International Federation; (d) the *National Anti-Doping Organization* of the *Person's* country of residence or countries where the *Person* is a national or license holder; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA.

[13.2.3.2](#) [Appeals Involving Other Athletes or Other Persons](#)

In cases under Article 13.2.2, the parties having the right to appeal to the ~~national-level appeal~~appellate body shall be as provided in the *National Anti-Doping Organization's* rules but, at a minimum, shall include the following parties: (a) the *Athlete* or other *Person* who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) the relevant International Federation; (d) the *National Anti-Doping Organization* of the *Person's* country of residence or countries where the *Person* is a national or license holder; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games, and (f) WADA. For cases under Article 13.2.2, WADA, the International Olympic Committee, the International Paralympic Committee, and the relevant International Federation shall also have the right to appeal to CAS with respect to the decision of the national-level appeal body. Any party filing an appeal shall be entitled to assistance from CAS to obtain all relevant information from the *Anti-Doping Organization* whose decision is being appealed and the information shall be provided if CAS so directs.

[13.2.3.3](#) [Duty to Notify](#)

[All parties to any CAS appeal must ensure that WADA and all other parties with a right to appeal have been given timely notice of the appeal.](#)

[13.2.3.4](#) [Appeal Deadline for Parties Other than WADA](#)

[The deadline to file an appeal for parties other than WADA shall be as provided in the rules of the *Anti-Doping Organization* conducting *Results Management*.](#)

[13.2.3.5](#) [Appeal Deadline for WADA](#)

The filing deadline for an appeal filed by WADA shall be the later of:

- (a) Twenty-one (21) days after the last day on which any other party ~~in the case~~having a right to appeal could have appealed,

or

(b) Twenty-one (21) days after WADA's receipt of the complete file relating to the decision.⁸⁷

13.2.3.6 Appeal from Imposition of Provisional Suspension

Notwithstanding any other provision herein, the only *Person* who may appeal from the imposition of a *Provisional Suspension* is the *Athlete* or other *Person* upon whom the *Provisional Suspension* is imposed.

13.2.4 Cross Appeals and other Subsequent Appeals Allowed⁶⁷⁸⁸

Cross appeals and other subsequent appeals by any respondent named in cases brought to CAS under the *Code* are specifically permitted. Any party with a right to appeal under this Article 13 must file a cross appeal or subsequent appeal at the latest with the party's answer.

13.3 Failure to Render a Timely Decision by an *Anti-Doping Organization*⁶⁸⁸⁹

Where, in a particular case, an *Anti-Doping Organization* fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by WADA, WADA may elect to appeal directly to CAS as if the *Anti-Doping Organization* had rendered a decision finding no anti-doping rule violation. If the CAS hearing panel determines that an anti-doping rule violation was committed and that WADA acted reasonably in electing to appeal directly to CAS, then WADA's costs and attorney fees in prosecuting the appeal shall be reimbursed to WADA by the *Anti-Doping Organization*.

13.4 Appeals Relating to *TUEs*

TUE decisions may be appealed exclusively as provided in Article 4.4.

13.5 Notification of Appeal Decisions

Any *Anti-Doping Organization* that is a party to an appeal shall promptly provide the appeal decision to the *Athlete* or other *Person* and to the other *Anti-Doping Organizations* that would have been entitled to appeal under Article 13.2.3 as provided under Article ~~14.2~~14.

⁸⁷ [Comments to Article 13.2.3: Whether governed by CAS rules or Article 13.2.3, a party's deadline to appeal does not begin running until receipt of the decision. For that reason, there can be no expiration of a party's right to appeal if the party has not received the decision.]

⁶⁷⁸⁸ [Comment to Article 13.2.4: This provision is necessary because since 2011, CAS rules no longer permit an Athlete the right to cross appeal when an Anti-Doping Organization appeals a decision after the Athlete's time for appeal has expired. This provision permits a full hearing for all parties.]

⁶⁸⁸⁹ [Comment to Article 13.3: Given the different circumstances of each anti-doping rule violation investigation and ~~results-management~~ Results Management process, it is not feasible to establish a fixed time period for an Anti-Doping Organization to render a decision before WADA may intervene by appealing directly to CAS. Before taking such action, however, WADA will consult with the Anti-Doping Organization and give the Anti-Doping Organization an opportunity to explain why it has not yet rendered a decision. Nothing in this Article prohibits an International Federation from also having rules which authorize it to assume ~~jurisdiction~~ authority for matters in which the ~~results-management~~ Results Management performed by one of its National Federations has been inappropriately delayed.]

13.6 Appeals from Decisions under Article ~~23.5.524.1~~

A notice that is not disputed and so becomes a final decision under Article ~~23.5.524.1~~, finding a *Signatory* non-compliant with the *Code* and imposing consequences for such non-compliance, as well as conditions for ~~reinstatement~~Reinstatement of the *Signatory*, may be appealed to CAS as provided in the *International Standard for Code Compliance by Signatories*.

13.7 Appeals from Decisions Suspending or Revoking Laboratory Accreditation

Decisions by WADA to suspend or revoke a laboratory's WADA accreditation may be appealed only by that laboratory with the appeal being exclusively to CAS.

ARTICLE 14 CONFIDENTIALITY AND REPORTING

The principles of coordination of anti-doping results, public transparency and accountability and respect for the privacy of all *Athletes* or other *Persons* are as follows:

14.1 Information Concerning *Adverse Analytical Findings*, *Atypical Findings*, and other Asserted Anti-Doping Rule Violations

14.1.1 Notice of Anti-Doping Rule Violations to *Athletes* and other *Persons*

The form and manner of notice of an asserted anti-doping rule violation shall be as provided in the rules of the *Anti-Doping Organization* with ~~results management~~Results Management responsibility.

14.1.2 Notice of Anti-Doping Rule Violations to *National Anti-Doping Organizations*, *International Federations* and WADA

The *Anti-Doping Organization* with ~~results management~~Results Management responsibility shall also notify the *Athlete's National Anti-Doping Organization*, *International Federation* and WADA of the assertion of an anti-doping rule violation simultaneously with the notice to the *Athlete* or other *Person*.

14.1.3 Content of an Anti-Doping Rule Violation Notice

Notification shall include: the *Athlete's or other Person's* name, country, sport and discipline within the sport, the *Athlete's* competitive level, whether the test was *In-Competition* or *Out-of-Competition*, the date of *Sample* collection, the analytical result reported by the laboratory and other information as required by the *International Standard for Testing and Investigations*Results Management, or, for anti-doping rule violations other than Article 2.1, the rule violated and the basis of the asserted violation.

14.1.4 Status Reports

Except with respect to investigations which have not resulted in a notice of an anti-doping rule violation pursuant to Article 14.1.1, the *Anti-Doping Organizations* referenced in Article 14.1.2 shall be regularly updated on the status and findings of any review or proceedings conducted pursuant to Article 7, 8 or 13 and shall be provided

with a prompt written reasoned explanation or decision explaining the resolution of the matter.

14.1.5 Confidentiality⁶⁹

The recipient organizations shall not disclose this information beyond those *Persons* with a need to know (which would include the appropriate personnel at the applicable *National Olympic Committee*, *National Federation*, and team in a *Team Sport*) until the *Anti-Doping Organization* with ~~results management~~ Results Management responsibility has made *Public Disclosure* ~~or has failed to make Public Disclosure as required in as permitted by~~ Article 14.3.⁹⁰

14.2 Notice of Anti-Doping Rule Violation or Violations of Ineligibility or Provisional Suspension Decisions and Request for Files

14.2.1 Anti-doping rule violation decisions or decisions related to violations of Ineligibility or Provisional Suspension rendered pursuant to Article ~~7.10~~ 7.6, 8.4, ~~10.4~~, 10.5, 10.6, ~~10.12.3~~ 10.7, 10.14.3 or 13.5 shall include the full reasons for the decision, including, if applicable, a justification for why the maximum potential sanction was not imposed. Where the decision is not in English or French, the *Anti-Doping Organization* shall provide ~~a short~~ an English or French summary of the decision and the supporting reasons.

14.2.2 An *Anti-Doping Organization* having a right to appeal a decision received pursuant to Article 14.2.1 may, within fifteen (15) days of receipt, request a copy of the full case file pertaining to the decision.

14.3 Public Disclosure

14.3.1 ~~The identity of any Athlete or other Person who is asserted by an Anti-Doping Organization to have committed an anti-doping rule violation, may be Publicly Disclosed by the Anti-Doping Organization with results management responsibility only after~~ After notice has been provided to the *Athlete* or other *Person* in accordance with ~~Article 7.3, 7.4, 7.5, 7.6 or 7.7~~ the International Standard for Results Management, and to the applicable *Anti-Doping Organizations* in accordance with Article 14.1.2, the identity of any Athlete or other Person who is notified of a potential anti-doping rule violation, the Prohibited Substance or Prohibited Method and nature of the violation involved, and whether the Athlete or other Person is subject to a Provisional Suspension may be Publicly Disclosed by the Anti-Doping Organization with Results Management responsibility.

14.3.2 No later than twenty (20) days after it has been determined in ~~a final~~ an appellate decision under Article 13.2.1 or 13.2.2, or such appeal has been waived, or a hearing in accordance with Article 8 has been waived, or the assertion of an anti-doping rule violation has not otherwise been timely challenged, or the matter has been resolved under Article 10.8, or a new period of Ineligibility, or reprimand, has been imposed under Article 10.14.3, the *Anti-Doping Organization* responsible for ~~results~~

⁶⁹ ~~[Comment to Article 14.1.5: Each Anti-Doping Organization shall provide, in its own anti-doping rules, procedures for the protection of confidential information and for investigating and disciplining improper disclosure of confidential information by any employee or agent of the Anti-Doping Organization.]~~

⁹⁰ [Comment to Article 14.1.5: Each Anti-Doping Organization shall provide, in its own anti-doping rules, procedures for the protection of confidential information and for investigating and disciplining improper disclosure of confidential information by any employee or agent of the Anti-Doping Organization.]

~~management~~Results Management must Publicly ~~Report~~Disclose the disposition of the anti-doping matter including the sport, the anti-doping rule violated, the name of the Athlete or other Person committing the violation, the *Prohibited Substance* or *Prohibited Method* involved (if any) and the *Consequences* imposed. The same *Anti-Doping Organization* must also Publicly ~~Report~~Disclose within twenty (20) days the results of ~~final-appeal~~appellate decisions concerning anti-doping rule violations, including the information described above.⁹¹

14.3.3 After an anti-doping rule violation has been determined to have been committed in an appellate decision under Article 13.2.1 or 13.2.2 or such appeal has been waived, or in a hearing in accordance with Article 8 or where such hearing has been waived, or the assertion of an anti-doping rule violation has not otherwise been timely challenged, or the matter has been resolved under Article 10.8, the Anti-Doping Organization responsible for Results Management may make public such determination or decision and may comment publicly on the matter.

14.3.4 ~~14.3.3~~ In any case where it is determined, after a hearing or appeal, that the Athlete or other Person did not commit an anti-doping rule violation, the fact that the decision has been appealed may be ~~Publicly Disclosed only.~~ However, the decision itself and the underlying facts may not be Publicly Disclosed except with the consent of the Athlete or other Person who is the subject of the decision. The *Anti-Doping Organization* with ~~results—management~~Results Management responsibility shall use reasonable efforts to obtain such consent, and if consent is obtained, shall *Publicly Disclose* the decision in its entirety or in such redacted form as the Athlete or other Person may approve.

14.3.5 ~~14.3.4 14.3.4~~ Publication shall be accomplished at a minimum by placing the required information on the *Anti-Doping Organization's* website and leaving the information up for the longer of one (1) month or the duration of any period of *Ineligibility*.

14.3.6 ~~14.3.5 14.3.5~~ ~~No~~ Except as provided in Articles 14.3.1 and 14.3.3, ~~no~~ *Anti-Doping Organization* or WADA-accredited laboratory, or official of either, shall publicly comment on the specific facts of any pending case (as opposed to general description of process and science) except in response to public comments attributed to, or based on information provided by the Athlete, other Person or their entourage or other representatives.

14.3.7 ~~14.3.6 14.3.6~~ The mandatory *Public Reporting*Disclosure required in 14.3.2 shall not be required where the Athlete or other Person who has been found to have committed an anti-doping rule violation is a Minor, Protected Person or Recreational Athlete. Any optional *Public Reporting*Disclosure in a case involving a Minor, Protected Person or Recreational Athlete shall be proportionate to the facts and circumstances of the case.

14.4 Statistical Reporting

Anti-Doping Organizations shall, at least annually, publish publicly a general statistical report of their *Doping Control* activities, with a copy provided to WADA. *Anti-Doping Organizations* may also

⁹¹ [Comment to Article 14.3.2: Where Public Disclosure as required by Article 14.3.2 would result in a breach of other applicable laws, the Anti-Doping Organization's failure to make the Public Disclosure will not result in a determination of non-compliance with Code as set forth in Article 4.2 of the International Standard for the Protection of Privacy and Personal Information.]

publish reports showing the name of each *Athlete* tested and the date of each *Testing*. WADA shall, at least annually, publish statistical reports summarizing the information that it receives from *Anti-Doping Organizations* and laboratories.

14.5 Doping Control Information ~~Clearinghouse~~ Database and Monitoring of Compliance

~~WADA shall act as a central clearinghouse for~~

To enable WADA to perform its compliance monitoring role and to ensure the effective use of resources and sharing of applicable Doping Control information among Anti-Doping Organizations, WADA shall develop and manage a Doping Control information database, such as ADAMS, and Anti-Doping Organizations shall report to WADA through such database Doping Control-Testing data and results-related information, including, in particular,

- a) Athlete Biological Passport data for International-Level Athletes and National-Level Athletes and whereabouts,
- b) Whereabouts information for Athletes including those in Registered Testing Pools,
- c) TUE decisions, and
- d) Results Management decisions,

as required under the applicable International Standard(s).

14.5.1 To facilitate coordinated test distribution planning ~~and to~~ avoid unnecessary duplication in *Testing* by various *Anti-Doping Organizations*, and to ensure that Athlete Biological Passport profiles are updated, each *Anti-Doping Organization* shall report all *In-Competition* and *Out-of-Competition* tests ~~on such Athletes to~~ WADA by entering the Doping Control forms into ADAMS in accordance with the requirements and timelines contained in the International Standard for Testing and Investigations.

14.5.2 ~~To facilitate WADA clearinghouse, using ADAMS or another system approved by WADA, as soon as possible after such tests have been conducted. This's oversight and appeal rights for TUEs,~~ each *Anti-Doping Organization* shall report all *TUE* applications, decisions and supporting documentation using *ADAMS* in accordance with the requirements and timelines contained in the *International Standard for Therapeutic Use Exemptions.*

14.5.3 To facilitate WADA's oversight and appeal rights for *Results Management*, *Anti-Doping Organizations* shall report the following information into *ADAMS* in accordance with the requirements and timelines outlined in the *International Standard for Results Management*: (a) notifications of anti-doping rule violations and related decisions for *Adverse Analytical Findings*; (b) notifications and related decisions for other anti-doping rule violations that are not *Adverse Analytical Findings*; (c) whereabouts failures; and (d) any decision imposing, lifting or reinstating a *Provisional Suspension.*

14.5.4 The information described in this Article will be made accessible, where appropriate and in accordance with the applicable rules, to the *Athlete*, the *Athlete's National*

Anti-Doping Organization and International Federation, and any other Anti-Doping Organizations with Testing authority over the Athlete.⁹²

~~To enable it to serve as a clearinghouse for Doping Control Testing data and results management decisions, WADA has developed a database management tool, ADAMS, that reflects data privacy principles. In particular, WADA has developed ADAMS to be consistent with data privacy statutes and norms applicable to WADA and other organizations using ADAMS. Private information regarding an Athlete, Athlete Support Personnel, or others involved in anti-doping activities shall be maintained by WADA, which is supervised by Canadian privacy authorities, in strict confidence and in accordance with the International Standard for the Protection of Privacy and Personal Information.~~

14.6 Data Privacy⁷⁰⁹³

Anti-Doping Organizations may collect, store, process or disclose personal information relating to Athletes and other Persons where necessary and appropriate to conduct their ~~anti~~*Anti-doping activities*Doping Activities under the Code and International Standards (including specifically the International Standard for the Protection of Privacy and Personal Information), and in compliance with applicable law.

ARTICLE 15 ~~APPLICATION AND RECOGNITION~~ IMPLEMENTATION OF DECISIONS

15.1 ~~Subject to the right to appeal provided in Article 13, Testing, hearing results or other final adjudications of any Signatory which are consistent with the Code and are within that Signatory's authority, shall be applicable worldwide and shall be recognized and respected by all other Signatories.~~⁷⁴ Automatic Binding Effect of Decisions by Signatory Anti-Doping Organizations

15.1.1 A decision of an anti-doping rule violation made by a Signatory Anti-Doping Organization, an appellate body (Article 13.2.2) or CAS shall, after the parties to the proceeding are notified, automatically be binding beyond the parties to the proceeding upon every Signatory in every sport with the effects described below:

15.1.1.1 A decision by any of the above-described bodies imposing a Provisional Suspension (after a Provisional Hearing has occurred or the Athlete or other Person has either accepted the Provisional Suspension or has waived the right to a Provisional Hearing, expedited hearing or expedited appeal offered in accordance with Article 7.4.3) automatically prohibits the Athlete or other Person from participation (as described in Article 10.14.1) in all sports within the authority of any Signatory during the Provisional Suspension.

15.1.1.2 A decision by any of the above-described bodies imposing a period of Ineligibility (after a hearing has occurred or been waived)

⁹² [Comment to Article 14.5: ADAMS is operated, administered and managed by WADA, and is designed to be consistent with data privacy laws and norms applicable to WADA and other organizations using such system. Personal information regarding Athletes or other Persons maintained in ADAMS is and will be treated in strict confidence and in accordance with the International Standard for the Protection of Privacy and Personal Information.]

⁷⁰⁹³ [Comment to Article 14.6: Note that Article 22.2 provides that "Each government will ~~should~~ put in place legislation, regulation, policies or administrative practices for cooperation and sharing of information with Anti-Doping Organizations ~~and~~ sharing of data among Anti-Doping Organizations as provided in the Code."² (...)]

⁷⁴ [Comment to Article 15.1: The extent of recognition of TUE decisions of other Anti-Doping Organizations shall be determined by Article 4.4 and the International Standard for Therapeutic Use Exemptions.]

automatically prohibits the Athlete or other Person from participation (as described in Article 10.14.1) in all sports within the authority of any Signatory for the period of Ineligibility.

15.1.1.3 A decision by any of the above-described bodies accepting an anti-doping rule violation automatically binds all Signatories.

15.1.1.4 A decision by any of the above-described bodies to Disqualify results under Article 10.10 for a specified period automatically Disqualifies all results obtained within the authority of any Signatory during the specified period.

15.1.2 Each Signatory is under the obligation to recognize and implement a decision and its effects as required by Article 15.1.1, without any further action required, on the earlier of the date the Signatory receives actual notice of the decision or the date the decision is placed into ADAMS.

15.1.3 A decision by an Anti-Doping Organization, an appellate body or CAS to suspend, or lift, Consequences shall be binding upon each Signatory without any further action required, on the earlier of the date the Signatory receives actual notice of the decision or the date the decision is placed into ADAMS.

15.1.4 Notwithstanding any provision in Article 15.1.1, however, a decision of an anti-doping rule violation by a Major Event Organization made in an expedited process during an Event shall not be binding on other Signatories unless the rules of the Major Event Organization provide the Athlete or other Person with an opportunity to an appeal under non-expedited procedures.⁹⁴

15.2 Implementation of Other Decisions by Anti-Doping Organizations

Signatories may decide to implement other anti-doping decisions rendered by Anti-Doping Organizations not described in Article 15.1.1 above, such as a Provisional Suspension prior to a Provisional Hearing or acceptance by the Athlete or other Person.⁹⁵

15.3 Implementation of Decisions by Body that is not a Signatory

~~15.2 — Signatories shall recognize the measures taken by other bodies which have~~An anti-doping decision by a body that is not accepted a Signatory to the Code shall be implemented by each

⁹⁴ [Comment to Article 15.1.4: By way of example, where the rules of the Major Event Organization give the Athlete or other Person the option of choosing an expedited CAS appeal or a CAS appeal under normal CAS procedure, the final decision or adjudication by the Major Event Organization is binding on other Signatories regardless of whether the Athlete or other Person chooses the expedited appeal option.]

⁹⁵ [Comment to Articles 15.1 and 15.2: Anti-Doping Organization decisions under Article 15.1 are implemented automatically by other Signatories without the requirement of any decision or further action on the Signatories' part. For example, when a National Anti-Doping Organization decides to Provisionally Suspend an Athlete, that decision is given automatic effect at the International Federation level. To be clear, the "decision" is the one made by the National Anti-Doping Organization, there is not a separate decision to be made by the International Federation. Thus, any claim by the Athlete that the Provisional Suspension was improperly imposed can only be asserted against the National Anti-Doping Organization. Implementation of Anti-Doping Organizations' decisions under Article 15.2 is subject to each Signatory's discretion. A Signatory's implementation of a decision under Article 15.1 or Article 15.2 is not appealable separately from any appeal of the underlying decision. The extent of recognition of TUE decisions of other Anti-Doping Organizations shall be determined by Article 4.4 and the International Standard for Therapeutic Use Exemptions.]

Signatory if the Signatory finds that the decision purports to be within the authority of that body and the anti-doping rules of ~~those bodies~~that body are otherwise consistent with the Code.⁷²⁹⁶

ARTICLE 16 DOPING CONTROL FOR ANIMALS COMPETING IN SPORT

~~16.1~~16.1 In any sport that includes animals in *Competition*, the International Federation for that sport shall establish and implement anti-doping rules for the animals included in that sport. The anti-doping rules shall include a list of *Prohibited Substances*, appropriate *Testing* procedures and a list of approved laboratories for *Sample* analysis.

~~16.2~~16.2 With respect to determining anti-doping rule violations, ~~results management~~Results Management, fair hearings, *Consequences*, and appeals for animals involved in sport, the International Federation for that sport shall establish and implement rules that are generally consistent with Articles 1, 2, 3, 9, 10, 11, 13 and 17 of the *Code*.

ARTICLE 17 STATUTE OF LIMITATIONS

No anti-doping rule violation proceeding may be commenced against an *Athlete* or other *Person* unless he or she has been notified of the anti-doping rule violation as provided in Article 7, or notification has been reasonably attempted, within ten (10) years from the date the violation is asserted to have occurred.

⁷²⁹⁶ [Comment to Article ~~45.2~~15.3: Where the decision of a body that has not accepted the Code is in some respects Code compliant and in other respects not Code compliant, Signatories should attempt to apply the decision in harmony with the principles of the Code. For example, if in a process consistent with the Code a non-Signatory has found an Athlete to have committed an anti-doping rule violation on account of the presence of a Prohibited Substance in ~~his or her~~the Athlete's body but the period of Ineligibility applied is shorter than the period provided for in the Code, then all Signatories should recognize the finding of an anti-doping rule violation and the Athlete's National Anti-Doping Organization should conduct a hearing consistent with Article 8 to determine whether the longer period of Ineligibility provided in the Code should be imposed. A Signatory's implementation of a decision or its decision not to implement a decision under Article 15.3, is appealable under Article 13.]



ARTICLE 18 EDUCATION

18.1 ~~Basic Principle and Primary Goal~~ Principles

~~The basic principle for information and education~~

~~Education programs for doping-free sport are central to ensure harmonized, coordinated and effective anti-doping programs at the international and national level. They are intended to preserve the spirit of sport, and the protection of Athletes' health and right to compete on a doping free level playing field as described in the Introduction to the Code, from being undermined by doping. The primary goal of such~~

~~Education programs is prevention. The objective shall be shall raise awareness, provide accurate information and develop decision-making capability to prevent the intentional or and unintentional Use by Athletes of Prohibited Substances and Prohibited Methods anti-doping rule violations and other breaches of the Code.~~

~~Information programs should focus on providing basic information to Athletes as described in Article 18.2. Education programs should focus on prevention. Prevention programs should be values based and directed towards Athletes and Athlete Support Personnel with a particular focus on young people through implementation in school curricula. Education programs and their implementation shall instill personal values and principles that protect the spirit of sport.~~

All Signatories shall, within their ~~means and~~ scope of responsibility and in cooperation with each other, plan, implement, monitor, evaluate and ~~monitor information, education, and prevention programs for doping-free sport~~ promote Education programs in line with the requirements set out in the International Standard for Education.

~~Programs~~ Education Program and Activities⁷³

18.2 Plan by Signatories

~~These~~

~~Education programs shall provide as outlined in the International Standard for Education shall promote the spirit of sport and have a positive and long-term influence on the choices made by Athletes and other Persons with updated and accurate information on at least the following issues:~~

~~Signatories shall develop an Education plan as required in the International Standard for Education. Prioritization of target groups or activities shall be justified based on a clear rationale of the Education Plan.~~⁹⁷

⁷³ ~~[Comment to Article 18.2: Anti-doping informational and educational programs should not be limited to National or International Level Athletes but should include all Persons, including youth, who participate in sport under the authority of any Signatory, government or other sports organization accepting the Code. (See definition of Athlete.) These programs should also include Athlete Support Personnel.]~~

~~These principles are consistent with the UNESCO Convention with respect to education and training.]~~

⁹⁷ ~~[Comment to Article 18.2: The Risk Assessment that Anti-Doping Organizations are required to conduct under the International Standard for Testing and Investigations provides a framework relating to the risk of doping within sports. Such assessment can be used to identify priority target groups for Education programs. WADA also provides Education resources for Signatories to use to support their program delivery.]~~

Signatories shall make their Education plans available to other Signatories upon request in order to avoid duplication of efforts where possible and to support the recognition process outlined in the International Standard for Education.

An Anti-Doping Organization's Education program shall include the following awareness, information, values-based and Education components which shall at a minimum be available on a website.⁹⁸

- Principles and values associated with clean sport
- Athletes', Athlete Support Personnel's and other groups' rights and responsibilities under the Code
- The principle of Strict Liability
- ~~Substances and methods on the Prohibited List~~ Consequences of doping, for example, physical and mental health, social and economic effects, and sanctions
- Anti-doping rule violations
- Substances and Methods on the Prohibited List
- Risks of supplement use
- ~~Consequences of doping, including sanctions, health and social consequences~~ Use of medications and Therapeutic Use Exemptions.
- ~~Doping Control~~ Testing procedures
- ~~Athletes', including urine, blood and the Athlete Support Personnel's rights and responsibilities~~ Biological Passport
- ~~TUEs~~
- ~~Managing the risks of nutritional supplements~~
- ~~Harm of doping to the spirit of sport~~
- ~~Applicable~~ Requirements of the Registered Testing Pool, including whereabouts requirements and the use of ADAMS

~~The programs shall promote the spirit of sport in order to establish an environment that is strongly conducive to doping-free sport and will have a positive and long-term influence on the choices made by Athletes and other Persons.~~

~~Prevention programs shall be primarily directed at young people, appropriate to their stage of development, in school and sports clubs, parents, adult Athletes, sport officials, coaches, medical personnel and the media.~~

~~Athlete Support Personnel shall educate and counsel Athletes regarding anti-doping policies and rules adopted pursuant to the Code.~~

⁹⁸ [Comment to Article 18.2: Where, for example, a particular National Anti-Doping Organization does not have its own website, the required information may be posted on the website of the country's National Olympic Committee or other organization responsible for sport in the country.]

~~All Signatories shall promote and support active participation by Athletes and Athlete Support Personnel in education programs for doping-free sport.~~

~~18.3 Professional Codes of Conduct~~

- ~~• Speaking up to share concerns about doping~~

18.2.1 Education Pool and Target Groups Established by Signatories

Signatories shall identify their target groups and form an Education pool in line with the minimum requirements outlined in the International Standard for Education.⁹⁹

18.2.2 Education Program Implementation by Signatories

Any Education activity directed at the Education pool shall be delivered by a trained and authorized Person according to the requirements set out in the International Standard for Education.¹⁰⁰

18.2.3 Coordination and Cooperation

WADA shall work with relevant stakeholders to support the implementation of the International Standard for Education and act as a central repository for information and Education resources and/or programs developed by WADA or Signatories. Signatories shall cooperate with each other and governments to coordinate their efforts.

On a national level, Education programs shall be coordinated by the National Anti-Doping Organization, working in collaboration with their respective national sports federations, National Olympic Committee, National Paralympic Committee, governments and Educational institutions. This coordination shall maximize the reach of Education programs across sports, Athletes and Athlete Support Personnel and minimize duplication of effort.

Education programs aimed at International-Level Athletes shall be the priority for International Federations. Event-based Education shall be a mandatory element of any anti-doping program associated with an International Event.

All Signatories shall cooperate with each other and governments to encourage relevant, ~~competent sports organizations, Educational institutions, and~~ professional associations ~~and institutions~~ to develop and implement appropriate Codes of Conduct, ~~that reflect good practice and ethics related to sport practice regarding anti-doping, as well as,~~ Disciplinary policies and procedures shall be clearly articulated and communicated, including sanctions, which are consistent with the Code. Such Codes of Conduct shall make provision for appropriate disciplinary action to be taken by sports bodies to either support the implementation of any doping sanctions, or for an organization to take its

⁹⁹ [Comment to Article 18.2.1: The Education pool should not be limited to National- or International-Level Athletes and should include all Persons, including youth, who participate in sport under the authority of any Signatory, government or other sports organization accepting the Code.]

¹⁰⁰ [Comment to Article 18.2.2: The purpose of this provision is to introduce the concept of an Educator. Education shall only be delivered by a trained and competent Person, similar to Testing whereby only trained and appointed Doping Control officers can conduct tests. In both cases, the requirement for trained personnel is to safeguard the Athlete and maintain consistent standards of delivery. Further details on instituting a simple accreditation program for Educators are outlined in the WADA Model Guidelines for Education, including best practice examples of interventions that can be implemented.]

own disciplinary action should insufficient evidence prevent an anti-doping rule violation being brought forward.

~~18.4~~ Coordination and Cooperation

~~WADA shall act as a central clearinghouse for informational and educational resources and/or programs developed by WADA or Anti-Doping Organizations.~~

~~All Signatories and Athletes and other Persons shall cooperate with each other and governments to coordinate their efforts in anti-doping information and education in order to share experience and ensure the effectiveness of these programs in preventing doping in sport.~~

ARTICLE 19 RESEARCH

19.1 Purpose and Aims of Anti-Doping Research

Anti-doping research contributes to the development and implementation of efficient programs within *Doping Control* and to information and *education* regarding doping-free sport.

All *Signatories* and WADA shall, in cooperation with each other and governments, encourage and promote such research and take all reasonable measures to ensure that the results of such research are used for the promotion of the goals that are consistent with the principles of the *Code*.

19.2 Types of Research

Relevant anti-doping research may include, for example, sociological, behavioral, juridical and ethical studies in addition to scientific, medical, analytical, statistical and physiological investigation. ~~Studies~~Without limiting the foregoing, studies on devising and evaluating the efficacy of scientifically-based physiological and psychological training programs that are consistent with the principles of the *Code* and respectful of the integrity of the human subjects, as well as studies on the *Use* of emerging substances or methods resulting from scientific developments should be conducted.

19.3 Coordination of Research and Sharing of Results

Coordination of anti-doping research through WADA is essential. Subject to intellectual property rights, ~~copies~~the results of such anti-doping research ~~results~~ shall be provided to WADA and, where appropriate, shared with relevant *Signatories* and *Athletes* and other stakeholders.

19.4 Research Practices

Anti-doping research shall comply with internationally- recognized ethical practices.

19.5 Research Using *Prohibited Substances* and *Prohibited Methods*

Research efforts should avoid the *Administration of Prohibited Substances* or *Prohibited Methods* to *Athletes*.

19.6 Misuse of Results

Adequate precautions should be taken so that the results of anti-doping research are not misused and applied for doping purposes.



PART THREE

ROLES AND RESPONSIBILITIES

All Signatories and WADA shall act in a spirit of partnership and collaboration in order to ensure the success of the fight against doping in sport and the respect of the Code.⁷⁴¹⁰¹

⁷⁴¹⁰¹ [Comment: Responsibilities for Signatories and Athletes or other Persons are addressed in various Articles in the Code and the responsibilities listed in this part are additional to these responsibilities.]

ARTICLE 20 ADDITIONAL ROLES AND RESPONSIBILITIES OF SIGNATORIES AND WADA

Each Anti-Doping Organization may delegate aspects of Doping Control or anti-doping Education for which it is responsible but remains fully responsible for ensuring that any aspect it delegates is performed in compliance with the Code. To the extent such delegation is made to a Delegated Third Party that is not a Signatory, the agreement with the Delegated Third Party shall require its compliance with the Code and International Standards.¹⁰²

20.1 Roles and Responsibilities of the International Olympic Committee

- 20.1.1 To adopt and implement anti-doping policies and rules for the Olympic Games which conform with the Code and the International Standards.
- 20.1.2 To require, as a condition of recognition by the International Olympic Committee, that International Federations and *National Olympic Committees* within the Olympic Movement are in compliance with the Code and the International Standards.
- 20.1.3 To withhold some or all Olympic funding and/or other benefits from sport organizations that are not in compliance with the Code and/or the International Standards, where required under Article ~~23.5~~24.1.
- 20.1.4 To take appropriate action to discourage non-compliance with the Code and the International Standards (a) by Signatories, in accordance with Article ~~23.5~~24.1 and the *International Standard for Code Compliance by Signatories*, and (b) by any other sporting body over which it has authority, in accordance with Article 12.
- 20.1.5 To authorize and facilitate the *Independent Observer Program*.
- 20.1.6 To require all ~~Athletes and each Athlete Support Person who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel~~ preparing for or participating in the Olympic Games, and all Athlete Support Personnel associated with such Athletes, to agree to and be bound by anti-doping rules in conformity with the Code as a condition of such participation or involvement.
- 20.1.7 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed Delegated Third Parties), who are involved in any aspect of Doping Control, to agree to be bound by anti-doping rules as Persons in conformity with the Code for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the Signatory.
- 20.1.8 Subject to applicable law, to not knowingly employ a Person in any position involving Doping Control (other than authorized anti-doping Education or rehabilitation

¹⁰² [Comment to Article 20: Obviously, an Anti-Doping Organization is not responsible for a failure to comply with the Code by its non-Signatory Delegated Third Parties if the Delegated Third Party's failure is committed in connection with services provided to a different Anti-Doping Organization. For example, if FINA and FIBA both delegate aspects of Doping Control to the same non-Signatory Delegated Third Party, and the provider fails to comply with the Code in performing the services for FINA, only FINA and not FIBA would be responsible for the failure. However, Anti-Doping Organizations shall contractually require Delegated Third Parties to whom they have delegated anti-doping responsibilities to report to the Anti-Doping Organization any finding of non-compliance by the Delegated Third Parties.]

programs) who is Provisionally Suspended or is serving a period of Ineligibility under the Code or, if a Person was not subject to the Code, who has directly and intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person.

20.1.9 ~~20.1.7~~ To vigorously pursue all potential anti-doping rule violations within its ~~jurisdiction~~authority including investigation into whether *Athlete Support Personnel* or other *Persons* may have been involved in each case of doping.

20.1.10 To plan, implement, evaluate and promote anti-doping Education in line with the requirements of the International Standard for Education.

20.1.11 ~~20.1.8~~ To accept bids for the Olympic Games only from countries where the government has ratified, accepted, approved or acceded to the *UNESCO Convention*, and (where required under Article 24.1.9) to not accept bids for Events from countries where the National Olympic Committee, the National Paralympic Committee and/or the National Anti-Doping Organization are is not in compliance with the *Code*.

~~20.1.9~~ ~~— To promote anti-doping education or the International Standards.~~

20.1.12 ~~20.1.10~~ To cooperate with relevant national organizations and agencies and other *Anti-Doping Organizations*.

20.1.13 To respect the operational independence of laboratories as provided in the International Standard for Laboratories.

20.1.14 To adopt a policy or rule implementing Article 2.11.

20.2 Roles and Responsibilities of the International Paralympic Committee

20.2.1 To adopt and implement anti-doping policies and rules for the Paralympic Games which conform with the *Code* and the International Standards.

20.2.2 To require, as a condition of membership of the International Paralympic Committee, that International Federations and National Paralympic Committees within the Paralympic Movement are in compliance with the *Code* and the International Standards.

20.2.3 To withhold some or all Paralympic funding and/or other benefits from sport organizations that are not in compliance with the *Code* and/or the International Standards, where required under Article ~~23.5~~24.1.

20.2.4 To take appropriate action to discourage non-compliance with the *Code* and the International Standards (a) by Signatories, in accordance with Article ~~23.5~~24.1 and the *International Standard for Code Compliance by Signatories*, and (b) by any other sporting body over which it has authority, in accordance with Article 12.

20.2.5 To authorize and facilitate the *Independent Observer Program*.

20.2.6 To require all ~~Athletes and each Athlete Support Person who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel~~ preparing for or participating in the Paralympic Games, and all Athlete Support Personnel associated with such Athletes, to agree to and be bound by anti-doping rules in conformity with the Code as a condition of such participation or involvement.

20.2.7 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed Delegated Third Parties), who are involved in any aspect of Doping Control, to agree to be bound by anti-doping rules as Persons in conformity with the Code for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the Signatory.

20.2.8 Subject to applicable law, to not knowingly employ a Person in any position involving Doping Control (other than authorized anti-doping Education or rehabilitation programs) who is Provisionally Suspended or is serving a period of Ineligibility under the Code or, if a Person was not subject to the Code, who has directly and intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person.

20.2.9 To plan, implement, evaluate and promote anti-doping Education in line with the requirements of the International Standard for Education.

20.2.10 ~~20.2.7~~ To vigorously pursue all potential anti-doping rule violations within its ~~jurisdiction~~ authority including investigation into whether Athlete Support Personnel or other Persons may have been involved in each case of doping.

~~20.2.8 — To promote anti-doping education.~~

20.2.11 ~~20.2.9~~ To cooperate with relevant national organizations and agencies and other Anti-Doping Organizations.

20.2.12 To respect the operational independence of laboratories as provided in the International Standard for Laboratories.

20.3 Roles and Responsibilities of International Federations

20.3.1 To adopt and implement anti-doping policies and rules which conform with the Code and International Standards.

20.3.2 To require, as a condition of membership, that the policies, rules and programs of their National Federations and other members are in compliance with the Code and the International Standards, and to take appropriate action to enforce ~~that condition~~ such compliance; areas of compliance shall include but not be limited to: (i) requiring that their National Federations conduct Testing only under the documented authority of their International Federation and use their National Anti-Doping Organization or other Sample collection authority to collect Samples in compliance with the International Standard for Testing and Investigations; (ii) requiring that their National Federations recognize the authority of the National Anti-Doping Organization in their country in accordance with Article 5.2.1 and assist as appropriate with the National Anti-Doping Organization's implementation of the

national Testing program for their sport; (iii) requiring that their National Federations analyze all Samples collected using a WADA-accredited or WADA-approved laboratory in accordance with Article 6.1; and (iv) requiring that any national level anti-doping rule violation cases discovered by their National Federations are adjudicated by an Operationally Independent hearing panel in accordance with Article 8.1 and the International Standard for Results Management.

20.3.3 ~~To require all Athletes and each Athlete Support Person who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel~~preparing for or participating in a Competition or activity authorized or organized by the International Federation or one of its member organizations, and all Athlete Support Personnel associated with such Athletes, to agree to and be bound by anti-doping rules in conformity with the Code as a condition of such participation or involvement.

20.3.4 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed Delegated Third Parties), who are involved in any aspect of Doping Control, to agree to be bound by anti-doping rules as Persons in conformity with the Code for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the Signatory.

20.3.5 Subject to applicable law, to not knowingly employ a Person in any position involving Doping Control (other than authorized anti-doping Education or rehabilitation programs) who is Provisionally Suspended or is serving a period of Ineligibility under the Code or, if a Person was not subject to the Code, who has directly and intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person.

20.3.6 ~~20.3.4~~ To require Athletes who are not regular members of the International Federation or one of its member National Federations to be available for Sample collection and to provide accurate and up-to-date whereabouts information as part of the International Federation's Registered Testing Pool consistent with the conditions for eligibility established by the International Federation or, as applicable, the Major Event Organization.⁷⁵¹⁰³

20.3.7 ~~20.3.5~~ To require each of their National Federations to establish rules requiring all Athletes ~~and each Athlete Support Person who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel~~preparing for or participating in a Competition or activity authorized or organized by a National Federation or one of its member organizations, and all Athlete Support Personnel associated with such Athletes, to agree to be bound by anti-doping rules and the Results Management authority of Anti-Doping Organization ~~results management authority~~ in conformity with the Code as a condition of such participation.

20.3.8 ~~20.3.6~~ To require National Federations to report any information suggesting or relating to an anti-doping rule violation to their National Anti-Doping Organization and International Federation and to cooperate with investigations conducted by any Anti-Doping Organization with authority to conduct the investigation.

⁷⁵¹⁰³ [Comment to Article ~~20.3.4~~ 20.3.6: This would include, for example, Athletes from professional leagues.]

- [20.3.9](#) ~~20.3.7~~ To take appropriate action to discourage non-compliance with the *Code* and the *International Standards* (a) by *Signatories*, in accordance with Article ~~23.5~~24.1 and the *International Standard* for Code Compliance by *Signatories*, and (b) by any other sporting body over which they have authority, in accordance with Article 12.
- [20.3.10](#) ~~20.3.8~~ To authorize and facilitate the *Independent Observer Program* at *International Events*.
- [20.3.11](#) ~~20.3.9~~ To withhold some or all funding to their member or recognized National Federations that are not in compliance with the *Code* and/or the *International Standards*.
- [20.3.12](#) ~~20.3.10~~ To vigorously pursue all potential anti-doping rule violations within their ~~jurisdiction~~authority including investigation into whether *Athlete Support Personnel* or other *Persons* may have been involved in each case of doping, to ensure proper enforcement of *Consequences*, and to conduct an automatic investigation of *Athlete Support Personnel* in the case of any anti-doping rule violation involving a ~~Minor~~Protected Person or *Athlete Support Person* who has provided support to more than one *Athlete* found to have committed an anti-doping rule violation.
- [20.3.13](#) To plan, implement, evaluate and promote anti-doping *Education* in line with the requirements of the *International Standard* for *Education*, including requiring National Federations to conduct anti-doping *Education* in coordination with the applicable *National Anti-Doping Organization*.
- [20.3.14](#) ~~20.3.11~~ To accept bids for World Championships and other *International Events* only from countries where the government has ratified, accepted, approved or acceded to the *UNESCO Convention*, and (where required under Article 24.1.9) to not accept bids for Events from countries where the *National Olympic Committee*, the *National Paralympic Committee* and/or the *National Anti-Doping Organization* ~~are~~is not in compliance with the *Code*.
- ~~20.3.12~~ ~~To promote anti-doping education, including requiring National Federations to conduct anti-doping education in coordination with the applicable National Anti-Doping Organization, or the *International Standards*.~~
- [20.3.15](#) ~~20.3.13~~ To cooperate with relevant national organizations and agencies and other *Anti-Doping Organizations*.
- [20.3.16](#) ~~20.3.14~~ To cooperate fully with WADA in connection with investigations conducted by WADA pursuant to Article ~~20.7.10~~20.7.14.
- [20.3.17](#) ~~20.3.15~~ To have disciplinary rules in place and require National Federations to have disciplinary rules in place to prevent *Athlete Support Personnel* who are *Using Prohibited Substances* or *Prohibited Methods* without valid justification from providing support to *Athletes* within the International Federation's or National Federation's authority.
- [20.3.18](#) To respect the operational independence of laboratories as provided in the *International Standard* for Laboratories.
- [20.3.19](#) To adopt a policy or rule implementing Article 2.11.

20.4 Roles and Responsibilities of *National Olympic Committees* and National Paralympic Committees

- 20.4.1 To ensure that their anti-doping policies and rules conform with the *Code* and the *International Standards*.
- 20.4.2 To require, as a condition of membership ~~or recognition~~, that the policies, rules and programs of their National Federations' ~~anti-doping policies~~ and ~~rules~~ other members are in compliance with the ~~applicable provisions of the Code~~ and the *International Standards*, and to take appropriate action to enforce ~~that condition~~ such compliance.
- 20.4.3 To respect the autonomy of the *National Anti-Doping Organization* in their country and not to interfere in its operational decisions and activities.
- 20.4.4 To require National Federations to report any information suggesting or relating to an anti-doping rule violation to their *National Anti-Doping Organization* and International Federation and to cooperate with investigations conducted by any *Anti-Doping Organization* with authority to conduct the investigation.
- 20.4.5 To require, as a condition of participation in the Olympic Games and Paralympic Games that, at a minimum, *Athletes* who are not regular members of a National Federation be available for *Sample* collection and to provide whereabouts information as required by the *International Standard for Testing and Investigations* as soon as the *Athlete* is identified on the long list or subsequent entry document submitted in connection with the Olympic Games or Paralympic Games.
- 20.4.6 To cooperate with their *National Anti-Doping Organization* and to work with their government to establish a *National Anti-Doping Organization* where one does not already exist, provided that, in the interim, the *National Olympic Committee* or its designee shall fulfill the responsibility of a *National Anti-Doping Organization*. ~~20.4.6.1~~ — For those countries that are members of a *Regional Anti-Doping Organization*, the *National Olympic Committee*, in cooperation with the government, shall maintain an active and supportive role with their respective *Regional Anti-Doping Organizations* Organization.
- 20.4.7 To require each of their National Federations to establish rules (or other means) requiring ~~each Athlete Support Person who participates as a coach, trainer, manager, team staff, official, medical or para-medical personnel~~ all *Athletes* preparing for or participating in a *Competition* or activity authorized or organized by a National Federation or one of its member organizations, and all *Athlete Support Personnel* associated with such *Athletes*, to agree to and be bound by anti-doping rules and *Anti-Doping Organization* ~~results management~~ Results Management authority in conformity with the *Code* as a condition of such participation or involvement.
- 20.4.8 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed *Delegated Third Parties*), who are involved in any aspect of *Doping Control*, to agree to be bound by anti-doping rules as *Persons* in conformity with the *Code* for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the *Signatory*.

- [20.4.9](#) Subject to applicable law, to not knowingly employ a *Person* in any position involving *Doping Control* (other than authorized anti-doping *Education* or rehabilitation programs) who is *Provisionally Suspended* or is serving a period of *Ineligibility* under the *Code* or, if a *Person* was not subject to the *Code*, who has directly and intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if *Code-compliant* rules had been applicable to such *Person*.
- [20.4.10](#) ~~20.4.8~~ To withhold some or all funding, during any period of ~~his or her~~ *Ineligibility*, to any *Athlete* or *Athlete Support Person* who has violated anti-doping rules.
- [20.4.11](#) ~~20.4.9~~ To withhold some or all funding to their member or recognized National Federations that are not in compliance with the *Code* and/or the *International Standards*.
- [20.4.12](#) To plan, implement, evaluate and promote anti-doping *Education* in line with the requirements of the *International Standard for Education*, including requiring National Federations to conduct anti-doping *Education* in coordination with the applicable *National Anti-Doping Organization*.
- [20.4.13](#) ~~20.4.10~~ To vigorously pursue all potential anti-doping rule violations within their ~~jurisdiction~~ authority including investigation into whether *Athlete Support Personnel* or other *Persons* may have been involved in each case of doping.
- ~~20.4.11 — To promote anti-doping education, including requiring National Federations to conduct anti-doping education in coordination with the applicable National Anti-Doping Organization.~~
- [20.4.14](#) ~~20.4.12~~ To cooperate with relevant national organizations and agencies and other *Anti-Doping Organizations*.
- [20.4.15](#) ~~20.4.13~~ To have disciplinary rules in place to prevent *Athlete Support Personnel* who are *Using Prohibited Substances* or *Prohibited Methods* without valid justification from providing support to *Athletes* within the *National Olympic Committee's* or *National Paralympic Committee's* authority.
- [20.4.16](#) To respect the operational independence of laboratories as provided in the *International Standard for Laboratories*.
- [20.4.17](#) To adopt a policy or rule implementing Article 2.11.
- [20.4.18](#) To take appropriate action to discourage non-compliance with the *Code* and the *International Standards* (a) by *Signatories*, in accordance with Article 24.1 and the *International Standard for Code Compliance by Signatories* and (b) by any other sporting body over which it has authority, in accordance with Article 12.

20.5 Roles and Responsibilities of *National Anti-Doping Organizations*⁷⁶¹⁰⁴

- 20.5.1 To be independent in their operational decisions and activities from sport and government, including without limitation by prohibiting any involvement in their operational decisions or activities by any Person who is at the same time involved in the management or operations of any International Federation, National Federation, Major Event Organization, National Olympic Committee, National Paralympic Committee, or government department with responsibility for sport or anti-doping.¹⁰⁵
- 20.5.2 To adopt and implement anti-doping rules and policies which conform with the Code and the International Standards.
- 20.5.3 To cooperate with other relevant national organizations and agencies and other *Anti-Doping Organizations*.
- 20.5.4 To encourage reciprocal *Testing* between ~~National~~ *Anti-Doping Organizations*.
- 20.5.5 To promote anti-doping research.
- 20.5.6 Where funding is provided, to withhold some or all funding, during any period of ~~his or her~~ *Ineligibility*, to any *Athlete* or *Athlete Support Person* who has violated anti-doping rules.
- 20.5.7 To vigorously pursue all potential anti-doping rule violations within their ~~jurisdiction~~ authority including investigation into whether *Athlete Support Personnel* or other *Persons* may have been involved in each case of doping and to ensure proper enforcement of *Consequences*.
- 20.5.8 ~~To promote anti-doping education.~~ To plan, implement, evaluate and promote anti-doping Education in line with the requirements of the International Standard for Education.
- 20.5.9 Each National Anti-Doping Organization shall be the authority on Education within their respective countries.
- 20.5.10 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed Delegated Third Parties), who are involved in any aspect of Doping Control, to agree to be bound by anti-doping rules as Persons in conformity with the Code for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the Signatory.
- 20.5.11 Subject to applicable law, to not knowingly employ a Person in any position involving Doping Control (other than authorized anti-doping Education or rehabilitation programs) who is Provisionally Suspended or is serving a period of Ineligibility under the Code or, if a Person was not subject to the Code, who has directly and

⁷⁶¹⁰⁴ [Comment to Article 20.5: For some ~~Article may be delegated by their~~ smaller countries, a number of the responsibilities described in this Article may be delegated by their National Anti-Doping Organization to a ~~responsibilities described in this~~ Regional Anti-Doping Organization.]

¹⁰⁵ [Comment to Article 20.5.1: This would not, for example, prohibit a National Anti-Doping Organization from acting as a Delegated Third Party for a Major Event Organization or other Anti-Doping Organization.]

intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person.

- 20.5.12 ~~20.5.9~~ To conduct an automatic investigation of *Athlete Support Personnel* within their ~~jurisdiction~~authority in the case of any anti-doping rule violation by a ~~Minor~~Protected Person and to conduct an automatic investigation of any *Athlete Support Person* who has provided support to more than one *Athlete* found to have committed an anti-doping rule violation.
- 20.5.13 ~~20.5.10~~ To cooperate fully with WADA in connection with investigations conducted by WADA pursuant to Article ~~20.7.10~~20.7.14.
- 20.5.14 To respect the operational independence of laboratories as provided in the *International Standard for Laboratories*.
- 20.5.15 To adopt a policy or rule implementing Article 2.11.
- 20.5.16 To take appropriate action to discourage non-compliance with the Code and the *International Standards* (a) by *Signatories*, in accordance with Article 24.1 and the *International Standard for Code Compliance by Signatories* and (b) by any other sporting body over which it has authority, in accordance with Article 12.

20.6 Roles and Responsibilities of Major Event Organizations

- 20.6.1 To adopt and implement anti-doping policies and rules for ~~their~~its *Events* which conform with the Code and the *International Standards*.
- 20.6.2 To take appropriate action to discourage non-compliance with the Code and the *International Standards* (a) by *Signatories*, in accordance with Article ~~23.5~~24.1 and the *International Standard for Code Compliance by Signatories*, and (b) by any other sporting body over which it has authority, in accordance with Article 12.
- 20.6.3 To authorize and facilitate the *Independent Observer Program*.
- 20.6.4 To require all *Athletes* preparing for or participating in the Event, and ~~each~~all *Athlete Support Person* ~~who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel in the Event~~Personnel associated with such Athletes, to agree to and be bound by anti-doping rules in conformity with the Code as a condition of such participation or involvement.
- 20.6.5 Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed *Delegated Third Parties*), who are involved in any aspect of *Doping Control*, to agree to be bound by anti-doping rules as *Persons* in conformity with the Code for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the *Signatory*.
- 20.6.6 Subject to applicable law, to not knowingly employ a *Person* in any position involving *Doping Control* (other than authorized anti-doping *Education* or rehabilitation programs) who is *Provisionally Suspended* or is serving a period of *Ineligibility* under the Code or, if a *Person* was not subject to the Code, who has directly and

intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person.

20.6.7 ~~20.6.5~~—To vigorously pursue all potential anti-doping rule violations within its ~~jurisdiction~~authority including investigation into whether *Athlete Support Personnel* or other *Persons* may have been involved in each case of doping.

20.6.8 To plan, implement, evaluate and promote anti-doping Education in line with the requirements of the International Standard for Education.

20.6.9 ~~20.6.6~~—To accept bids for *Events* only from countries where the government has ratified, accepted, approved or acceded to the *UNESCO Convention*, and (where required under Article 24.1.9) to not accept bids for Events from countries where the National Olympic Committee, the National Paralympic Committee and/or the National Anti-Doping Organization are is not in compliance with the *Code* or the International Standards.

~~20.6.7——To promote anti-doping education.~~

20.6.10 ~~20.6.8~~—To cooperate with relevant national organizations and agencies and other *Anti-Doping Organizations*.

20.6.11 To respect the operational independence of laboratories as provided in the International Standard for Laboratories.

20.6.12 To adopt a policy or rule implementing Article 2.11.

20.7 Roles and Responsibilities of WADA

20.8 To accept the Code and commit to fulfill its roles and responsibilities under the Code through a declaration approved by WADA's Foundation Board.¹⁰⁶

20.8.1

20.8.2 ~~20.7.1~~—To adopt and implement policies and procedures which conform with the *Code* and the International Standards.

20.8.3 ~~20.7.2~~—To provide support and guidance to *Signatories* in their efforts to comply with the *Code* and the *International Standards*, ~~to and~~ monitor such compliance by Signatories, to notify Signatories of instances of non-conformity and explain what must be done to correct them, to secure the imposition of appropriate consequences when a Signatory does not correct the non-conformity, as well as conditions that the Signatory must satisfy in order to be reinstated to the list of Code-compliant Signatories, and to verify the fulfilment of those conditions, all in accordance with Article 24.1 of the Code and the *International Standard for Code Compliance by Signatories*.

20.8.4 ~~20.7.3~~—To approve *International Standards* applicable to the implementation of the *Code*.

¹⁰⁶ [Comment to Article 20.7.1: WADA cannot be a Signatory because of its role in monitoring Signatory compliance with the Code.]

- [20.8.5](#) ~~20.7.4~~ To accredit and reaccredit laboratories to conduct *Sample* analysis or to approve others to conduct *Sample* analysis.
- [20.8.6](#) ~~20.7.5~~ To develop and publish guidelines and models of best practice.
- [20.8.7](#) To submit to the WADA Executive Committee for approval, upon the recommendation of the WADA Athletes Committee the Athletes' Anti-Doping Rights Act which compiles in one place those Athletes' rights which are specifically identified in the Code and International Standards, and other agreed upon principles of best practice with respect to the overall protection of Athletes' rights in the context of anti-doping.
- [20.8.8](#) ~~20.7.6~~ To promote, conduct, commission, fund and coordinate anti-doping research and to promote anti-doping ~~education~~ Education.
- [20.8.9](#) ~~20.7.7~~ To design and conduct an effective *Independent Observer Program* and other types of *Event* advisory programs.
- [20.8.10](#) ~~20.7.8~~ To conduct, in exceptional circumstances and at the direction of the WADA Director General, ~~Doping Controls~~ Testing on its own initiative or as requested by other *Anti-Doping Organizations*, and to cooperate with relevant national and international organizations and agencies, including but not limited to, facilitating inquiries and investigations. ⁷⁷¹⁰⁷
- [20.8.11](#)
- [20.8.12](#) ~~20.7.9~~ To approve, in consultation with International Federations, *National Anti-Doping Organizations*, and *Major Event Organizations*, defined *Testing* and *Sample* analysis programs.
- [20.8.13](#) Subject to applicable law, as a condition of such position or involvement, to require all of its board members, directors, officers, and those employees (and those of appointed *Delegated Third Parties*), who are involved in any aspect of *Doping Control*, to agree to be bound by anti-doping rules as *Persons* in conformity with the *Code* for direct and intentional misconduct, or to be bound by comparable rules and regulations put in place by the *Signatory*.
- [20.8.14](#) Subject to applicable law, to not knowingly employ a *Person* in any position involving *Doping Control* (other than authorized anti-doping *Education* or rehabilitation programs) who is *Provisionally Suspended* or is serving a period of *Ineligibility* under the *Code* or, if a *Person* was not subject to the *Code*, who has directly and intentionally engaged in conduct within the previous six (6) years which would have constituted a violation of anti-doping rules if *Code*-compliant rules had been applicable to such *Person*.
- [20.8.15](#) ~~20.7.10~~ To initiate its own investigations of anti-doping rule violations, non-compliance of *Signatories* and *WADA*-accredited laboratories, and other activities that may facilitate doping.

⁷⁷¹⁰⁷ [Comment to Article ~~20.7.8~~ 20.7.10: WADA is ~~problems have been brought~~ not a *Testing* agency, but it reserves ~~to the attention of the relevant~~ the right, in exceptional circumstances, to conduct its own tests where problems have been brought to the attention of the relevant *Anti-Doping Organization* and have ~~to conduct its own tests where~~ not been satisfactorily addressed.]]

20.9 [Cooperation Regarding Third Party Regulations](#)

[Signatories shall cooperate with each other, WADA and governments to encourage professional associations and institutions with authority over Athlete Support Personnel who are otherwise not subject to the Code to implement regulations prohibiting conduct which would be considered an anti-doping rule violation if committed by Athlete Support Personnel who are subject to the Code.](#)

ARTICLE 21 ADDITIONAL ROLES AND RESPONSIBILITIES OF ATHLETES AND OTHER PERSONS

21.1 Roles and Responsibilities of *Athletes*

- 21.1.1 To be knowledgeable of and comply with all applicable anti-doping policies and rules adopted pursuant to the *Code*.
- 21.1.2 To be available for *Sample* collection at all times. ⁷⁸¹⁰⁸
- 21.1.3 To take responsibility, in the context of anti-doping, for what they ingest and *Use*.
- 21.1.4 To inform medical personnel of their obligation not to *Use Prohibited Substances* and *Prohibited Methods* and to take responsibility to make sure that any medical treatment received does not violate anti-doping policies and rules adopted pursuant to the *Code*.
- 21.1.5 To disclose to their *National Anti-Doping Organization* and International Federation any decision by a non-*Signatory* finding that the *Athlete* committed an anti-doping rule violation within the previous ten [\(10\)](#) years.
- 21.1.6 To cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations. ⁷⁹¹⁰⁹
- [21.1.7](#) [To disclose the identity of their Athlete Support Personnel upon request by any Anti-Doping Organization with authority over the Athlete.](#)

21.2 Roles and Responsibilities of *Athlete Support Personnel*

- 21.2.1 To be knowledgeable of and comply with all anti-doping policies and rules adopted pursuant to the *Code* and which are applicable to them or the *Athletes* whom they support.
- 21.2.2 To cooperate with the *Athlete Testing* program.
- 21.2.3 To use ~~his or her~~[their](#) influence on *Athlete* values and behavior to foster anti-doping attitudes.

⁷⁸¹⁰⁸ [\[Comment to Article 21.1.2: With due regard to an Athlete's human rights and privacy, legitimate anti-doping considerations sometimes require Sample collection late at night or early in the morning. For example, it is known that some Athletes Use low doses of EPO during these hours so that it will be undetectable in the morning.\]](#)

⁷⁹¹⁰⁹ [\[Comment to Article 21.1.6: Failure to cooperate is not an anti-doping rule violation under the Code, but it may be the basis for disciplinary action under a stakeholder Signatory's rules.\]](#)

- 21.2.4 To disclose to ~~his or her~~their *National Anti-Doping Organization* and International Federation any decision by a non-Signatory finding that ~~he or she~~they committed an anti-doping rule violation within the previous ten (10) years.
- 21.2.5 To cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations. ⁸⁰¹¹⁰
- 21.2.6 *Athlete Support Personnel* shall not Use or Possess any Prohibited Substance or Prohibited Method without valid justification. ⁸⁴¹¹¹

21.3 Roles and Responsibilities of Other Persons Subject to the Code

- 21.3.1 To be knowledgeable of and comply with all anti-doping policies and rules adopted pursuant to the Code and which are applicable to them.
- 21.3.2 To disclose to their *National Anti-Doping Organization* and International Federation any decision by a non-Signatory finding that they committed an anti-doping rule violation within the previous ten (10) years.
- 21.3.3 To cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations.

21.4 ~~21.3~~ Roles and Responsibilities of *Regional Anti-Doping Organizations*

- 21.4.1 ~~21.3.1~~ To ensure member countries adopt and implement rules, policies and programs which conform with the Code.
- 21.4.2 ~~21.3.2~~ To require, as a condition of membership, that a member country sign an official *Regional Anti-Doping Organization* membership form which clearly outlines the delegation of anti-doping responsibilities to the *Regional Anti-Doping Organization*.
- 21.4.3 ~~21.3.3~~ To cooperate with other relevant national and regional organizations and agencies and other *Anti-Doping Organizations*.
- 21.4.4 ~~21.3.4~~ To encourage reciprocal *Testing* between *National Anti-Doping Organizations* and *Regional Anti-Doping Organizations*.
- 21.4.5 To promote and assist with capacity building among relevant *Anti-Doping Organizations*.
- 21.4.6 ~~21.3.5~~ To promote anti-doping research.
- 21.4.7 ~~21.3.6~~ To plan, implement, evaluate and promote anti-doping ~~education~~Education in line with the requirements of the *International Standard for Education*.

⁸⁰¹¹⁰ [Comment to Article 21.2.5: Failure to cooperate is not an anti-doping rule violation under the Code, but it may be the basis for disciplinary action under a ~~stakeholder~~Signatory's rules.]

⁸⁴¹¹¹ [Comment to Article 21.2.6: In those situations where Use or personal Possession of a Prohibited Substance or Prohibited Method by an Athlete Support Person without justification is not an anti-doping rule violation under the Code, it should be subject to other sport disciplinary rules. Coaches and other Athlete Support Personnel are often role models for Athletes. They should not be engaging in personal conduct which conflicts with their responsibility to encourage their Athletes not to dope.]

21.4.8 INVOLVEMENT OF GOVERNMENTS⁸²¹¹²

ARTICLE 22

Each government's commitment to the *Code* will be evidenced by its signing the Copenhagen Declaration on Anti-Doping in Sport of 3 March 2003, and by ratifying, accepting, approving or acceding to the *UNESCO Convention*.

The *Signatories* are aware that any action taken by a government is a matter for that government and subject to the obligations under international law as well as to its own laws and regulations. While governments are bound only by the requirements of the relevant international intergovernmental treaties (and notably of the *UNESCO Convention*), the following Articles set forth the expectations of the *Signatories* to support them in the implementation of the *Code*.

~~22.1~~ 22.1 Each government ~~will~~should take all actions and measures necessary to comply with the *UNESCO Convention*.

~~22.2~~ 22.2 Each government ~~will~~should put in place legislation, regulation, policies or administrative practices for : cooperation and sharing of information with *Anti-Doping Organizations* ~~and~~; sharing of data among *Anti-Doping Organizations* as provided in the *Code*; unrestricted transport of urine and blood Samples in a manner that maintains their security and integrity; and unrestricted entry and exit of *Doping Control* officials and unrestricted access for *Doping Control* officials to all areas where *International-Level Athletes* or *National-Level Athletes* live or train to conduct no advance notice Testing, subject to applicable border control, immigration and access requirements and regulations.

22.3 Each government should adopt rules, regulations or policies to discipline officials and employees who are involved in *Doping Control*, sport performance or medical care in a sport setting, including in a supervisory capacity, for engaging in activities which would have constituted a violation of anti-doping rules if *Code*-compliant rules had been applicable to such *Persons*.

22.4 Each government should not permit any *Person* to be involved in any position involving *Doping Control*, sport performance or medical care in a sport setting, including in a supervisory capacity, where such *Person*: (i) is serving a period of *Ineligibility* for an anti-doping rule violation under the *Code*, or (ii) if not subject to the authority of an *Anti-Doping Organization*, and where *Ineligibility* has not been addressed in a *Results Management* process pursuant to the *Code*, has been convicted or found in a criminal, disciplinary or professional proceeding to have engaged in conduct which would have constituted a violation of anti-doping rules if *Code*-compliant rules had been applicable to such *Person*, in which case the disqualifying status of such *Person* should be in force for the longer of six (6) years from the criminal, professional or disciplinary decision or the duration of the criminal, disciplinary or professional sanction imposed.

~~22.3~~ 22.5 Each government ~~will~~should encourage cooperation between all of its public services or agencies and *Anti-Doping Organizations* to timely share information with *Anti-Doping Organizations* which

⁸²¹¹² [Comment to Article 22: Most governments cannot be parties to, or be bound by, private non-governmental instruments such as the *Code*. For that reason, governments are not asked to be *Signatories* to the *Code* but rather to sign the Copenhagen Declaration and ratify, accept, approve or accede to the *UNESCO Convention*. Although the acceptance mechanisms may be different, the effort to combat doping through the coordinated and harmonized program reflected in the *Code* is very much a joint effort between the sport movement and governments.]
This Article sets forth what the *Signatories* clearly expect from governments. However, these are simply "expectations" since governments are only "obligated" to adhere to the requirements of the *UNESCO Convention*.]

would be useful in the fight against doping and where to do so would not otherwise be legally prohibited.

~~22.4-22.6~~ Each government ~~will~~should respect arbitration as the preferred means of resolving doping-related disputes, subject to human and fundamental rights and applicable national law.

~~22.5-22.7~~ Each government that does not have a *National Anti-Doping Organization* in its country ~~will~~should work with its *National Olympic Committee* to establish one.

~~22.6-22.8~~ Each government ~~will~~should respect the autonomy of a *National Anti-Doping Organization* in its country or a *Regional Anti-Doping Organization* to which its country belongs and any *WADA-accredited or approved laboratory* in its country and not interfere in ~~its~~their operational decisions and activities.

~~22.7~~ — A government should meet the expectations of Article 22.2 no later than 1 January 2016. The other sections of this Article should already have been met.

~~22.9~~ Each government should not limit or restrict *WADA's* access to any doping *Samples* or anti-doping records or information held or controlled by any *Signatory*, member of a *Signatory* or *WADA-accredited or approved laboratory*.

~~22.8-22.10~~ Failure by a government to ratify, accept, approve or accede to the *UNESCO Convention*, ~~or to comply with the *UNESCO Convention* thereafter~~ may result in ineligibility to bid for and/or host Events as provided in Articles ~~20.1.8, 20.3.11, 20.1.11, 20.3.14~~ and ~~20.6.6~~20.6.9, and ~~the failure by a government to comply with the *UNESCO Convention* thereafter, as determined by UNESCO,~~ may result in additional meaningful consequences, e.g., forfeiture of offices by UNESCO and positions within WADA; ineligibility or non-admission of any candidature to hold any International Event in a country; cancellation of International Events; symbolic consequences and other consequences pursuant to the Olympic Charter as determined by each organization.

A world map graphic composed of small white dots on a green background, positioned at the top of the page.

PART FOUR

ACCEPTANCE, COMPLIANCE, MODIFICATION AND INTERPRETATION

ARTICLE 23 ACCEPTANCE, COMPLIANCE AND MODIFICATION IMPLEMENTATION

23.1 Acceptance of the Code

23.1.1 The following entities ~~shall~~ may be Signatories ~~accepting to~~ the Code: ~~WADA~~, the International Olympic Committee, International Federations, the International Paralympic Committee, *National Olympic Committees*, National Paralympic Committees, *Major Event Organizations*, ~~and National Anti-Doping Organizations~~ and other organizations having significant relevance in sport. ~~These~~

23.1.2 The International Olympic Committee; International Federations recognized by the International Olympic Committee; the International Paralympic Committee; National Olympic Committees; National Paralympic Committees; National Anti-Doping Organizations; and Major Event Organizations recognized by one or more of the aforementioned entities shall accept the Code become Signatories by signing a declaration of acceptance upon approval by each another form of their respective governing bodies acceptance determined to be acceptable by WADA.⁸³

~~23.1.2 Other sport organizations that may not be under the control of a Signatory may, upon WADA's invitation, also become a Signatory by accepting the Code.~~⁸⁴

23.1.3 Any other entity described in Article 23.1.1 may submit an application to WADA to become a Signatory which will be reviewed under a policy adopted by WADA. WADA's acceptance of such applications shall be subject to conditions and requirements established by WADA in such policy.¹¹³ Upon acceptance of an application by WADA, the applicant's becoming a Signatory is subject to the applicant signing a declaration of acceptance of the Code and an acceptance of the conditions and requirements established by WADA for such applicant.

23.1.4 ~~23.1.3~~ A list of all acceptances will be made public by WADA.

23.2 Implementation of the Code

23.2.1 The Signatories shall implement applicable Code provisions through policies, statutes, rules or regulations according to their authority and within their relevant spheres of responsibility.

23.2.2 The following Articles as applicable to the scope of the ~~anti-doping activity~~ Anti-Doping Activity which the *Anti-Doping Organization* performs must be implemented by Signatories without substantive change (allowing for any

⁸³ ~~[Comment to Article 23.1.1: Each accepting Signatory will separately sign an identical copy of the standard form common declaration of acceptance and deliver it to WADA. The act of acceptance will be as authorized by the organic documents of each organization. For example, an International Federation by its Congress and WADA by its Foundation Board.]~~

⁸⁴ ~~[Comment to Article 23.1.2: Those professional leagues that are not currently under the jurisdiction of any government or International Federation will be encouraged to accept the Code.]~~

¹¹³ [Comment to Article 23.1.3: For example, these conditions and requirements would include financial contributions by the entity to cover WADA's administrative, monitoring and compliance costs that may be attributable to the application process and the entity's subsequent Signatory status.]

non-substantive changes to the language in order to refer to the organization's name, sport, section numbers, etc.).⁸⁵¹¹⁴

- Article 1 (Definition of Doping)
- Article 2 (Anti-Doping Rule Violations)
- Article 3 (Proof of Doping)
- Article 4.2.2 (*Specified Substances or Specified Methods*)
- [Article 4.2.3 \(Substances of Abuse\)](#)
- Article 4.3.3 (WADA's Determination of the *Prohibited List*)
- Article ~~7.11~~[7.7](#) (Retirement from Sport)
- Article 9 (Automatic *Disqualification* of Individual Results)
- Article 10 (Sanctions on Individuals)
- Article 11 (*Consequences* to Teams)
- Article 13 (Appeals) with the exception of 13.2.2, 13.6, and 13.7
- Article 15.1 (~~Recognition~~[Automatic Binding Effect](#) of Decisions)
- Article 17 (Statute of Limitations)
- Article ~~24~~[26](#) (Interpretation of the *Code*)
- Appendix 1 - Definitions

No additional provision may be added to a *Signatory's* rules which changes the effect of the Articles enumerated in this Article. A *Signatory's* rules must expressly acknowledge the Commentary of the *Code* and endow the Commentary with the same status that it has in the *Code*. However, nothing in the Code precludes a Signatory from having safety, medical, eligibility or Code of Conduct rules which are applicable for purposes other than anti-doping.¹¹⁵

⁸⁵¹¹⁴ [Comment to Article 23.2.2: Nothing in the Code precludes an Anti-Doping Organization from adopting and enforcing its own specific disciplinary rules for conduct by Athlete Support Personnel related to doping but which does not, in and of itself, constitute an anti-doping rule violation under the Code. For example, a National or International Federation could refuse to renew the license of a coach when multiple Athletes have committed anti-doping rule violations while under that coach's supervision.]

¹¹⁵ [Comment to Article 23.2.2: For example, an International Federation could decide, for reputational and health reasons, to have a Code of Conduct rule prohibiting an Athlete's Use or Possession of cocaine Out-of-Competition. In an anti-doping Sample collection Out-of-Competition, such International Federation would be able to have the laboratory test for cocaine as part of the enforcement of its Code of Conduct policy. On the other hand, the International Federation's Code of Conduct could not impose additional sanctions for the Use of cocaine In-Competition since that is already covered by the sanction scheme established in the Code. Other possible examples include rules governing the use of alcohol or oxygen. Similarly, an International Federation could use data from a Doping Control test to monitor eligibility relating to transgender and other eligibility rules.]

23.2.3 In implementing the *Code*, the *Signatories* are encouraged to use the models of best practice recommended by WADA.

23.3 Implementation of Anti-Doping Programs

Signatories shall devote sufficient resources in order to implement anti-doping programs in all areas that are compliant with the *Code* and the *International Standards*.

~~23.4 Compliance with the Code~~

~~Signatories shall not be considered in compliance with the Code until they have accepted and implemented the Code in accordance with Articles 23.1, 23.2, and 23.3. They shall no longer be considered in compliance once acceptance has been withdrawn.~~

ARTICLE 24 MONITORING AND ENFORCING COMPLIANCE WITH THE CODE AND UNESCO CONVENTION

24.1 ~~23.5~~ Monitoring and Enforcing Compliance with the Code¹¹⁶

24.1.1 ~~23.5.1~~ Compliance by *Signatories* with the *Code* and the *International Standards* shall be monitored by WADA in accordance with the *International Standard for Code Compliance by Signatories*.

24.1.2 ~~23.5.2~~ To facilitate such monitoring, each *Signatory* shall report to WADA on its compliance with the *Code* and the *International Standards* as and when required by WADA. As part of that reporting, the *Signatory* shall ~~provide~~ accurately provide all of the information requested by WADA and shall explain the actions it is taking to correct any ~~non-conformities~~ Non-Conformities.

24.1.3 ~~23.5.3~~ Failure by a *Signatory* to provide accurate information in accordance with Article ~~23.5.2~~ 24.1.2 itself constitutes an instance of ~~non-conformity~~ Non-Conformity with the *Code*, as does failure by a *Signatory* to submit accurate information to WADA where required by other Articles of the *Code* or by the *International Standard for Code Compliance by Signatories* or other International Standard.

24.1.4 ~~23.5.4~~ In cases of ~~non-conformity~~ Non-Conformity (whether with reporting obligations or otherwise), WADA shall follow the corrective procedures set out in the *International Standard for Code Compliance by Signatories*. If the *Signatory* or its delegate fails to correct the ~~non-conformity~~ Non-Conformities within the specified timeframe, then (following approval of such course by WADA's Executive Committee) WADA shall send a formal notice to the *Signatory*, ~~asserting~~ alleging that the *Signatory* is non-compliant, specifying the consequences that WADA proposes should apply for such non-compliance from the list of potential consequences set forth in Article 24.1.12, and specifying the conditions that WADA proposes the *Signatory* should have to satisfy in order to be ~~reinstated~~ Reinstated to the list of *Code-compliant Signatories*. That notice will be publicly reported in accordance with the *International Standard for Code Compliance by Signatories*.

¹¹⁶ [Comment to Article 24.1: Defined terms specific to Article 24.1 are set forth at the end of Appendix 1 to the Code.]

- [24.1.5](#) ~~23.5.5~~—If the *Signatory* does not dispute WADA’s ~~assertion~~allegation of non-compliance or the consequences or ~~reinstatement~~Reinstatement conditions proposed by WADA within twenty-one (21) days of receipt of the formal notice, the ~~assertion~~non-compliance alleged will be deemed admitted and the consequences and ~~reinstatement~~Reinstatement conditions proposed will be deemed accepted, the notice will automatically become and will be issued by WADA as a final decision, and (subject only)~~without prejudice~~ to any appeal filed in accordance with Article 13.6) it will be enforceable with immediate effect in accordance with Article ~~23.5.9~~24.1.9. The decision will be publicly reported as provided in the *International Standard for Code Compliance by Signatories* or other International Standards.
- [24.1.6](#) ~~23.5.6~~—If the *Signatory* wishes to dispute WADA’s ~~assertion~~allegation of non-compliance, and/or the consequences and/or the ~~reinstatement~~Reinstatement conditions proposed by WADA, it must notify WADA in writing within twenty-one (21) days of its receipt of the notice from WADA. In that event, WADA shall ~~then~~ file a formal notice of dispute with CAS, and that dispute will be resolved by the CAS Ordinary Arbitration Division in accordance with the *International Standard for Code Compliance by Signatories*. WADA shall have the burden of proving to the CAS Panel, on the balance of probabilities, that the *Signatory* is non-compliant (if that is disputed). If the CAS Panel decides that WADA has met that burden, and if the *Signatory* has also disputed the consequences and/or the ~~reinstatement~~Reinstatement conditions proposed by WADA, the CAS Panel will also ~~consider~~decide, by reference to the relevant provisions of the *International Standard for Code Compliance by Signatories*; (a) what consequences should be imposed from the list of potential consequences set out in Article 24.1.12 of the Code; and ~~for (b)~~ what conditions the Signatory should be required to satisfy in order to be reinstatedReinstated.
- [24.1.7](#) ~~23.5.7~~—WADA will publicly report the fact that the case has been referred to CAS for determination. Each of the following *Persons* shall have the right to intervene and participate as a party in the case, provided it gives notice of its intervention within ten (10) days of such publication by WADA: ~~(a)~~
- [24.1.7.1](#) the International Olympic Committee and/ or the International Paralympic Committee (as applicable), and the *National Olympic Committee* and/or the National Paralympic Committee (as applicable), where the decision may have an effect in relation to the Olympic Games or Paralympic Games (including decisions affecting eligibility to attend/participate in the Olympic Games or Paralympic Games); and ~~(b)~~
- [24.1.7.2](#) an International Federation, where the decision may have an effect on participation in the International Federation’s World Championships and/or other International Events and/ or on a bid that has been submitted for a country to host the International Federation’s World Championships and/or other International Events.

Any other *Person* wishing to participate as a party in the case must apply to CAS within ten (10) days of publication by WADA of the fact that the case has been referred to CAS for determination. CAS shall permit such intervention (i) if all other parties in the case agree; or (ii) if the ~~applying Person~~applicant demonstrates a sufficient legal interest in the outcome of the case to justify its participation as a party.

[24.1.8](#) ~~23.5.8~~-CAS's decision resolving the dispute will be publicly reported by CAS and by WADA. Subject to the right under Swiss law to challenge that decision before the Swiss Federal Tribunal, the decision shall be final and enforceable with immediate effect in accordance with Article ~~23.5.9~~[24.1.9](#).

[24.1.9](#) ~~23.5.9~~ ~~The following~~[Final](#) decisions issued in accordance with Article 24.1.5 or Article 24.1.8, determining that a Signatory is non-compliant, imposing consequences for such non-compliance, and/or setting conditions that the Signatory has to satisfy in order to be Reinstated to the list of Code-compliant Signatories, and decisions by CAS further to Article 24.1.10, are applicable worldwide, and shall be recognized, respected and given full effect by all other Signatories in accordance with their authority and within their respective spheres of responsibility: ~~(a) final decisions issued in accordance with Article 23.5.5 (subject to any appeal under Article 13.6) or Article 23.5.8, determining that a Signatory is non-compliant, and/or imposing consequences for such non-compliance, and/or setting conditions that the Signatory has to satisfy in order to be reinstated to the list of Code-compliant Signatories; and (b) final decisions issued in accordance with Article 23.5.10, determining that a Signatory has not yet met all of the reinstatement conditions imposed on it and therefore is not yet entitled to be reinstated to the list of Code-compliant Signatories.~~

~~23.5.10~~ — ~~23.5.10~~

[24.1.10](#) If a Signatory wishes to dispute WADA's ~~assertion~~[allegation](#) that the Signatory has not yet met all of the ~~reinstatement~~[Reinstatement](#) conditions imposed on it and therefore is not yet entitled to be ~~reinstated~~[Reinstated](#) to the list of Code-compliant Signatories, the Signatory must ~~file a formal notice of dispute with CAS (with a copy to advise WADA)~~ in writing within twenty-one (21) days of its receipt of the ~~assertion~~[allegation](#) from WADA. ~~The~~In that event, WADA shall file a formal notice of dispute with CAS, and the dispute will be resolved by the CAS Ordinary Arbitration Division in accordance with Articles ~~23.5.6~~[24.1.6](#) to ~~23.5.8~~[24.1.8](#). ~~It will be WADA's~~ shall have the burden to prove to the CAS Panel, on the balance of probabilities, that the Signatory has not yet met all of the ~~reinstatement~~[Reinstatement](#) conditions imposed on it and therefore is not yet entitled to be ~~reinstated~~[Reinstated](#). Subject to the right under Swiss law to challenge CAS's decision before the Swiss Federal Tribunal, CAS's decision shall be final and enforceable with immediate effect in accordance with Article 24.1.9.

[24.1.11](#) The various requirements imposed on Signatories by the Code and the International Standards shall be classified either as Critical, or as High Priority, or as General, in accordance with the International Standard for Code Compliance by Signatories, depending on their relative importance to the fight against doping in sport. That classification shall be a key factor in determining what consequences should be imposed in the event of non-compliance with such requirement(s), in accordance with Article 10 of the International Standard for Code Compliance by Signatories. The Signatory has the right to dispute the classification of the requirement, in which case CAS will decide on the appropriate classification.

[24.1.12](#) The following consequences may be imposed, individually or cumulatively, on a Signatory that has failed to comply with the Code and/or the International Standards, based on the particular facts and circumstances of the case at hand, and

the provisions of Article 10 of the *International Standard for Code Compliance by Signatories*:

24.1.12.1 Ineligibility or withdrawal of WADA privileges:

(a) in accordance with the relevant provisions of WADA's Statutes, the Signatory's Representatives being ruled ineligible for a specified period to hold any WADA office or any position as a member of any WADA board or committee or other body (including but not limited to WADA's Foundation Board, the Executive Committee, and any Standing Committee) (although WADA may exceptionally permit Representatives of the Signatory to remain as members of WADA expert groups where there is no effective substitute available);

(b) the Signatory being ruled ineligible to host any event organized or co-hosted or co-organized by WADA;

(c) the Signatory's Representatives being ruled ineligible to participate in any WADA Independent Observer Program or WADA Outreach program or other WADA activities;

(d) withdrawal of WADA funding to the Signatory (whether direct or indirect) relating to the development of specific activities or participation in specific programs; and

24.1.12.2 The Signatory's Representatives being ruled ineligible for a specified period to hold any office of or position as a member of the board or committees or other bodies of any other Signatory (or its members) or association of Signatories.

24.1.12.3 Special Monitoring of some or all of the Signatory's Anti-Doping Activities, until WADA considers that the Signatory is in a position to implement such Anti-Doping Activities in a compliant manner without such monitoring.

24.1.12.4 Supervision and/or Takeover of some or all of the Signatory's Anti-Doping Activities by an Approved Third Party, until WADA considers that the Signatory is in a position to implement such Anti-Doping Activities itself in a compliant manner without such measures:

(a) If the non-compliance involves non-compliant rules, regulations and/or legislation, then the Anti-Doping Activities in issue shall be conducted under other applicable rules (of one or more other Anti-Doping Organizations, e.g., International Federations or National Anti-Doping Organizations or Regional Anti-Doping Organizations) that are compliant, as directed by WADA. In that case, while the Anti-Doping Activities (including any Testing and Results Management) will be administered by the Approved Third Party under and in accordance with those other applicable rules at the cost of the non-compliant Signatory, any costs incurred by the Anti-Doping

Organizations as a result of the use of their rules in this manner shall be reimbursed by the non-compliant Signatory.

(b) If it is not possible to fill the gap in the Signatory's Anti-Doping Activities in this way (for example, because national legislation prohibits it, and the National Anti-Doping Organization has not secured an amendment to that legislation or other solution), then it may be necessary as an alternative measure to exclude Athletes who would have been covered by the Signatory's Anti-Doping Activities from participating in the Olympic Games/Paralympic Games/other Events, in order to protect the rights of clean Athletes and to preserve public confidence in the integrity of competition at those events.

24.1.12.5 A Fine.

24.1.12.6 Suspension or loss of eligibility to receive some or all funding and/or other benefits from the International Olympic Committee or the International Paralympic Committee or any other Signatory for a specified period (with or without the right to receive such funding and/or other benefits for that period retrospectively following Reinstatement).

24.1.12.7 Recommendation to the relevant public authorities to withhold some or all public and/or other funding and/or other benefits from the Signatory for a specified period (with or without the right to receive such funding and/or other benefits for that period retrospectively following Reinstatement).¹¹⁷

24.1.12.8 Where the Signatory is a National Anti-Doping Organization or a National Olympic Committee acting as a National Anti-Doping Organization, the Signatory's country being ruled ineligible to host or co-host and/or to be awarded the right to host or co-host an International Event (e.g., Olympic Games, Paralympic Games, any other Major Event Organization's Event, World Championships, regional or continental championships, and/or any other International Event):

(a) If the right to host or co-host a World Championship and/or other International Event(s) has already been awarded to the country in question, the Signatory that awarded that right must assess whether it is legally and practically possible to withdraw that right and re-assign the Event to another country. If it is legally and practically possible to do so, then the Signatory shall do so.

(b) Signatories shall ensure that they have due authority under their statutes, rules and regulations, and/or hosting agreements, to comply with this requirement (including a right in any hosting agreement to cancel the agreement without penalty where the relevant country has been ruled ineligible to host the Event).

¹¹⁷ [Comment to Article 24.1.12.7: Public authorities are not Signatories to the Code. In accordance with Article 11(c) of the UNESCO Convention, however, State Parties shall, where appropriate, withhold some or all financial or other sport-related support from any sports organization or anti-doping organization that is not in compliance with the Code.]

24.1.12.9 Where the Signatory is a National Anti-Doping Organization or a National Olympic Committee or a National Paralympic Committee, exclusion of the following Persons from participation in or attendance at the Olympic Games and the Paralympic Games and/or other specified Events, World Championships, regional or continental championships and/or any other International Events for a specified period:

(a) the National Olympic Committee and/or the National Paralympic Committee of the Signatory's country;

(b) the Representatives of that country and/or of the National Olympic Committee and/or the National Paralympic Committee of that country; and/or

(c) the Athletes and Athlete Support Personnel affiliated to that country and/or to the National Olympic Committee and/or to the National Paralympic Committee and/or to the National Federation of that country.

24.1.12.10 Where the Signatory is an International Federation, exclusion of the following Persons from participation in or attendance at the Olympic Games and the Paralympic Games and/or other Events for a specified period: the Representatives of that International Federation and/or the Athletes and Athlete Support Personnel participating in the International Federation's sport (or in one or more disciplines of that sport).

24.1.12.11 Where the Signatory is a Major Event Organization:

(a) Special Monitoring or Supervision or Takeover of the Major Event Organization's Anti-Doping Activities at the next edition(s) of its Event; and/or

(b) Suspension or loss of eligibility to receive funding and other benefits from and/or the recognition/membership/patronage (as applicable) of the International Olympic Committee, the International Paralympic Committee, the Association of National Olympic Committees, or other patron body; and/or

(c) loss of recognition of its Event as a qualifying event for the Olympic Games or the Paralympic Games.

24.1.12.12 Suspension of recognition by the Olympic Movement and/or of membership of the Paralympic Movement.

24.1.13 Other Consequences

Governments and Signatories and associations of Signatories may impose additional consequences within their respective spheres of authority for non-compliance by

Signatories, provided that this does not compromise or restrict in any way the ability to apply consequences in accordance with this Article 24.1.¹¹⁸

24.2 ~~23.6~~ Monitoring Compliance with the *UNESCO Convention*

Compliance with the commitments reflected in the *UNESCO Convention* will be monitored as determined by the Conference of Parties to the *UNESCO Convention*, following consultation with the State Parties and WADA. WADA shall advise governments on the implementation of the *Code* by the *Signatories* and shall advise *Signatories* on the ratification, acceptance, approval or accession to the *UNESCO Convention* by governments.

ARTICLE 25 MODIFICATION AND WITHDRAWAL

25.1 ~~23.7~~ Modification ~~of the Code~~

25.1.1 ~~23.7.1~~ WADA shall be responsible for overseeing the evolution and improvement of the *Code*. *Athletes* and other stakeholders and governments shall be invited to participate in such process.

25.1.2 ~~23.7.2~~ WADA shall initiate proposed amendments to the *Code* and shall ensure a consultative process to both receive and respond to recommendations and to facilitate review and feedback from *Athletes* and other stakeholders and governments on recommended amendments.

25.1.3 ~~23.7.3~~ Amendments to the *Code* shall, after appropriate consultation, be approved by a two-thirds majority of the WADA Foundation Board including a majority of both the public sector and Olympic Movement members casting votes. Amendments shall, unless provided otherwise, go into effect three (3) months after such approval.

25.1.4 ~~23.7.4~~ *Signatories* shall modify their rules to incorporate the ~~2015~~2021 *Code* on or before 1 January ~~2015~~2021, to take effect on 1 January ~~2015~~2021. *Signatories* shall implement any subsequent applicable amendment to the *Code* within one (1) year of approval by the WADA Foundation Board.¹¹⁹

25.2 ~~23.8~~ Withdrawal of Acceptance of the *Code*

Signatories may withdraw acceptance of the *Code* after providing WADA six-~~month~~months written notice of their intent to withdraw. *Signatories shall no longer be considered in compliance once acceptance has been withdrawn.*

¹¹⁸ [Comment to Article 24.1.13: For example, the International Olympic Committee may decide to impose symbolic or other consequences on an International Federation or a National Olympic Committee pursuant to the Olympic Charter, such as withdrawal of eligibility to organize an International Olympic Committee Session or an Olympic Congress; while an International Federation may decide to cancel International Events that were scheduled to be held in the country of a non-compliant Signatory, or move them to another country.]

¹¹⁹ [Comment to Articles 25.1.3 and 25.1.4: Under Article 25.1.3, new or changed obligations imposed on Signatories automatically go into effect three (3) months after approval unless provided otherwise. In contrast, Article 25.1.4 addresses new or changed obligations imposed on Athletes or other Persons which can only be enforced against individual Athletes or other Persons by changes to the anti-doping rules of the relevant Signatory (e.g., an International Federation). For that reason, Article 25.1.4 provides for a longer period of time for each Signatory to conform its rules to the 2021 Code and take any necessary measures to ensure the appropriate Athletes and other Persons are bound by the rules.]

~~ARTICLE 26~~ ~~ARTICLE 24~~ INTERPRETATION OF THE CODE

~~24.1~~ ~~26.1~~ The official text of the *Code* shall be maintained by WADA and shall be published in English and French. In the event of any conflict between the English and French versions, the English version shall prevail.

~~24.2~~ ~~26.2~~ The comments annotating various provisions of the *Code* shall be used to interpret the *Code*.

~~24.3~~ ~~26.3~~ The *Code* shall be interpreted as an independent and autonomous text and not by reference to the existing law or statutes of the *Signatories* or governments.

~~24.4~~ ~~26.4~~ The headings used for the various Parts and Articles of the *Code* are for convenience only and shall not be deemed part of the substance of the *Code* or to affect in any way the language of the provisions to which they refer.

26.5 Where the term “days” is used in the Code or an International Standard, it shall mean calendar days unless otherwise specified.

~~24.5~~ ~~26.6~~ The *Code* shall not apply retroactively to matters pending before the date the *Code* is accepted by a *Signatory* and implemented in its rules. However, pre-*Code* anti-doping rule violations would continue to count as “First violations” or “Second violations” for purposes of determining sanctions under Article 10 for subsequent post-*Code* violations.

~~24.6~~ ~~26.7~~ The Purpose, Scope and Organization of the World Anti-Doping Program and the *Code* and Appendix 1, Definitions and Appendix 2, Examples of the Application of Article 10, shall be considered integral parts of the *Code*.

~~ARTICLE 27~~ ~~ARTICLE 25~~ TRANSITIONAL PROVISIONS

27.1 ~~25.1~~ General Application of the ~~2015~~2021 *Code*

The ~~2015~~2021 *Code* shall apply in full as of 1 January ~~2015~~2021 (the “Effective Date”).

27.2 ~~25.2~~ Non-Retroactive except for Articles ~~40.7.5~~10.9.4 and 17 or Unless Principle of “Lex Mitior” Applies

~~The retrospective periods in which prior violations can be considered for purposes of multiple violations under Article 10.7.5 and the statute of limitations set forth in Article 17 are procedural rules and should be applied retroactively; provided, however, that Article 17 shall only be applied retroactively if the statute of limitation period has not already expired by the Effective Date. Otherwise, with respect to any~~Any anti-doping rule violation case which is pending as of the Effective Date and any anti-doping rule violation case brought after the Effective Date based on an anti-doping rule violation which occurred prior to the Effective Date, ~~the case~~ shall be governed by the substantive anti-doping rules in effect at the time the alleged anti-doping rule violation occurred, and not by the substantive anti-doping rules set out in this 2021 Code, unless the panel hearing the case determines the principle of “lex mitior” appropriately applies under the circumstances of the case. For these purposes, the retrospective periods in which prior violations can be considered for purposes of multiple violations under Article 10.9.4 and the statute of limitations set forth in Article 17 are procedural rules, not substantive rules, and should be applied

retroactively along with all of the other procedural rules in the 2021 Code (provided, however, that Article 17 shall only be applied retroactively if the statute of limitations period has not already expired by the Effective Date).

27.3 ~~25.3~~ Application to Decisions Rendered Prior to the ~~2015~~2021 Code

With respect to cases where a final decision finding an anti-doping rule violation has been rendered prior to the Effective Date, but the *Athlete* or other *Person* is still serving the period of *Ineligibility* as of the Effective Date, the *Athlete* or other *Person* may apply to the *Anti-Doping Organization* which had ~~results management~~Results Management responsibility for the anti-doping rule violation to consider a reduction in the period of *Ineligibility* in light of the ~~2015~~2021 Code. Such application must be made before the period of *Ineligibility* has expired. The decision rendered by the *Anti-Doping Organization* may be appealed pursuant to Article 13.2. The ~~2015~~2021 Code shall have no application to any anti-doping rule violation case where a final decision finding an anti-doping rule violation has been rendered and the period of *Ineligibility* has expired.

27.4 ~~25.4~~ Multiple Violations Where the First Violation Occurs Prior to 1 January ~~2015~~2021

For purposes of assessing the period of *Ineligibility* for a second violation under Article ~~10.7.1~~10.9.1, where the sanction for the first violation was determined based on pre-~~2015~~2021 Code rules, the period of *Ineligibility* which would have been assessed for that first violation had ~~2015~~2021 Code rules been applicable, shall be applied.⁸⁶¹²⁰

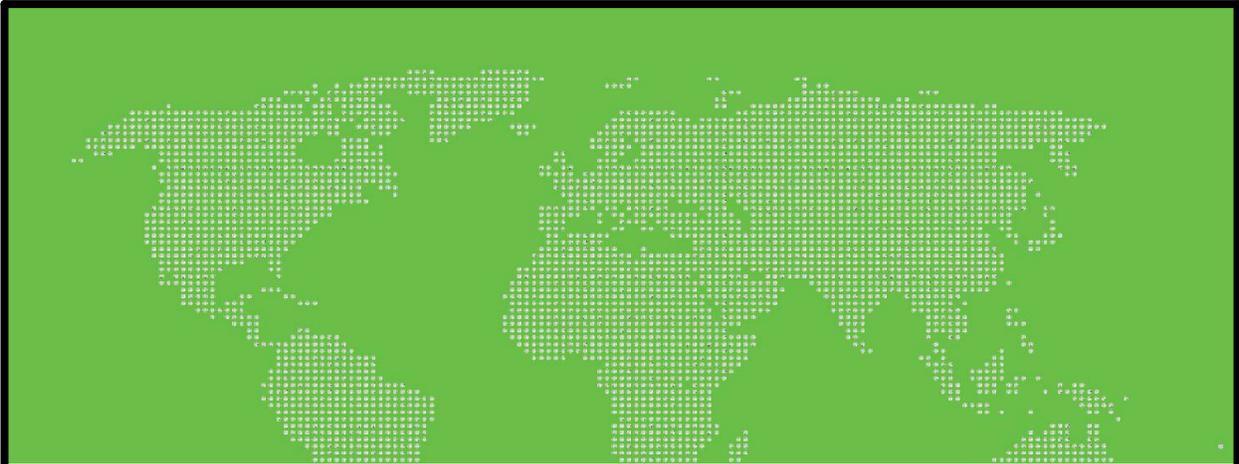
27.5 ~~25.5~~ Additional Code Amendments

Any additional Code Amendments shall go into effect as provided in Article ~~23.7~~27.1.

27.6 Changes to the Prohibited List

Changes to the Prohibited List and Technical Documents relating to substances or methods on the Prohibited List shall not, unless they specifically provide otherwise, be applied retroactively. As an exception, however, when a Prohibited Substance or Prohibited Method has been removed from the Prohibited List, an Athlete or other Person currently serving a period of Ineligibility on account of the formerly Prohibited Substance or Prohibited Method may apply to the Anti-Doping Organization which had Results Management responsibility for the anti-doping rule violation to consider a reduction in the period of Ineligibility in light of the removal of the substance or method from the Prohibited List.

⁸⁶¹²⁰ [Comment to Article ~~25.4~~27.4: Other than the situation described in Article ~~25.4~~27.4, where a final decision finding an anti-doping rule violation has been rendered prior to the existence of the Code or under the Code in force before the ~~2015~~2021 Code and the period of *Ineligibility* imposed has been completely served, the ~~2015~~2021 Code may not be used to re-characterize the prior violation.]



APPENDIX 1
DEFINITIONS



DEFINITIONS⁸⁷¹²¹

ADAMS: The Anti-Doping Administration and Management System is a Web-based database management tool for data entry, storage, sharing, and reporting designed to assist stakeholders and WADA in their anti-doping operations in conjunction with data protection legislation.

Administration: Providing, supplying, supervising, facilitating, or otherwise participating in the *Use* or *Attempted Use* by another *Person* of a *Prohibited Substance* or *Prohibited Method*. However, this definition shall not include the actions of bona fide medical personnel involving a *Prohibited Substance* or *Prohibited Method* ~~used~~Used for genuine and legal therapeutic purposes or other acceptable justification and shall not include actions involving *Prohibited Substances* which are not prohibited in *Out-of-Competition Testing* unless the circumstances as a whole demonstrate that such *Prohibited Substances* are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

Adverse Analytical Finding: A report from a WADA-accredited laboratory or other WADA-approved laboratory that, consistent with the *International Standard* for Laboratories ~~and related Technical Documents,~~ identifies, establishes in a *Sample* the presence of a *Prohibited Substance* or its *Metabolites* or *Markers* ~~(including elevated quantities of endogenous substances)~~ or evidence of the *Use* of a *Prohibited Method*.

Adverse Passport Finding: A report identified as an *Adverse Passport Finding* as described in the applicable *International Standards*.

~~Anti-Doping Organization: A~~

~~Aggravating Circumstances: Circumstances involving, or actions by, an Athlete or other Person which may justify the imposition of a period of Ineligibility greater than the standard sanction. Such circumstances and actions shall include, but are not limited to: the Athlete or other Person Used or Possessed multiple Prohibited Substances or Prohibited Methods, Used or Possessed a Prohibited Substance or Prohibited Method on multiple occasions or committed multiple other anti-doping rule violations; a normal individual would be likely to enjoy the performance-enhancing effects of the anti-doping rule violation(s) beyond the otherwise applicable period of Ineligibility; the Athlete or Person engaged in deceptive or obstructive conduct to avoid the detection or adjudication of an anti-doping rule violation; or the Athlete or other Person engaged in Tampering during Results Management. For the avoidance of doubt, the examples of circumstances and conduct described herein are not exclusive and other similar circumstances or conduct may also justify the imposition of a longer period of Ineligibility.~~

~~Anti-Doping Activities: Anti-doping Education and information, test distribution planning, maintenance of a Registered Testing Pool, managing Athlete Biological Passports, conducting Testing, organizing analysis of Samples, gathering of intelligence and conduct of investigations, processing of TUE applications, Results Management, monitoring and enforcing compliance with any Consequences imposed, and all other activities related to anti-doping to be carried out by or on behalf of an Anti-Doping Organization, as set out in the Code and/or the International Standards.~~

~~Anti-Doping Organization: WADA or a Signatory~~ that is responsible for adopting rules for initiating, implementing or enforcing any part of the *Doping Control* process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other *Major Event Organizations* that conduct *Testing* at their *Events*, ~~WADA~~, International Federations, and *National Anti-Doping Organizations*.

⁸⁷¹²¹ [Comment to Definitions: Defined terms shall include their plural and possessive forms, as well as those terms used as other parts of speech.]

Athlete: Any Person who competes in sport at the international level (as defined by each International Federation) or the national level (as defined by each *National Anti-Doping Organization*). An *Anti-Doping Organization* has discretion to apply anti-doping rules to an *Athlete* who is neither an *International-Level Athlete* nor a *National-Level Athlete*, and thus to bring them within the definition of “*Athlete*.” In relation to *Athletes* who are neither *International-Level* nor *National-Level Athletes*, an *Anti-Doping Organization* may elect to: conduct limited *Testing* or no *Testing* at all; analyze *Samples* for less than the full menu of *Prohibited Substances*; require limited or no whereabouts information; or not require advance *TUEs*. However, if an Article 2.1, 2.3 or 2.5 anti-doping rule violation is committed by any *Athlete* over whom an *Anti-Doping Organization* has elected to exercise its authority to test and who competes below the international or national level, then the *Consequences* set forth in the *Code* ~~(except Article 14.3.2)~~ must be applied. For purposes of Article 2.8 and Article 2.9 and for purposes of anti-doping information and education~~Education~~, any Person who participates in sport under the authority of any *Signatory*, government, or other sports organization accepting the *Code* is an *Athlete*.⁸⁸¹²²

Athlete Biological Passport: The program and methods of gathering and collating data as described in the *International Standard for Testing and Investigations* and *International Standard for Laboratories*.

Athlete Support Personnel: Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent or any other Person working with, treating or assisting an *Athlete* participating in or preparing for sports *Competition*.

Attempt: Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an *Attempt* to commit a violation if the Person renounces the *Attempt* prior to it being discovered by a third party not involved in the *Attempt*.

Atypical Finding: A report from a WADA-accredited laboratory or other WADA-approved laboratory which requires further investigation as provided by the *International Standard for Laboratories* or related *Technical Documents* prior to the determination of an *Adverse Analytical Finding*.

Atypical Passport Finding: A report described as an *Atypical Passport Finding* as described in the applicable *International Standards*.

CAS: The Court of Arbitration for Sport.

Code: The World Anti-Doping Code.

⁸⁸¹²² [Comment to Athlete: This definition makes it clear that all International and individuals who participate in sport may fall in one of five categories: 1) International-Level Athlete, 2) National-Level Athlete, 3) individuals who are not International- or National-Level Athletes but over whom the International Federation or National Anti-Doping Organization has chosen to exercise authority, 4) Recreational Athlete, and 5) individuals over whom no International Federation or National Anti-Doping Organization has, or has chosen to, exercise authority. All International- or National-Level Athletes are subject to the anti-doping rules of the Code, with the precise definitions of international- and national- level sport to be set forth in the anti-doping rules of the International Federations and National Anti-Doping Organizations, respectively. The definition also allows each National Anti-Doping Organization, if it chooses to do so, to expand its anti-doping program beyond International- or National-Level Athletes to competitors at lower levels of Competition or to individuals who engage in fitness activities but do not compete at all. Thus, a National Anti-Doping Organization could, for example, elect to test recreational-level competitors but not require advance TUEs. But an anti-doping rule violation involving an Adverse Analytical Finding or Tampering results in all of the Consequences provided for in the Code (with the exception of Article 14.3.2). The decision on whether Consequences apply to recreational-level Athletes who engage in fitness activities but never compete is left to the National Anti-Doping Organization. In the same manner, a Major Event Organization holding an Event only for masters-level competitors could elect to test the competitors but not analyze Samples for the full menu of Prohibited Substances. Competitors at all levels of Competition should receive the benefit of anti-doping information and education.]

Competition: A single race, match, game or singular sport contest. For example, a basketball game or the finals of the Olympic 100-meter race in athletics. For stage races and other sport contests where prizes are awarded on a daily or other interim basis the distinction between a *Competition* and an *Event* will be as provided in the rules of the applicable International Federation.

Consequences of Anti-Doping Rule Violations (“Consequences”): An *Athlete’s* or other *Person’s* violation of an anti-doping rule may result in one or more of the following: (a) *Disqualification* means the *Athlete’s* results in a particular *Competition* or *Event* are invalidated, with all resulting *Consequences* including forfeiture of any medals, points and prizes; (b) *Ineligibility* means the *Athlete* or other *Person* is barred on account of an anti-doping rule violation for a specified period of time from participating in any *Competition* or other activity or funding as provided in Article ~~10.12.10.14~~; (c) *Provisional Suspension* means the *Athlete* or other *Person* is barred temporarily from participating in any *Competition* or activity prior to the final decision at a hearing conducted under Article 8; (d) *Financial Consequences* means a financial sanction imposed for an anti-doping rule violation or to recover costs associated with an anti-doping rule violation; and (e) ~~*Public Disclosure or Public Reporting*~~ means the dissemination or distribution of information to the general public or *Persons* beyond those *Persons* entitled to earlier notification in accordance with Article 14. Teams in *Team Sports* may also be subject to *Consequences* as provided in Article 11.

Contaminated Product: A product that contains a *Prohibited Substance* that is not disclosed on the product label or in information available in a reasonable Internet search.

Decision Limit: The value of the result for a threshold substance in a Sample, above which an Adverse Analytical Finding shall be reported, as defined in the International Standard for Laboratories.

Delegated Third Party: Any Person to which an Anti-Doping Organization delegates any aspect of Doping Control or anti-doping Education programs including, but not limited to, third parties or other Anti-Doping Organizations that conduct Sample collection or other Doping Control services or anti-doping Educational programs for the Anti-Doping Organization, or individuals serving as independent contractors who perform Doping Control services for the Anti-Doping Organization (e.g., non-employee Doping Control officers or chaperones). This definition does not include CAS.

Disqualification: See *Consequences of Anti-Doping Rule Violations* above.

Doping Control: All steps and processes from test distribution planning through to ultimate disposition of any appeal and the enforcement of Consequences, including all steps and processes in between ~~such as provision of, including but not limited to, Testing, investigations,~~ whereabouts ~~information,~~ *TUEs*, *Sample* collection and handling, laboratory analysis, ~~TUEs, results management and hearings.~~ Results Management and investigations or proceedings relating to violations of Article 10.14 (Status During Ineligibility or Provisional Suspension).

Education: The process of learning to instill values and develop behaviors that foster and protect the spirit of sport, and to prevent intentional and unintentional doping.

Event: A series of individual *Competitions* conducted together under one ruling body (e.g., the Olympic Games, ~~FINA~~ World Championships of an International Federation, or Pan American Games).

~~**Event Venues:** Those venues so designated by the ruling body for the Event.~~

~~**Event Period:** The time between the beginning and end of an *Event*, as established by the ruling body of the *Event*.~~

Event Venues: Those venues so designated by the ruling body for the Event.

Fault: *Fault* is any breach of duty or any lack of care appropriate to a particular situation. Factors to be taken into consideration in assessing an *Athlete's* or other *Person's* degree of *Fault* include, for example, the *Athlete's* or other *Person's* experience, whether the *Athlete* or other *Person* is a ~~Minor~~Protected Person, special considerations such as impairment, the degree of risk that should have been perceived by the *Athlete* and the level of care and investigation exercised by the *Athlete* in relation to what should have been the perceived level of risk. In assessing the *Athlete's* or other *Person's* degree of *Fault*, the circumstances considered must be specific and relevant to explain the *Athlete's* or other *Person's* departure from the expected standard of behavior. Thus, for example, the fact that an *Athlete* would lose the opportunity to earn large sums of money during a period of *Ineligibility*, or the fact that the *Athlete* only has a short time left in ~~his or her~~a career, or the timing of the sporting calendar, would not be relevant factors to be considered in reducing the period of *Ineligibility* under Article ~~10.5.1~~10.6.1 or ~~10.5.2.~~⁸⁹10.6.2.¹²³

Financial Consequences: See *Consequences of Anti-Doping Rule Violations* above.

In-Competition: ~~Unless provided otherwise in the rules of an International Federation or the ruling body of the Event in question, "In-Competition" means the~~The period commencing ~~twelve hours~~at 11:59 p.m. on the day before a *Competition* in which the *Athlete* is scheduled to participate through the end of such *Competition* and the *Sample* collection process related to such *Competition*.⁹⁰ Provided, however, WADA may approve, for a particular sport, an alternative definition if an International Federation provides a compelling justification that a different definition is necessary for its sport; upon such approval by WADA, the alternative definition shall be followed by all Major Event Organizations for that particular sport.¹²⁴

Independent Observer Program: A team of observers and/or auditors, under the supervision of WADA, who observe and provide guidance on the *Doping Control* process ~~at~~prior to or during certain *Events* and report on their observations as part of WADA's compliance monitoring program.

Individual Sport: Any sport that is not a *Team Sport*.

Ineligibility: See *Consequences of Anti-Doping Rule Violations* above.

Institutional Independence: Hearing panels on appeal shall be fully independent institutionally from the Anti-Doping Organization responsible for Results Management. They must therefore not in any way be administered by, connected or subject to the Anti-Doping Organization responsible for Results Management.

International Event: An *Event* or *Competition* where the International Olympic Committee, the International Paralympic Committee, an International Federation, a *Major Event Organization*, or another international sport organization is the ruling body for the *Event* or appoints the technical officials for the *Event*.

⁸⁹ ~~[Comment to Fault: The criteria for assessing an Athlete's degree of Fault is the same under all Articles where Fault is to be considered. However, under 10.5.2, no reduction of sanction is appropriate unless, when the degree of Fault is assessed, the conclusion is that No Significant Fault or Negligence on the part of the Athlete or other Person was involved.]~~

¹²³ [Comment to Fault: The criterion for assessing an Athlete's degree of Fault is the same under all Articles where Fault is to be considered. However, under Article 10.6.2, no reduction of sanction is appropriate unless, when the degree of Fault is assessed, the conclusion is that No Significant Fault or Negligence on the part of the Athlete or other Person was involved.]

⁹⁰ ~~[Comment to In-Competition: An International Federation or ruling body for an Event may establish an "In-Competition" period that is different than the Event Period.]~~

¹²⁴ [Comment to In-Competition: Having a universally accepted definition for In-Competition provides greater harmonization among Athletes across all sports, eliminates or reduces confusion among Athletes about the relevant timeframe for In-Competition Testing, avoids inadvertent Adverse Analytical Findings in between Competitions during an Event and assists in preventing any potential performance enhancement benefits from Substances prohibited Out-of-Competition being carried over to the Competition period.]

International-Level Athlete: Athletes who compete in sport at the international level, as defined by each International Federation, consistent with the *International Standard for Testing and Investigations*.⁹⁴¹²⁵

International Standard: A standard adopted by WADA in support of the Code. Compliance with an *International Standard* (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the *International Standard* were performed properly. *International Standards* shall include any *Technical Documents* issued pursuant to the *International Standard*.

Major Event Organizations: The continental associations of *National Olympic Committees* and other international multi-sport organizations that function as the ruling body for any continental, regional or other *International Event*.

Marker: A compound, group of compounds or biological variable(s) that indicates the Use of a *Prohibited Substance* or *Prohibited Method*.

Metabolite: Any substance produced by a biotransformation process.

Minimum Reporting Level: The estimated concentration of a Prohibited Substance or its Metabolite(s) or Marker(s) in a Sample below which WADA-accredited laboratories should not report that Sample as an Adverse Analytical Finding.

Minor: A natural Person who has not reached the age of eighteen years.

National Anti-Doping Organization: The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of Samples, ~~the management of~~manage test results, and ~~the conduct of~~hearingsResults Management at the national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

National Event: A sport Event or Competition involving *International- or National-Level Athletes* that is not an *International Event*.

National-Level Athlete: Athletes who compete in sport at the national level, as defined by each *National Anti-Doping Organization*, consistent with the *International Standard for Testing and Investigations*.

National Olympic Committee: The organization recognized by the International Olympic Committee. The term *National Olympic Committee* shall also include the National Sport Confederation in those countries where the National Sport Confederation assumes typical *National Olympic Committee* responsibilities in the anti-doping area.

No Fault or Negligence: The Athlete or other Person's establishing that he or she did not know or suspect, and could not reasonably have known or suspected even with the exercise of utmost caution, that he or she had Used or been administered the *Prohibited Substance* or *Prohibited Method* or otherwise violated an anti-doping rule. Except in the case of a ~~Minor~~Protected Person or Recreational Athlete, for any violation of Article 2.1, the Athlete must also establish how the *Prohibited Substance* entered his or herthe Athlete's system.

⁹⁴¹²⁵ [Comment to *International-Level Athlete*: Consistent with the *International Standard for Testing and Investigations*, the *International Federation* is free to determine the criteria it will use to classify Athletes as *International-Level Athletes*, e.g., by ranking, by participation in particular *International Events*, by type of license, etc. However, it must publish those criteria in clear and concise form, so that Athletes are able to ascertain quickly and easily when they will become classified as *International-Level Athletes*. For example, if the criteria include participation in certain *International Events*, then the *International Federation* must publish a list of those *International Events*.]

No Significant Fault or Negligence: The *Athlete* or other *Person's* establishing that ~~his or her~~any *Fault* or ~~negligence~~Negligence, when viewed in the totality of the circumstances and taking into account the criteria for *No Fault or Negligence*, was not significant in relationship to the anti-doping rule violation. Except in the case of a ~~Minor~~Protected Person or Recreational Athlete, for any violation of Article 2.1, the *Athlete* must also establish how the *Prohibited Substance* entered ~~his or her~~the Athlete's system.⁹²

Operational Independence: This means that (1) board members, staff members, commission members, consultants and officials of the Anti-Doping Organization with responsibility for Results Management or its affiliates (e.g., member federation or confederation), as well as any Person involved in the investigation and pre-adjudication of the matter cannot be appointed as members and/or clerks (to the extent that such clerk is involved in the deliberation process and/or drafting of any decision) of hearing panels of that Anti-Doping Organization with responsibility for Results Management and (2) hearing panels shall be in a position to conduct the hearing and decision-making process without interference from the Anti-Doping Organization or any third party. The objective is to ensure that members of the hearing panel or individuals otherwise involved in the decision of the hearing panel, are not involved in the investigation of, or decisions to proceed with, the case.

Out-of-Competition: Any period which is not *In-Competition*.

Participant: Any *Athlete* or *Athlete Support Person*.

Person: A natural *Person* or an organization or other entity.

Possession: The actual, physical *Possession*, or the constructive *Possession* (which shall be found only if the *Person* has exclusive control or intends to exercise control over the *Prohibited Substance* or *Prohibited Method* or the premises in which a *Prohibited Substance* or *Prohibited Method* exists); provided, however, that if the *Person* does not have exclusive control over the *Prohibited Substance* or *Prohibited Method* or the premises in which a *Prohibited Substance* or *Prohibited Method* exists, constructive *Possession* shall only be found if the *Person* knew about the presence of the *Prohibited Substance* or *Prohibited Method* and intended to exercise control over it. Provided, however, there shall be no anti-doping rule violation based solely on *Possession* if, prior to receiving notification of any kind that the *Person* has committed an anti-doping rule violation, the *Person* has taken concrete action demonstrating that the *Person* never intended to have *Possession* and has renounced *Possession* by explicitly declaring it to an *Anti-Doping Organization*. Notwithstanding anything to the contrary in this definition, the purchase (including by any electronic or other means) of a *Prohibited Substance* or *Prohibited Method* constitutes *Possession* by the *Person* who makes the purchase.⁹³¹²⁶

Prohibited List: The Listlist identifying the *Prohibited Substances* and *Prohibited Methods*.

Prohibited Method: Any method so described on the *Prohibited List*.

Prohibited Substance: Any substance, or class of substances, so described on the *Prohibited List*.

⁹² ~~[Comment to No Significant Fault or Negligence: For Cannabinoids, an Athlete may establish No Significant Fault or Negligence by clearly demonstrating that the context of the Use was unrelated to sport performance.]~~

⁹³¹²⁶ [Comment to Possession: Under this definition, anabolic steroids found in an Athlete's car would constitute a violation unless the Athlete establishes that someone else used the car; in that event, the Anti-Doping Organization must establish that, even though the Athlete did not have exclusive control over the car, the Athlete knew about the anabolic steroids and intended to have control over ~~the steroids~~them. Similarly, in the example of anabolic steroids found in a home medicine cabinet under the joint control of an Athlete and spouse, the Anti-Doping Organization must establish that the Athlete knew the anabolic steroids were in the cabinet and that the Athlete intended to exercise control over ~~the steroids~~them. The act of purchasing a Prohibited Substance alone constitutes Possession, even where, for example, the product does not arrive, is received by someone else, or is sent to a third -party address.]

Protected Person: An Athlete or other natural Person who at the time of the anti-doping rule violation: (i) has not reached the age of sixteen (16) years; (ii) has not reached the age of eighteen (18) years and is not included in any Registered Testing Pool and has never competed in any International Event in an open category; or (iii) for reasons other than age has been determined to lack legal capacity under applicable national legislation.¹²⁷

Provisional Hearing: For purposes of Article ~~7.9~~7.4.3, an expedited abbreviated hearing occurring prior to a hearing under Article 8 that provides the Athlete with notice and an opportunity to be heard in either written or oral form.⁹⁴¹²⁸

Provisional Suspension: See *Consequences of Anti-Doping Rule Violations* above.

~~*Publicly Disclose*~~*or Publicly Report:* See *Consequences of Anti-Doping Rule Violations* above.

Recreational Athlete: A natural Person who is so defined by the relevant National Anti-Doping Organization; provided, however, the term shall not include any Person who, within the five (5) years prior to committing any anti-doping rule violation, has been an International-Level Athlete (as defined by each International Federation consistent with the International Standard for Testing and Investigations) or National-Level Athlete (as defined by each National Anti-Doping Organization consistent with the International Standard for Testing and Investigations), has represented any country in an International Event in an open category or has been included within any Registered Testing Pool or other whereabouts information pool maintained by any International Federation or National Anti-Doping Organization.¹²⁹

Regional Anti-Doping Organization: A regional entity designated by member countries to coordinate and manage delegated areas of their national anti-doping programs, which may include the adoption and implementation of anti-doping rules, the planning and collection of Samples, the management of results, the review of TUEs, the conduct of hearings, and the conduct of ~~educational~~Educational programs at a regional level.

Registered Testing Pool: The pool of highest-priority Athletes established separately at the international level by International Federations and at the national level by National Anti-Doping Organizations, who are subject to focused *In-Competition* and *Out-of-Competition Testing* as part of that International Federation's or National Anti-Doping Organization's test distribution plan and therefore are required to provide whereabouts information as provided in Article ~~5-6~~5.5 and the *International Standard for Testing and Investigations*.

Results Management: The process encompassing the timeframe between notification as per Article 5 of the International Standard for Results Management, or in certain cases (e.g., Atypical Finding, Athlete Biological Passport, whereabouts failure), such pre-notification steps expressly provided for in Article 5 of the International Standard for Results Management, through the charge until the final resolution of the matter, including the end of the hearing process at first instance or on appeal (if an appeal was lodged).

¹²⁷ [Comment to Protected Person: The Code treats Protected Persons differently than other Athletes or Persons in certain circumstances based on the understanding that, below a certain age or intellectual capacity, an Athlete or other Person may not possess the mental capacity to understand and appreciate the prohibitions against conduct contained in the Code. This would include, for example, a Paralympic Athlete with a documented lack of legal capacity due to an intellectual impairment. The term "open category" is meant to exclude competition that is limited to junior or age group categories.]

⁹⁴¹²⁸ [Comment to Provisional Hearing: A Provisional Hearing is only a preliminary proceeding which may not involve a full review of the facts of the case. Following a Provisional Hearing, the Athlete remains entitled to a subsequent full hearing on the merits of the case. By contrast, an "expedited hearing," as that term is used in Article ~~7.9~~7.4.3, is a full hearing on the merits conducted on an expedited time schedule.]

¹²⁹ [Comment to Recreational Athlete: The term "open category" is meant to exclude competition that is limited to junior or age group categories.]

Sample or Specimen: Any biological material collected for the purposes of *Doping Control*.⁹⁵¹³⁰

Signatories: Those entities ~~signing~~accepting the Code and agreeing to ~~comply with~~implement the Code, as provided in Article 23.

Specified Method: See Article 4.2.2.

Specified Substance: See Article 4.2.2.

Strict Liability: The rule which provides that under Article 2.1 and Article 2.2, it is not necessary that intent, Fault, ~~negligence~~Negligence, or knowing Use on the Athlete's part be demonstrated by the *Anti-Doping Organization* in order to establish an anti-doping rule violation.

Substance of Abuse: See Article 4.2.3.

Substantial Assistance: For purposes of Article ~~10.6.1~~10.7.1, a *Person* providing *Substantial Assistance* must: (1) fully disclose in a signed written statement or recorded interview all information he or she possesses in relation to anti-doping rule violations or other proceeding described in Article 10.7.1.1, and (2) fully cooperate with the investigation and adjudication of any case or matter related to that information, including, for example, presenting testimony at a hearing if requested to do so by an *Anti-Doping Organization* or hearing panel. Further, the information provided must be credible and must comprise an important part of any case or proceeding which is initiated or, if no case or proceeding is initiated, must have provided a sufficient basis on which a case or proceeding could have been brought.

Tampering: ~~Altering for an improper purpose or in an improper way; bringing improper influence to bear; interfering improperly; obstructing, misleading or engaging in any fraudulent conduct to alter results or prevent normal procedures from occurring.~~ Intentional conduct which subverts the *Doping Control* process but which would not otherwise be included in the definition of *Prohibited Methods*. *Tampering* shall include, without limitation, offering or accepting a bribe to perform or fail to perform an act, preventing the collection of a *Sample*, affecting or making impossible the analysis of a *Sample*, falsifying documents submitted to an *Anti-Doping Organization* or *TUE* committee or hearing panel, procuring false testimony from witnesses, committing any other fraudulent act upon the *Anti-Doping Organization* or hearing body to affect *Results Management* or the imposition of *Consequences*, and any other similar intentional interference or *Attempted* interference with any aspect of *Doping Control*.¹³¹

Target Testing: Selection of specific *Athletes* for *Testing* based on criteria set forth in the *International Standard for Testing and Investigations*.

Team Sport: A sport in which the substitution of players is permitted during a *Competition*.

Technical Document: A document adopted and published by WADA from time to time containing mandatory technical requirements on specific anti-doping topics as set forth in an *International Standard*.

⁹⁵¹³⁰ [Comment to *Sample or Specimen*: It has sometimes been claimed that the collection of blood *Samples* violates the tenets of certain religious or cultural groups. It has been determined that there is no basis for any such claim.]

¹³¹ [Comment to *Tampering*: For example, this Article would prohibit altering identification numbers on a *Doping Control* form during *Testing*, breaking the B bottle at the time of B *Sample* analysis, altering a *Sample* by the addition of a foreign substance, or intimidating or attempting to intimidate a potential witness or a witness who has provided testimony or information in the *Doping Control* process. *Tampering* includes misconduct which occurs during the *Results Management* process. See Article 10.9.3.3. However, actions taken as part of a *Person's* legitimate defense to an anti-doping rule violation charge shall not be considered *Tampering*. Offensive conduct towards a *Doping Control* official or other *Person* involved in *Doping Control* which does not otherwise constitute *Tampering* shall be addressed in the disciplinary rules of sport organizations.]

Testing: The parts of the *Doping Control* process involving test distribution planning, *Sample* collection, *Sample* handling, and *Sample* transport to the laboratory.

Therapeutic Use Exemption (TUE): A *Therapeutic Use Exemption* allows an *Athlete* with a medical condition to Use a *Prohibited Substance* or *Prohibited Method*, but only if the conditions set out in Article 4.4 and the *International Standard for Therapeutic Use Exemptions* are met.

Trafficking: Selling, giving, transporting, sending, delivering or distributing (or *Possessing* for any such purpose) a *Prohibited Substance* or *Prohibited Method* (either physically or by any electronic or other means) by an *Athlete*, *Athlete Support Person* or any other *Person* subject to the ~~jurisdiction~~authority of an *Anti-Doping Organization* to any third party; provided, however, this definition shall not include the actions of “bona fide” medical personnel involving a *Prohibited Substance* ~~used~~Used for genuine and legal therapeutic purposes or other acceptable justification, and shall not include actions involving *Prohibited Substances* which are not prohibited in *Out-of-Competition Testing* unless the circumstances as a whole demonstrate such *Prohibited Substances* are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

~~TUE: Therapeutic Use Exemption, as described in Article 4.4.~~

UNESCO Convention: The International Convention against Doping in Sport adopted by the 33rd session of the UNESCO General Conference on 19 October 2005, including any and all amendments adopted by the States Parties to the Convention and the Conference of Parties to the International Convention against Doping in Sport.

Use: The utilization, application, ingestion, injection or consumption by any means whatsoever of any *Prohibited Substance* or *Prohibited Method*.

WADA: The World Anti-Doping Agency.

~~APPENDIX TWO~~ ~~EXAMPLES OF THE~~ ~~APPLICATION~~ ~~OF ARTICLE 10~~

EXAMPLES OF THE APPLICATION OF ARTICLE 10

EXAMPLE 1

Facts: An Adverse Analytical Finding results from the presence of an anabolic steroid in an In-Competition test (Article 2.1); the Athlete promptly admits the anti-doping rule violation; the Athlete establishes No Significant Fault or Negligence; and the Athlete provides Substantial Assistance.

Application of Consequences:

1. The starting point would be Article 10.2. Because the Athlete is deemed to have No Significant Fault that would be sufficient corroborating evidence (Articles 10.2.1.1 and 10.2.3) that the anti-doping rule violation was not intentional, the period of Ineligibility would thus be two years, not four years (Article 10.2.2).
2. In a second step, the panel would analyze whether the Fault-related reductions (Articles 10.4 and 10.5) apply. Based on No Significant Fault or Negligence (Article 10.5.2) since the anabolic steroid is not a Specified Substance, the applicable range of sanctions would be reduced to a range of two years to one year (minimum one-half of the two year sanction). The panel would then determine the applicable period of Ineligibility within this range based on the Athlete's degree of Fault. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of Ineligibility of 16 months.)
3. In a third step, the panel would assess the possibility for suspension or reduction under Article 10.6 (reductions not related to Fault). In this case, only Article 10.6.1 (Substantial Assistance) applies. (Article 10.6.3, Prompt Admission, is not applicable because the period of Ineligibility is already below the two-year minimum set forth in Article 10.6.3.) Based on Substantial Assistance, the period of Ineligibility could be suspended by three-quarters of 16 months.* The minimum period of Ineligibility would thus be four months. (Assume for purposes of illustration in this example that the panel suspends ten months and the period of Ineligibility would thus be six months.)
4. Under Article 10.11, the period of Ineligibility, in principle, starts on the date of the final hearing decision. However, because the Athlete promptly admitted the anti-doping rule violation, the period of Ineligibility could start as early as the date of Sample collection, but in any event the Athlete would have to serve at least one-half of the Ineligibility period (i.e., three months) after the date of the hearing decision (Article 10.11.2).
5. Since the Adverse Analytical Finding was committed in a Competition, the panel would have to automatically Disqualify the result obtained in that Competition (Article 9).
6. According to Article 10.8, all results obtained by the Athlete subsequent to the date of the Sample collection until the start of the period of Ineligibility would also be Disqualified unless fairness requires otherwise.
7. The information referred to in Article 14.3.2 must be Publicly Disclosed, unless the Athlete is a Minor, since this is a mandatory part of each sanction (Article 10.13).
8. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the

~~Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training one and one-half months before the end of the period of Ineligibility.~~

EXAMPLE 2

~~Facts: An Adverse Analytical Finding results from the presence of a stimulant which is a Specified Substance in an In-Competition test (Article 2.1); the Anti-Doping Organization is able to establish that the Athlete committed the anti-doping rule violation intentionally; the Athlete is not able to establish that the Prohibited Substance was Used Out-of-Competition in a context unrelated to sport performance; the Athlete does not promptly admit the anti-doping rule violation as alleged; the Athlete does provide Substantial Assistance.~~

Application of Consequences:

- ~~1. The starting point would be Article 10.2. Because the Anti-Doping Organization can establish that the anti-doping rule violation was committed intentionally and the Athlete is unable to establish that the substance was permitted Out-of-Competition and the Use was unrelated to the Athlete's sport performance (Article 10.2.3), the period of Ineligibility would be four years (Article 10.2.1.2).~~
- ~~2. Because the violation was intentional, there is no room for a reduction based on Fault (no application of Articles 10.4 and 10.5). Based on Substantial Assistance, the sanction could be suspended by up to three-quarters of the four years.* The minimum period of Ineligibility would thus be one year.~~
- ~~3. Under Article 10.11, the period of Ineligibility would start on the date of the final hearing decision.~~
- ~~4. Since the Adverse Analytical Finding was committed in a Competition, the panel would automatically Disqualify the result obtained in the Competition.~~
- ~~5. According to Article 10.8, all results obtained by the Athlete subsequent to the date of Sample collection until the start of the period of Ineligibility would also be Disqualified unless fairness requires otherwise.~~
- ~~6. The information referred to in Article 14.3.2 must be Publicly Disclosed, unless the Athlete is a Minor, since this is a mandatory part of each sanction (Article 10.13).~~
- ~~7. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training two months before the end of the period of Ineligibility.~~

EXAMPLE 3

~~Facts: An Adverse Analytical Finding results from the presence of an anabolic steroid in an Out-of-Competition test (Article 2.1); the Athlete establishes No Significant Fault or Negligence; the Athlete also establishes that the Adverse Analytical Finding was caused by a Contaminated Product.~~

Application of Consequences:

- ~~1.—The starting point would be Article 10.2.—Because the Athlete can establish through corroborating evidence that he did not commit the anti-doping rule violation intentionally, i.e., he had No Significant Fault in Using a Contaminated Product (Articles 10.2.1.1 and 10.2.3), the period of Ineligibility would be two years (Article 10.2.2).~~
- ~~2.—In a second step, the panel would analyze the Fault-related possibilities for reductions (Articles 10.4 and 10.5).—Since the Athlete can establish that the anti-doping rule violation was caused by a Contaminated Product and that he acted with No Significant Fault or Negligence based on Article 10.5.1.2, the applicable range for the period of Ineligibility would be reduced to a range of two years to a reprimand.—The panel would determine the period of Ineligibility within this range, based on the Athlete's degree of Fault.—(Assume for purposes of illustration in this example that the panel would otherwise impose a period of Ineligibility of four months.)~~
- ~~3.—According to Article 10.8, all results obtained by the Athlete subsequent to the date of Sample collection until the start of the period of Ineligibility would be Disqualified unless fairness requires otherwise.~~
- ~~4.—The information referred to in Article 14.3.2 must be Publicly Disclosed, unless the Athlete is a Minor, since this is a mandatory part of each sanction (Article 10.13).~~
- ~~5.—The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1).—However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2).—Thus, the Athlete would be allowed to return to training one month before the end of the period of Ineligibility.~~

EXAMPLE 4

~~Facts: An Athlete who has never had an Adverse Analytical Finding or been confronted with an anti-doping rule violation spontaneously admits that she Used an anabolic steroid to enhance her performance.—The Athlete also provides Substantial Assistance.~~

Application of Consequences:

- ~~1.—Since the violation was intentional, Article 10.2.1 would be applicable and the basic period of Ineligibility imposed would be four years.~~
- ~~2.—There is no room for Fault-related reductions of the period of Ineligibility (no application of Articles 10.4 and 10.5).~~
- ~~3.—Based on the Athlete's spontaneous admission (Article 10.6.2) alone, the period of Ineligibility could be reduced by up to one-half of the four years.—Based on the Athlete's Substantial Assistance (Article 10.6.1) alone, the period of Ineligibility could be suspended up to three-quarters of the four years.*—Under Article 10.6.4, in considering the spontaneous admission and Substantial Assistance together, the most the sanction could be reduced or suspended would be up to three-quarters of the four years.—The minimum period of Ineligibility would be one year.~~
- ~~4.—The period of Ineligibility, in principle, starts on the day of the final hearing decision (Article 10.11).—If the spontaneous admission is factored into the reduction of the period of Ineligibility, an early start of the period of Ineligibility under Article 10.11.2 would not be permitted.—The provision seeks to prevent an Athlete from benefitting twice from the same set of circumstances.—However, if the period of~~

~~Ineligibility was suspended solely on the basis of Substantial Assistance, Article 10.11.2 may still be applied, and the period of Ineligibility started as early as the Athlete's last Use of the anabolic steroid.~~

- ~~5. According to Article 10.8, all results obtained by the Athlete subsequent to the date of the anti-doping rule violation until the start of the period of Ineligibility would be Disqualified unless fairness requires otherwise.~~
- ~~6. The information referred to in Article 14.3.2 must be Publicly Disclosed, unless the Athlete is a Minor, since this is a mandatory part of each sanction (Article 10.13).~~
- ~~7. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training two months before the end of the period of Ineligibility.~~

EXAMPLE 5

~~Facts: An Athlete Support Person helps to circumvent a period of Ineligibility imposed on an Athlete by entering him into a Competition under a false name. The Athlete Support Person comes forward with this anti-doping rule violation (Article 2.9) spontaneously before being notified of an anti-doping rule violation by an Anti-Doping Organization.~~

Application of Consequences:

- ~~1. According to Article 10.3.4, the period of Ineligibility would be from two up to four years, depending on the seriousness of the violation. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of Ineligibility of three years.)~~
- ~~2. There is no room for Fault-related reductions since intent is an element of the anti-doping rule violation in Article 2.9 (see comment to Article 10.5.2).~~
- ~~3. According to Article 10.6.2, provided that the admission is the only reliable evidence, the period of Ineligibility may be reduced down to one-half. (Assume for purposes of illustration in this example that the panel would impose a period of Ineligibility of 18 months.)~~
- ~~4. The information referred to in Article 14.3.2 must be Publicly Disclosed unless the Athlete Support Person is a Minor, since this is a mandatory part of each sanction (Article 10.13).~~

EXAMPLE 6

~~Facts: An Athlete was sanctioned for a first anti-doping rule violation with a period of Ineligibility of 14 months, of which four months were suspended because of Substantial Assistance. Now, the Athlete commits a second anti-doping rule violation resulting from the presence of a stimulant which is not a Specified Substance in an In-Competition test (Article 2.1); the Athlete establishes No Significant Fault or Negligence; and the Athlete provided Substantial Assistance. If this were a first violation, the panel would sanction the Athlete with a period of Ineligibility of 16 months and suspend six months for Substantial Assistance.~~

Application of Consequences:

- ~~1. Article 10.7 is applicable to the second anti-doping rule violation because Article 10.7.4.1 and Article 10.7.5 apply.~~
- ~~2. Under Article 10.7.1, the period of Ineligibility would be the greater of:
 - ~~(a) six months;~~
 - ~~(b) one-half of the period of Ineligibility imposed for the first anti-doping rule violation without taking into account any reduction under Article 10.6 (in this example, that would equal one-half of 14 months, which is seven months); or~~
 - ~~(c) twice the period of Ineligibility otherwise applicable to the second anti-doping rule violation treated as if it were a first violation, without taking into account any reduction under Article 10.6 (in this example, that would equal two times 16 months, which is 32 months).~~Thus, the period of Ineligibility for the second violation would be the greater of (a), (b) and (c), which is a period of Ineligibility of 32 months.~~
- ~~3. In a next step, the panel would assess the possibility for suspension or reduction under Article 10.6 (non-Fault-related reductions). In the case of the second violation, only Article 10.6.1 (Substantial Assistance) applies. Based on Substantial Assistance, the period of Ineligibility could be suspended by three-quarters of 32 months.* The minimum period of Ineligibility would thus be eight months. (Assume for purposes of illustration in this example that the panel suspends eight months of the period of Ineligibility for Substantial Assistance, thus reducing the period of Ineligibility imposed to two years.)~~
- ~~4. Since the Adverse Analytical Finding was committed in a Competition, the panel would automatically Disqualify the result obtained in the Competition.~~
- ~~5. According to Article 10.8, all results obtained by the Athlete subsequent to the date of Sample collection until the start of the period of Ineligibility would also be Disqualified unless fairness requires otherwise.~~
- ~~6. The information referred to in Article 14.3.2 must be Publicly Disclosed, unless the Athlete is a Minor, since this is a mandatory part of each sanction (Article 10.13).~~
- ~~7. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training two months before the end of the period of Ineligibility.~~

Without Prejudice Agreement: For purposes of Articles 10.7.1.1 and 10.8.2, a written agreement between an Anti-Doping Organization and an Athlete or other Person that allows the Athlete or other Person to provide information to the Anti-Doping Organization in a defined time-limited setting with the understanding that, if an agreement for Substantial Assistance or a case resolution agreement is not finalized, the information provided by the Athlete or other Person in this particular setting may not be used by the Anti-Doping Organization against the Athlete or other Person in any Results Management proceeding under the Code, and that the information provided by the Anti-Doping Organization in this particular setting may not be used by the Athlete or other Person against the Anti-Doping Organization in any Results Management proceeding under the Code. Such an agreement shall not preclude the Anti-Doping Organization, Athlete or other

Person from using any information or evidence gathered from any source other than during the specific time-limited setting described in the agreement.

DEFINITIONS SPECIFIC TO ARTICLE 24.1

Aggravating Factors: This term encompasses a deliberate attempt to circumvent or undermine the Code or the International Standards and/or to corrupt the anti-doping system, an attempt to cover up non-compliance, or any other form of bad faith on the part of the Signatory in question; a persistent refusal or failure by the Signatory to make any reasonable effort to correct Non-Conformities that are notified to it by WADA; repeat offending; and any other factor that aggravates the Signatory's non-compliance.

Approved Third Party: One or more Anti-Doping Organizations and/or Delegated Third Parties selected or approved by WADA, following consultation with the non-compliant Signatory, to Supervise or Takeover some or all of that Signatory's Anti-Doping Activities. As a last resort, if there is no other suitable body available, then WADA may carry out this function itself.

Critical: A requirement that is considered to be Critical to the fight against doping in sport. See further Annex A of the International Standard for Code Compliance by Signatories.

Fine: Payment by the Signatory of an amount that reflects the seriousness of the non-compliance/Aggravating Factors, its duration, and the need to deter similar conduct in the future. In a case that does not involve non-compliance with any Critical requirements, the Fine shall not exceed the lower of (a) 10% of the Signatory's total annual budgeted expenditure; and (b) US \$100,000. The Fine will be applied by WADA to finance further Code compliance monitoring activities and/or anti-doping Education and/or anti-doping research.

General: A requirement that is considered to be important to the fight against doping in sport but does not fall into the categories of Critical or High Priority. See further Annex A of the International Standard for Code Compliance by Signatories.

High Priority: A requirement that is considered to be High Priority but not Critical in the fight against doping in sport. See further Annex A of the International Standard for Code Compliance by Signatories.

Non-Conformity: Where a Signatory is not complying with the Code and/or one or more International Standards and/or any requirements imposed by the WADA Executive Committee, but the opportunities provided in the International Standard for Code Compliance by Signatories to correct the Non-Conformity/Non-Conformities have not yet expired and so WADA has not yet formally alleged that the Signatory is non-compliant.

Reinstatement: When a Signatory that was previously declared non-compliant with the Code and/or the International Standards is determined to have corrected that non-compliance and to have met all of the other conditions imposed in accordance with Article 11 of the International Standard for Code Compliance by Signatories for Reinstatement of its name to the list of Code-compliant Signatories (and Reinstated shall be interpreted accordingly).

Representatives: Officials, directors, officers, elected members, employees, and committee members of the Signatory or other body in question, and also (in the case of a National Anti-Doping Organization or a National Olympic Committee acting as a National Anti-Doping Organization) Representatives of the government of the country of that National Anti-Doping Organization or National Olympic Committee.

Special Monitoring: Where, as part of the consequences imposed on a non-compliant Signatory, WADA applies a system of specific and ongoing monitoring to some or all of the Signatory's Anti-Doping Activities, to ensure that the Signatory is carrying out those activities in a compliant manner.

Supervision: Where, as part of the consequences imposed on a non-compliant Signatory, an Approved Third Party oversees and supervises the Signatory's Anti-Doping Activities, as directed by WADA, at the Signatory's expense (and Supervise shall be interpreted accordingly). Where a Signatory has been declared non-compliant and has not yet finalized a Supervision agreement with the Approved Third Party, that Signatory shall not implement independently any Anti-Doping Activity in the area(s) that the Approved Third Party is to oversee and supervise without the express prior written agreement of WADA.

Takeover: Where, as part of the consequences imposed on a non-compliant Signatory, an Approved Third Party takes over all or some of the Signatory's Anti-Doping Activities, as directed by WADA, at the Signatory's expense. Where a Signatory has been declared non-compliant and has not yet finalized a Takeover agreement with the Approved Third Party, that Signatory shall not implement independently any Anti-Doping Activity in the area(s) that the Approved Third Party is to take over without the express prior written agreement of WADA.

Legend:	
<u>Insertion</u>	
Deletion	
Moved from	
<u>Moved to</u>	
Style change	
Format change	
Moved deletion	
Inserted cell	
Deleted cell	
Moved cell	
Split/Merged cell	
Padding cell	

Statistics:	
	Count
Insertions	1922

Deletions	1434
Moved from	49
Moved to	49
Style change	0
Format changed	0
Total changes	3454

~~*Upon the approval of WADA in exceptional circumstances, the maximum suspension of the period of Ineligibility for Substantial Assistance may be greater than three-quarters, and reporting and publication may be delayed.~~