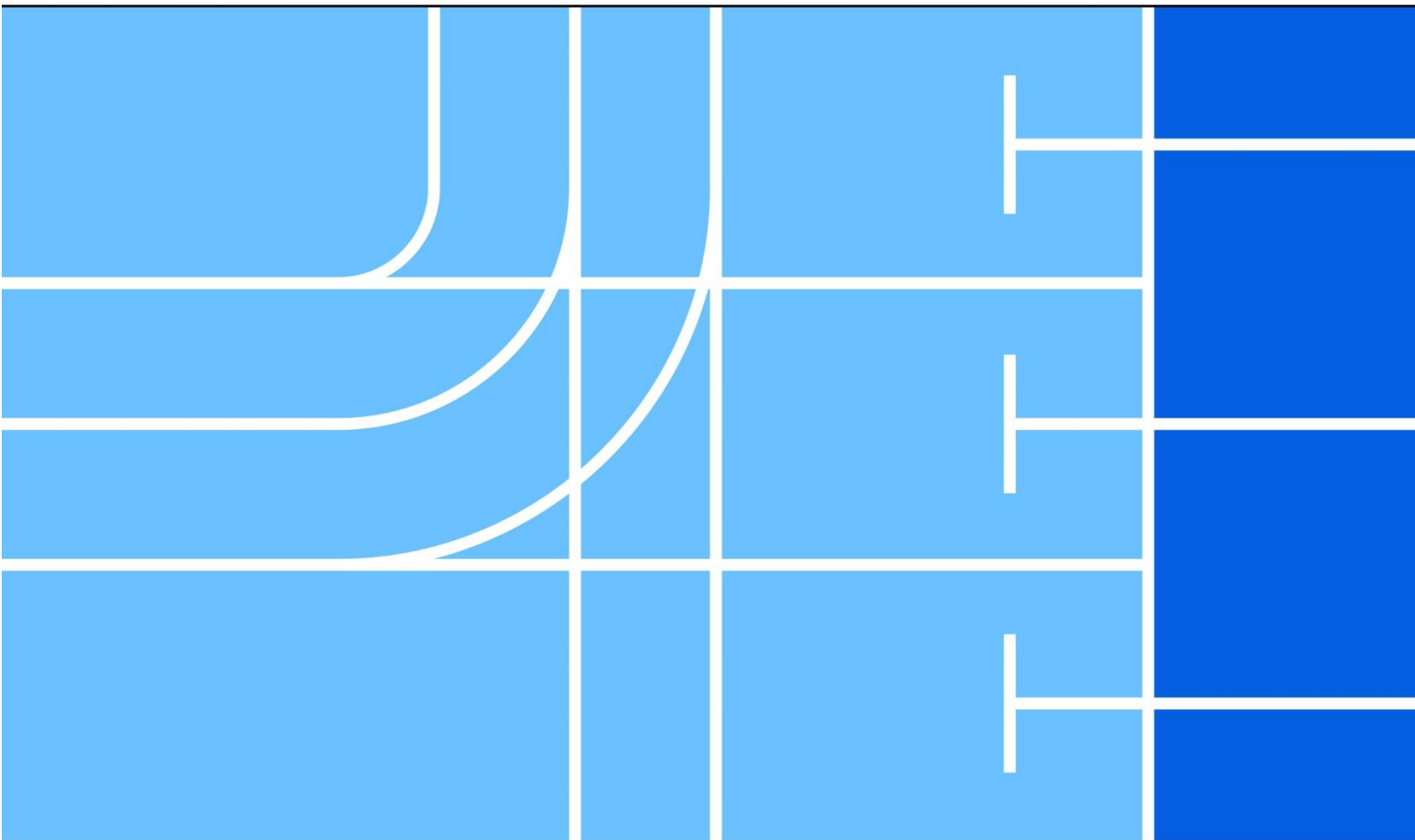




World Anti-Doping Code

International Standard for Testing



2027

International Standard for Testing

The World Anti-Doping Code *International Standard for Testing* is a mandatory *International Standard* developed as part of the World Anti-Doping Program. It was developed in consultation with *Signatories*, public authorities, and other relevant stakeholders.

The *International Standard for Testing* was first adopted in 2003 and came into effect in January 2004. It was subsequently amended six times, the first time effective January 2009; the second time effective January 2011; the third time it was renamed *International Standard for Testing and Investigations (ISTI)*, effective January 2015; the fourth time effective January 2017; the fifth time effective March 2019; the sixth time effective March 2020, the seventh time effective January 2021, the eighth time effective January 2023. This version of the IST (renamed from ISTI) incorporates further revisions approved by the WADA Executive Committee on 5 December 2025 and is effective as of 1 January 2027.

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PART ONE: INTRODUCTION, CODE PROVISIONS, INTERNATIONAL STANDARD PROVISIONS AND DEFINITIONS

1.0 Introduction and Scope

The first purpose of the *International Standard for Testing* is to plan for and implement intelligent and effective *Testing*, both *In-Competition* and *Out-of-Competition*, and to maintain the integrity, identity and security of the *Samples* collected from the point the *Athlete* is notified of his/her/their selection for *Testing*, to the point that their *Samples* are delivered to the Laboratory for analysis. To that end, the *International Standard for Testing* (including its Annexes) establishes mandatory standards for Test distribution planning (including the collection and use of *Athlete* whereabouts information), notification of *Athletes*, preparing for and conducting *Sample* collection, security/post-Test administration of *Samples* and documentation, and transport of *Samples* to Laboratories for analysis.

The *International Standard for Testing* is supported by *Technical Documents*, produced by WADA, to provide assistance to *Anti-Doping Organizations* in fulfilling their duties under the World Anti-Doping Program. *Technical Documents* are mandatory. *Athletes* should receive anti-doping education in accordance with the *International Standard for Education*. This is to support the principle that an *Athlete's* first experience with anti-doping should be with education rather than *Testing*.

Terms used in this *International Standard* that are defined terms from the *Code* are italicized. Terms that are defined in this or another *International Standard* are underlined.

2.0 Code Provisions

The following articles in the *Code* are directly relevant to the *International Standard for Testing*; they can be obtained by referring to the *Code* itself:

- Article 2 *Anti-Doping Rule Violations*
- Article 5 *Testing* and Investigations
- Article 6 *Analysis of Samples*
- Article 8 *Results Management: Right to a Fair Hearing and Notice of Hearing Decision*
- Article 10 *Sanctions on Individuals*
- Article 12 *Sanctions by Signatories Against Other Sporting Bodies*
- Article 13 *Results Management: Appeals*
- Article 14 *Confidentiality and Reporting*
- Article 20 *Additional Roles and Responsibilities of Signatories and WADA*
- Article 21 *Additional Roles and Responsibilities of Athletes and Other Persons*
- Article 23 *Acceptance and Implementation*

3.0 Definitions and Interpretation

3.1 Defined Terms from the Code that are used in the *International Standard for Testing*

ADAMS: The Anti-Doping Administration and Management System is a Web-based database management tool for data entry, storage, sharing, and reporting designed to assist stakeholders and WADA in their anti-doping operations in conjunction with data protection legislation.

Adverse Analytical Finding (AAF): A report from a WADA-accredited laboratory or other WADA-approved laboratory that, consistent with the *International Standard for Laboratories*, establishes in a *Sample* the presence of a *Prohibited Substance* or its *Metabolites* or *Markers* or evidence of the *Use of a Prohibited Method*.

Adverse Passport Finding: A report identified as an *Adverse Passport Finding* as described in the applicable *International Standards*.

Anti-Doping Organization (ADO): WADA or a *Signatory* that is responsible for adopting rules for initiating, implementing or enforcing any part of the *Doping Control* process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other *Major Event Organizations* that conduct *Testing* at their *Events*, International Federations, and *National Anti-Doping Organizations*.

Athlete: Any *Person* who competes in sport at the international level (as defined by each International Federation) or the national level (as defined by each *National Anti-Doping Organization*). An *Anti-Doping Organization* has discretion to apply anti-doping rules to an *Athlete* who is neither an *International-Level Athlete* nor a *National-Level Athlete*, and thus to bring them within the definition of “*Athlete*”. In relation to *Athletes* who are neither *International-Level* nor *National-Level Athletes*, an *Anti-Doping Organization* may elect to: conduct limited *Testing* or no *Testing* at all; analyze *Samples* for less than the full menu of *Prohibited Substances*; require limited or no whereabouts information; or not require advance *TUEs*. However, if an Article 2.1, 2.3 or 2.5 anti-doping rule violation is committed by any *Athlete* over whom an *Anti-Doping Organization* has elected to exercise its authority to test and who competes below the international or national level, then the *Consequences* set forth in the *Code* must be applied. For purposes of Article 2.8 and Article 2.9 and for purposes of anti-doping information and *Education*, any *Person* who participates in sport under the authority of any *Signatory*, government, or other sports organization accepting the *Code* is an *Athlete*.

[Comment to Athlete: Individuals who participate in sport may fall in one of five categories: 1) International-Level Athlete, 2) National-Level Athlete, 3) individuals who are not International or National-Level Athletes but over whom the International Federation or National Anti-Doping Organization has chosen to exercise authority, 4) Recreational Athlete, and 5) individuals over whom no International Federation or National Anti-Doping Organization has, or has chosen to, exercise authority. All International and National-Level Athletes are subject to the anti-doping rules of the Code, with the precise definitions of international and national-level sport to be set forth in the anti-doping rules of the International Federations and National Anti-Doping Organizations.]

Athlete Biological Passport (ABP): The program and methods of gathering and collating data as described in the *International Standard for Testing* and *International Standard for Laboratories*.

Athlete Support Personnel: Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent or any other *Person* working with, treating or assisting an *Athlete* participating in or preparing for sports ~~Competition~~competition.

Attempt: Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an *Attempt* to commit a violation if the *Person* renounces the *Attempt* prior to it being discovered by a third party not involved in the *Attempt*.

Atypical Finding (ATF): A report from a WADA-accredited laboratory or other WADA-approved laboratory which requires further investigation as provided by the applicable *International Standards* (including related *Technical Documents* or *Technical Letters*), ~~WADA stakeholder notice~~, or as directed by WADA, prior to the final determination about the finding (i.e., the establishing, or not, of an anti-doping rule violation).

Atypical Passport Finding: A report described as an *Atypical Passport Finding* as described in the applicable *International Standards*.

CAS: The Court of Arbitration for Sport.

Code: The World Anti-Doping Code.

Competition: A single race, match, game or singular sport contest. For example, a basketball game or the finals of the Olympic 100-meter race in athletics. For stage races and other sport contests where prizes are awarded on a daily or other interim basis, the distinction between a *Competition* and an *Event* will be as provided in the rules of the applicable International Federation.

Consequences of Anti-Doping Rule Violations (“Consequences”): An *Athlete’s* or other *Person’s* violation of an anti-doping rule may result in one or more of the following: (a) *Disqualification* means the *Athlete’s* results in a particular *Competition* or *Event* are invalidated, with all resulting *Consequences* including forfeiture of any medals, points and prizes; (b) *Ineligibility* means the *Athlete* or other *Person* is barred on account of an anti-doping rule violation for a specified period of time from participating in any *Competition* or other activity or funding as provided in Article 10.14; (c) *Provisional Suspension* means the *Athlete* or other *Person* is barred temporarily from participating in any *Competition* or activity prior to the final decision at a hearing conducted under Article 8; (d) *Financial Consequences* means a financial sanction imposed for an anti-doping rule violation or to recover costs associated with an anti-doping rule violation; and (e) *Public Disclosure* means the dissemination or distribution of information to the general public or *Persons* beyond those *Persons* entitled to earlier notification in accordance with Article 14. Teams in *Team Sports* may also be subject to *Consequences* as provided in Article 11.

~~***Decision Limit:*** The value above which a quantitative analytical result for a Threshold Substance in a Sample shall be reported as an Adverse Analytical Finding.~~

~~[Comment to Decision Limit: For more information on DLs and which Threshold Substances they are applied for, refer to the TD-DL and other applicable Technical Documents (e.g., TD-GH, TD-CG/LH.)]~~

Delegated Third Party: Any *Person* to which an *Anti-Doping Organization* delegates any aspect of *Doping Control* or anti-doping *Education* programs including, but not

limited to, third parties or other *Anti-Doping Organizations* that conduct *Sample* collection or other *Doping Control* services or anti-doping *Educational* programs for the *Anti-Doping Organization*, or individuals serving as independent contractors who perform *Doping Control* services for the *Anti-Doping Organization* (e.g., non-employee *Doping Control* officers or chaperones). This definition does not include CAS.

Doping Control: All steps and processes from test distribution planning through to ultimate disposition of any appeal and the enforcement of *Consequences*, including all steps and processes in between, including but not limited to, *Testing*, investigation, whereabouts, *TUEs*, *Sample* collection and handling, laboratory analysis, *Results Management* and investigations or proceedings relating to violations of Article 10.14 (Status During *Ineligibility* or *Provisional Suspension*).

Education: The process of learning to instill values and develop behaviors that foster and protect the spirit of sport, and to prevent intentional and unintentional doping.

Event: A series of individual *Competitions* conducted together under one ruling body (e.g., the Olympic Games, World Championships of an International Federation, or Pan American Games).

Event Venues: Those venues so designated by the ruling body for the *Event*.

In-Competition (IC): The period commencing at 11:59 p.m. on the day before a *Competition* in which the *Athlete* is scheduled to participate through the end of such *Competition* and the *Sample* collection process related to such *Competition*. Provided, however, WADA may approve, for a particular sport, an alternative definition if an International Federation provides a compelling justification that a different definition is necessary for its sport; upon such approval by WADA, the alternative definition shall be followed by all *Major Event Organizations* for that particular sport.

[Comment to In-Competition: Having a universally accepted definition for In-Competition provides greater harmonization among Athletes across all sports, eliminates or reduces confusion among Athletes about the relevant timeframe for In-Competition Testing, avoids inadvertent Adverse Analytical Findings in between Competitions during an Event and assists in preventing any potential performance enhancement benefits from Substances prohibited Out-of-Competition being carried over to the Competition period.]

Independent Observer Program: A team of observers and/or auditors, under the supervision of WADA, who observe and provide guidance on the *Doping Control* process prior to or during certain *Events* and report on their observations as part of WADA's compliance monitoring program.

Ineligibility: See *Consequences of Anti-Doping Rule Violations* above.

International Event: An *Event* or *Competition* where the International Olympic Committee, the International Paralympic Committee, an International Federation, a *Major Event Organization*, or another international sport organization is the ruling body for the *Event* or appoints the technical officials for the *Event*.

International-Level Athlete: *Athletes* who compete in sport at the international level, as defined by each International Federation, consistent with the *International Standard for Testing*.

[Comment to International-Level Athlete: Consistent with the International Standard for Testing, the International Federation is free to determine the criteria it will use to classify Athletes as International-Level Athletes, e.g., by ranking, by participation in particular International Events, by type of

license, etc. However, it must publish those criteria in clear and concise form, so that Athletes are able to ascertain quickly and easily when they will become classified as International-Level Athletes. For example, if the criteria include participation in certain International Events, then the International Federation must publish a list of those International Events.]

International Standard: A standard adopted by WADA in support of the Code. Compliance with an *International Standard* (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the *International Standard* were performed properly. *International Standards* shall include any *Technical Documents* [and Technical Letters](#) issued pursuant to the *International Standard*.

Major Event Organizations (MEO): The continental associations of *National Olympic Committees* and other international multisport organizations that function as the ruling body for any continental, regional or other *International Event*.

Marker: A compound, group of compounds or biological variable(s) that indicates the Use of a *Prohibited Substance* or *Prohibited Method*.

Minor: A natural *Person* who has not reached the age of eighteen years.

[\[Comment to Minor: For context, see Comment to Protected Person. Any circumstance where a Minor is to be treated differently than other Persons or Athletes has been specifically identified in the Code. It should not be assumed that different treatment was intended where it is not specifically expressed.\]](#)

National Anti-Doping Organization (NADO): The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of *Samples*, manage test results and conduct *Results Management* at the national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

National Event: A sport *Event* or *Competition* involving *International- or National-Level Athletes* that is not an *International Event*.

National-Level Athlete: *Athletes* who compete in sport at the national level, as defined by each *National Anti-Doping Organization*, consistent with the *International Standard for Testing*.

National Olympic Committee: The organization recognized by the International Olympic Committee. The term *National Olympic Committee* shall also include the National Sport Confederation in those countries where the National Sport Confederation assumes typical *National Olympic Committee* responsibilities in the anti-doping area.

Out-of-Competition (OOC): Any period which is not *In-Competition*.

Person: A natural *Person* or an organization or other entity.

Prohibited Method: Any method so described on the *Prohibited List*.

Prohibited Substance: Any substance, or class of substances, so described on the *Prohibited List*.

Protected Person: An *Athlete* or other natural *Person* who at the time of the anti-doping rule violation: (i) has not reached the age of sixteen (16) years; (ii) has not reached the

age of eighteen (18) years and is not included in any *Registered Testing Pool* and has never competed in any *International Event* in an open category; or (iii) for reasons other than age, has been determined to lack legal capacity under applicable national legislation.

~~[Comment to Protected Persons: The Code treats Protected Persons differently than other Athletes or Persons in certain circumstances based on the understanding that, below a certain age or intellectual capacity, Person: Not every Minor is a Protected Person. The Code differentiates between different groups of Minors based on two criteria: (i) age and (ii) level of sporting performance. Below the age of 16, Minors always qualify as Protected Persons. It is assumed that they are unable, in principle, to control their behavior in the same way as adults and therefore need to be given special treatment. Where Minors are over 16 (but below 18) years of age, they are assumed to have a higher level of understanding and, depending on their sporting level, better access to anti-doping Education. This justifies treating the age group between 16-18 differently from the age group below 16. The term "open category" is meant to exclude competition that is limited to junior or age group categories.]~~

~~Athletes with a documented lack of legal capacity due to an intellectual impairment always qualify as Protected Persons independently of their age.~~

~~The purpose of the category of Protected Person is to take into account that an Athlete or other Person may not possess the mental capacity to sufficiently understand and appreciate the prohibitions against conduct contained in the Code. This would include, for example, a Paralympic Athlete with a documented lack of legal capacity due to an intellectual impairment. The term "open category" is meant to exclude competition that is limited to junior or age group categories. The special treatment of Protected Person flows from the fact that the central criteria to determine the period of Ineligibility is "Fault".~~

Those circumstances where a Protected Person, Minor or Recreational Athlete is to be treated differently than other Persons or Athletes have been specifically identified in the Code. It should not be assumed, with respect to Article 7.4 or any other Article in the Code, that different treatment was intended where it is not specifically expressed.]

Provisional Suspension: See Consequences of Anti-Doping Rule Violations above.

Quality Assurance: Processes aimed at maintaining and improving the quality of Analytical Testing Procedures (as further defined in the *International Standard for Laboratories*), i.e., quality control, quality improvement, method development and validation, generation and evaluation of reference population data, analysis of substances included in the WADA monitoring program as described in Code Article 4.5, and any other legitimate Quality Assurance process, as determined by WADA, aimed at monitoring the validity of Analytical Testing Procedures applied to the analysis of *Prohibited Substances* and *Prohibited Methods* for the purposes established in Code Article 6.2.

Recreational Athlete: A natural *Person* who is so defined by the relevant *National Anti-Doping Organization*; provided, however, the term shall not include any *Person* who, within the five (5) years prior to committing any anti-doping rule violation, has been an *International-Level Athlete* (as defined by each *International Federation* consistent with the *International Standard for Testing*) or *National-Level Athlete* (as defined by each *National Anti-Doping Organization* consistent with the *International Standard for Testing*), has represented any country in an *International Event* in an open category or has been included within any *Registered Testing Pool* or other whereabouts information pool maintained by any *International Federation* or *National Anti-Doping Organization*.

~~[Comment to Recreational Athlete: The term "open category" is meant to exclude competition that is limited to junior or age group categories. Those circumstances where a Protected Person or Recreational Athlete is to be treated differently than Persons or Athletes have been specifically identified in the Code. It~~

should not be assumed, with respect to Article 7.4 or any other Article in the Code, that different treatment was intended where it is not specifically expressed.]

Registered Testing Pool (RTP): The pool of highest-priority *Athletes* established separately at the international level by International Federations and at the national level by *National Anti-Doping Organizations*, who are subject to **focused In-Competition and at least a minimum level of Out-of-Competition Testing** as part of that International Federation's or *National Anti-Doping Organization's* test distribution plan and therefore are required to provide whereabouts information as provided in Article 5.5 and the *International Standard for Testing* ~~and who shall be subject to at least three (3) planned Out-of-Competition tests per year.~~

Results Management: The process encompassing the timeframe between notification as per Article 5 of the *International Standard for Results Management*, or in certain cases (e.g., *Atypical Finding, Athlete Biological Passport, whereabouts failure*), such pre-notification steps expressly provided for in Article 5 of the *International Standard for Results Management*, through the charge until the final resolution of the matter, including the end of the hearing process at first instance or on appeal (if an appeal was lodged).

Sample or Specimen: Any biological material collected for the purposes of *Doping Control*.

[Comment to Sample or Specimen: It has sometimes been claimed that the collection of urine or blood Samples violates the tenets of certain religious or cultural groups. It has been determined that there is no basis for any such claim.]

Signatories: Those entities accepting the *Code* and agreeing to implement the *Code*, as provided in Article 23.

Substantial Assistance: For purposes of Article 10.7.1, a *Person* providing *Substantial Assistance* must: (1) fully disclose in a signed written statement or recorded interview all information he or she possesses in relation to anti-doping rule violations or other proceeding described in Article 10.7.1.1 and (2) fully cooperate with the investigation and adjudication of any case or matter related to that information, including, for example, presenting testimony at a hearing if requested to do so by an *Anti-Doping Organization* or hearing panel. Further, the information provided must remain credible and valuable throughout any subsequent investigation or proceeding.

Tampering: Intentional conduct which subverts the *Doping Control* process but which would not otherwise be included in the definition of *Prohibited Methods*. *Tampering* shall include, without limitation, offering or accepting a bribe to perform or fail to perform an act, preventing the collection of a *Sample*, affecting or making impossible the analysis of a *Sample*, falsifying documents submitted to an *Anti-Doping Organization* or *TUE* committee or hearing panel, procuring false testimony from witnesses, committing any other fraudulent act upon the *Anti-Doping Organization* or hearing body to affect *Results Management* or the imposition of *Consequences*, and any other similar intentional interference or *Attempted* interference with any aspect of *Doping Control*.

[Comment to Tampering: For example, this Article would prohibit altering identification numbers on a Doping Control form during Testing, breaking the B bottle at the time of B Sample analysis, altering a Sample by the addition of a foreign substance, or intimidating or attempting to intimidate a potential witness or a witness who has provided testimony or information in the Doping Control process. Tampering includes misconduct which occurs during the Results Management and hearing process. See Code

Article 10.9.3.3. However, actions taken as part of a Person's legitimate defense to an anti-doping rule violation charge shall not be considered Tampering. Offensive conduct towards a Doping Control official or other Person involved in Doping Control which does not otherwise constitute Tampering shall be addressed in the disciplinary rules of sport organizations.]

Target Testing: Selection of specific Athletes for Testing based on criteria set forth in the *International Standard for Testing*.

Team Sport: A sport in which the substitution of players is permitted during a Competition.

Technical Document: A document adopted and published by WADA from time to time containing mandatory technical requirements on specific anti-doping topics as set forth in an *International Standard*.

Testing: The parts of the *Doping Control* process involving test distribution planning, Sample collection, Sample handling, and Sample transport to the laboratory.

Testing Pool (TP): The pool of Athletes that the International Federation or National Anti-Doping Organization considers to be a lesser priority and risk than those Athletes in the Registered Testing Pool and who are subject to at least a minimum level of Out-of-Competition Testing and required to provide whereabouts information as outlined in the *International Standard for Testing* ~~and who shall be subject to at least one planned Out-of-Competition test per year.~~

WADA: The World Anti-Doping Agency.

3.2 Defined Terms from the *International Standard for Laboratories*:

ABP Laboratory: A laboratory not otherwise accredited by WADA, which is approved by the WADA Executive Committee to apply Analytical Methods and processes in support of the Hematological Module of the Athlete Biological Passport (ABP) program.

[Comment to ABP Laboratory: To facilitate the comprehension and interpretation of ISL provisions, when requirements apply to both Laboratories and ABP Laboratories, both will be referred to as "Laboratory(-ies)". If, instead, provisions apply exclusively to either Laboratories or ABP Laboratories, the specific definition will be used as applicable.

Instead, when the term "laboratory" is used, it implies laboratories that are neither WADA-accredited nor ABP approved, ~~which may be involved in analytical areas other than anti-doping.~~

Analytical Testing: The parts of the *Doping Control* process performed at the Laboratory or ABP Laboratory, which include Sample handling, analysis and reporting of results.

Analytical Testing Procedure: A Fit-for-Purpose procedure, as demonstrated through method validation, ~~and~~ which is used to detect, identify and/or quantify Analytes property values of Analyte(s) in a Sample for *Doping Control* purposes in accordance with the ISL and relevant ~~TDs, TEs or LGs~~ Technical Documents, Technical Letters or Laboratory Guidelines. An Analytical Testing Procedure is also referred to or known as an Analytical Method or Test Method.

Athlete Passport Management Unit (APMU): A unit, associated with a Laboratory, composed of a Person or Persons ~~that is~~ responsible for the timely management of Athlete Biological Passports in ADAMS on behalf of the Passport Custodian.

Confirmation Procedure (CP): An Analytical Testing Procedure that has the purpose of confirming the presence (Qualitative Procedure) and/or, ~~when applicable,~~ determining the quantitative property value (e.g., concentration, ratio, score, or any other measurable analytical parameter, as defined by WADA) and/or establishing the origin (~~exogenous or endogenous~~ Quantitative Procedure) of one or more specific Analytes in a Sample.

Further Analysis: ~~Further Analysis, as this term is used in the ISL,~~ occurs when a Laboratory conducts additional analysis on an “A” Sample or a “B” Sample after ~~an~~ the final analytical result for that “A” Sample or that “B” Sample has been reported by the Laboratory. Any Sample storage or Further Analysis initiated by an Anti-Doping Organization (ADO) shall be conducted at the expense of the ADO.

~~[Comment to Further Analysis: There is no limitation on a Laboratory’s authority to conduct repeat or confirmation analysis, or to analyze a Sample with additional Analytical Methods, or to perform any other type of additional analysis on an “A” Sample or “B” Sample prior to reporting an analytical result on that Sample. That is not considered Further Analysis.]~~

~~If a Laboratory is to conduct additional analysis on an “A” Sample or “B” Sample after an analytical result for that Sample has been reported (for example: additional Sample analysis to detect EPO, or GC/IRMS analysis, or analysis in connection with the ABP or additional analysis on a stored Sample) it may do so after receiving approval from the TA or RMA (if different) or WADA. However, after an Athlete has been charged with a Code Article 2.1 anti-doping rule violation and the case has not been finally resolved, then Further Analysis on that Sample may only be performed with the consent of the Athlete or approval from a hearing body (see Code Article 6.5).~~

~~Further Analysis may be performed by the same Laboratory that did the original Analytical Testing, or by a different Laboratory or other WADA-approved laboratory, at the direction of the TA or RMA (if different) or WADA. Any other ADO that wishes to conduct Further Analysis on a stored Sample may do so with the permission of the TA or RMA (if different) or WADA and shall be responsible for any follow-up Results Management. Any Sample storage or Further Analysis initiated by WADA, or another ADO shall be at WADA’s or that ADO’s expense.]~~

Initial Testing Procedure (ITP): An Analytical Testing Procedure whose purpose is to screen for the possible presence of an Analyte or for elevated property value(s) of an Analyte(s) in a Sample.

Laboratory: A WADA-accredited ~~laboratory~~ Laboratory, as approved by the WADA Executive Committee.

~~[Comment to Laboratory: To facilitate the comprehension and interpretation of ISL provisions, when requirements apply to both Laboratories and ABP Laboratories, both will be referred to as “Laboratory(-ies)”. If, instead, provisions apply exclusively to either Laboratories or ABP Laboratories, the specific definition will be used as applicable.]~~

~~Instead, when the term “laboratory” is used, it implies laboratories that are neither WADA-accredited nor ABP approved, which may be involved in analytical areas other than anti-doping.]~~

3.3 Defined Terms from the International Standard for Results Management:

Adaptive Model: A mathematical model designed to identify unusual longitudinal results from *Athletes*. The model calculates the probability of a longitudinal profile of *Marker* values, assuming that the *Athlete* has a normal physiological condition.

Failure to Comply: A term used to describe anti-doping rule violations under Code Articles 2.3 and/or 2.5.

Filing Failure: A failure by the *Athlete* (or by a third party to whom the *Athlete* has delegated the task) (1) to make an accurate and complete Whereabouts Filing that enables the *Athlete* to be located for *Testing* at the times and locations set out in the Whereabouts Filing or (2) to update that Whereabouts Filing where necessary to ensure that it remains accurate and complete, all in accordance with Article 4.10.6 of the *International Standard for Testing* and Annex B.2 of the *International Standard for Results Management*.

Missed Test: A failure by the *Athlete* to be available for *Testing* at the location and time specified in the 60-minute time slot identified in their Whereabouts Filing for the day in question, in accordance with Article 4.10.6 of the *International Standard for Testing* and Annex B.2 of the *International Standard for Results Management*.

Passport: A collation of all relevant data unique to an individual *Athlete* that may include longitudinal profiles of *Markers*, heterogeneous factors unique to that particular *Athlete* and other relevant information that may help in the evaluation of *Markers*.

Passport Custodian: The *Anti-Doping Organization* responsible for ~~Results~~Result Management of ~~that~~the *Athlete*'s Passport and for sharing any relevant information associated to that *Athlete*'s Passport with other *Anti-Doping Organization(s)* which share *Testing* jurisdiction over the *Athlete*. Passport custody is attributed to the *Testing Authority* that first tests an *Athlete*, except (i) when the *Athlete* is first tested by a *Major Event Organizer*, or (ii) when a *National Anti-Doping Organization* first tests an *Athlete* with a different sport nationality, in which cases Passport custody is attributed to the *National Anti-Doping Organization* corresponding to the sport nationality of the *Athlete*. Passport custody can be transferred by the Passport Custodian to another *Anti-Doping Organization* with *Testing* jurisdiction over the *Athlete*. Reasons for transferring Passport custody include, but are not limited to, a change in *Athlete* level, more frequent *Testing* by another *Anti-Doping Organization*, or be based on an agreement between *Anti-Doping Organization* with *Testing* jurisdiction over the *Athlete*.

Results Management Authority (RMA): The *Anti-Doping Organization* responsible for conducting *Results Management* in a given case.

Whereabouts Failure: A Filing Failure or a Missed Test.

3.4 Defined Terms from the *International Standard for* ~~the~~ **Data Protection:**

Processing: (and its cognates, **Process** and **Processed**): Collecting, accessing, retaining, storing, disclosing, transferring, transmitting, amending, deleting or otherwise making use of Personal Information.

Third-Party Agent: Any *Person* that Processes Personal Information on behalf of, as delegated by, or as otherwise engaged by an *Anti-Doping Organization* in the context of the *Anti-Doping Organization's* *Anti-Doping Activities* including, without limitation, a *Delegated Third Party* and any subcontractors.

3.5 Defined Terms from the *International Standard for* **Intelligence and Investigations**

Anti-Doping Intelligence: Anti-Doping Intelligence is the product of the evaluation and analysis of Raw Information to extract meaningful insights relevant to the end user (e.g., the *Anti-Doping Activities* of an *Anti-Doping Organization*).

Raw Information: Raw Information is any raw, unverified, or unevaluated information (in any form) related to *Anti-Doping Activities*. Raw Information can come in many forms including, but not limited to, unprocessed data, information reports, Doping Control Forms (including declarations made by Athletes), conversations / interviews, telephone calls, video, media reports, and anonymous or non-anonymous disclosures.

3.6 Defined Terms ~~specific to~~ from the *International Standard for Testing*:

Blood Collection Officer (or BCO): An official who is qualified and has been authorized by the Sample Collection Authority to collect a blood *Sample* from an *Athlete*.

Chain of Custody: The sequence of individuals or organizations who have responsibility for the custody of a *Sample* from the provision of the *Sample* until the *Sample* has been delivered to the Laboratory for analysis.

Chaperone: An official who is suitably trained and authorized by the Sample Collection Authority to carry out specific duties including one or more of the following (at the election of the Sample Collection Authority); notification of the *Athlete* selected for *Sample* collection; accompanying and observing the *Athlete* until arrival at the Doping Control Station; accompanying and/or observing *Athletes* who are present in the Doping Control Station; and/or witnessing and verifying the provision of the *Sample* where the training specifically qualifies them to do so.

Code Article 2.4 Whereabouts Requirements: The whereabouts requirements set out in Article 4.10.6, which apply to *Athletes* who are included in the *Registered Testing Pool* of an International Federation or a *National Anti-Doping Organization*.

Doping Control Coordinator: An *Anti-Doping Organization* or a *Delegated Third Party* that coordinates any aspect of *Doping Control* on behalf of an *Anti-Doping Organization*. The *Anti-Doping Organization* always remains ultimately responsible under the *Code* for compliance with the requirements of the *International Standard for Testing, Therapeutic Use Exemptions, Data Protection, and Results Management*.

Doping Control Officer (or DCO): An official who has been trained and authorized by the Sample Collection Authority to carry out the responsibilities given to DCOs in the *International Standard for Testing*.

Doping Control Station (DCS): The location where the Sample Collection Session will be conducted in accordance with Article 6.3.2.

No Advance Notice Testing: *Sample* collection that takes place with no advance warning to the *Athlete* and where the *Athlete* is continuously chaperoned from the moment of notification through *Sample* provision.

Random Selection: Selection of *Athletes* for *Testing* which is not *Target Testing*.

Risk Assessment: The assessment of risk of doping in a sport or sports discipline conducted by an *Anti-Doping Organization* in accordance with Article 4.2.

Sample Collection Authority (SCA): The organization that is responsible for the collection of *Samples* in compliance with the requirements of the *International Standard for Testing*, whether (1) the Testing Authority itself; or (2) a *Delegated Third*

Party to whom the authority to conduct *Testing* has been granted or sub-contracted. The Testing Authority always remains ultimately responsible under the *Code* for compliance with the requirements of the *International Standard for Testing* relating to collection of *Samples*.

Sample Collection Equipment: A and B bottles, kits or containers, collection vessels, tubes or other apparatus used to collect, hold or store the *Sample* at any time during and after the Sample Collection Session that shall meet the requirements of Article 6.3.4.

Sample Collection Personnel (SCP): A collective term for qualified officials authorized by the Sample Collection Authority to carry out or assist with duties during the Sample Collection Session.

Sample Collection Session (SCS): All of the sequential activities that directly involve the *Athlete* from the point that initial contact is made until the *Athlete* leaves the Doping Control Station after having provided their *Sample(s)*.

Suitable Specific Gravity for Analysis: For *Samples* with a minimum volume of 90 mL and less than 150 mL, specific gravity measured at 1.005 or higher with a refractometer, or 1.010 or higher with lab sticks. For *Samples* with a volume of 150 mL and above, specific gravity measured at 1.003 or higher with a refractometer only.

Suitable Volume of Urine for Analysis: A minimum of 90 mL, whether the Laboratory will be analyzing the *Sample* for all or only some *Prohibited Substances* or *Prohibited Methods*.

Tamper Evident: Refers to having one or more indicators or barriers to entry incorporated into or, if applicable, included with the Sample Collection Equipment, which, if breached or missing or otherwise compromised, can provide visible evidence that *Tampering* or *Attempted Tampering* of Sample Collection Equipment has occurred.

Team Activity/Activities: Sporting activities carried out by *Athletes* on a collective basis as part of a team (e.g., training, travelling, tactical sessions) or under the supervision of the team (e.g., treatment by a team doctor).

Technical Document for Sport Specific Analysis (TDSSA): The *Technical Document* which establishes minimum levels of analysis that *Anti-Doping Organizations* must apply to sports and sport disciplines for certain *Prohibited Substances* and/or *Prohibited Methods*, which are most likely to be abused in particular sports and sport disciplines.

Test(s): Any combination of *Sample(s)* collected (and analyzed) from a single *Athlete* in a single Sample Collection Session.

Test Distribution Plan (TDP): A document written by an *Anti-Doping Organization* that plans *Testing* on *Athletes*, in accordance with the requirements of Article 4.7.

Testing Authority (TA): The *Anti-Doping Organization* that authorizes *Testing* on *Athletes* it has authority over. It may authorize a *Delegated Third Party* to conduct *Testing* pursuant to the authority of and in accordance with the rules of the *Anti-Doping Organization*. Such authorization shall be documented. The *Anti-Doping Organization* authorizing *Testing* remains the Testing Authority and ultimately responsible under the

Code to ensure the *Delegated Third Party* conducting the *Testing* does so in compliance with the requirements of the *International Standard for Testing*.

Unsuccessful Attempt Report (UAR): A detailed report of an unsuccessful attempt to collect a *Sample* from an *Athlete* in a *Registered Testing Pool* or *Testing Pool* setting out the date of the attempt, the location visited, the exact arrival and departure times at the location, the steps taken at the location to try to find the *Athlete* (including details of any contact made with third parties), and any other relevant details about the attempt. Such report shall be filed in ADAMS [in accordance with requirements outlined in the *International Standard for Testing*](#).

Whereabouts Filing: Information provided by or on behalf of an *Athlete* in a *Registered Testing Pool* that sets out the *Athlete*'s whereabouts during the current and/or following quarter, in accordance with Article 4.10.6.

Whereabouts Pool: A pool of *Athletes* [in either a *Registered Testing Pool* or *Testing Pool*](#) who are required to provide whereabouts information and who are subject to [at least](#) a minimum number of planned *Out-of-Competition Tests* annually.

3.7 Interpretation:

- 3.7.1 The official text of the *International Standard for Testing* shall be published in English and French. In the event of any conflict between the English and French versions, the English version shall prevail.
- 3.7.2 Like the *Code*, the *International Standard for Testing* has been drafted giving consideration to the principles of proportionality, human rights, and other applicable legal principles. It shall be interpreted and applied in that light.
- 3.7.3 The comments annotating various provisions of the *International Standard for Testing* shall be used to guide its interpretation.
- 3.7.4 Unless otherwise specified, references to Sections and Articles are references to Sections and Articles of the *International Standard for Testing*.
- 3.7.5 Where the term “days” is used in the *International Standard for Testing*, it shall mean calendar days unless otherwise specified.
- 3.7.6 The Annexes to the *International Standard for Testing* have the same mandatory status as the rest of the *International Standard*.

PART TWO: STANDARDS FOR TESTING

4.0 Planning Effective Testing

4.1 Objective

- 4.1.1 Each ~~Anti-Doping Organization~~ ADO is required to plan and implement intelligent *Testing* on *Athletes over whom* it has authority which is proportionate to the risk of doping, and that is effective to detect and to deter such practices. The objective of Article 4 is to set out the steps that are necessary to develop a Risk Assessment and produce a ~~Test Distribution Plan~~ TDP that satisfies this requirement. *Code* Article 23.3 requires *Signatories* to devote sufficient resources in order to implement *Testing* programs in all areas that are compliant with the *Code* and *International Standards*.
- 4.1.2 The ~~Anti-Doping Organization~~ ADO shall ensure that *Athlete Support Personnel* and any other *Persons* with a conflict of interest are not involved in test distribution planning for their *Athletes* or in the process of selecting *Athletes* for *Testing*.
- 4.1.3 The ~~Anti-Doping Organization~~ ADO shall document its Risk Assessment and ~~Test Distribution Plan~~ TDP and shall provide that Risk Assessment and ~~Test Distribution Plan~~ TDP to WADA where requested. The ~~Anti-Doping Organization must be able to~~ ADO shall demonstrate to WADA's satisfaction that it has made a proper assessment of the relevant risks and has developed and/or implemented an appropriate ~~Test Distribution Plan~~ TDP based on the ~~results~~ outcomes of that assessment.

4.2 Risk Assessment

- 4.2.1 The starting point of the ~~Test Distribution Plan~~ TDP shall be a Risk Assessment, conducted in good faith. This assessment shall take into account (at a minimum) the following information and shall be reviewed and updated annually:
- The physical and other demands of the relevant sport(s) (and/or discipline(s) within the sport(s)), considering in particular the physiological requirements of the sport(s)/sport discipline(s) including sports (and/or disciplines) for Athletes with impairments;
 - Which *Prohibited Substances* and/or *Prohibited Methods* an *Athlete* would consider most likely to enhance performance in the relevant sport(s)/sport discipline(s);
 - The rewards and/or potential incentives for doping available at the different levels of the sport(s)/sport discipline(s) and for the nations participating in such sport(s)/sport discipline(s);
 - The history of doping in the sport(s)/sport discipline(s), nation(s) and/or *Event*;

[Comment to 4.2.1 (d): Unless there has been an effective Testing program in a sport, encompassing both ~~In-Competition~~ IC and ~~Out-of-Competition~~ OOC Testing, a history of

no or few ~~Adverse Analytical Findings~~ AAFs says little, if anything, about the risk of doping in that sport.]

- e) Available statistics and research findings on doping trends (e.g., anti-doping *Testing* figures and anti-doping rule violation reports published by WADA; peer-reviewed articles);
- f) ~~Raw Information~~ received/ Anti-Doping Intelligence developed on possible doping practices in the sport (e.g., Laboratory and APMU Target Test/Further Analysis requests and/or recommendations; Sample Collection Personnel SCP reports; *Athlete* testimony; Raw Information from criminal investigations; and/or other Raw Information received/ Anti-Doping Intelligence developed in accordance with the *International Standard* for Intelligence and Investigations and Article 12;
- g) The outcomes of previous test distribution planning cycles including past *Testing* strategies; and
- h) Data analysis of the sport/discipline including anybut not limited to performance changes of the nation within the sport/discipline at a national or an international level ~~or nation~~, e.g., number of Athletes who achieve podium finishes or an increase in international rankings.

4.2.2 The ~~Anti-Doping Organization~~ ADO should also consider in good faith any Risk Assessment for the sport or discipline in question carried out by another ~~Anti-Doping Organization~~ ADO with overlapping ~~Testing Authority~~ TA. However, an International Federation is not bound by a ~~National Anti-Doping Organization~~ NADO's assessment of the risks of doping in a particular sport or discipline, and a ~~National Anti-Doping Organization~~ NADO is not bound by an International Federation's assessment of the risks of doping in a particular sport or discipline.

4.2.3 The ~~Anti-Doping Organization~~ ADO shall monitor, evaluate and update its Risk Assessment during the year/cycle in light of changing circumstances.

4.3 Defining International-Level and National-Level *Athletes*

4.3.1 Code Article 5.2 gives different ~~Anti-Doping Organizations~~ ADOs authority to conduct *Testing* on potentially very large pools of *Athletes*. However, in recognition of the finite resources of ~~Anti-Doping Organizations~~ ADOs, the Code definition of *Athlete* allows ~~National Anti-Doping Organizations~~ NADOs to limit the number of *Athletes* who will be subject to their national anti-doping programs (in particular, *Testing*) to those who compete at the highest national levels (i.e., *National-Level Athletes*, as defined by the ~~National Anti-Doping Organization~~ NADO). It also allows International Federations to focus their anti-doping programs (in particular *Testing*) on those who compete regularly at the international level (i.e., *International-Level Athletes*, as defined by the International Federation).

*[Comment to 4.3.1: Nothing prevents an International Federation from ~~Testing~~ testing an Athlete under its authority who is not an International-Level Athlete, if it sees fit, e.g., where they are competing in an International Event. Furthermore, as set out in the Code definition of Athlete, a ~~National Anti-Doping Organization~~ NADO may decide to extend its anti-doping program (including *Testing*) to Athletes under its authority who are not National-Level Athletes. However, the main focus of an International Federation's ~~Test Distribution Plan~~ TDP should be*

International-Level Athletes, and the main focus of a ~~National Anti-Doping Organization's Test Distribution Plan~~NADO's TDP should be National-Level Athletes and above.]

4.3.2 Therefore, once the Risk Assessment described in Article 4.2 are completed, the next step is to determine an appropriate definition of *International-Level Athlete* (for an International Federation), or *National-Level Athlete* (for a ~~National Anti-Doping Organization~~NADO) who are going to be subject to *Testing* by an ~~Anti-Doping Organization~~ADO:

a) An International Federation is free to determine the criteria it will use to classify *Athletes* as *International-Level Athletes*, e.g., by ranking, by participation in particular *International Events*, etc. It should make that determination in good faith, in accordance with its responsibility to protect the integrity of the sport at the international level (the showcase of the sport to the public), by fixing a definition that shall, at a minimum (and in accordance with the Risk Assessment undertaken in connection with the relevant sport/sports discipline), include those *Athletes* who compete regularly at an international level and/or who compete at a standard at which world records may be set.

[Comment to 4.3.2 a): The Code requires each International Federation to publish in clear and concise form the criteria it uses to classify Athletes as International-Level Athletes, so that it is clear to everyone where the line is drawn. For example, if the criteria include competing in certain International Events, then the International Federation shall publish a list of those International Events.]

b) Similarly, a ~~National Anti-Doping Organization~~NADO is free to determine the criteria it will use to classify *Athletes* as *National-Level Athletes*. Again, it should make that determination in good faith, in accordance with its responsibility to protect the integrity of the sport at the national level (the source of national pride in different sports, and the steppingstone to international *Competition*, including representation of the nation in *International Events* or *Competitions*). Consequently, the definition shall at a minimum (and in accordance with the Risk Assessment undertaken in connection with the relevant sport/sports discipline) include those who compete at the highest levels of national *Competition* in the sport in question (i.e., in national championships or other *Events* that determine or count towards determining who are the best in the country in the category/discipline in question, and/or who may be selected to represent the country in *International Events* or *Competitions*). It shall also include those nationals of its country who generally or often compete at an international level and/or in *International Events* or *Competitions* (rather than at the national level) but who are not classified as *International-Level Athletes* by their International Federation.

4.4 Prioritizing Between Sports and/or Disciplines

4.4.1 Next, the ~~Anti-Doping Organization~~ADO shall consider whether there are any factors warranting allocation of *Testing* resources to one sport or discipline or nation (as applicable) in priority to others and shall take into account without limitation their calendar of *Events*. This means having assessed the relative risks of doping:

a) In the case of an International Federation, allocating *Testing* between the

different disciplines and nations, within its sport.

- b) In the case of a ~~National Anti-Doping Organization~~NADO, allocating *Testing* between the different sports as well as any national anti-doping policy imperatives that may lead it to prioritize certain sports over others.

*[Comment to 4.4.1 (b): ~~National Anti-Doping Organizations~~NADOs will have varying national policy requirements and priorities. For example, one National Anti-Doping Organization may have legitimate reasons to prioritize (some or all) Olympic sports while another may have legitimate reasons, because of different characteristics of that sporting nation, to prioritize for example certain other 'national' sports. These policy imperatives are a relevant consideration in the ~~National Anti-Doping Organization~~NADO's test distribution planning, alongside its assessment of the relative risks of doping in the various sports played within its national jurisdiction. They may lead, for example, to a ~~National Anti-Doping Organization~~NADO deciding, in its Test Distribution Plan, for a particular period, (1) to allocate *Testing* to some sports within its jurisdiction but not others; and (2) to prioritize certain sports over others due not to a greater risk of doping in those sports but to a greater national interest in ensuring the integrity of those sports.]*

- c) In the case of a ~~Major Event Organization~~MEO, allocating *Testing* between the different sports and/or disciplines involved in its *Event*.
- d) Another factor relevant to the allocation of *Testing* resources within the ~~Test Distribution Plan~~TDP will be the number of *Athletes* involved at the relevant level in the sport(s) and/or discipline(s) and/or nation(s) in question. Where the risk of doping is assessed to be equal between two different sports or disciplines or nations, more resources should be devoted to the sport or discipline or nation involving the larger number of *Athletes*.

4.5 Prioritizing Between Different *Athletes*

- 4.5.1 Once the *International-Level Athletes* and *National-Level Athletes* have been defined (see Article 4.3), and the priority sports/disciplines/nations have been established (see Article 4.4), an intelligent ~~Test Distribution Plan~~TDP uses individual *Athlete* risk assessment and *Target Testing* to focus *Testing* resources where they are most needed. *Target Testing* shall therefore be made a priority, i.e., a significant amount of the *Testing* undertaken as part of an ~~Anti-Doping Organization's Test Distribution Plan~~ADO's TDP shall be *Target Testing* of *Athletes* within its Whereabouts Pool.

[Comment to 4.5.1: Target Testing is a priority because random Testing, or even weighted random Testing, does not ensure that all the appropriate Athletes will be tested enough sufficiently based on their risk level. The Code does not impose any reasonable suspicion or probable cause requirement for Target Testing. However, Target Testing should not be used for any purpose other than legitimate Doping Control.]

- 4.5.2 ~~Anti-Doping Organizations~~ADOs shall consider conducting *Target Testing* and inclusion within a Whereabouts Pool the following categories of *Athletes*:

- a) For International Federations, *Athletes* (especially from its higher risk disciplines) or ~~higher ranked~~ nations) who compete regularly at the highest level of international *Competition* (e.g., candidates for Olympic Games, Paralympic ~~or~~Games, World ~~Championship medals~~Championships or other multi-sport or multi-day Events), as determined by rankings or other suitable criteria.
- b) For ~~National Anti-Doping Organizations~~NADOs, the following *Athletes*

from its higher risk sports/disciplines:

- i. *Athletes* who are part of national teams and compete at ~~major~~International Events—(e.g., ~~Olympic Paralympic, World Championship and other multi-sport Events~~) or other sports of high national priority (or who may be selected for such teams);
 - ii. *Athletes* who train independently, and compete at ~~major~~International Events—(e.g., ~~Olympic Games, Paralympic Games, World Championship and other multi-sport Events~~), or who may be selected for such *Events*;
 - iii. *Athletes* in receipt of public funding;
 - iv. *National- Level Athletes* who reside, train or compete abroad;
[Comment to 4.5.2 (b) (iv): Even if National- Level Athletes are not residing or training within the National Anti-Doping Organization NADO's country, it is still that National Anti-Doping Organization NADO's responsibility to ensure those Athletes are subject to testing abroad. The fact that an Athlete resides or frequently trains abroad is not a valid reason not to test them.]
 - v. *National- Level Athletes* who are nationals of other countries but who are present (whether residing, training, competing or otherwise) within the *National Anti-Doping Organization NADO's* country; and
 - vi. In collaboration with International Federations, *International-Level Athletes*.
- c) For all ~~Anti-Doping Organizations~~ADOs with ~~Testing Authority~~TA:
- i. *Athletes* serving a period of *Ineligibility* or a *Provisional Suspension*; and
 - ii. *Athletes* who were high priority for *Testing* before they retired from the sport and who now wish to return from retirement to active participation in the sport.

[Comment to 4.5.2: Coordination between Anti-Doping Organizations ADOs shall occur in accordance with Article 4.10-184.10.17]

4.5.3 Other individual risk factors relevant to determining which *Athletes* shall be the subject of *Target Testing* and inclusion in a Whereabouts Pool shall also be considered by the ~~Anti-Doping Organization~~ADO. Relevant risk factors may include (but are not limited to):

- a) Prior anti-doping rule violations, Test history, including any abnormal biological parameters (blood parameters, ~~steroid~~steroidal and endocrine profiles, as reported by an APMU, etc.);
- b) Sport performance history, performance pattern including sudden major improvements or inconsistent performances, and/or high performance without a commensurate Test record;
- c) Repeated failure to meet whereabouts requirements;
- d) Suspicious whereabouts patterns or changes (e.g., last-minute updates of whereabouts information);
- e) ~~Moving such as frequent changes of training locations or moving~~ to or training in a remote location);

- e) ~~f)~~ Withdrawal or absence from expected *Competition(s)*;
- f) ~~g)~~ Association with a third party (such as a team-mate, coach or doctor) with a history of involvement in doping;
- g) ~~h)~~ Injury;
- h) ~~i)~~ Age/stage of career an *Athlete* would be most likely to benefit from *Prohibited Substances* and/or *Prohibited Methods* (e.g., move from junior to senior level, nearing end of contract, approaching retirement);
- i) ~~j)~~ Financial incentives for improved performance, such as prize money or sponsorship opportunities; and/or
- j) ~~k)~~ Reliable Raw Information from a third party, or Anti-Doping Intelligence developed by or shared with the ~~Anti-Doping Organization~~ ADO in accordance with ~~in accordance with Article 12 and~~ the *International Standard for Intelligence and Investigations* ~~and Article 12.~~

4.5.4 *Testing* which is not *Target Testing* shall be determined by Random Selection and should be conducted in accordance with the selection options in the Guidelines for Implementing an Effective *Testing* Program. Random Selection shall be conducted using a documented system for such selection. Random Selection may be either weighted (where *Athletes* are ranked using pre-determined criteria in order to increase or decrease the chances of selection) or completely random (where no pre-determined criteria are considered, and *Athletes* are chosen arbitrarily from a list or pool of *Athlete* names). Random Selection that is weighted shall be prioritized and be conducted according to defined criteria which may take into account the factors listed in Article 4.5.2 and 4.5.3 (as applicable) in order to ensure that a greater percentage of 'at risk' *Athletes* are selected.

[Comment to 4.5.4: In addition to Target Testing, Testing by Random Selection can play an important deterrent role, as well as helping to protect the integrity of an Event.]

4.5.5 For the avoidance of doubt, notwithstanding the development of criteria for selection of *Athletes* for *Testing*, and in particular for *Target Testing* of *Athletes*, as well as the fact that as a general rule *Testing* shall take place between 6 a.m. and 11 p.m. unless (i), the *Athlete* stipulates a 60-minute time slot from ~~5am a.m.~~ or, (ii) valid grounds exist for *Testing* overnight (i.e., between 11 p.m. and 6 a.m.), the fundamental principle remains (as set out in Code Article 5.2) that an *Athlete* may be required to provide a *Sample* at any time and at any place by any ~~Anti-Doping Organization~~ ADO with authority to conduct *Testing*, whether or not the selection of the *Athlete* for *Testing* is in accordance with such criteria. Accordingly, an *Athlete* may not refuse to submit to *Sample* collection on the basis that such *Testing* is not provided for in the ~~Anti-Doping Organization's Test Distribution Plan~~ ADO's TDP and/or is not being conducted between 6 a.m. and 11 p.m., and/or that the *Athlete* does not meet the relevant selection criteria for *Testing* or otherwise should not have been selected for *Testing*.

4.6 **Prioritizing Between Different Types of *Testing* and *Analysis*—of *Samples*** Sample Collection

4.6.1 Based on the Risk Assessment and prioritization process described in Articles

4.2 to 4.5, the ~~Anti-Doping Organization must~~ ADO shall determine to what extent each of the following types of *Testing* and *Sample* matrixes are required in order to detect and deter doping practices within the relevant sport(s), discipline(s) and/or nation(s), intelligently and effectively:

4.6.1.1 a) ~~In-Competition Testing and Out-of-Competition Testing;~~

a) ~~i.~~ ~~In sports and/or disciplines that are assessed as having a high risk of doping during Out-of-Competition OOC periods, Out-of-Competition OOC Testing shall be made a priority, and a significant portion of the available *Testing* shall be conducted ~~Out-of-Competition.~~ In-Competition OOC. IC Testing shall still take place to deter doping, to protect the integrity of the *Event* and the results of the *Competition*. Out-of-Competition OOC testing should be targeted across different periods of the year including but not limited to the period leading up to an *Athlete's* ~~major~~ Event Events, and during the *Athlete's* off season. Out-of-Competition OOC Testing should not be focused solely on the period immediately prior to an *Event* when *Athletes* arrive where the *Competition* is being held and are more accessible to the Testing Authority TA.~~

b) ~~ii.~~ ~~In sports and/or disciplines that are assessed as having a low risk of doping during Out-of-Competition OOC periods (i.e., where it can be clearly shown that doping while Out-of-Competition OOC is unlikely to enhance performance or provide other illicit advantages), In-Competition IC Testing shall be made a priority, and a significant portion of the available *Testing* shall be conducted In-Competition IC. However, some Out-of-Competition OOC Testing shall still take place, proportionate to the risk of Out-of-Competition OOC doping in such sport/discipline.~~

c) ~~iii.~~ ~~Very exceptionally, i.e., in the small number of sports and/or disciplines where it is determined in good faith that there is no material risk of doping during Out-of-Competition OOC periods, there may be no Out-of-Competition OOC Testing. In these circumstances, the International Federation shall apply to WADA to seek an exemption from Out-of-Competition OOC Testing in accordance with any protocol issued by WADA.~~

4.6.1.2 ~~b) Analysis Collection and analysis of urine including (but not limited to ~~Athlete Biological Passport analyses~~) for the Steroidal Module of the ABP;~~

4.6.1.3 ~~c) Analysis Collection and analysis of ~~venous~~ blood including; ;~~

a) Collection of whole blood¹ by venipuncture and analysis of:

- i) i.—Whole blood including (but not limited to—~~Athlete Biological Passport analyses~~) for the Hematological Module of the ABP, homologous blood transfusion (HBT), DNA analyses and gene doping tests; and
 - ii. Serum, including but not limited to ~~Athlete Biological Passport analyses for the Endocrine and/or Steroidal Module; and~~
- ~~d) Analysis of dried blood spots—~~

~~[Comment to 4.6.1 (c) and, (d)~~

[Comment to 4.6.1.3 a) i): Whole blood is collected in EDTA tubes as outlined in Article 6.4.3 s). Analysis of whole blood means that the collected whole blood is used for analysis as such, without its separation (by centrifugation or other means) into the blood cellular and liquid fractions (serum or plasma).]

- ii) Serum or plasma of whole blood. For these analyses, the serum or plasma is obtained from the collected whole blood after centrifugation in the Laboratory. Analyses of serum include but are not limited to human growth hormone (GH), the Endocrine Module of the ABP, the blood Markers of Steroidal Module of the ABP, steroid esters, erythropoietin receptor agonists (ERAs) and hemoglobin based oxygen carriers (HBOCs) Analyses of plasma include but are not limited to tests for ERAs, steroid esters, insulins and HBOCs; and

[Comment to 4.6.1.3.a) ii): For the analysis of serum, whole blood shall be collected in serum tubes (containing a clotting factor) as outlined in Article 6.3.4 t) or for plasma, whole blood shall be collected in EDTA tubes as outlined in Article 6.3.4 s).

b) Collection and analysis of capillary blood including but not limited to Dried Blood Spots (DBS).

[Comment to 4.6.1.3.b): Blood is collected from capillary blood vessels through puncture/incision of the skin. Samples can be collected as DBS (i.e. collected directly on an absorbent Sample support and allowed to dry) or as liquid capillary blood.]

[Comment to 4.6.1.3: The requirements for ~~venous~~whole blood in this International Standard for Testing apply, without limitation to Samples collected by venipuncture in accordance with Annex D - Collection of ~~VenousWhole~~ Blood Samples and Annex I - Collection, Storage and Transport of ~~Whole Blood Samples for the~~ Athlete Biological Passport ~~Samples~~ and by capillary blood sampling in accordance with Annex J - Collection, Storage and Transport of Dried Blood Spot Samples; however, different requirements apply depending on the Sample Collection Equipment and the requested analyses e.g., ~~specific requirements apply for~~

¹ For the purposes of the 2027 *International Standard for Testing*, 'whole blood sample' is defined as 'whole blood' or 'serum or plasma of whole blood' collected by venipuncture, unless specified otherwise.

~~dried blood spot Samples, which are collected and allowed to dry on an absorbent Sample support (i.e., dried blood spot cellulose card or other equipment made of another material) as outlined above.]~~

4.7 Test Distribution Plan

4.7.1 In finalizing its Test Distribution Plan, the ~~Anti-Doping Organization~~ADO shall have considered and incorporated at a minimum the following:

- a) All of the steps outlined in Article 4.2 to 4.6;
- b) Requirements of the TDSSA;
- c) ~~Out-of-Competition~~OOC Testing based on the structure of the season for the sport/discipline in question (including standard Competition schedules and training patterns), at what time(s) during the year/cycle *Athletes* would be most likely to benefit from *Prohibited Substances* and/or *Prohibited Methods*; and
- d) ~~In-Competition~~IC Testing based on those sports/disciplines that have been identified in the Risk Assessment and the associated calendar of Events for the period of the Test Distribution Plan. ~~Anti-Doping Organizations~~ADOs shall take into account unpredictability when selecting Events for Testing.

4.7.2 An ~~Anti-Doping Organization~~ADO shall allocate sufficient resources to be able to implement its Test Distribution Plan.

4.7.3 In advance of ~~International Events, it is critical that Anti-Doping Organizations~~the Olympic Games and Paralympic Games, ADOs shall monitor those *Athletes* who may qualify for or have qualified ~~for such International Events~~ and conduct Testing on such *Athletes* in accordance with a comprehensive Risk Assessment. ~~Anti-Doping Organizations~~ADOs shall allocate sufficient resources to Test such *Athletes* and where appropriate include them in a Whereabouts Pool. The Sample analysis shall be prioritized in accordance with Article 4.8.2. For other International Events, outside of the Olympic and Paralympic Games, it is considered a best practice to follow these principles.

[Comment to 4.7.3: ~~Anti-Doping Organizations~~ADOs should consider and implement as part of their Testing program any Testing recommendations they may receive from external expert groups such as a pre games taskforce leading up to International Events such as the Olympic and Paralympic Games to ensure that a focused and robust Testing program is applied to those Athletes that are likely to participate.]

4.7.4 The ~~Anti-Doping Organization~~ADO shall monitor, evaluate and update its ~~Test Distribution Plan~~TDP during the year/cycle in light of changing circumstances and implementing the ~~Test Distribution Plan~~TDP. It shall adapt its ~~Test Distribution Plan~~TDP to reflect new information gathered, any Anti-Doping Intelligence developed by the ~~Anti-Doping Organization~~ADO and take into account Testing conducted by other ~~Anti-Doping Organizations~~ADOs.

4.8 Sample Analysis

4.8.1 Laboratories shall analyze Samples collected by ~~Anti-Doping~~

Organizations ADOs using In-Competition IC or Out-of-Competition OO Analytical Testing menus. Anti-Doping Organizations as applicable, to detect the presence of Prohibited Substances or Prohibited Methods or Prohibited Methods only (as defined in the Prohibited List). ADOs may also consider undertaking more extensive Sample analysis for Prohibited Substances or Prohibited Methods beyond those contained (or the levels required) within the TDSSA based on the risk of the sport/discipline/country or any Anti-Doping Intelligence that the Anti-Doping Organization ADO may receive.

[Comment to Article 4.8.1: ADOs shall make arrangements with Laboratories to analyze Samples and/or provide related services in advance of sending such Samples to the Laboratory.]

[In accordance with Article 5.3.4.2 c) of the International Standard for Laboratories, Laboratories may also perform additional analysis on Samples for non-prohibited substances or methods or for research or Quality Assurance which would not be reported as an ATF or AAFs.]

4.8.2 To ensure the effectiveness of the Analytical Testing Process, TAs / Results Management Authorities (if different) shall maintain an active communication with Laboratories and respond to Laboratory requests within the established timelines as contained in the International Standard for Laboratories. In particular, to the following situations:

- a) Analysis of Samples with irregularities in accordance with Article 5.3.2.1 of the International Standard for Laboratories);
- b) Splitting of Samples including notification to the Athlete in accordance with Article 5.3.2.2 of the International Standard for Laboratories;
- c) Information about “B” sample confirmations including notification to the Athlete in accordance with Article 5.3.4.2.5 of the International Standard for Laboratories;
- d) Performance of Confirmation Procedures (e.g. GC/C/IRMS) triggered by ADAMS-generated Atypical Passport Finding/Confirmation Procedure Request notifications for elevated T/E ratios in accordance with Technical Document on the Measurement and Reporting of Endogenous Anabolic Androgenic Steroid (TD EAAS) Markers of the Urinary Steroid Profile, or following recommendations from APMUs;
- e) Where an agreement is in place with the Laboratory, to enquire whether an approved TUE exists for a Prohibited Substance(s) for which there is Presumptive AAF (PAAF), before proceeding to the “A” Confirmation Procedure in accordance with Article 5.3.4.2.4 c) of the International Standard for Laboratories;
- f) Where the presence of more than one (1) Prohibited Substance or Prohibited Method is detected by the Initial Testing Procedures, to determine which PAAFs shall be subjected to a Confirmation Procedure in accordance with Article 5.3.4.2.4 b) of the International Standard for Laboratories;

[Comment to Article 4.8.2: A failure by the TA/RMA to provide timely feedback to the Laboratory for a) to f) above may result as applicable to the Laboratory reporting the sample as Not Analyzed or performing the necessary analyses at the TA's expense. It may also result in compliance measures being raised with the TA.]

4.8.3 ~~4.8.2~~ Where a *Sample* is collected from an *Athlete* within twenty (20) days ~~of prior to~~ the *Athlete's* first competition at the Olympic or Paralympic Games for which an *Athlete* has qualified or is likely to participate, the TA shall request the Laboratory to prioritize such *Sample(s)* ~~shall be prioritized~~ for expedited analysis and, where possible, results shall be reported at the latest seventy-two (72) hours prior to the *Athlete's* first *Competition*. The ~~Anti-Doping Organization~~ TA in collaboration with the Laboratory shall use ADAMS to request and manage such prioritized analyses.

[Comment to 4.8.24.8.3: The objective of prioritized analysis during this twenty (20)-day period is to where possible ensure that any Athletes participating in the Olympic or Paralympic Games have analytical results reported at the latest seventy-two (72) hours prior to Athlete's first Competition) to protect the integrity of the event, and the results of the Competition. Where a Laboratory is unable to meet the TA's request for prioritized analysis, the TA shall contact an alternative Laboratory. For other major International Events outside of the Olympic and Paralympic Games, it is considered a best practice to follow these principles.

4.8.4 Doping Control forms for all Samples collected within twenty (20) days prior to the Athlete's first competition at the Olympic or Paralympic Games shall be entered into ADAMS within five (5) days of the Sample collection taking place.

4.8.5 ~~4.8.3~~ ADOs shall not avoid collecting *Samples* from *Athletes* during this 20-day window due to additional costs that may be associated with prioritized analysis or fear of not receiving the analytical results within the timeframe.

4.8.6 ~~4.8.4~~ It is acknowledged that *Testing* may need to occur on *Athletes* close to the start of the Olympic or Paralympic Games where the analytical result may not be reported seventy-two (72) hours prior to the *Athlete's* first *Competition* and that the Laboratory may need additional time to confirm specific analyses e.g., Isotope Ratio Mass Spectrometry (IRMS), or other initial analytical ~~procedures~~ Confirmation Procedures which may delay the reporting of results. Due to the potential high number of *Samples* that will require analysis during this period, Laboratories may have to prioritize the *Sample* analysis of Olympic or Paralympic *Athlete Samples* during this period over non-Olympic or non-Paralympic *Samples*. ~~Anti-Doping Organizations~~ ADOs shall proactively ~~collaborate~~ communicate with the Laboratory when requesting prioritized *Sample* analysis so the Laboratory can ensure they have the resources to meet the request.

4.8.7 ~~4.8.5~~ An ~~Anti-Doping Organization~~ ADO may apply to WADA for flexibility in the implementation of the minimum levels of analysis specified for *Prohibited Substances* or *Prohibited Methods* as outlined in the TDSSA.

4.9 Retention of Samples and Further Analysis

4.9.1 ~~Anti-Doping Organizations~~ ADOs shall develop a written strategy for retention of *Samples* and the documentation relating to the collection of such *Samples* so as to enable the Further Analysis of such *Samples* at a later date in accordance with Code ~~Articles 6.5 and~~ Article 6.6. Such strategy shall comply with the requirements of the *International Standard* for Laboratories and the *International Standard* for Data Protection and shall take into account the purposes of analysis of *Samples* set out in Code Article 6.2. ~~Anti-Doping Organizations shall~~

[Comment to Article 4.9.1: ADOs shall allocate sufficient resources to the annual Testing and analysis budget so that their retention and Further Analysis strategy for Samples can be monitored and fulfilled.]

4.9.2 ADOs should put a Sample into long term storage if an APMU requests/recommends them to do so. If the ADO does not agree that the Sample should be put into long term storage, the ADO should discuss this with the APMU. If it is agreed with the APMU that the Sample will not be stored, the reasons for not storing the Sample shall be recorded in ADAMS by the ADO.

4.9.3 ~~Anti-Doping Organizations~~ ADOs shall consider the following elements or circumstances (without limitation) when considering long term storage or Further Analysis of Samples;

- a) Laboratory and/or APMU recommendations;
- b) The possible need for retroactive analysis in connection with the Athlete Biological Passport ABP program;
- c) New or enhanced detection methods introduced in the future relevant to the Athlete, sport and/or discipline;
- d) Samples collected from Athletes meeting any of the criteria set out at Article 4.5;
- e) Any other information made available to the Anti-Doping Organization ADO justifying long-term storage or Further Analysis of Samples at the Anti-Doping Organization ADO's discretion-;

[Comment to Article 4.9.1: ~~Anti-Doping Organizations shall consider allocating sufficient resources to the annual Testing budget by including a contingency number of Samples within their Test Distribution Plan so that their retention and Further Analysis strategy for Samples can be monitored and fulfilled.]~~

f) Athlete performance including podium finishes, world/national records and unexpected performances; and

4.9.4 Samples put into long term storage which are discarded by the ADO without Further Analysis being conducted prior to expiry of the 10-year storage period shall have the reasons for discarding recorded in ADAMS.

4.9.5 ~~4.9.2 Samples that a Testing Authority~~ Long term storage requests ~~the~~ to a Laboratory in accordance with Article 10.2 may be made by any ADO that has jurisdiction over the Athlete. Samples that are requested to place be placed into long-term storage after the Sample has been analyzed shall be recorded in ADAMS by the Testing Authority TA or by the relevant ADO when they request such storage or within the minimum Sample storage period based on the type of Sample as outlined in Article 5.3.7.1 of the International Standard for Laboratories. The same applies for Sample(s) that an APMU requests the Testing Authority to put/recommends putting into long term storage. Once the request/recommendation has been made by the Testing Authority to store a Sample long term, the Laboratory shall confirm in ADAMS that the Sample(s) have been placed into long-term storage along with any applicable information regarding the Sample(s).

[Comment to Article 4.9: ADOs are responsible for the costs associated with the long-term storage of Samples beyond the minimum required storage times established in the

[International Standard for Laboratories unless otherwise agreed with the applicable Laboratory.](#)

4.10 Collecting Whereabouts Information

~~4.10.1 Whereabouts information is not an end in itself, but rather a means to an end, namely the efficient and effective conduct of No Advance Notice Testing. Therefore, where Out-of-Competition/Where OOC Testing is required to be conducted on Athletes (following the development of the Anti-Doping Organization/ADO's Risk Assessment and the prioritization steps (in Articles 4.2 to 4.6), the Anti-Doping Organization/ADO shall then determine the Whereabouts Pool the Athlete will be included in and should use the whereabouts filed by those Athletes in order to conduct No Advance Notice Testing effectively. The Anti-Doping Organization must/ADO shall request and collect all of the required whereabouts information in accordance with the requirements of the applicable Whereabouts Pool the Athlete has been included in to conduct the Testing identified in its Test Distribution Plan effectively and efficiently. Every Athlete must/shall submit to Testing at any time and place upon request by an Anti-Doping Organization/ADO with authority to conduct Testing regardless of whether they are part of a Whereabouts Pool.~~

4.10.2 In accordance with Code Articles 5.5 and 14.5, ~~Anti-Doping Organizations/ADOs~~ shall use ADAMS to conduct effective Doping Control including the collection of whereabouts information for Athletes in a ~~Registered Testing Pool/RTP~~ and ~~Testing Pool/TP~~. As a result, such information shall be automatically available through ADAMS to WADA and other relevant ~~Anti-Doping Organizations/ADOs~~ with overlapping ~~Testing Authority/TA~~. This information shall:

- a) ~~Be stored safely and~~ securely and maintained in strict confidence at all times, is used exclusively for the purposes set out in Code Article 5.5 and is destroyed in accordance with the *International Standard* for Data Protection once it is no longer relevant;
- b) Be used for purposes of planning, coordinating or conducting *Doping Control* and can be accessed by ~~(i)~~:
 - ~~i.~~ authorized individuals acting on behalf of the International Federation or ~~National Anti-Doping Organization/NADO~~ (as applicable) on a need-to-know basis only; ~~(iii)~~
 - ~~ii.~~ WADA; and ~~(iii)~~
 - ~~iii.~~ other ~~Anti-Doping Organizations/ADOs~~ with authority to conduct *Testing* on the Athlete in accordance with Code Article 5.2;
- ~~c) Include the start date as to when an Athlete enters the Registered Testing Pool or Testing Pool and end date when they no longer meet the criteria and are removed from such pool as outlined within Articles 4.10.5.1 and 4.10.5.2 for Athletes in a Registered Testing Pool or Articles 4.10.13.5 and 4.10.13.6 for Athletes in a Testing Pool.~~
- ~~c) d)~~ Be relevant to the ~~Athlete Biological Passport/ABP~~ or other analytical results;

~~d)~~ ~~e)~~ Support an investigation into a potential anti-doping rule violation; and/or

~~e)~~ ~~f)~~ Support proceedings alleging an anti-doping rule violation.

4.10.3 The International Federation or ~~National Anti-Doping Organization~~ NADO shall be able to demonstrate to WADA that it has conducted an appropriate risk-based approach in allocating *Athletes* to their Whereabouts Pool(s) and has allocated sufficient ~~Out-of-Competition~~ OOC Tests in its ~~Test Distribution Plan~~ TDP as required in Articles 4.10.4.1 and ~~4.10.13.1~~ 14.10.12.1.

4.10.4 Registered Testing Pool

4.10.4.1 The ~~Registered Testing Pool~~ RTP includes International or National-Level *Athletes* of the highest risk, who ~~are~~ shall be subject to the greatest amount of *Testing* and whom the ~~Anti-Doping Organization plans~~ ADO shall plan to test at least three (3) times per year ~~Out-of-Competition~~ OOC. *Athletes* in a ~~Registered Testing Pool~~ RTP are therefore required to provide whereabouts in accordance with Article 4.10.6.2. ~~Athletes in the Registered Testing Pool and~~ shall be subject to Code Article 2.4 Whereabouts Requirements.

[Comment to 4.10.4.1: The minimum number of three OOC Tests planned to be conducted on Athletes in a RTP per year shall include at a minimum the collection of a urine Sample for each SCS.]

4.10.4.2 An International Federation or a ~~National Anti-Doping Organization~~ NADO shall consider including *Athletes* into a ~~Registered Testing Pool~~ RTP based on the following criteria:

- a) *Athletes* who meet the criteria listed in Articles 4.5.2 and 4.5.3;
- b) *Athletes* who are part of the ~~Anti-Doping Organization~~ ADO's Hematological and/or any other Module of the ~~Athlete Biological Passport~~ ABP program as required by the TDSSA;
- c) *Athletes* in a ~~Testing Pool~~ TP who fail to comply with the applicable whereabouts requirements of that pool;
- d) *Athletes* in a *Team Sport* who are not part of Team Activities for a period of time (e.g., during the off-season); and
- e) *Athletes* who are serving a period of Ineligibility.

*[Comment to 4.10.4.2: ~~Anti-Doping Organizations~~ ADOs shall list/document (either in ADAMS or in another secure way) the criteria it applied for selecting and including *Athletes* within its ~~Registered Testing Pool~~ RTP and where documented outside ADAMS provide it to WADA upon request. WADA may under its compliance monitoring program undertake a review of such criteria and the *Athletes* that have or have not been included within an ~~Anti-Doping Organization's Registered Testing Pool~~ ADO's RTP at any time. If following such review WADA is not satisfied that the criteria used by the ~~Anti-Doping Organization~~ ADO is sufficient and proportionate to the Risk Assessment undertaken, WADA may request ~~that the Anti-Doping Organization~~ reasons for this and/or may issue a corrective action requiring the ADO to adjust its criteria and/or request an ~~Anti-Doping Organization~~ ADO to include certain *Athletes* within its ~~Registered Testing Pool~~ RTP who are not currently included.]*

Following consideration of criteria in Article 4.10.4.2 a) to e) above and once the Athletes in the ~~Registered Testing Pool~~RTP are determined, the International Federation or the ~~National Anti-Doping Organization~~NADO shall plan, independently or in agreed coordination with other ~~Anti-Doping Organizations~~ADOs with ~~Testing Authority~~TA over the same Athlete, to test ~~to~~ any Athlete included in the ~~Registered Testing Pool~~RTP at least three (3) times ~~Out-of-Competition~~OOC per year.]

4.10.4.3 Athletes under the ~~Testing Authority~~TA of a ~~National Anti-Doping Organization~~NADO and an International Federation should only be in one ~~Registered Testing Pool~~RTP to avoid duplication of Testing and maximize the use of resources. While being included in more than one ~~Registered Testing Pool~~RTP is possible, Athletes shall only file one set of whereabouts information. If the Athlete is included in the International Federation's ~~Registered Testing Pool~~RTP and in the ~~National Anti-Doping Organization's Registered Testing Pool~~NADO's RTP (or in the ~~Registered Testing Pool~~RTP of more than one ~~National Anti-Doping Organization~~NADO or more than one International Federation), ~~then~~ each of them shall notify in writing the Athlete that they are in its pool. Prior to doing so, however, they shall agree between themselves to whom the Athlete shall provide their Whereabouts Filings, ~~the Anti-Doping Organization~~. The ADO that the Athlete files their whereabouts to shall be the whereabouts custodian. Each notice sent to the Athlete shall specify that they shall provide their Whereabouts Filings to that ~~Anti-Doping Organization~~ADO only (and that information, will be accessible to any other ~~Anti-Doping Organizations~~ADOs that have authority to conduct Testing on that Athlete) via ADAMS.

[Comment to 4.10.4.3: If the respective ~~Anti-Doping Organizations~~ADOs cannot agree between themselves which of them will take responsibility for collecting the Athlete's whereabouts information, and for making it available to the other ~~Anti-Doping Organizations~~ADOs with authority to test the Athlete, ~~then~~ they should each explain in writing to WADA how they believe the matter should be resolved, and WADA will decide based on the best interests of the Athlete. WADA's decision will be final and may not be appealed.]

4.10.5 Entering and Leaving a Registered Testing Pool

4.10.5.1 The International Federation or ~~National Anti-Doping Organization~~NADO (as applicable) shall notify in writing each Athlete designated for inclusion in its ~~Registered Testing Pool~~RTP of the following:

- a) The fact that they have been included in its ~~Registered Testing Pool~~RTP with effect from a specified date in the future;
- b) The whereabouts requirements with which they shall therefore comply including that it is the Athlete's responsibility to ensure that they provide all the information required in a Whereabouts Filing as outlined in Article 4.10.6.2 accurately and in sufficient detail to enable any ~~Anti-Doping Organization~~ADO wishing to locate the Athlete for Testing on

any given day in the quarter at the times and locations specified by the *Athlete* in their Whereabouts Filing for that day, including but not limited to during the 60-minute time slot specified for that day in the Whereabouts Filing;

- c) The *Consequences* if they fail to comply with those whereabouts requirements including Filing Failures and Missed Tests ~~and their right to contest any of these that may be asserted against them~~;
- d) That their Whereabouts Filing will be shared through ADAMS with other ~~Anti-Doping Organizations~~ ADOs that have authority to conduct *Testing* on them and that they may be tested by other ~~Anti-Doping Organizations~~; and ADOs.

[Comment to 4.10.5.1: The notification of an Athlete's inclusion in a ~~Registered Testing Pool~~ RTP shall ordinarily be made reasonably in advance of the Athlete being included in the ~~Registered Testing Pool~~ RTP. The notice shall also explain what the Athlete needs to do in order to comply with the Code Article 2.4 Whereabouts Requirements (or refer them to a website or other resource where they can find out that information). Athletes included in a ~~Registered Testing Pool~~ RTP shall be informed and should be educated so that they understand the whereabouts requirements that they must satisfy, and how the whereabouts system works. This notification may also be made through the National Federation or National Olympic Committee where the International Federation/National ~~Anti-Doping Organization~~ NADO considers it appropriate or expedient to do so.

~~Anti-Doping Organizations~~ ADOs should also be proactive in helping Athletes avoid Filing Failures. For example, many ~~Anti-Doping Organizations~~ ADOs systematically remind Athletes in their ~~Registered Testing Pool~~ RTP of quarterly deadlines for Whereabouts Filings, and then follow up with those Athletes who have still not made the necessary filing as the deadline approaches. However, Athletes remain fully responsible for complying with the filing requirements, irrespective of whether or not the ~~Anti-Doping Organization~~ ADO has provided them with such support.

An ADO shall record the start date of when the Athlete is included in its RTP in ADAMS.]

4.10.5.2 An *Athlete* who has been included in a ~~Registered Testing Pool~~ RTP shall continue to be subject to the Code Article 2.4 Whereabouts Requirements unless and until:

- a) They have been given written notice by each ~~Anti-Doping Organization~~ ADO that included them in its ~~Registered Testing Pool~~ RTP that they no longer meet the criteria for inclusion in its ~~Registered Testing Pool~~ RTP; or

[Comment to 4.10.5.2 a): The ADO shall record the end date in which the Athlete is no longer included in its RTP in ADAMS and shall document the reason for removal either in ADAMS or in another secure way.]

- b) They retire from ~~national or international level~~ *Competition* in their sport in accordance with the applicable rules and give written notice to that effect to each ~~Anti-Doping Organization~~ ADO that included them in its ~~Registered Testing Pool~~ RTP. ADO(s) shall confirm in writing the Athletes retirement and removal from the RTP.

[Comment to 4.10.5.2 *b*): The applicable rules may also require that written notice of retirement be sent to the Athlete's National Federation. Where an Athlete retires from but then returns to sport, the period of retirement shall be disregarded for purposes of calculating the 12-month period referred to in Code Article 2.4. For International Level Athletes or National-Level Athletes who were in a ~~Registered Testing Pool~~RTP at the time of their retirement and who wish to return to active participation in sport, see Code Article 5.6.1 regarding the requirements the Athlete is subject to, prior to competing in any International Events or National Events.]

4.10.5.3 International Federations and NADOs should communicate the removal of Athletes from their RTP with each other prior to issuing written notice to the Athlete to confirm if the Athlete will be included or retained in their Whereabouts Pool and/or agree on any transfer of whereabouts custodianship as applicable.

4.10.5.4 The written notice to an Athlete of their removal from a RTP shall include the following:

- a) If the Athlete is also in a Whereabouts Pool of their International Federation or NADO as applicable, they should be advised to continue providing whereabouts to the other organization;
- b) The Athlete shall remain subject to anti-doping rules, unless they have retired, and can still be subject to a request to provide a Sample.
- c) Whereabouts Failures committed whilst part of a RTP will continue to countdown twelve (12) months from when they were committed and will count towards the three Whereabouts Failures in twelve months policy as long as the Athlete is part of another RTP.
- d) Where an Athlete has retired and is being removed from the RTP the Athlete should be notified of the following:
 - i. the date of official retirement of the Athlete; and
 - ii. the requirement to provide six (6) months written notice of intention to return to sport at a national or international level.

4.10.6 Whereabouts Filing Requirements for ~~Athletes in a Registered Testing Pool~~
~~4.10.6.1 Athletes in a Registered Testing Pool~~

4.10.6.1 Athletes in a RTP shall;

- ~~a) Make quarterly Whereabouts Filings that provide accurate and complete information about the Athlete's whereabouts during the forthcoming quarter, including identifying where they will be living, training and competing during that quarter, and to update those Whereabouts Filings where necessary, so that they can be located for Testing during that quarter at the times and locations specified in the relevant Whereabouts Filing.~~
- ~~b) Make~~ make their Whereabouts Filing by the 15th day of each

month preceding the start of a calendar quarter (i.e., 15 December, 15 March, 15 June and 15 September, respectively). A failure to submit a Whereabouts Filing by the 15th day of the month preceding the quarter shall ~~result in an apparent~~ be pursued as a Filing Failure ~~being issued to the Athlete~~.

[Comment to 4.10.6.1-b): The filing of whereabouts by the 15th day of the month preceding the start of the following quarter will facilitate planning and readiness for Testing on the first day of the quarter.]

4.10.6.2 Athletes in a Registered Testing Pool RTP shall file the following information as part of their Whereabouts Filing:

- a) ~~for~~ For each day during the following quarter, one specific 60-minute time slot between 5 a.m. and 11 p.m. ~~each day~~ where the *Athlete* will be available and accessible for *Testing* during the full 60-minute time slot at a specific location.
- b) For each day during the following quarter, the full address of the place where the *Athlete* will be staying overnight (e.g., home, temporary lodgings, hotel, including the house, apartment, block, ~~room~~-number, etc.);
- ~~c) For each day during the following quarter, the name and address of the training location(s) where the Athlete will train as well as the general time frames for such training activities (morning, afternoon, evening);-~~
- c) ~~d)~~ The *Athlete's* *Competition/Event* schedule for the following quarter, including the name of the *Competition/Event* and address of each location where the *Athlete* is scheduled to compete during the quarter and the date(s) ~~and time(s)~~ at which they are scheduled to compete at such location(s);
- d) ~~e)~~ Include and upload as part of their Whereabouts Filing an accurate passport style photograph ~~to their ADAMS Athlete profile page~~ in accordance with the requirements set out in ADAMS, to assist with validating the ~~Athletes~~ Athlete's identity when selected for a Test;

[Comment to 4.10.6.2 d): Photographs shall be valid for a period of two (2) years and can be updated on a quarterly basis if needed. The access and use of an Athlete's photo shall be in accordance with Article 4.10.2 and the International Standard for Data Protection.]

- e) ~~f)~~ A personal phone number which they can be contacted on should the ~~Anti-Doping Organization~~ ADO decide to call ~~them~~ the Athlete within the last five (5) minutes of the 60-minute time slot in accordance with Article 4.10.7.1 h);
- f) ~~g)~~ A complete mailing address and personal e-mail address where correspondence may be sent to the *Athlete* for formal notice purposes. Any notice or other item mailed to that address will be deemed to have been received by the *Athlete* at the latest seven (7) days after it was deposited in the mail and immediately when notification of a sent e-mail receipt is

generated/obtained (subject to applicable law);

[Comment to 4.10.6.2 ~~g~~f): For these purposes, the Athlete should specify an address where they live or otherwise know that mail received there will be immediately brought to their attention. An ~~Anti-Doping Organization~~ADO is encouraged also to supplement this basic provision with other notice and/or “deemed notice” provisions in its rules (for example, permitting use of ~~fax, email, SMS text, approved social networking sites or applications~~ or other methods of service of notice; permitting proof of actual receipt as a substitute for deemed receipt; permitting notice to be served on the Athlete’s National Federation if it is returned undelivered from the address supplied by the Athlete). The aim of such provisions should be to shorten ~~the~~any Results Management timelines.]

[Comment to 4.10.6.2 An ~~Anti-Doping Organization~~ may request an Athlete to provide additional whereabouts information as part of their ~~Whereabouts Filing other than the mandatory requirements outlined in Article 4.10.6.2 a) to g), however~~Article 4.10.6.2 (a-f): Any pattern of behavior relating to the provision of inaccurate or misleading information should be investigated as a possible Anti-Doping Rule Violation under Code Article 2.3 or 2.5. It may also prompt additional Target Testing of the Athlete.]

4.10.6.3 In addition to mandatory whereabouts requirements listed in Article 4.10.6.2, Athletes in a RTP may file as part of their Whereabouts Filing their training and/or any other alternative location/s such as work or school where the Athlete may be located for testing during the quarter. If an Athlete does not have a fixed training location, the Athlete may provide the address of the location where the Athlete will start and finish their training activity.

[Comment to 4.10.6.3: Given the provision of this additional information is not mandatory, if the Athlete ~~does not file or update such~~files additional whereabouts information listed in Article 4.10.6.3 but does not update such information or does not file any additional information, the Athlete shall not be subject to a Filing Failure ~~for the~~. However, if such additional whereabouts information. However, if such information is filed ~~then~~and there is a change to this information during the quarter, the Athlete should ~~keep it accurate and up to date~~be encouraged to update their Whereabouts Filing.]

4.10.6.4 ~~4.10.6.3~~ Anti-Doping OrganizationsADOs shall review Athletes’ Whereabouts Filings to ensure they are submitted in accordance with Articles 4.10.6.1 (filed by the due date) and 4.10.6.2 (the mandatory whereabouts information has been filed).

4.10.7 Requirements for the 60-minute Time Slot

4.10.7.1 ~~The purpose of the 60-minute time slot is to strike a balance between the need to locate the Athlete for Testing and the impracticality and unfairness of making Athletes potentially accountable for a Missed Test every time they depart from their previously declared routine.~~ For Testing to be effective in deterring and detecting doping, it should be as unpredictable as possible. Therefore, the intent behind the 60-minute time slot is not to limit Testing to that period, or to create a ‘default’ period for Testing, but rather:

- a) To make it very clear when an unsuccessful attempt to test an athlete will count as a Missed Test;
- b) To guarantee that the Athlete can be found, and a Sample can be collected, at least once per day (which should deter doping, or, at a minimum, make it far more difficult);
- c) To increase the reliability of the rest of the whereabouts information provided by the Athlete, and so to assist the ADO in locating the Athlete for Testing outside the 60-minute time slot. The 60-minute time slot “anchors” the Athlete to a certain location for a particular day. Combined with the information that the Athlete must provide as to where they are staying overnight, or competing during that day, the ADO should be able to locate the Athlete for Testing outside the 60-minute time slot; and
- d) To generate useful Anti-Doping Intelligence, e.g., if the Athlete regularly specifies time slots with large gaps between them, and/or changes their time slot and/or location at the last minute. Such Anti-Doping Intelligence can be relied upon as a basis for the Target Testing of such Athlete.
- e) ~~a)~~ An Athlete in a ~~Registered Testing Pool~~RTP shall specifically be ~~present and~~ available and accessible for Testing on any day for the duration of the 60-minute time slot specified that day in their Whereabouts Filing, at the location that the Athlete has specified for that time slot.
- f) ~~b)~~ The Athlete can choose ~~which~~ a 60-minute time slot ~~between 5:00 a.m. and 11:00 p.m.~~ in accordance with Article 4.5.5 provided that during the time slot in question they are ~~somewhere~~ available and accessible ~~by~~ to the DCO. ~~The specific location~~ could be the Athlete’s ~~place of residence, or other~~ overnight address, training and/or other alternative location or Competition. ~~An if an Athlete is entitled to specify~~ specifies a 60-minute time slot during which they will be at a hotel, apartment building, gated community or other location where access to the Athlete may ~~be restricted due to~~ have various security measures in place, such as a front reception desk, or security guard. It is the Athlete’s responsibility to ensure accessibility to their selected 60-minute location with no advance ~~warning~~ notice to the Athlete. In either case, however, any failure to be accessible and available for Testing at the specified location during the specified time slot shall be pursued ~~an apparent~~ as a Missed Test.
- g) ~~e)~~ If the Athlete is notified during the 60-minute time slot, the Athlete ~~must~~ shall remain with the DCO until the Sample collection has been completed, even if this takes longer than the 60-minute time slot. A failure to do so shall be pursued as an apparent violation of Code Article 2.3 (refusal or failure to submit to Sample collection).

- h) ~~d)~~ If the *Athlete* is ~~not available~~ unable to be located for *Testing* at the beginning of the 60-minute time slot but ~~becomes available~~ is located for *Testing* later on in the 60-minute time slot, the DCO should collect the *Sample* and should not ~~process the attempt as an unsuccessful attempt to test~~ submit an UAR but should report the details of the delay in availability of the *Athlete*. Any pattern of ~~behaviour~~ behavior of this type should be investigated as a possible anti-doping rule violation of evading *Sample* collection under *Code* Article 2.3 or *Code* Article 2.5. It may also prompt additional *Target Testing* of the *Athlete*.
- i) ~~e)~~ If an *Athlete* is not available for *Testing* during their specified 60-minute time slot at the location specified for that time slot for that day, ~~they will~~ the DCO shall file an UAR, and the Athlete shall be liable for ~~an apparent~~ a Missed Test even if they are located later that day and a *Sample* is successfully collected from them.
- j) ~~f)~~ The provision of a 60-minute time slot does not limit in any way the *Athlete's Code* Article 5.2 obligation to submit to *Testing* at any time and place upon request by an ~~Anti-Doping Organization~~ ADO with authority to conduct *Testing* on them. Nor does it limit their obligation to provide the information specified in Article 4.10.6.2 b) ~~to~~ and c) as to their whereabouts outside that 60-minute time slot.
- k) ~~g)~~ Once the DCO has arrived at the location specified for the 60-minute time slot, if the *Athlete* cannot be located immediately, then the DCO should remain at that location for whatever time is left of the 60-minute time slot and during that remaining time they should do what is reasonable in the circumstances to try to locate the *Athlete*. See *WADA's Guidelines for Sample Collection* for guidance in determining what is reasonable in such circumstances.
- l) ~~h)~~ Where an *Athlete* has not been located despite the DCO's reasonable efforts, and there are only five (5) minutes left within the 60-minute time slot, ~~then~~ as a last resort the DCO should phone the *Athlete* (unless exceptional circumstances exist where the Testing Authority TA instructs otherwise) using the *Athlete's* personal phone number provided in their Whereabouts Filing to ~~see~~ confirm if they are at the specified location. If the *Athlete* answers the DCO's call and is available at (or in the immediate vicinity of) the location for immediate *Testing* (i.e., within the 60-minute time slot), ~~then~~ the DCO should wait for the *Athlete* and should collect the *Sample* from them ~~as normal~~. However, the DCO should also make a careful note of all the circumstances, so that it can be decided if any further investigation should be conducted. In particular, the DCO should make a note of any facts suggesting that there could have been *Tampering* or manipulation of the *Athlete's* urine or blood in the time that elapsed between the

phone call and the *Sample* collection. If the *Athlete* answers the DCO's call and is not at the specified location or in the immediate vicinity, and so cannot make himself/herself available for *Testing* within the 60-minute time slot, the DCO shall file an Unsuccessful Attempt Report UAR. If the sample is collected after the phone call has been made, the ~~Anti-Doping Organization~~ DCO shall record the time period from when the Athlete answered the call to when the in-person notification occurred, and the ADO shall record the Test in ADAMS as advance notice and that a phone call within last five (5) minutes of the 60-minute time slot was made.

[Comment to 4.10.7.1 ~~h~~): If the phone call *is not* made to the *Athlete* during the last five (5) minutes of the 60-minute time slot *or* is not successful, it shall not be relevant to the reasonableness of the DCO's attempts to locate the *Athlete* during the 60-minute time slot and shall not constitute a ~~defence~~ defense to liability for a *Missed Test*.]

~~4.10.7.2~~ For *Testing* to be effective in deterring and detecting cheating, it should be as unpredictable as possible. Therefore, the intent behind the 60-minute time slot is not to limit *Testing* to that period, or to create a 'default' period for *Testing*, but rather:

- ~~a)~~ To make it very clear when an unsuccessful attempt to test an *Athlete* will count as a Missed Test;
- ~~b)~~ To guarantee that the *Athlete* can be found, and a *Sample* can be collected, at least once per day (which should deter doping, or, as a minimum, make it far more difficult);
- ~~c)~~ To increase the reliability of the rest of the whereabouts information provided by the *Athlete*, and so to assist the ~~Anti-Doping Organization~~ in locating the *Athlete* for *Testing* outside the 60-minute time slot. The 60-minute time slot "anchors" the *Athlete* to a certain location for a particular day. Combined with the information that the *Athlete* must provide as to where they are staying overnight, ~~training or competing during that day, the Anti-Doping Organization~~ should be able to locate the *Athlete* for *Testing* outside the 60-minute time slot; and
- ~~d)~~ To generate useful Anti-Doping Intelligence, e.g., if the *Athlete* regularly specifies time slots with large gaps between them, and/or changes their time slot and/or location at the last minute. Such Anti-Doping Intelligence can be relied upon as a basis for the *Target Testing* of such *Athlete*.

4.10.8 Requirements for Providing an Overnight Address

- 4.10.8.1 An *Athlete's* overnight address is the location where the *Athlete* will stay/sleep overnight ~~and wake up in the morning~~. The overnight address is a mandatory part of an *Athlete's* Whereabouts Filing and could be their residential home or ~~another~~ any other overnight address location.

- a) ~~If an Athlete's~~ if an Athlete's travel e.g. a flight includes an overnight portion and does not permit the *Athlete* to have a physical overnight address to file, ~~then the Athlete~~ they shall provide their travel details as part of their Whereabouts Filing for that particular day(s).

~~4.10.9~~ **Requirements for Providing Training Location(s)**

~~4.10.9.1~~ ~~The provision of an Athlete's training location(s) where an Athlete trains and/or practices their sport is a mandatory part of their Whereabouts Filing and is a location(s) where Anti-Doping Organizations will likely attempt Out-of-Competition Testing when Testing the Athlete outside the of their nominated 60-minute time slot.~~

- a) ~~If an Athlete's training location(s) changes and is different to the training locations they filed at the start of the quarter, then the Athlete is required to update the name and address of the new training location(s) for the period in which they will be training at the training location(s) and provide the general time frames that they expect to train. A failure to update the change of training locations shall be pursued as a possible Filing Failure;~~

- b) ~~An Athlete is required to file general time frames for when they will conduct their training, general timeframes shall include morning (between 5:00 a.m. and 12:00 p.m.), afternoon (between 12:00 p.m. and 6:00 p.m.) or evening (between 6:00 p.m. and 11:00 p.m.). If Athletes wish to provide more specific timeframes they are encouraged to do so.~~

[Comment to 4.10.9.1.b): For example, if the Athlete's training routine includes training at the pool in the morning, then the Athlete should provide the name and address of the pool as their training location in their Whereabouts Filing, and then include 'morning' as their general timeframe.]

- e) ~~If the Athlete does not have a fixed location in which they conduct their training activities such as road cycling or road running, then the Athlete is required to include the address of the location where the Athlete will start and finish the training activity as well as the general timeframes.~~

- d) ~~If the Athlete is not currently training or will not train on a particular day or days during the quarter, they shall specify that in their Whereabouts Filing and detail whether it is a rest day, travel, vacation, injured or other.~~

- e) ~~In the case of a Team Sport or other sport where competing and/or training are carried out on a collective basis, the Athlete's training activities are likely to include most, if not all, Team Activities.~~

4.10.9 ~~4.10.10~~ Requirements for Providing *Competition/Event* Schedules

4.10.9.1 ~~4.10.10.1~~ An *Athlete* shall file their quarterly *Competition/Event*

schedule that they plan to compete in and update it accordingly during the quarter to ensure it remains accurate. This includes any travel related to their participation in such *Competition/Event*.

[Comment to ~~4.10.10.14.10.9.1~~: An Athlete who ~~provides updates to their whereabouts that indicate the Athlete~~ is travelling to or competing in a Competition ~~should~~which was not part of their quarterly Competition/Event schedule filing shall update their Whereabouts Filing as soon as possible after they become aware of the change in circumstances ~~are known and confirmed and not on~~in any event prior to the day of such travel or the first day of the competition subject to applicable circumstances of their Competition/Event.]

4.10.10 ~~4.10.11~~ Athletes Responsibility to File and Update their Whereabouts

4.10.10.1 ~~4.10.11.1~~ It is the *Athlete's* responsibility to ensure that they provide all of the information required in a Whereabouts Filing as outlined in ~~Articles~~Article 4.10.6.2 accurately and in sufficient detail to enable any ~~Anti-Doping Organization~~ADO wishing to do so to locate the *Athlete* for *Testing* on any given day in the quarter ~~at the times and locations~~as specified by the *Athlete* in their Whereabouts Filing for that day, including but not limited to during the 60-minute time slot specified for that day in the Whereabouts Filing.

a) More specifically, the *Athlete* shall provide sufficient information to enable the DCO to find the location, to gain access to the location, and to find the *Athlete* at the location with no advance notice to the *Athlete*. A failure to do so may be pursued as ~~an apparent~~a Filing Failure and/or (if the circumstances so warrant) as evasion of *Sample* collection under Code Article 2.3, and/or *Tampering* or *Attempted Tampering with Doping Control* under Code Article 2.5. In any event, the ~~Anti-Doping Organization~~ADO shall consider *Target Testing* of the *Athlete*.

*[Comment to ~~4.10.11.14.10.10.1~~ (a): For example, declarations such as "running in the Black Forest" are insufficient and are likely to result in a Filing Failure. Similarly, specifying a location that the DCO cannot access (e.g., a "restricted-access" building or area) is likely to result in a Filing Failure. The ~~Anti-Doping Organization~~ADO may be able to determine the insufficiency of the information from the Whereabouts Filing itself, or alternatively it may only discover the insufficiency of the information when it attempts to test the *Athlete* and is unable to locate them. In either case, the matter should be pursued as ~~an apparent~~a Filing Failure, and/or (where the circumstances warrant) as an evasion of *Sample* collection under Code Article 2.3, and/or as *Tampering* or *Attempting to Tamper with Doping Control* under Code Article 2.5. Further information on Whereabouts Filing requirements can be found in WADA's *Guidelines for Implementing an Effective Testing Program*. Where an *Athlete* does not know precisely what their whereabouts will be at all times during the forthcoming quarter, they ~~must~~shall provide their best information, based on where they expect to be at the relevant times, and then update that information as necessary in accordance with Article ~~4.10.6~~4.10.10.2.]*

4.10.10.2 ~~4.10.11.2~~ Where a change in circumstances means that the information in a Whereabouts Filing is no longer accurate or complete, the *Athlete* shall file an update as soon as possible

after they become aware of the change in circumstances, so that the information on file is again accurate and complete. The Athlete ~~must~~shall always update their Whereabouts Filing to reflect any change in any day in the quarter in question in particular;

- a) In the time or location of the 60-minute time slot;
- b) In the place where they are staying overnight;
- ~~c) The training location(s); and~~

~~c) d) The Competition/Event schedule; and~~

d) Travel that impacts the Athlete's availability for testing at the locations listed a)-c)

~~[Comment to 4.10.11.2: The Athlete shall file the update as soon as possible after they become aware of the change in circumstances, and in any event prior to their filing for the relevant day for e.g. prior to the 60-minute time slot.~~

~~For the avoidance of doubt, an Athlete who updates their 60-minute time slot for a particular day prior to the original 60-minute slot must still submit to Testing during the original 60-minute time slot if they are located for Testing during that time slot. 4.10.10.2: A failure to update may be pursued as an apparent Filing Failure and/or (if the circumstances so warrant) as evasion of Sample collection under Code Article 2.3, and/or Tampering or Attempted Tampering with Doping Control under Code Article 2.5. In any event, the Anti-Doping Organization ADO shall consider Target Testing of the Athlete.~~

~~The Anti-Doping Organization ADO collecting the Athlete's Whereabouts Filings should in addition to the Athlete filing their whereabouts in ADAMS provide appropriate mechanisms (e.g., phone, fax, Internet, email, or SMS, approved social networking sites or applications) to facilitate the filing of such updates in exceptional circumstances. It is the responsibility of each Anti-Doping Organization ADO with authority to conduct Testing on the Athlete to ensure that it checks for any updates filed by the Athlete prior to attempting to collect a Sample from the Athlete based on their Whereabouts Filing.]~~

4.10.11 ~~4.10.12~~ Testing Outside the 60-minute Time Slot

4.10.11.1 ~~4.10.12.1~~ ~~Anti-Doping Organizations ADOs~~ shall attempt to conduct at least one ~~of the three (3) planned Out-of-Competition Tests~~ OOC Test on an Athlete in a ~~Registered Testing Pool RTP~~ outside of the Athlete's nominated 60-minute time slot ~~in an attempt to reduce the predictability of Testing and promote greater deterrence~~ unless the ADO has Anti-Doping Intelligence that suggests otherwise.

~~4.10.12.2~~ ~~An Anti-Doping Organizations attempt to Test the Athlete outside of the 60-minute time slot shall not take place one hour before or after the Athlete's nominated 60-minute time slot and should utilize the Athlete's training location(s) before an attempt is made at the Athlete's overnight residence (unless the Testing Authority advises otherwise). If the Athlete cannot be located at either of these locations or any other whereabouts location the Athlete may have~~

~~provided, the DCO shall file an Unsuccessful Attempt Report and the Anti-Doping Organization shall determine whether a subsequent attempt is made outside the 60-minute time slot or during the Athlete's 60-minute time slot as soon as possible in the case the Athlete may be aware of the unsuccessful attempt.~~

[Comment to Article 4.10.11.1: If the DCO's attempt to collect an OOC Sample outside the Athlete's 60-minute time slot is unsuccessful, they shall submit a UAR to document the attempt made.]

4.10.12 ~~4.10.13~~ Testing Pool

4.10.12.1 ~~4.10.13.1~~ The whereabouts pool below the ~~Registered Testing Pool RTP~~ is the Testing Pool TP and shall include ~~Athletes whom the Anti-Doping Organization plans of lower risk than those Athletes on the RTP, and who do not meet the criteria for entry in a RTP, as defined by the ADO's Risk Assessment. An ADO shall plan to test Athletes in a TP at least once (1) per year Out-of-Competition OOC.~~ The whereabouts information shall include ~~an overnight~~;

- a) Overnight address;
- b) Competition/Event schedule, ~~training locations and Team Activities or training activities. Athletes in a Testing Pool are not subject to the requirements of Code Article 2.4.~~;
- c) For team sport Athletes' Team Activities;
- d) Upload as part of filing their whereabouts an accurate passport style photograph in accordance with the requirements in ADAMS to assist with validating the Athletes identity when selected for a Test; and
- e) A complete mailing address and personal e-mail address where correspondence may be sent to the Athlete for formal notice purposes. Any notice or other item mailed to that address will be deemed to have been received by the Athlete at the latest seven (7) days after it was deposited in the mail and immediately when notification of a sent e-mail receipt is generated/obtained (subject to applicable law);

[Comment to 4.10.12.1 e): For these purposes, the Athlete should specify an address where they live or otherwise know that mail received there will be immediately brought to their attention. An ADO is encouraged also to supplement this basic provision with other notice and/or "deemed notice" provisions in its rules (for example, permitting use of email or other methods of service of notice; permitting proof of actual receipt as a substitute for deemed receipt; permitting notice to be served on the Athlete's National Federation if it is returned undelivered from the address supplied by the Athlete). The aim of such provisions should be to shorten the Results Management timelines in particular when dealing with any AAF.]

[Comment to 4.10.12.1: The minimum number of one (1) planned OOC Test to be conducted on Athletes in a TP per year shall include at a minimum the collection of a urine Sample during this SCS.]

4.10.12.2 In addition to the mandatory whereabouts requirements listed in

Article 4.10.12.1. Athletes in a TP may file their training and/or other alternative location/s such as work or school where the Athlete may be located for testing during the quarter. If an Athlete in an individual sport does not have a fixed training location, they may provide the address of the location where the Athlete will start and finish their training activity.

[Comment to 4.10.12.2: Given the provision of this additional information is not mandatory, if the Athlete files additional whereabouts information listed in Article 4.10.12.2 but does not update such information or, does not file any additional information the Athlete shall not be subject to any consequences. However, if such additional whereabouts information is filed and there is a change to this information during the quarter the Athlete should be encouraged to update their whereabouts.]

4.10.12.3 An International Federation or a ~~National Anti-Doping Organization~~NADO shall consider the following criteria for including Athletes into a ~~Testing Pool~~TP:

- a) Athletes from Team Sports who can be located for Testing through Team Activities and ~~team~~ Competition/Events.
- b) Athletes from individual sports/disciplines who ~~have do~~ met meet the criteria for entry into a ~~Registered Testing Pool~~RTP but who compete at ~~a national or an~~ international or national level as defined by the International Federation or ~~National Anti-Doping Organization~~NADO and who are considered of sufficient risk following the ~~Anti-Doping Organization~~ADO's Risk Assessment.

4.10.12.4 ~~4.10.13.2~~—Where training in a sport is organized and carried out on a collective basis rather than on an individual basis, involving Team Activities, an International Federation or ~~National Anti-Doping Organization~~NADO may decide that it is sufficient to include Athletes as part of the team in a ~~Testing Pool~~TP. However, in periods where there are no Team Activities scheduled (e.g., the off-season) or where an Athlete is not participating in Team Activities (e.g., is rehabilitating after an injury), ~~then the Athlete they~~ may be required by the International Federation or ~~National Anti-Doping Organization~~NADO rules or procedures to provide more individualized whereabouts to enable No Advance Notice Testing of the Athlete during these periods. If the whereabouts information requested is not sufficient to conduct the No Advance Notice Testing during these periods, it shall put the Athletes into its ~~Registered Testing Pool~~RTP and Code Article 2.4 Whereabouts Requirements will apply.

4.10.12.5 ~~4.10.13.3~~—To ensure accurate whereabouts are filed and maintained by Athletes in a ~~Testing Pool~~TP, an International Federation or a ~~National Anti-Doping Organization~~NADO shall, within their rules and procedures, include appropriate and proportionate non-Code Article 2.4 consequences to individual Athletes or teams who are part of a ~~Testing Pool~~TP if;

- a) the whereabouts information is not filed on the date outlined in Article 4.10.6.1b) and/or any periodic updates for e.g. Team Activities and Competition/Event schedule required to be filed during the quarter e.g. weekly or monthly as stated in the rules of the International Federation or NADO whom the Athlete files their whereabouts to; or
- b) *the* whereabouts information is not found to be accurate following an attempt to test; or
- c) information is obtained that is contrary to the whereabouts information provided.

[Comment 4.10.13.34.10.12.5: Such consequences may be in addition to the elevation of an Athlete into the ~~Registered Testing Pool~~RTP as described in Article 4.10.4.1 (4.10.4.2 c)].

4.10.12.6 ~~4.10.13.4~~ Whereabouts for Athletes in a ~~Testing Pool~~TP shall be filed in ADAMS by the 15th day of the month preceding the start of the quarter to enable better *Testing* coordination between ~~Anti-Doping Organizations~~ADOs. An International Federation or a ~~National Anti-Doping Organization~~NADO may request whereabouts updates during the quarter with more regular deadlines e.g., weekly or monthly within their rules or procedures which better suit the needs and demands of Team Activities in the relevant sport(s).

[Comment to 4.10.12.6: Athletes in a TP are not subject to the requirements of Code Article 2.4 however if the DCO's attempt to collect an OOC Sample is unsuccessful, they shall submit an UAR to document the attempt made.]

4.10.12.7 ~~4.10.13.5~~ Athletes designated for inclusion in a ~~Testing Pool~~TP shall be notified in writing in advance by the International Federation and ~~National Anti-Doping Organization~~NADO of their inclusion in the ~~Testing Pool~~TP, the whereabouts requirements outlined in Article ~~4.10.13.14.10.12.1~~ and the consequences that apply should they fail to comply with those whereabouts requirements.

[Comment to Article 4.10.12.7: An ADO shall record the start date of when the Athlete is included in its TP in ADAMS.]

4.10.12.8 ~~4.10.13.6~~ Athletes in a ~~Testing Pool~~TP shall be notified in writing when they no longer meet the applicable criteria and are removed from a TP. The Athlete should be informed that they are still subject to anti-doping rules, (if they have not retired) and may still be tested by other ADOs with Testing Pool jurisdiction.

~~4.10.13.7 Athletes in a Testing Pool shall upload as part of filing their whereabouts an accurate passport style photograph to their ADAMS Athlete profile page, in accordance with the requirements in ADAMS to assist with validating the Athletes identity when selected for a Test.~~

[Comment to 4.10.12.8: An ADO shall record in ADAMS the end date in which the Athlete is no longer included in its TP and shall document the reason for

removal either in ADAMS or in another secure way.]

4.10.12.9 Prior to removing an Athlete from a TP and giving written notice to the Athlete the ADO should communicate such removal with other ADOs that have Testing jurisdiction so they are aware and can take the appropriate measures with the Athlete if any.

4.10.13 ~~4.10.14~~ **General Testing Athletes Not in a Whereabouts Pool**

4.10.13.1 ~~4.10.14.1~~ International Federations and *National Anti-Doping Organizations* may decide to allocate some *Out-of-Competition NADOs may conduct OOC Testing* within their *Test Distribution Plan for on Athletes* who do not meet the criteria for entry into a *Registered Testing Pool or Testing Pool* and where there are no whereabouts requirements on the Athletes. Athletes in the general pool would normally be lower risk Athletes Whereabouts Pool as determined by the *Anti-Doping Organization ADO's Risk Assessment*. Athletes in a general pool are not subject to Code Article 2.4 Whereabouts Requirements.

Comment to 4.10.14.1: Whereabouts for Athletes in a general pool could be obtained from various sources such as open source, national sports federations i.e. training camp information or competitions the Athletes may be competing in.

4.10.14 ~~4.10.15~~ **Selecting Athletes for Different Whereabouts Pools and Coordination Between International Federations and National Anti-Doping Organizations.**

4.10.14.1 ~~4.10.15.1~~ Each International Federation and *National Anti-Doping Organization NADO* has the discretion to select which Athlete goes into ~~which type of whereabouts pool~~ a Whereabouts Pool. However, the International Federation and *National Anti-Doping Organization NADO* shall be able to demonstrate they have made a proper assessment of the relevant risks, the necessary prioritization in accordance with Articles 4.2 to 4.6, and that they have adopted appropriate criteria based on the results of that assessment.

4.10.14.2 ~~4.10.15.2~~ Once an International Federation and *National Anti-Doping Organization NADO* have selected Athletes for either their *Registered Testing Pool RTP*, and/or *Testing Pool TP* they shall maintain the list of Athletes through ADAMS with the relevant International Federation and *National Anti-Doping Organization NADO*.

4.10.14.3 ~~4.10.15.3~~ If an Athlete is in one ~~whereabouts pool~~ Whereabouts Pool of their International Federation and another ~~whereabouts pool~~ Whereabouts Pool for their *National Anti-Doping Organization NADO*, they shall file their whereabouts to only one whereabouts custodian and comply with whichever Whereabouts Pool has the greater whereabouts requirements. If an Athlete is in two Whereabouts Pools of the same level i.e. the *Registered*

~~Testing Pool RTP~~ of both the *International Federation* and the *National Anti-Doping Organization*, then NADO, the two organizations shall collaborate and agree who shall be the whereabouts custodian. If the respective ADOs are unable to agree which of them shall be the whereabouts custodian, WADA will resolve the matter in accordance with the process outlined in the comment to Article 4.10.4.3.

[Comment to Article ~~4.10.15.3~~ 4.10.14.3: Whereabouts custody can be transferred in ADAMS by the whereabouts custodian to another ADO with Testing jurisdiction over the Athlete. ADOs should have a procedure in place to monitor ~~their pool~~ whereabouts custodianship of Athletes in their Whereabouts Pool(s) at regular intervals (ex. quarterly) by using the reporting functionalities in ADAMS. ~~A transfer of whereabouts custody requires the new whereabouts custodian to manage any potential Whereabouts Failures.~~]

4.10.14.4 ~~4.10.15.4~~ International Federations and *National Anti-Doping Organizations* NADOs shall coordinate the Athlete whereabouts pool Whereabouts Pool selection, removal and Testing activities to avoid duplication and maximize use of resources. As a result of such coordination and for resource efficiencies, either the International Federation or *National Anti-Doping Organization* NADO shall consider adding more Athletes to its ~~Registered Testing Pool RTP~~ or ~~Testing Pool TP~~ to ensure a greater level of Testing is conducted across a wider range of “at risk” Athletes within a sport rather than focusing on the same Athletes.

4.10.14.5 ~~4.10.15.5~~ Each International Federation and each *National Anti-Doping Organization* NADO shall:

- a) Regularly review and update as necessary their criteria for including Athletes in their ~~Registered Testing Pool RTP~~ and ~~Testing Pool(s) TP~~ to ensure that they remain fit for purpose, i.e., they are capturing all appropriate Athletes. They shall take into account the *Competition/Event* calendar for the relevant period and change or increase the number of Athletes in the ~~Registered Testing Pool RTP~~ or ~~Testing Pool TP~~ in the lead-up to a major an International Event (e.g., Olympic Games, Paralympic Games, World Championship and other multi-sport Events) to ensure those Athletes participating are subject to a sufficient level of Out-of-Competition OOC Testing in accordance with any their Risk Assessment.
- b) Periodically review during the year/cycle in light of changing circumstances the list of Athletes in their ~~Registered Testing Pool RTP~~ and ~~Testing Pool(s) TP~~ to ensure that each listed Athlete continues to meet the relevant criteria. Athletes who no longer meet the criteria should be removed from the ~~Registered Testing Pool RTP~~ and/or ~~Testing Pool TP~~ and Athletes who meet the criteria should be added. The International Federation and *National Anti-Doping Organization* NADO shall advise such Athletes of the change

in their status and make a new list of *Athletes* in the applicable pool available in ADAMS, without delay.

4.10.15 ~~4.10.16~~ Major Event Organizations

4.10.15.1 ~~4.10.16.1~~ For periods when *Athletes* come under the Testing Authority ~~TA~~ of a Major Event Organization ~~MEO~~:

- a) If the *Athletes* are in a ~~Registered Testing Pool~~ an RTP, then the ~~Major Event Organization~~ MEO may access their Whereabouts Filings for the relevant period ~~in order~~ to conduct ~~Out-of-Competition~~ OOC Testing on them; or
- b) The ~~Major Event Organization~~ MEO may adopt Event-specific rules, including consequences requiring *Athletes* or the relevant third party to provide such information about their whereabouts for the relevant period as it deems necessary and proportionate ~~in order~~ to conduct ~~Out-of-Competition~~ OOC Testing.

4.10.16 ~~4.10.17~~ Whereabouts Responsibilities

4.10.16.1 ~~4.10.17.1~~ Notwithstanding any other provision of Article 4.10:

- a) An International Federation may propose, and a ~~National Anti-Doping Organization~~ NADO may agree to, the delegation of some or all of the whereabouts responsibilities of the International Federation under Article 4.10 to the ~~National Anti-Doping Organization~~ NADO or Doping Control Coordinator subject to (f) below;
- b) An International Federation may delegate some or all of its whereabouts responsibilities under Article 4.10 to the *Athlete's* National Federation or Doping Control Coordinator subject to (f) below; or
- c) A ~~National Anti-Doping Organization~~ NADO may delegate some or all of its whereabouts responsibilities under Article 4.10 to the *Athlete's* National Federation, Doping Control Coordinator or other appropriate ~~Anti-Doping Organization~~ ADO with authority over the *Athlete* in question subject to (f) below;
- d) Where no appropriate ~~National Anti-Doping Organization~~ NADO exists, the *National Olympic Committee* shall assume the whereabouts responsibilities of the ~~National Anti-Doping Organization~~ NADO set out in Article 4.10; and
- e) Where WADA determines that the International Federation or ~~National Anti-Doping Organization~~ NADO (as applicable) is not discharging some or all of its whereabouts responsibilities under Article 4.10, WADA may delegate some or all of those responsibilities to any other appropriate ~~Anti-Doping Organization~~ ADO.
- f) At all times the ~~Anti-Doping Organization~~ ADO (whether the International Federation, ~~National Anti-Doping Organization~~ NADO)

~~Organization~~NADO or other ~~Anti-Doping Organization~~ADO with authority over the *Athlete* in question) that delegates its responsibilities (in whole or in part) to a National Federation or Doping Control Coordinator remains ultimately responsible for the acts and/or omissions of such entity to whom it has delegated authority.

4.10.16.2 ~~4.10.17.2~~ AIn accordance with Code Article 20.3.2, a National Federation must use its best efforts to assist its International Federation and/or ~~National Anti-Doping Organization~~NADO (as applicable) in the implementation of their anti-doping program including collecting whereabouts from *Athletes* who are subject to that National Federation's authority, including (without limitation) making special provision in its rules for that purpose. In addition, a National Federation should also assist in providing Event calendars, Athlete participant lists for national Events, national team composition, and national team training schedules etc.

4.10.16.3 ~~4.10.17.3~~ An *Athlete* may choose to delegate the task of filing their whereabouts (and/or any updates thereto) to a third party, such as a coach, a manager or a National Federation, provided that the third party agrees to such delegation. The ~~Anti-Doping Organization~~ADO collecting the *Athlete's* whereabouts may require written notice of any agreed delegation to be filed with it, signed by both the *Athlete* in question and the third party delegate.

[Comment to ~~4.10.17.3~~4.10.16.3: For example, an Athlete participating in a Team Sport or other sport where competing and/or training is carried out on a collective basis, may delegate the task of filing their whereabouts to the team, to be carried out by a coach, a manager or a National Federation. Indeed, for the sake of convenience and efficiency, an Athlete in such a sport may delegate the filing of their whereabouts to their team not only in respect of periods of Team Activities but also in respect of periods where they are not with the team, provided the team agrees. In such circumstances, the Athlete will need to provide the information as to their individual whereabouts for the period in question to the team, to supplement the information it provides in relation to Team Activities.]

4.10.16.4 ~~4.10.17.4~~ In all cases, however, including in the case of *Athletes* in *Team Sports*:

- a) Each *Athlete* remains ultimately responsible at all times for filing accurate and complete whereabouts and for being available for *Testing* at the times and locations specified in their whereabouts, whether they make each filing personally or delegate the task to a third party. When an *Athlete* is subject to whereabouts requirements, whether included in a ~~Registered Testing Pool~~RTP or ~~Testing Pool~~TP, the *Athlete* cannot use as a defense to avoid applicable ~~Consequences~~consequences, that they delegated such responsibility to a third party and the third party failed to comply with the applicable whereabouts requirements.
- b) For *Athletes* in a ~~Registered Testing Pool~~RTP, it shall not be

a ~~defence~~ defense to an allegation of a Filing Failure or Missed Test that the *Athlete* delegated responsibility for filing their whereabouts information for the relevant period to a third party and that third party failed to file the correct information or failed to update previously-filed information so as to ensure that the whereabouts information in the Whereabouts Filing for the day in question was current and accurate.

[Comment to ~~4.10.17.4~~ 4.10.16.4: For example, if an attempt to test an Athlete in a ~~Registered Testing Pool~~ RTP during a 60-minute time slot is unsuccessful due to a third party filing the wrong information or failing to update previously-filed information where the details have subsequently changed, the Athlete will still be liable for a Whereabouts Failure. This must be the case because if an Athlete is able to blame their third party for being unavailable or inaccessible for Testing at a location specified by their third party, ~~then~~ they will be able to avoid accountability for their whereabouts for Testing. ~~Of course, the~~ The third party has the same interest as the Athlete in ensuring the accuracy of the Whereabouts Filing and avoiding any Whereabouts Failures on the part of the Athlete. If the third party is a team official filing the wrong information in relation to the Team Activity or failing to update previously filed information where the details of the Team Activity have subsequently changed, ~~then~~ the team may be separately liable for sanction under the applicable rules of the International Federation or ~~National Anti-Doping Organization~~ NADO for such failure.

If the Athlete/s is/are in a ~~Testing Pool~~ TP, ~~then~~ the Athlete(s) will be subject to the applicable consequences under the rules of the International Federation or ~~National Anti-Doping Organization~~ NADO in accordance with Article ~~4.10.13.3~~ 4.10.12.5.]

4.10.17 ~~4.10.18~~ Coordinating with Other Anti-Doping Organizations

4.10.17.1 ~~4.10.18.1~~ ~~Anti-Doping Organizations~~ ADOs shall coordinate their Testing efforts with ~~the efforts of other~~ Anti-Doping Organizations ADOs with ~~overlapping~~ Testing Authority jurisdiction over the same Athletes, in order to maximize the effectiveness ~~of those combined efforts~~, to avoid unnecessarily repetitive Testing of particular Athletes and to ensure Athletes competing at International Events are suitably tested in advance. In particular, ~~Anti-Doping Organizations~~ ADOs shall:

- a) Consult with other relevant ~~Anti-Doping Organizations~~ ADOs in order to coordinate Testing activities (including Athlete ~~whereabouts pool~~ Whereabouts Pool selection and Test Distribution Plans, which may include ~~Out-of-Competition~~ OOC Testing in the lead up to ~~a major an~~ International Event) and to avoid duplication. Clear agreement on roles and responsibilities for *Event Testing* shall be agreed in advance in accordance with Code Article 5.3. Where such agreement is not possible, WADA will resolve the matter in accordance with the principles set out at Annex H - *Event Testing*;

~~b) Within twenty-one (21) days of Sample collection, enter the Doping Control form into ADAMS for all types of Samples collected, except blood Athlete Biological Passport Samples.~~

~~c) Within five (5) days of Sample collection, enter the Doping Control form into ADAMS for all blood Athlete Biological Passport Samples collected.~~

~~[Comment to Article 4.10.18.1 c): Given the blood Athlete Biological Passport Sample is required to be analyzed shortly after receipt at the Laboratory and the analytical results reported into the passport module for the Athlete shortly after analysis, the respective APMU may request further follow up action from the Testing Authority following its review of the Athlete's passport. This may include the collection of a further sample(s) within a short time frame. As such to further support the importance and timeliness of a further sample collection, the entry of the Doping Control form into ADAMS shall be expedited compared to other Sample types.]~~

~~b) d) Share information on Athlete whereabouts requirements on Athletes where there is overlapping Testing Authority via ADAMS;~~

~~c) e) Share information on Athlete Biological Passport ABP programs where there is overlapping Testing Authority via ADAMS; and~~

~~d) f) Share Anti-Doping Intelligence on Athletes where there is overlapping Testing Authority.~~

4.10.17.2 ~~4.10.18.2~~ ~~Anti-Doping Organizations~~ ADOs may contract other ~~Anti-Doping Organizations~~ ADOs or ~~Delegated Third Parties~~ to act as a Doping Control Coordinator or Sample Collection Authority SCA on their behalf. In the terms of the contract, the commissioning ~~Anti-Doping Organization~~ ADO (which, for these purposes, is the Testing Authority TA) may specify how any discretion afforded to a Sample Collection Authority SCA under the *International Standard for Testing* is to be exercised by the Sample Collection Authority SCA when collecting *Samples* on its behalf.

~~[Comment to 4.10.18.24.10.17.2: For example, the International Standard for Testing confers—as to the circumstances in which delayed reporting to the Doping Control Station DCS may be permitted (Article 5.4.4), as to who may be present during the Sample Collection Session SCS (Article 6.3.3), as to the criteria to be used to ensure that each Sample collected is stored in a manner that protects its integrity, identity and security prior to transport from the Doping Control Station DCS (Article 8.3.1), and as to the guidelines to be followed by the DCO in determining whether exceptional circumstances exist that mean a Sample Collection Session should be abandoned without collecting make it impossible to continue with the SCS and collect a Sample with a Suitable Specific Gravity for Analysis (Article Annex F.4.5) and share Raw Information / Anti-Doping Intelligence obtained (Article 12).]~~

4.10.17.3 ~~4.10.18.3~~ ~~Anti-Doping Organizations~~ ADOs should consult and coordinate with each other, with WADA, and with law enforcement and other relevant authorities, in obtaining, developing and sharing Raw Information and Anti-Doping

Intelligence that can be useful in informing Test distribution planning, in accordance with Article 12.

5.0 Notification and Observation of selected Athletes

5.1 Objective

~~The objective is to~~To ensure that an *Athlete* who has been selected for *Testing* is properly notified with no advance notice of *Sample* collection as outlined in Articles 5.3.1 and 5.4.1, that the rights of the *Athlete* are maintained, that the notification is documented and that the Athlete has been continuously observed so there are no opportunities to manipulate the *Sample* to be provided, ~~and that the notification is documented.~~

5.2 General

Notification of *Athletes* starts when the ~~Sample Collection Authority~~SCP initiates the notification of the selected *Athlete* and ends when the *Athlete* arrives at the ~~Doping Control Station~~DCS or when the *Athlete*'s possible Failure to Comply occurs. The main activities are:

- a) Appointment of ~~Sample Collection Personnel~~a sufficient number of SCP to ensure No Advance Notice Testing and continuous observation of *Athletes* notified of their selection to provide a *Sample*;

[Comment to Article 5.2.a): When a SCA plans to test at an Event that includes 'open' or mixed gender sport categories and where the sport gender the Athlete competes in is not specified under the applicable sports rules, the SCA shall where possible appoint at a minimum a male and female SCP to the SCS.]

- b) Locating the *Athlete* and confirming their identity;
- c) Informing the *Athlete* that they have been selected to provide a *Sample* and of their rights and responsibilities;
- d) Continuously chaperoning the *Athlete* from the time of notification to the arrival at the designated ~~Doping Control Station~~DCS; and
- e) Documenting the notification, or notification attempt.

5.3 Requirements Prior to Notification of *Athletes*

5.3.1 No Advance Notice Testing shall be the method for *Sample* collection save in exceptional and justifiable circumstances. The *Athlete* shall be the first *Person* notified that they have been selected for *Sample* collection, except where prior contact with a third party is required as specified in Article ~~5.3.7~~5.3.10. In order to ensure that *Testing* is conducted on a No Advance Notice Testing basis, the ~~Testing Authority~~TA (and the ~~Sample Collection Authority~~SCA, if different) shall ensure that *Athlete* selection decisions are only disclosed in advance of *Testing* to those who strictly need to know in order for such *Testing* to be conducted. Any notification to a third party shall be conducted in a secure and confidential manner so that there is no risk that the *Athlete* will receive any advance notice of their selection for *Sample* collection. Normally for ~~In-Competition~~IC *Testing*, such notification shall ~~normally~~ occur at the end of the *Competition* in which the *Athlete* is competing except if *Testing* is conducted between 11:59 p.m. the day before the

Athlete's Competition and prior to the start of the *Athlete's Competition* in accordance with the definition of *In-Competition*IC.

[Comment to 5.3.1: No Advance Notice Testing of Athletes is one of the fundamental principles of testing given the impact that the surprise element and deterrence effect has.]

5.3.2 The use of a phone to contact an *Athlete* outside of its permitted use (in the last five (5) minutes of the *Athlete's* 60-minute time slot for those in a *Registered Testing Pool*RTP in accordance with Article 4.10.7.1) shall only be used in exceptional circumstances as outlined below, and where the DCO has been instructed by the TA to do so. In such cases the Sample collection shall be recorded in ADAMS as advance notice–

~~A DCO shall not call an Athlete outside of the 60-minute time slot unless they have been instructed to do so by the Testing Authority and where along with the exceptional circumstances exist. However, before attempting to call the Athlete the DCO must first have visited all of the locations that existed for the Athlete has filed as part of their Whereabouts Filing that are outside of the 60-minute time slot and attempted to locate the Athlete e.g. training location(s), overnight address and any other whereabouts locations the Athlete may have provided or to which the Anti-Doping Organization may have Anti-Doping Intelligence on as to where the Athlete could be located either prior to the test attempt or which was obtained by the DCO during the attempt to Test and which are outside the Athletes whereabouts locations (where applicable) telephone call to be made to the Athlete.~~

Exceptional circumstances shall be limited to those listed below:

a) ~~i. A location where the entry~~ During an attempt to test an Athlete, the building DCO obtains information e.g. from a third party or other information source, where the Athlete may can be located –(and is not a location provided in their Whereabouts Filing) has restricted access and the Athlete's Whereabouts Filing. If it is possible for the DCO to attend this location during the same attempt, but the DCO has no other way of gaining is unable to access other than by contacting the Athlete such location due to restrictions e.g., no intercom-or, front desk, reception or a location where the details of where the Athlete may be located (and is not a location provided in their Whereabouts Filing) is limited i.e. limited street names or house numbers are used. security.

[Comment to Article 5.3.2 a): The use of a telephone to call an Athlete in a Whereabouts Pool due to the provision of inaccurate or incomplete whereabouts contained in an Athlete's Whereabouts Filing which results in the DCO not being unable to locate the Athlete for a Test is not considered an exceptional circumstance. In such situations, the whereabouts custodian may consider the applicable consequences against the Athlete.]

b) ~~ii. APMU Target Test request~~ recommendation that is time sensitive–;

c) ~~iii. Follow up Test~~ to evaluate whether the *Athlete* is a carrier of the EPO variant gene;

[Comment to Article a)-c): Before attempting to call the Athlete, the DCO shall first visit all the locations that the Athlete has filed as part of their Whereabouts Filing on the day of the attempt that are outside of the 60-minute time slot e.g. overnight address and any other whereabouts locations the Athlete may have provided such as a training and/or any alternative location. In addition, the DCO shall visit (where applicable) locations where the ADO has Anti-Doping Intelligence or which the DCO obtained during the Test attempt. However, where circumstances make it logistically not possible for the DCO to visit all nominated whereabouts locations (e.g. athlete has finished training for the day or training location is closed) the DCO shall visit those locations that are available in an attempt to notify the Athlete with No Advance Notice.]

d) In the context of testing for the ABP where whole blood Samples only are being collected during a SCS for profiling purposes to obtain baseline values.

e) ~~iv~~ Validation of a national or world record based on the rules of the National or International Federation and where there is no Sample collection taking place at the Competition where the record was achieved.

[Comment to Article 5.3.2 e): In such situations it is likely that the DCO will make an appointment with the Athlete at an agreed location and time to provide a Sample.]

[Comment to 5.3.2: If the DCO makes a call outside of the 60-minute time slot due to exceptional circumstances, and the Athlete answers the DCO's call, the Athlete is required to comply with the DCO's reasonable request to provide a Sample. The DCO is responsible for meeting the Athlete at their current or an alternative and agreed location within a reasonable time period shortly after the call to collect the Athlete's Sample. On arrival to the agreed location where Sample collection will occur, the DCO will notify the Athlete of their selection for Testing, collect the Sample and complete the applicable documentation. The time period from when the Athlete answered the call to when the in-person notification occurred shall be recorded by the DCO. A failure to comply with the DCO's request to provide a Sample and/or a failure to meet the DCO at the agreed location may be pursued (if the circumstances so warrant) as a potential anti-doping rule violation.]

5.3.3 ~~In addition, every~~ Every effort should be made to ensure Event Venue or training venue staff are not aware that Testing may take place in advance. It is not justifiable for a National Federation or other body to insist that it be given advance notice of Testing of Athletes under its authority so that it can have a representative present at such Testing.]

5.3.4 ~~5.3.2~~ To conduct or assist with the ~~Sample Collection Sessions~~ SCS, the ~~Sample Collection Authority~~ SCA shall appoint and authorize ~~Sample Collection Personnel~~ SCP who have been trained for their assigned responsibilities, and who meet all ~~of~~ the applicable requirements of Annex G ~~Sample Collection Personnel~~ Requirements.

5.3.5 ~~5.3.3~~ ~~Sample Collection Personnel~~ SCP shall have official documentation, provided by the ~~Sample Collection Authority~~ SCA, evidencing their authority to collect a Sample from the Athlete, such as an authorization ~~letter~~ document (either in paper or electronic form) from the ~~Testing Authority~~ Sample Collection Personnel TA.

5.3.6 SCP shall carry an ~~identification card from the Sample Collection Authority~~ accreditation card/badge (may be an electronic document on their personal device) from the SCA which contains their name, role and an expiry date and complementary government issued identity document (or an official electronic government issued identity document contained on their personal device) that includes their name and photograph (i.e., driver's license, health card, passport or similar valid identification) and the expiry date.

[Comment to Article 5.3.6: If the SCP appointed to work at an International Event are issued with an official event photo accreditation that contains the photo and name of the SCP and that has been issued by the International Federation or the International Event organizer, this will suffice as an identity document.]

5.3.7 ~~5.3.4~~—The ~~Testing Authority~~TA or otherwise the ~~Sample Collection Authority~~SCA shall require the *Athlete* selected to provide a *Sample* to provide a government issued identity document that contains a photograph of the *Athlete* to validate the identity of ~~an~~the *Athlete*. This may include a passport, national identity card, drivers' license, health care card or any other document issued by a government body that contains at a minimum the name of the issuing body, the name of the *Athlete*, their date of birth, expiry date and their photograph. The *Athlete* may present an official electronic ~~version of their government issued~~ identity document contained on their personal device ~~such as a mobile phone~~. This ensures the selected *Athlete* is the *Athlete* who is notified.

[Comment to 5.3.7: If Testing is conducted during an International Event, an Athlete's official event photo accreditation that contains the Athlete's photo and name and that has been issued by the International Federation or the International Event organizer will suffice as an identity document.]

5.3.7.1 a)—If the *Athlete* is not readily identifiable during an ~~Out-of-Competition~~IC or OOC Test based on the above requirements, then if the *Athlete* is in a ~~Registered Testing Pool or Testing Whereabouts~~ Pool, the DCO shall where applicable check the *Athlete's* photograph within ~~their~~ ADAMS ~~Athlete~~ profile. Failing this the DCO shall attempt to locate a third party who ~~personally knows the Athlete may be asked to identify~~can confirm the identity of the *Athlete*. If a third party is available to identify the *Athlete*, they too will be required to provide a government issued photo identity document to validate their identity, ~~and the~~. The details of the third party's ~~relationship to the Athlete shall be documented~~.

b) ~~For In-Competition Testing, if the Athlete is not able to present a role and type of government issued photo identity document, then shall be documented by the DCO may consider i) an official event photo accreditation badge for international sports events that the International Federation or Major Event Organizer has issued, or ii) if the Athlete is in a Registered Testing Pool or Testing Pool, their Athlete profile photo within ADAMS. If the official event accreditation pass or the Athlete's profile photo within ADAMS are not available then iii) a third party who can accurately identify the Athlete shall be sought to support the Athlete's identification which shall be documented as outlined in Article 5.3.4 a).~~

5.3.8 ~~5.3.5~~—The ~~Sample Collection Authority~~SCA, DCO or Chaperone, as applicable, shall establish the location of the selected *Athlete* and plan the approach and timing of notification, taking into consideration the specific circumstances of the sport/*Competition*/training session/etc. and the situation in question.

5.3.9 ~~5.3.6~~—The ~~Sample Collection Authority~~SCA, DCO or Chaperone, as applicable, shall document *Athlete* notification attempt(s) and outcome(s).

5.3.10 ~~5.3.7~~—The ~~Sample Collection Authority~~SCA, DCO or Chaperone, as applicable, shall consider whether a third party is required to be notified prior to

notification of the *Athlete*; in the following situations:

- a) Where required by an *Athlete*'s impairment (as provided for in Annex A - Modifications for *Athletes* with Impairments);
- b) Where the *Athlete* is a *Minor* (as provided for in Annex B - Modifications for *Athletes* who are *Minors*);
- c) Where an interpreter is required and available for the notification;
- d) Where required to assist ~~Sample Collection Personnel~~ SCP to identify the *Athlete(s)* to be tested and to notify such *Athlete(s)* that they are required to provide a *Sample*.

[Comment to 5.3.75.3.10: It is permissible to notify a third party that Testing of Minors or Athletes with impairments will be conducted. However, there is no requirement to notify any third party (e.g., a team doctor) of the Doping Control mission where such assistance is not needed. Should a third party be required to be notified prior to the Athlete's notification, the third party should be accompanied by the DCO or Chaperone to notify the Athlete.]

5.4 Requirements for Notification of *Athletes*

5.4.1 When initial contact is made, the ~~Sample Collection Authority~~ SCA, DCO or Chaperone, as applicable, shall ensure that the *Athlete* and/or a third party (if required in accordance with Article 5.3.7) is informed:

- a) That the *Athlete* is required to undergo a *Sample* collection;
- b) Of the authority under which the *Sample* collection is to be conducted;
- c) Of the type of *Sample* collection and any conditions that need to be adhered to prior to the *Sample* collection;
- d) Of the *Athlete*'s rights, including the right to:
 - i. Have a representative and, if available, an interpreter accompany them, in accordance with Article 6.3.3(a);
[Comment to Article 5.4.1 d) i): Where it is known that Athletes subject to Testing may not speak the language of the SCP conducting the SCS, TAs/SCAs should have in place interpretation systems and/or tools to assist Athletes understand their rights and responsibilities, and the required procedures during the SCS.]
 - ii. Ask for additional information about the *Sample* collection process;
 - iii. Request a delay in reporting to the ~~Doping Control Station~~ DCS for valid reasons in accordance with Article 5.4.4; and
 - iv. Request modifications as provided for in Annex A - Modifications for *Athletes* with Impairments.
- e) Of the *Athlete*'s responsibilities, including the requirement to:
 - i. Remain within continuous observation of the DCO/Chaperone at all times from the point initial contact is made by the DCO/Chaperone until the completion of the *Sample* collection procedure;

- ii. Produce identification in accordance with Article [5.3.45.3.7](#);
 - iii. Comply with *Sample* collection procedures (and the *Athlete* should be advised of the possible *Consequences* of a Failure to Comply); and
 - iv. Report immediately for *Sample* collection, unless there are valid reasons for a delay, as determined in accordance with Article 5.4.4.
- f) Of the location of the *Doping Control Station*DCS;
 - g) That should the *Athlete* choose to consume food or fluids prior to providing a *Sample*, they do so at their own risk;
 - h) Not to hydrate excessively, since this may delay the production of a suitable *Sample*; and
 - i) That any urine *Sample* provided by the *Athlete* to the *Sample Collection Personnel*SCP shall be the first urine passed by the *Athlete* subsequent to notification.

5.4.2 When contact is made, the DCO/Chaperone shall:

- a) From the time of such contact until the *Athlete* leaves the *Doping Control Station*DCS at the end of their *Sample Collection Session*SCS, keep the *Athlete* under observation at all times;
- b) Identify themselves to the *Athlete* using the documentation referred to in Article [5.3.35.3.6](#); and
- c) Confirm the *Athlete*'s identity as per the criteria established in Article [5.3.45.3.7](#). Confirmation of the *Athlete*'s identity by any other method, or failure to confirm the identity of the *Athlete*, shall be documented and reported to the *Testing Authority*TA. In cases where the *Athlete*'s identity cannot be confirmed as per the criteria established in Article [5.3.45.3.7](#), the DCO shall continue with the *Sample* collection and document this on the *Doping Control or supplementary report* form. The *Testing Authority*TA shall decide whether it is appropriate to follow up in accordance with Annex A - Review of a Possible Failure to Comply of the *International Standard for Results Management*.

5.4.3 The DCO/Chaperone shall have the *Athlete* sign an appropriate form to acknowledge and accept the notification. If the *Athlete* refuses to sign that they have been notified, or evades the notification, the DCO/Chaperone shall, if possible, inform the *Athlete* of the *Consequences* of a Failure to Comply, and the Chaperone (if not the DCO) shall immediately report all relevant facts to the DCO. When possible, the DCO shall continue to collect a *Sample*. The DCO shall document the facts in a detailed report and report the circumstances to the *Testing Authority*TA. The *Testing Authority*TA shall follow the steps prescribed in Annex A - Review of a Possible Failure to Comply of the *International Standard for Results Management*.

5.4.4 The DCO/Chaperone may at their discretion consider any reasonable third-party request or any request by the *Athlete* for permission to delay reporting

to the ~~Doping Control Station~~ DCS following acknowledgment and acceptance of notification, and/or to leave the ~~Doping Control Station~~ DCS temporarily after arrival. The DCO/Chaperone may grant such permission if the *Athlete* can be continuously chaperoned and kept under continuous observation during the delay. Delayed reporting to or temporary departure from the ~~Doping Control Station~~ DCS may be permitted for the following activities:

- a) For ~~In-Competition~~ IC Testing:
 - i. Participation in a presentation ceremony;
 - ii. Fulfilment of media commitments;
 - iii. Competing in further *Competitions*;
 - iv. Performing a warm down;
 - v. Obtaining necessary medical treatment;
 - vi. Locating a representative and/or interpreter;
 - vii. Obtaining photo identification in accordance with requirements of Article ~~5.3.4~~ 5.3.7; or
 - viii. Any other reasonable circumstances, as determined by the DCO, taking into account any instructions of the ~~Testing Authority~~ TA.
- b) For ~~Out-of-Competition~~ OOC Testing:
 - i. Locating a representative;
 - ii. Completing a training session including a warm down;
 - iii. Receiving necessary medical treatment;
 - iv. Obtaining photo identification in accordance with requirements of Article ~~5.3.4~~ 5.3.7; or
 - v. Any other reasonable circumstances, as determined by the DCO, taking into account any instructions of the ~~Testing Authority~~ TA.

[Comment to 5.4.4: Showers shall not be permitted/accepted as a reason for delay to or temporary departure from the DCS unless there is a health and safety concern or where a urine Sample is not being collected. Ice baths are considered an activity as part of an athlete's warm down.]

- 5.4.5 A DCO/Chaperone shall reject a request for delay from an *Athlete* if it will not be possible for the *Athlete* to be continuously observed during such delay.
- 5.4.6 The DCO/Chaperone or other authorized ~~Sample Collection Personnel~~ SCP shall document any reasons for delay in reporting to the ~~Doping Control Station~~ DCS and/or reasons for leaving the ~~Doping Control Station~~ DCS that may require further investigation by the ~~Testing Authority~~ TA.
- 5.4.7 If the *Athlete* delays reporting to the ~~Doping Control Station~~ DCS other than in accordance with Article 5.4.4 and/or any failure of the *Athlete* to remain under constant observation during chaperoning but the *Athlete* arrives at the ~~Doping Control Station~~ DCS prior to the DCO's departure from the sample collection location, the DCO shall report a possible Failure to Comply. If at all possible, the DCO shall proceed with collecting a *Sample* from the *Athlete*. The ~~Testing Authority~~ TA shall investigate a possible Failure to Comply in accordance with

Annex A - Review of a Possible Failure to Comply in the *International Standard for Results Management*.

5.4.8 If ~~Sample Collection Personnel~~SCP observe any other matter with potential to compromise the collection of the *Sample*, the circumstances shall be reported to and documented by the DCO. If deemed appropriate by the DCO, the DCO shall consider if it is appropriate to collect an additional *Sample* from the *Athlete*. The ~~Testing Authority~~TA shall investigate a possible Failure to Comply in accordance with Annex A - Review of a Possible Failure to Comply in the *International Standard for Results Management*.

6.0 Preparing for the Sample Collection Session

6.1 Objective

To prepare for the ~~Sample Collection Session~~SCS in a manner that ensures that the session can be conducted efficiently and effectively, including with sufficient resources e.g., personnel and equipment.

6.2 General

Preparing for the ~~Sample Collection Session~~SCS starts with the establishment of a system for obtaining relevant information for effective conduct of the session and ends when it is confirmed that the Sample Collection Equipment conforms to the specified criteria. The main activities are:

- a) Establishing a system for collecting details regarding the ~~Sample Collection Session~~SCS;
- b) Establishing criteria for who may be present during a ~~Sample Collection Session~~SCS;
- c) Ensuring that the ~~Doping Control Station~~DCS meets the minimum criteria prescribed in Article 6.3.2; and
- d) Ensuring that the Sample Collection Equipment meets the minimum criteria prescribed in Article 6.3.4.

6.3 Requirements for Preparing for Sample Collection Session

6.3.1 The ~~Testing Authority~~TA, Doping Control Coordinator or ~~Sample Collection Authority~~SCA shall establish a system for obtaining all the information necessary to ensure that the ~~Sample Collection Session~~SCS can be conducted effectively, including identifying special requirements to meet the needs of *Athletes* with impairments (as provided in Annex A - Modifications for *Athletes* with Impairments) as well as the needs of *Athletes* who are *Minors* (as provided in Annex B - Modifications for *Athletes* who are *Minors*) or ~~transgender or gender diverse Athletes~~ where the sport gender is not specified in the applicable sport rules (as ~~provided~~outlined in Annex ~~1 - Modifications for Transgender or Gender Diverse Athletes~~C - Collection of Urine Samples).

6.3.2 The DCO shall use a ~~Doping Control Station~~DCS which, at a minimum, ensures the *Athlete's* privacy and where possible is used solely as a ~~Doping Control Station~~DCS for the duration of the ~~Sample Collection Session~~SCS.

The DCO shall record any significant deviations from these criteria. Should the DCO determine the Doping Control Station DCS is unsuitable, they shall seek an alternative location which fulfils the minimum criteria above.

6.3.3 The ~~Testing Authority TA~~ or ~~Sample Collection Authority SCA~~ shall establish criteria for who may be authorized to be present during the Sample Collection Session SCS in addition to the ~~Sample Collection Personnel SCP~~. At a minimum, the criteria shall include:

- a) An *Athlete's* entitlement right to be accompanied by a representative and/or interpreter during the ~~Sample Collection Session SCS~~, except when the *Athlete* is passing a urine *Sample*;
- b) The entitlement of an *Athlete* with an impairment to be accompanied by a representative as provided for in Annex A - Modifications for *Athletes* with Impairments;
- c) A *Minor Athlete's* entitlement (as provided for in Annex B - Modifications for *Athletes* who are *Minors*), and the witnessing DCO/Chaperone's entitlement to have a representative observe the witnessing DCO/Chaperone when the *Minor Athlete* is passing a urine *Sample*, but without the representative directly observing the passing of the *Sample* unless requested to do so by the *Minor Athlete*;
- d) A WADA-appointed observer under the *WADA Independent Observer Program* or WADA auditor (where applicable); and/or
- e) An authorized *Person* who is involved in the training of ~~Sample Collection Personnel SCP~~ or auditing the ~~Sample Collection Authority SCA~~.

[Comment to 6.3.3 (d) and (e): The WADA observer/auditor and/or authorized *Person* shall not directly observe the passing of a urine *Sample*.]

6.3.4 The ~~Sample Collection Authority SCA~~ shall only use Sample Collection Equipment systems for urine, venous whole and capillary blood ~~and dried blood spot~~ *Samples* which, at a minimum:

- a) Have a unique numbering system, incorporated into all A and B bottles, containers, tubes or other items used to seal the *Sample* and have a barcode or similar data code which meets the requirements of *ADAMS* on the applicable Sample Collection Equipment;
- b) Have a Tamper-Evident sealing system;
- c) Ensure the identity of the *Athlete* is not evident from the equipment itself;
- d) Ensure that all equipment is clean and sealed prior to use by the *Athlete*;
- e) Are constructed of a material and sealing system that is able to withstand the handling conditions and environment in which the equipment will be used or subjected to, including but not limited to transportation, Laboratory analysis and long term frozen storage ~~up to the period of the statute of limitations~~;
- f) ~~Are constructed of a material and sealing system that~~ in accordance with

Article 5.3.7.2 of the *International Standard for Laboratories* and will maintain its functionality for up to a minimum of ten (10) years from when the *Sample* is sealed within the equipment;

- i. Maintains the integrity (chemical and physical properties) of the *Sample* for the Analytical Testing;
- ii. Can withstand temperatures of -80°C for urine and blood and -20°C for ~~dried blood spots~~ DBS. Tests conducted to determine integrity under freezing conditions shall use the matrix or material that will be stored in the *Sample* bottles, containers or tubes i.e., urine, blood, or capillary blood applied on a ~~dried blood spot~~ DBS absorbent *Sample* support (e.g., ~~dried blood spot~~ untreated cellulose card or ~~other equipment made of another material~~ synthetic polymer);
- iii. Are constructed of a material and sealing system that can withstand a minimum of three (3) freeze/thaw cycles;
- ~~iv. Will not degrade or lose its functionality from when the *Sample* is sealed within the equipment for at a minimum the statute of limitations period. Any expiry date that may be placed on external packaging or on the equipment in which a *Sample* shall be stored shall take this time period into consideration given *Samples* may be subject to long term storage. The exception being the vacuum functionality of blood tubes which assists in drawing blood into the tube at the time of collection.~~
- ~~f) g)~~ The A and B bottles, containers and tubes shall be transparent so the *Sample* is visible;
- ~~g) h)~~ Have a sealing system which allows verification by the *Athlete* and the DCO that the *Sample* is correctly sealed in the A and B bottles or containers;
- ~~h) i)~~ Have a built-in security identification feature(s) which allows verification of the authenticity of the equipment;
- ~~i) j)~~ Are compliant with the standards published by the International Air Transport Association (IATA) for the transport of exempt human specimens which includes urine and/or blood *Samples* in order to prevent leakage during transportation by air or are compliant with the local and international regulations for the transport of ~~dried blood spot~~ DBS *Samples*, if applicable;
- ~~j) k)~~ Comply with local regulatory requirements for medical devices (for blood and ~~dried blood spot~~ DBS *Samples*) where necessary, as well as any other applicable law or regulation;
- ~~k) l)~~ Have been manufactured under the internationally recognized ISO 9001 certified standard which includes quality control management systems;
- ~~l) m)~~ Can be resealed after initial opening by a Laboratory using a new unique Tamper- Evident sealing system with a unique numbering system to maintain the integrity of the *Sample* and Chain of Custody in accordance with the requirements of the *International Standard* for

Laboratories for long term storage of the *Sample* and Further Analysis;

- m) ~~n)~~ Have undergone testing by a testing institution that is independent of the manufacturer and is ISO 17025 accredited, to validate at a minimum that the equipment meets the criteria set out in subsections b), ~~fe)~~, ~~gf)~~, ~~hg)~~, ~~ih)~~, ~~ji)~~ and ~~ml)~~ above;
- n) ~~e)~~ Any modification to the material or sealing system of the equipment shall require re-testing to ensure it continues to meet the stated requirements as per ~~nm)~~ above;

For Urine *Sample* Collection:

- o) ~~p)~~ Have the capacity to contain a minimum of 85 mL volume of urine in each A and B bottle or container;
- p) ~~q)~~ Have a visual marking on the A and B bottles or containers and the collection vessel, indicating:
 - i. the minimum volume of urine required in each A and B bottle or container as outlined in Annex C - Collection of Urine *Samples*;
 - ii. the maximum volume levels that allow for expansion when frozen without compromising the bottle, container or the sealing system; and
 - iii. the level of Suitable Volume of Urine for Analysis on the collection vessel.
- q) ~~r)~~ Include a partial *Sample Tamper Evident* sealing system with a unique numbering system to temporarily seal a *Sample* with an insufficient volume in accordance with Annex E - Urine *Samples* – Insufficient Volume;

For ~~Venous~~Whole Blood *Sample* Collection:

- r) ~~s)~~ Have the ability to collect, store and transport blood in separate A and B tubes and containers;
- s) ~~t)~~ For the analysis of *Prohibited Substances* or *Prohibited Methods* in whole blood or plasma including ~~*Athlete Biological Passport*~~ABP, the A and B tubes ~~must~~shall have the capacity to contain a minimum of 3 mL of blood and shall contain EDTA as an anti-coagulant;
- t) ~~u)~~ For the analysis of *Prohibited Substances* or *Prohibited Methods* in serum including ~~*Athlete Biological Passport*~~ABP, the A and B tubes ~~must~~shall have the capacity to contain a minimum of 5 mL of blood and shall contain an inert polymeric serum separator gel and clotting activation factor; and

*[Comment to 6.3.4 ~~(ts)~~ and ~~(ut)~~: If specific tubes have been indicated in the applicable WADA International Standard, Technical Document or Guidelines, ~~then~~ the use of alternative tubes which meet similar criteria shall be validated with the involvement of the relevant Laboratory(ies) and approved by WADA prior to use for *Sample* collection.]*

- u) ~~v)~~ For the transport of ~~venous~~whole blood *Samples*, ensure the storage

and transport device and temperature data logger meet the requirements listed in Annex [D - Collection of Whole Blood Samples](#) and Annex [I - Collection, Storage and Transport of Whole Blood Samples for the Athlete Biological Passport](#) ~~Samples~~.

For ~~Dried~~ [Capillary Blood Spot](#) Sample Collection:

~~v) w) A dried blood spot~~ [Have a unique numbering system for the DBS Sample](#) absorbent ~~Sample~~ support (i.e.g., [untreated](#) cellulose card and/or synthetic polymer) ~~shall also be labelled if it, if the absorbent support is necessary to remove it~~ [be fully removed](#) from its ~~container at the Laboratory to take an aliquot; and~~ [sealing device for the purpose of the Analytical Testing Procedure](#)

~~w) x)~~ Allow the collection, [visual](#) inspection, storage, complete drying and secure transportation of ~~dried blood spots~~ [DBS](#) on absorbent ~~Sample~~ support that can be sealed as distinct “A” and “B” ~~Samples~~ ([Tamper Evident](#) kit consisting of “A” and “B” containers/sub-containers and/or storage sleeves/packages/receptacles).

[Comment to 6.3.4 (~~xw~~): Due to logistical reasons at the [Laboratory](#), it is recommended to seal the “A” and “B” ~~Samples~~ in separate containers. Transporting and/or storing “A” and “B” ~~Samples~~ in the same container is however acceptable, provided that they are sealed as distinct “A” and “B” ~~Samples~~.]

[Comment to 6.3.4: It is strongly recommended that prior to the equipment being made commercially available to stakeholders, such equipment be distributed to the anti-doping community, which may include Athletes, ~~Testing Authorities, Sample Collection Authorities, Sample Collection Personnel~~ [TAs](#), [SCAs](#), [SCP](#), and [Laboratories](#) to seek feedback and ensure the equipment is fit for purpose. It is also recommended for the [ADOs](#) to consult the [Laboratories](#) regarding their capacity against supportive material selection.]

7.0 Conducting the Sample Collection Session

7.1 Objective

To conduct the ~~Sample Collection Session~~ [SCS](#) in a manner that ensures the integrity, identity and security of the ~~Sample~~ and respects the privacy and dignity of the ~~Athlete~~.

7.2 General

The ~~Sample Collection Session~~ [SCS](#) starts with defining overall responsibility for the conduct of the ~~Sample Collection Session~~ [SCS](#) and ends once the ~~Sample~~ has been collected and secured and the ~~Sample~~ collection documentation is complete. The main activities are:

- a) Preparing for collecting the ~~Sample~~;
- b) Collecting and securing the ~~Sample~~; and
- c) Documenting the ~~Sample~~ collection.

7.3 Requirements Prior to ~~Sample~~ Collection

7.3.1 The ~~Sample Collection Authority~~ [SCA](#) shall be responsible for the overall conduct of the ~~Sample Collection Session~~ [SCS](#), with specific responsibilities

delegated to the DCO.

- 7.3.2 The DCO/Chaperone shall ensure that the *Athlete* has been informed of their rights and responsibilities as specified in Article 5.4.1.
- 7.3.3 The DCO/Chaperone shall advise the *Athlete* not to hydrate excessively, ~~having in mind~~ due to the requirement to provide a *Sample* with a Suitable Specific Gravity for Analysis.
- 7.3.4 The ~~Anti-Doping Organization~~ ADO shall establish criteria regarding what items may be prohibited within the ~~Doping Control Station~~ DCS. At a minimum these criteria shall prohibit the provision of alcohol or its consumption within the ~~Doping Control Station~~ DCS.
- 7.3.5 The *Athlete* shall only leave the ~~Doping Control Station~~ DCS under continuous observation by the DCO or Chaperone and with the approval of the DCO. The DCO shall consider any reasonable request by the *Athlete* to leave the ~~Doping Control Station~~ DCS, as specified in Articles 5.4.4, 5.4.5 and 5.4.6, until the *Athlete* is able to provide a *Sample*.
- 7.3.6 If the DCO gives approval for the *Athlete* to leave the ~~Doping Control Station~~ DCS, the DCO shall agree with the *Athlete* on the following conditions of leave:
 - a) The purpose of the *Athlete* leaving the ~~Doping Control Station~~ DCS; the time of return (or return upon completion of an agreed activity);
 - b) That the *Athlete* ~~must~~ shall remain under continuous observation throughout;
 - c) That the *Athlete* shall not pass urine until they arrive back at the ~~Doping Control Station~~ DCS; and
 - d) The DCO shall document the time of the *Athlete*'s departure and return.

7.4 Requirements for *Sample* Collection

- 7.4.1 The DCO shall collect the *Sample* from the *Athlete* according to the following protocol(s) for the specific type of *Sample* collection:
 - a) Annex C - Collection of Urine *Samples*;
 - b) Annex D - Collection of ~~Venous~~ Whole Blood *Samples*;
 - c) Annex I - Collection, Storage and Transport of Whole Blood *Samples for the Athlete Biological Passport* ~~*Samples*~~;
 - d) Annex J - Collection, Storage and Transport of Dried Blood Spot *Samples*; and
 - e) Annex K - Collection of Urine *Samples* in a Virtual Environment during a Pandemic.
- 7.4.2 Any behavior by the *Athlete* and/or *Persons* associated with the *Athlete* or anomalies with potential to compromise the *Sample* collection shall be recorded in detail by the DCO. If appropriate, the ~~Testing Authority~~ TA shall apply Annex A - Review of a Possible Failure to Comply in the *International Standard for Results Management*.

7.4.3 If there are doubts as to the origin or authenticity of the *Sample*, the *Athlete* shall be asked to provide an additional *Sample*. If the *Athlete* refuses to provide an additional *Sample*, the DCO shall document in detail the circumstances around the refusal, and the Testing Authority shall apply Annex A - Review of a Possible Failure to Comply in accordance with *International Standard for Results Management*.

7.4.4 The DCO shall provide the *Athlete* with the opportunity to document any concerns they may have about how the Sample Collection Session was conducted.

7.4.5 The following information shall be recorded ~~as~~ at a minimum, in relation to the Sample Collection Session:

- a) Date, time of notification, name and signature of notifying DCO/Chaperone and the country where the Test is taking place;
- b) Arrival time of the *Athlete* at the Doping Control Station and any temporary departures and returns;
- c) Date and time of sealing of each *Sample* collected and date and time of completion of entire *Sample* collection process (i.e., the time when the *Athlete* signs the declaration at the bottom of the *Doping Control* form);
- d) The name of the *Athlete*;
- e) The date of birth of the *Athlete*;
- f) The sport gender of the *Athlete* i.e. the gender ~~of the Event~~ the *Athlete* competes in under the applicable sports rules;

[Comment to Article 7.4.5 f): If the sport gender of the athlete is not specified as male or female under the applicable rules of the sport, the DCO shall record the sport gender as unspecified on the Doping Control documentation.]

- g) Means by which the *Athlete*'s identity is validated in accordance with the requirements of Article ~~5.3.4~~ 5.3.7;
- h) The *Athlete*'s home address, email address and telephone number;
- i) The *Athlete*'s sport and discipline (in accordance with the IDSSA);
- j) The name of the *Athlete*'s coach and doctor (if applicable);
- k) The *Sample* code number and reference to the equipment manufacturer, ~~and where in which the Sample is sealed, and where the Sample collected is~~ dried blood spot *DBS Sample*, detailed information on the ~~model~~ type of the ~~dried blood spot Sample Collection Equipment (e.g., catalogue number) if~~ absorbent support in accordance with Article 6.3.4.v and a reference to the ~~equipment manufacturer commercializes several dried blood spot Sample collection kits~~ of the absorbent support;
- l) The type of the *Sample* (urine, ~~venous~~ whole blood, ~~dried blood spot~~ DBS etc.);
- m) The type of *Testing* (~~In-Competition~~ IC or ~~Out-of-Competition~~ OOC);
- n) The name and signature of the witnessing DCO/Chaperone;
- o) The name and signature of the BCO (where applicable);

- p) Partial *Sample* information, as per Annex E.4.4;
- q) Required Laboratory information on the *Sample* (i.e., for a urine *Sample*, its volume and specific gravity measurement), as per Article 8.3.3;
- r) Medications and supplements taken within the previous seven (7) days and (where the *Sample* collected is a ~~venous~~ blood *Sample*) blood transfusions within the previous three (3) months, as declared by the *Athlete*;
- s) For a whole blood ~~*Athlete Biological Passport*~~ Samples for the Hematological Module of the ABP *Sample*, the DCO/BCO shall record the information as outlined in Annex I - Collection, Storage and Transport of Whole Blood Samples for the Athlete Biological Passport ~~*Samples*~~;
- t) Any irregularities in procedures, for example, if advance notice was provided;
- u) *Athlete* comments or concerns regarding the conduct of the ~~*Sample Collection Session*~~ SCS, as declared by the *Athlete*;
- v) *Athlete* acknowledgment of the Processing of *Sample* collection data and description of such Processing in accordance with the *International Standard* for Data Protection;
- w) *Athlete* consent or otherwise for the use of the *Sample(s)* for research purposes;
- x) The name and signature of the *Athlete's* representative (if applicable), as per Article 7.4.6;
- y) The name and signature of the *Athlete*;
- z) The name and signature of the DCO;
- aa) The name of the ~~*Testing Authority*~~ TA;
- bb) The name of the ~~*Sample Collection Authority*~~ SCA;
- cc) The name of the ~~*Results Management Authority*~~ RMA; and
- dd) The name of the Doping Control Coordinator (if applicable).

[Comment to 7.4.5: All of the aforementioned information does not need to be consolidated in a single Doping Control form but rather may be collected during the ~~*Sample Collection Session*~~ SCS and/or on other official documentation such as a separate notification form and/or supplementary report.]

7.4.6 At the conclusion of the ~~*Sample Collection Session*~~ SCS, the *Athlete* and DCO shall sign appropriate documentation to indicate their satisfaction that the documentation accurately reflects the details of the *Athlete's* ~~*Sample Collection Session*~~ SCS, including any concerns expressed by the *Athlete*. The *Athlete's* representative, if present and who witnessed the proceedings, should also sign the documentation.

7.4.7 The *Athlete* shall be offered a copy of the records of the ~~*Sample Collection Session*~~ SCS that have been signed by the *Athlete* whether ~~electronically or otherwise~~ in paper or electronic form.

8.0 Security/Post-Test Administration

8.1 Objective

To ensure that all *Samples* collected at the ~~Doping Control Station~~DCS and *Sample* collection documentation are securely stored prior to transport from the ~~Doping Control Station~~DCS.

8.2 General

Post-Test administration begins when the *Athlete* has left the ~~Doping Control Station~~DCS after providing their *Sample(s)* and ends with preparation of all of the collected *Samples* and *Sample* collection documentation for transport.

8.3 Requirements for Security/Post-Test Administration

8.3.1 The ~~Sample Collection Authority~~SCA shall define criteria ensuring that each *Sample* collected is stored in a manner that protects its integrity, identity and security prior to transport from the ~~Doping Control Station~~DCS. At a minimum, these criteria should include detailing and documenting the location where *Samples* are stored and who has custody of the *Samples* and/or is permitted access to the *Samples*. The DCO shall ensure that any *Sample* is stored in accordance with these criteria.

8.3.2 The ~~Sample Collection Authority~~SCA shall develop a system for recording the Chain of Custody of the *Samples* and *Sample* collection documentation to ensure that the documentation for each *Sample* is completed and securely handled. This shall include confirming that both the *Samples* and *Sample* collection documentation have arrived at their intended destinations. The Laboratory shall report any irregularities to the ~~Testing Authority~~TA on the condition of *Samples* upon arrival in line with the *International Standard for Laboratories*.

8.3.3 The ~~Sample Collection Authority~~SCA shall develop a system to ensure that, where required, instructions for the type of analysis to be conducted are provided to the Laboratory that will be conducting the analysis. In addition, the ~~Anti-Doping Organization~~ADO shall provide the Laboratory with information as required under Article 7.4.5 c), f), i), k), l), m), q), r), w), aa), bb) and cc) for result reporting and statistical purposes and include whether *Sample* retention in accordance with Article ~~4.9.14.9~~ is required.

*[Comment to 8.3: Information as to how a *Sample* is stored prior to departure from the ~~Doping Control Station~~DCS may be recorded on, for example, a DCO report. The type of analysis for the Laboratory may be recorded on a Chain of Custody form. ADOs can refer to the WADA website for a DCO report and/or Chain of Custody form template.]*

9.0 Transport of Samples and Documentation

9.1 Objective

- a) To ensure that *Samples* and related documentation arrive at the Laboratory that will be conducting the analysis in proper condition to do the necessary analysis; and
- b) To ensure the ~~Sample Collection Session~~SCS documentation is sent by the ~~Sample Collection Authority~~DCO/SCA to the ~~Testing Authority~~TA in a secure and ~~timely~~ manner as soon as possible and no later than five (5) days from the date of

Sample collection.

9.2 General

9.2.1 Transport starts when the *Samples* and related documentation leave the ~~Doping Control Station~~DCS and ends with the confirmed receipt of the *Samples* and ~~Sample Collection Session~~SCS documentation at their intended destinations.

9.2.2 The main activities are arranging for the secure transport of *Samples* and related documentation to the Laboratory that will be conducting the analysis and arranging for the secure transport of the ~~Sample Collection Session~~SCS documentation to the ~~Testing Authority~~TA.

9.3 Requirements for Transport and Storage of *Samples* and Documentation

9.3.1 The ~~Sample Collection Authority~~SCA shall authorize a transport system that ensures *Samples* and documentation are transported in a manner that protects their integrity, identity and security.

9.3.2 *Samples* shall always be transported to the Laboratory that will be analyzing the *Samples* using the ~~Sample Collection Authority~~SCA's authorized transport method, as soon as possible after the completion of the ~~Sample Collection Session~~SCS and within the timeframes outlined below. *Samples* shall be transported in a manner which minimizes the potential for *Sample* degradation due to factors such as time delays and extreme temperature variations.

a) If for any logistical reasons the immediate transportation of urine and DBS *Samples* is not possible, such transportation shall occur no later than five (5) days from the date of *Sample* collection unless such reasons are outside the control of the ADO.

b) For the transportation of whole blood *Samples*, the following timeframes apply between collection and analysis:

i. ~~Hematological Module of the ABP~~ in accordance with time frames listed in Annex I - Collection, Storage and Transport of Whole Blood *Samples* for the Athlete Biological Passport;

ii. GH analysis with Isoforms method up to ninety-six (96) hours;

iii. GH analysis with Biomarkers method up to one hundred and twenty (120) hours; and

iv. ERAs, HBOCs, blood transfusions or any other analysis of whole blood or serum/plasma of whole blood up to seventy-two (72) hours.

*[Comment to Article 9.3.2 b): Due to the stringent temperature and analysis requirements for whole blood and where whole blood and urine *Samples* are collected during a SCS, whole blood *Samples* may need to be transported separately. However, the relevant SCS documentation linking the whole blood and urine *Samples* shall be included with each shipment so the Laboratory is aware that there is a corresponding *Sample(s)* from the same Athlete.*

*For whole blood *Samples*, if the temperature from collection to arrival at the*

Laboratory deviates as identified by the temperature data logger for a period of time likely to affect the composition of a whole blood Sample as determined by the Laboratory, the TA and Laboratory shall determine if Sample analysis should proceed. If Sample analysis does not proceed, this shall be recorded in ADAMS.]

[Comment to 9.3.2: ~~—Anti-Doping—Organizations~~ADOs should discuss transportation requirements for particular missions (e.g., where the Sample has been collected in less than hygienic conditions, or where delays may occur in transporting the Samples to the Laboratory) with the Laboratory that will be analyzing the Samples, to establish what is necessary in the particular circumstances of such mission (e.g., refrigeration or freezing of ~~the urine~~ Samples); ~~Anti-Doping Organizations shall not store or stockpile Athlete's urine Samples (beyond minor shipping delays) prior to sending the Samples to the Laboratory to prevent degradation).~~]

~~9.3.3~~

9.3.3 The documentation for the Laboratory relating to the Samples from the SCS (either in paper or electronic form) ~~relating to the Samples~~ shall arrive at the Laboratory either in advance or ~~together~~ with the Samples. Documentation identifying the Athlete shall not be included with the Samples or documentation sent to the Laboratory that will be analyzing the Samples. Any instructions on additional of Further Analysis may be provided to the Laboratory after the Samples and original documentation has arrived at the Laboratory.

9.3.4 The DCO shall send all relevant ~~Sample Collection Session~~SCS documentation to the ~~Sample Collection Authority~~SCA, using the ~~Sample Collection Authority~~SCA's authorized transport method (which may include a secure electronic transmission), as soon as practicable after the completion of the ~~Sample Collection Session~~SCS.

9.3.5 If the Samples with accompanying documentation or the ~~Sample Collection Session~~SCS documentation are not received at their respective intended destinations, or if a Sample's integrity, identity or security may have been compromised during transport, the ~~Sample Collection Authority~~SCA shall check the Chain of Custody, and the ~~Testing Authority~~TA shall consider whether the Samples should be voided.

9.3.6 Documentation related to a ~~Sample Collection Session~~SCS and/or an anti-doping rule violation shall be stored by the ~~Testing Authority~~TA and/or the ~~Sample Collection Authority~~SCA for the period and other requirements specified in the *International Standard* for Data Protection.

[Comment to 9.3: While the requirements for transport and storage of Samples and documentation herein apply equally to all urine, ~~venous whole~~ blood, and ~~dried blood spot~~DBS Samples, additional requirements for ~~venous whole~~ blood can be found in Annex D - Collection of ~~Venous Whole~~ Blood Samples, additional requirements for the transportation of ~~Whole~~ Blood Samples for the Athlete Biological Passport can be found in Annex I - Collection, Storage and Transport of ~~Whole~~ Blood ~~Samples for the Athlete Biological Passport~~ Samples, and additional requirements for the transportation of ~~dried blood spot~~DBS Samples can be found in Annex J - Collection, Storage and Transport of Dried Blood Spot Samples.]

9.4 Entry of Doping Control Forms into ADAMS

9.4.1 Within twenty-one (21) days of Sample collection, ADOs shall enter the Doping Control form into ADAMS for all types of Samples collected, except whole

blood Samples for the Hematological Module of the ABP and all Samples collected within the period listed in Article 4.8.2 which shall be entered within five (5) days from Sample collection.

[Comment to Article 9.4.1: Given the whole blood Samples of the Hematological Module of the ABP are required to be analyzed shortly after receipt at the Laboratory and the analytical results reported into the Hematological Module of the Athlete shortly after analysis, the respective APMU may recommend further follow up action from the TA following its review of the Athlete's Passport. This may include the collection of a further Sample(s) or additional analyses of existing Samples within a short time frame. To further support the importance and timelines of a further sample collection, the entry of the Doping Control form for all whole blood Samples of the Hematological Module of the ABP shall be expedited compared to other Sample types.]

10.0 Ownership of Samples

~~10.1~~

10.1 Objective

To confirm ownership of Samples collected from Athletes.

10.2 Requirements around the Ownership of Samples

10.2.1 Samples collected from an Athlete are owned by the ~~Testing Authority~~TA for the ~~Sample Collection Session~~SCS in question.

10.2.2 ~~10.2~~—The ~~Testing Authority~~TA may transfer ownership of the Samples to the ~~Results Management Authority~~RMA or to another ~~Anti-Doping Organization~~ADO upon request. The ADO requesting the transfer of ownership of a Sample shall be responsible for any costs associated with that Sample from the time of the request.

10.2.3 ~~10.3~~—WADA may assume ~~Testing Authority~~TA in certain circumstances in accordance with the Code and the *International Standard* for Laboratories.

11.0 Athlete Biological Passport

~~11.1—Anti-Doping Organizations~~

11.1 Objective

To ensure the optimal use of the ABP as a tool to identify suspicious Athletes and Samples for further follow up, including additional analysis of existing samples or the collection of additional samples. This section outlines the role of ADOs in administering an ABP program through the management of APMU recommendations in ADAMS and the coordination of testing, follow up actions, and Passport custody with other ADOs.

11.2 Requirements for Administering an Athlete Biological Passport Program

11.2.1 ADOs shall implement and administer an ~~Athlete Biological Passport~~ABP program in accordance with principles contained within the *International Standard for Testing*, the *Technical Document* for Sport Specific Analysis, the *International Standard for Results Management* and the applicable *Technical Documents* specific to the ~~Athlete Biological Passport~~ABP. Further guidance on the implementation of the ~~Athlete Biological Passport~~ABP program can be

found in the *Athlete Biological Passport* Operating Guidelines.

~~11.2.2~~ ~~11.2~~ ~~Anti-Doping Organizations~~ ADOs shall employ the service of a WADA-approved APMU to manage Passports for which the ~~Anti-Doping Organization~~ ADO is the Passport Custodian.

~~11.3~~ The ~~Anti-Doping Organization~~ shall monitor and ensure that any request received from an ~~APMU~~ in relation to a ~~Sample~~ collected under the ~~Athlete Biological Passport~~ program for either an ~~APMU Further Analysis~~ (e.g., to conduct analysis such as IRMS, ERAs or hGH) or an ~~APMU Target Test~~ are implemented within the time frames provided by the ~~APMU~~, as appropriate, and where the ~~Anti-Doping Organization~~ was unable to carry out such requests the ~~Anti-Doping Organization~~ shall document their reasoning in ADAMS.

~~11.4~~

11.2.3 Each *Athlete* shall only have one ADAMS ID.

[Comment to Article 11.2.3: In order to ensure an Athlete's Passport includes all the relevant Samples of an Athlete, the Passport Custodian, APMU and WADA should collaborate to ensure each Athlete has only one ADAMS ID and any duplicates in ADAMS are merged.]

~~11.2.4~~ ~~11.5~~ Procedures for the collection, storage and transport of blood ~~Athlete Biological Passport~~ Samples for the ABP are outlined in Annex I. The timeline for the entry of Doping Control forms for whole blood Athlete Biological Passport Samples for the Hematological Module of the ABP Samples into ADAMS is outlined in Article ~~4.10.18.1 e)~~ 9.4.1.

~~11.6~~

11.3 Passport Custody

11.3.1 The Passport Custodian shall share relevant Passport information, including APMU recommendations via ADAMS, with other ADOs who share Testing jurisdiction over the *Athlete* to ensure proper coordination and effective use of resources.

11.3.2 In ADAMS, Passport custody is attributed to the ~~Testing Authority~~ TA that first tests the *Athlete* regardless of the *Sample* type—, except in the following scenarios:

- a) When the *Athlete* is first tested by a ~~Major Event Organization~~ MEO, Passport custody is attributed to the ~~International Federation~~ NADO.
- b) When a ~~National Anti-Doping Organization~~ NADO first tests an *Athlete* with a different sport nationality, Passport custody is attributed to the ~~International Federation~~ NADO of that sport nationality.

[Comment to Article 11.3.2 a) and b): Passport custody can also later may be reassigned to the National Anti-Doping Organization International Federation of the sport nationality of the Athlete if appropriate.]

~~11.3.3~~ ~~11.7~~ ~~Anti-Doping Organizations~~ ADOs shall manage Passport custody in ADAMS and ensure efficient Passport sharing with other ~~Anti-Doping Organizations~~ ADOs that share Testing jurisdiction over the *Athlete*.

11.3.4 ~~11.8~~—The Passport Custodian should make requests in writing regarding any transfers of Passport custody to the recipient ~~Anti-Doping Organization~~ADO. If no agreement can be found on the Passport custody, WADA shall determine which ~~Anti-Doping Organization~~ADO shall be the Athlete's Passport Custodian. WADA shall not rule on this without consulting the ~~Anti-Doping Organizations involved~~ADOs.

[Comment to Article ~~11.8~~11.3.4: Passport custody can be transferred in ADAMS by the Passport Custodian to another ADO with Testing jurisdiction over the Athlete. ADOs should have a procedure in place to monitor their pool of Passports at regular intervals (ex. quarterly) using the reporting functionalities in ADAMS in order to identify Passports potentially more suitable for management by another ADO. Reasons for transferring Passport custody may include a change in Athlete level, more frequent Testing by another ADO, or be based on a strategic agreement between ADOs with Testing jurisdiction over the Athlete.]

11.4 Management of APMU Recommendations and Follow-up

11.4.1 The Passport Custodian shall monitor APMU recommendations in ADAMS and ensure that any recommendation received from an APMU in relation to a Sample collected under the ABP program for Further Analysis (e.g., to conduct analysis such as IRMS, ERAs or GH), a Target Test or to put a Sample in long term storage are implemented within the time frames provided by the APMU, as appropriate. Where the ADO does not implement such recommendations, the ADO shall document their reasoning in ADAMS.

[Comment to Article 11.4.1: ADOs are encouraged to discuss the APMU recommendations with their APMU where applicable.]

11.4.2 ~~11.9~~—Where the ~~Testing Authority~~TA is not the Passport Custodian, the ~~Testing Authority~~TA that initiated and directed the Sample collection maintains the responsibility for additional Analytical Testing ~~or Further Analysis~~ of the Sample unless agreed otherwise. This includes the performance of further Confirmation Procedure(s) upon requests generated automatically by the Adaptive Model of the ~~Athlete Biological Passport~~ABP in ADAMS (e.g., GC/C/IRMS triggered by elevated T/E) or ~~a APMU~~ Further Analysis request ~~recommendation by an APMU~~ (e.g., GC/C/IRMS requested due to abnormal secondary Markers of the urinary “longitudinal steroid profile” or erythropoietin receptor agonists (ERAs) analysis tests due to suspicious ~~Hematological~~hematological Marker values).

11.4.3 ~~11.10~~—Where the ~~Testing Authority~~TA that initiated and directed the Sample collection is not the Passport Custodian and the Sample collection results in a request ~~Target Test recommendation~~ from an APMU ~~for an APMU Target Test, then~~ the Passport Custodian maintains the responsibility for implementing such APMU Target Test within the timeframes provided by the APMU as well as any APMU recommendations to collect any additional Samples in accordance with Article ~~11.3 unless agreed otherwise~~ 11.4.1.

[Comment to Article 11.4.3: Where the TA is the Passport Custodian, it may also transfer Sample custody to the alternative Passport Custodian. Where the TA is not the Passport Custodian, the Passport Custodian shall collaborate with the TA to conduct any follow up Target Test where applicable.]

11.4.4 ~~11.11~~—In addition to sharing Passport information with ~~Anti-Doping Organizations~~ADOs directly via ADAMS, the Passport Custodian is also

responsible for sharing of relevant Passport-related information with *Major Event Organizers* who are planning Testing ~~around an upcoming Competition for their Event~~. Prior to the *Event*, the Passport Custodian shall upon request provide relevant ~~testing requests~~ Testing recommendations to the *Major Event Organizer* including Passport status and/or recent APMU requests recommendations in order assist *Major Event Organizers* to prioritize their test distribution. During the *Event*, the Passport Custodian shall ensure that rapid communication of APMU requests recommendations can be made during the *Competition* in response to *Major Event Organizer Testing*, which will allow the *Major Event Organizer* to conduct APMU any Target Test recommended by an APMU or an APMU recommendation for Further Analysis that may be required as a result of Testing during the ~~Major Event Organizer's Testing~~.

12.0 Use of Anti-Doping Intelligence to Support Testing Programs

12.1 Objective

To highlight how the gathering, assessment and processing of Anti-Doping Intelligence can support Testing Programs programs.

12.2 12.1—Requirements for the Use of Anti-Doping Organizations Intelligence to Support Testing

12.2.1 ADOs shall ensure they are able to collect, receive, store, and assess Raw Information and/or Anti-Doping Intelligence from all available sources, as part of the review of their Risk Assessment and to inform the development of an effective, intelligent and proportionate Test Distribution Plan, to plan Target Testing, to help deter and detect doping and to conduct investigations as required by Code Article 5.7. ~~The objective of Article 12 is to establish standards for the efficient and effective gathering, assessment and processing of such Anti-Doping Intelligence to support Testing programs.~~

12.2.2 12.2—Anti-Doping Organizations ADOs shall do everything in their power to ensure that they are able to capture or receive Anti-Doping Intelligence from all available sources, to support their Testing program including, but not limited to, *Athletes* and *Athlete Support Personnel* (including *Substantial Assistance* provided pursuant to Code Article 10.7.1) and members of the public (e.g., by means of a confidential telephone hotline), Sample Collection Personnel SCP (whether via DCO reports, supplementary reports, Unsuccessful Attempt Reports UARs, or otherwise), Doping Control forms, Athlete—Biological—Passport ABP program, Whereabouts Filings, Laboratories, pharmaceutical companies, other Anti-Doping Organizations ADOs, WADA, National Federations, law enforcement, other regulatory and disciplinary bodies, and the media (in all its forms).

12.2.3 12.3—Anti-Doping Organizations ADOs shall ensure that they are able to assess the Raw Information and/or Anti-Doping Intelligence upon collection or receipt from Testing missions and other sources for relevance, reliability and accuracy, taking into account the nature of the source, the circumstances in which the Anti-Doping Intelligence has been captured or received and

whether there is any supporting or corroborating Raw Information or evidence.

12.2.4 ~~12.4~~ All Anti-Doping Intelligence collected or received by an Anti-Doping Organization ADO should be collated and analyzed to establish patterns, trends and relationships that may assist the Anti-Doping Organization ADO in developing effective testing strategies and/or in determining (where the Anti-Doping Intelligence relates to a particular case) whether there is reasonable cause to suspect that an anti-doping rule violation may have been committed, such that further investigation is warranted in accordance with the *International Standard for Intelligence and Investigations* and the *International Standard for Results Management*.

12.2.5 ~~12.5~~ Anti-Doping Intelligence shall be used to assist for the following purposes (without limitation): developing, reviewing and revising the Test Distribution Plan TDP and/or determining when to conduct *Target Testing*, in each case in accordance with Article 4 and/or to create targeted Anti-Doping Intelligence files to be referred for investigation in accordance with the *International Standard for Intelligence and Investigations*.

12.2.6 ~~12.6~~ Following an investigation each Anti-Doping Organization ADO shall consider whether any of the Raw Information and/or Anti-Doping Intelligence, or evidence obtained during the investigation should be used in reviewing its Risk Assessment, to inform the further development of its Test Distribution Plan TDP and/or to plan *Target Testing*, and/or should be shared with any other Anti-Doping Organization ADO or body in accordance with the *International Standard for Intelligence and Investigations*.

*[Comment to Article 12: While Testing will always remain an integral part of the anti-doping effort, Testing alone is not sufficient to detect and establish to the requisite standard all of the anti-doping rule violations identified in the Code. In particular, while Use of Prohibited Substances and Prohibited Methods may often be uncovered by analysis of Samples, the other Code anti-doping rule violations (and, often, Use) can usually only be effectively identified and pursued through the gathering and investigation of 'non-analytical' Anti-Doping Intelligence and Raw Information. This means that Anti-Doping Organizations ADOs need to develop a capable Anti-Doping Intelligence gathering and investigation functions. WADA has devised an *International Standard for Intelligence and Investigations* supported by the *Intelligence and Investigations Guidelines* to assist Anti-Doping Organizations ADOs to better understand the types of 'non-analytical' Anti-Doping Intelligence that may be available and to provide support and guidance to Signatories in their efforts to comply with the Code and the *International Standards*.]*

ANNEX A - MODIFICATIONS FOR *ATHLETES* WITH IMPAIRMENTS

A.1 Objective

To ensure, where possible, that the particular needs of *Athletes* with impairments are considered in relation to the provision of a *Sample* without compromising the integrity of the ~~Sample Collection Session~~SCS.

A.2 Scope

Determining whether modifications are necessary starts with identification of situations where *Sample* collection involves *Athletes* with impairments and ends with modifications to *Sample* collection procedures and equipment where necessary and where possible.

A.3 Responsibility

A.3.1 The ~~Testing Authority~~TA or ~~Sample Collection Authority~~SCA (as applicable) has responsibility for ensuring, when possible, that the DCO has any information **and** ~~Sample Collection Equipment~~ necessary to conduct a ~~Sample Collection Session~~SCS with an *Athlete* with an impairment, including details of such impairment that may affect the procedure to be followed in conducting a ~~Sample Collection Session~~SCS.

A.3.2 The DCO has responsibility for *Sample* collection.

A.4 Requirements

A.4.1 All aspects of notification and *Sample* collection for *Athletes* with impairments shall be carried out in accordance with the standard notification and *Sample* collection procedures unless modifications are necessary due to the *Athlete*'s impairment.

*[Comment to A.4.1: The ~~Testing Authority~~ in the case of an *Athlete* with an intellectual impairment, shall decide whether to obtain consent to *Testing* from their representative and inform the ~~Sample Collection Authority~~ and ~~Sample Collection Personnel~~.]*

A.4.2 In planning or arranging *Sample* collection, the ~~Sample Collection Authority~~SCA and DCO shall consider whether there will be any *Sample* collection for *Athletes* with impairments that may require modifications to the standard procedures for notification or *Sample* collection, including Sample Collection Equipment and ~~Doping Control Station~~DCS.

A.4.3 The ~~Sample Collection Authority~~SCA and DCO shall have the authority to make modifications as the situation requires when possible and as long as such modifications will not compromise the integrity, identity, and security of the *Sample*. The DCO shall consult the *Athlete* in order to determine what modifications may be necessary for the *Athlete*'s impairment. All such modifications shall be documented.

A.4.4 An *Athlete* with an intellectual, physical or sensorial impairment may be assisted by the *Athlete*'s representative or ~~Sample Collection Personnel~~SCP during the *Sample*

Collection SessionSCS where authorized by the *Athlete* and agreed to by the DCO.

- A.4.5** The DCO may decide that alternative Sample Collection Equipment or an alternative Doping Control StationDCS will be used when required to enable the *Athlete* to provide the *Sample*, as long as the *Sample*'s integrity, identity and security will not be affected.
- A.4.6** *Athletes* who are using urine collection or drainage systems are required to eliminate existing urine from such systems before providing a urine *Sample* for analysis. Where possible, the existing urine collection or drainage system should be replaced with a new, unused catheter or drainage system prior to collection of the *Sample*.
- A.4.7** Should an *Athlete* require any additional equipment ~~in order~~ to be able to provide a *Sample*, including but not limited to catheters and drainage systems, it is the sole responsibility of the *Athlete* to have the necessary equipment available for this purpose and understand how to use it.
- A.4.8** For *Athletes* with vision or intellectual impairments, the DCO and/or *Athlete* may determine if they shall have a representative present during the ~~Sample Collection Session~~SCS. During the Sample Collection SessionSCS, a representative of the *Athlete* and/or a representative of the DCO may observe the witnessing DCO/Chaperone while the *Athlete* is passing the urine *Sample*. This representative or these representatives may not directly observe the passing of the urine *Sample*, unless requested to do so by the *Athlete*.

[Comment to A.4.8: The preferred venue for all OOC Testing for an Athlete with vision or intellectual impairments, is a location where the presence of an Athlete representative is most likely to be available for the duration of the SCS e.g., a training venue. Should an Athlete decline to have a representative present during the collection of the Sample, this does not invalidate the Test but shall be clearly documented by the DCO. Any follow up action taken by the DCO and/or Chaperone to encourage and assist the Athlete in locating a representative should also be documented.]

[If a representative is not able to be physically present at the location where the Athlete has been requested to provide a Sample but is available to observe the sample collection process virtually, the Athlete may connect virtually to their representative using their mobile device. The representative and the Athlete are not permitted to record the Sample collection and sealing process. The DCO shall document on the Doping Control form the full name of the representative, the type of government issued identification presented to the DCO to validate their identity, their role and relationship to the Athlete. Any issues with the virtual observation shall not invalidate the Test.]

- A.4.9** The DCO shall record modifications made to the standard *Sample* collection procedures for *Athletes* with impairments, including any applicable modifications specified in the above actions.

ANNEX B - MODIFICATIONS FOR ATHLETES WHO ARE MINORS

B.1 ~~B.1.~~ Objective

To ensure, where possible, that the particular needs of *Athletes* who are *Minors* are met in relation to the provision of a *Sample*, without compromising the integrity of the ~~Sample Collection Session~~SCS.

B.2 ~~B.2.~~ Scope

Determining whether modifications are necessary starts with identification of situations where *Sample* collection involves *Athletes* who are *Minors* and ends with modifications to *Sample* collection procedures where necessary and where possible.

B.3 ~~B.3.~~ Responsibility

B.3.1 The ~~Testing Authority~~TA has responsibility for ensuring, when possible, that the ~~Sample Collection Authority~~SCA and/or the ~~DCO has any information necessary~~is made aware in advance that they may be required to conduct a ~~Sample Collection Session~~SCS with an *Athlete* who is a *Minor*.

B.3.2 Where *Sample* collection involves an *Athlete* who is a *Minor*, the ~~Testing Authority~~TA and/or the ~~Sample Collection Authority~~SCA shall assign, at a minimum, two ~~Sample Collection Personnel~~SCP to the ~~Sample Collection Session~~Sample Collection PersonnelSCS. SCP shall be informed, in advance, that *Sample* collection involves (or may involve) *Athletes* who are *Minors*.

[Comment to B.3.2: For clarity, the two ~~Sample Collection Personnel~~SCP may be two DCOs or a DCO and a BCO or a DCO and a Chaperone. The two ~~Sample Collection Personnel~~SCP shall always be present in the ~~Doping Control Station for Sample Collection Sessions~~DCS for SCSs involving an Athlete who is a Minor.]

B.3.3 The DCO has responsibility for *Sample* collection.

B.4 ~~B.4.~~ Requirements

B.4.1 All aspects of notification and *Sample* collection for *Athletes* who are *Minors* shall be carried out in accordance with the standard notification and *Sample* collection procedures unless modifications are necessary due to the *Athlete* being a *Minor*.

B.4.2 The ~~Sample Collection Authority~~SCA and the DCO shall have the authority to make modifications as the situation requires as long as such modifications will not compromise the integrity, identity and security of the *Sample*. All such modifications shall be documented.

B.4.3 *Athletes* who are *Minors* should be notified in the presence of an *Athlete* representative (who is not a *Minor*) and should also be accompanied by a representative throughout the entire ~~Sample Collection Session~~SCS.

[Comment to B.4.3: It is recommended that an Athlete who is a Minor be accompanied by an Athlete representative. Reasonable efforts should be made by the ~~Sample Collection Personnel~~SCP to encourage the Athlete who is a Minor to have an Athlete representative throughout the ~~Sample Collection Session~~SCS and to assist the Athlete in locating one. In situations where the Athlete is unable to locate a representative then two ~~Sample Collection Personnel~~SCP shall always accompany the Athlete until their ~~Sample Collection Session~~SCS is completed, however, if an Athlete representative is located and present with the Athlete, the second ~~Sample Collection Personnel~~SCP is not required to accompany the Athlete with the exception of when the Athlete is ready to provide a Sample in accordance with the procedures outlined in Annex B.4.5.]

- B.4.4** Should an Athlete who is a Minor decline to have a representative present during the collection of a Sample, this does not invalidate the Test but shall be clearly documented by the DCO. Any follow up action taken by the DCO and/or Chaperone to encourage and assist the Athlete in locating a representative should also be documented.

[Comment to B.4.4: If a representative is not able to be physically present at the location where the Athlete has been requested to provide a Sample but is available to observe the sample collection process virtually, the Athlete may connect virtually to their representative using their mobile device. The representative and/or the Athlete are not permitted to record the Sample collection and sealing process. The DCO shall document on the Doping Control form the full name of the representative, the type of government issued identification presented to the DCO to validate their identity, their role and relationship to the Athlete. Any issues with the virtual observation shall not invalidate the Test.]

- B.4.5** The representative of the Athlete who is a Minor, if present, shall only observe the DCO/Chaperone during the passing of the urine Sample, unless requested by the Athlete who is a Minor to observe the passing of the urine Sample directly. The second member of the ~~Sample Collection Personnel~~SCP shall only observe the DCO/Chaperone and shall not directly observe the passing of the Sample.
- B.4.6** The preferred venue for all ~~Out-of-Competition~~OOC Testing of the Athlete who is a Minor is a location where the presence of an Athlete representative (who is not a Minor) is most likely to be available for the duration of the ~~Sample Collection Session~~SCS, e.g., a training venue.

ANNEX C - COLLECTION OF URINE SAMPLES

C.1 Objective

To collect an *Athlete's* urine *Sample* in a manner that ensures:

- a) Consistency with relevant principles of internationally recognized standard precautions in healthcare settings so that the health and safety of the *Athlete* and Sample Collection Personnel SCP are not compromised;
- b) The *Sample* meets the Suitable Specific Gravity for Analysis and the Suitable Volume of Urine for Analysis. Failure of a *Sample* to meet these requirements in no way invalidates the suitability of the *Sample* for analysis. The determination of a *Sample's* suitability for analysis is the decision of the relevant Laboratory, in consultation with the Testing Authority TA for the Sample Collection Session SCS in question.

[Comment to C.1 (b): The measurements taken in the field for Suitable Specific Gravity for Analysis and the Suitable Volume of Urine for Analysis are preliminary-in-nature, to assess whether the Sample meets the requirements for analysis. It is possible there could be discrepancies between the field readings and the final Laboratory readings due to the precision of the Laboratory equipment. The Laboratory reading will be considered final, and such discrepancies (if any) shall not constitute a basis for Athletes to seek to invalidate or otherwise challenge an Adverse Analytical Finding AAF.]

- c) The *Sample* has not been manipulated, substituted, contaminated, or otherwise tampered with in any way;
- d) The *Sample* is clearly and accurately identified; ~~and~~
- e) The *Sample* is securely sealed in a Tamper Evident kit; ~~and~~
- f) The gender of the DCO/Chaperone witnessing the passing of a Sample is either:
 - i) the same as the sport gender of the Athlete; or
 - ii) male or female gender as declared by the Athlete during the SCS, if the sport gender of the Athlete is not specified in the applicable sports rules.

C.2 ~~D.1~~ Scope

The collection of a urine *Sample* begins with ensuring the *Athlete* is informed of the *Sample* collection requirements and ends with discarding any residual urine remaining at the end of the *Athlete's* Sample Collection Session SCS.

C.3 ~~C.2~~ Responsibility

C.3.1 ~~C.2.1~~ The DCO has the responsibility for ensuring that each *Sample* is properly collected, identified and sealed.

C.3.2 ~~C.2.2~~ The DCO/Chaperone has the responsibility for directly witnessing the passing of the urine *Sample*.

C.4 ~~C.3~~ Requirements

C.4.1 ~~C.3.1~~–The DCO shall ensure that the *Athlete* is informed of the requirements of the ~~Sample Collection Session~~SCS, including any modifications as provided for in Annex A - Modifications for *Athletes* with Impairments, ~~and/or~~ in Annex B - Modifications for *Athletes* who are *Minors* ~~and/or Annex L—Modifications for Transgender and Gender Diverse Athletes~~.

C.4.2 ~~C.3.2~~–The DCO shall ensure that the *Athlete* is offered a choice of *Sample* collection vessels for collecting the *Sample*. If the nature of an *Athlete*'s impairment requires that they must use additional or other equipment as provided for in Annex A - Modifications for *Athletes* with Impairments, the DCO shall inspect that equipment to ensure that it will not affect the integrity, identity or security of the *Sample*.

[Comment to C.4.2: For further guidance on additional or other equipment that ~~*Athlete's*~~*Athletes with an impairment* may use as part of the *Sample* collection process, please see WADA's *Guidelines for Sample Collection*.]

C.4.3 ~~C.3.3~~–When the *Athlete* selects a collection vessel, and for selection of all other *Sample Collection Equipment* that directly holds the urine *Sample*, the DCO will instruct the *Athlete* to check that all seals on the selected equipment are intact, and the equipment has not been tampered with. If the *Athlete* is not satisfied with the selected equipment, they may select another. If the *Athlete* is not satisfied with any of the equipment available for selection, this shall be recorded by the DCO. If the DCO does not agree with the *Athlete* that all of the equipment available for the selection is unsatisfactory, the DCO shall instruct the *Athlete* to proceed with the ~~Sample Collection Session~~SCS. If the DCO agrees with the *Athlete* that all of the equipment available for the selection is unsatisfactory, the DCO shall terminate the urine *Sample* collection, and this shall be recorded by the DCO.

C.4.4 ~~C.3.4~~–The *Athlete* shall retain control of the collection vessel, and any *Sample* provided until the *Sample* (or partial *Sample*) is sealed, unless assistance is required by reason of an *Athlete*'s impairment as provided for in Annex A - Modifications for *Athletes* with Impairments. Additional assistance may be provided in exceptional circumstances to any *Athlete* by the *Athlete*'s representative or ~~Sample Collection Personnel~~SCP during the ~~Sample Collection Session~~SCS where authorized by the *Athlete* and agreed to by the DCO.

C.4.5 ~~C.3.5~~–The DCO/Chaperone who witnesses the passing of the *Sample* shall be ~~of~~ the same gender as the *Athlete* providing the *Sample* and where applicable, based on the sport gender of ~~the Event~~ the *Athlete*.

C.4.5.1 ~~Where the sport gender of the Athlete competes in~~ is not specified under the applicable sport rules i.e. in 'open' or mixed gender categories, the Athlete shall declare upon arrival at the DCS their sport gender. If the Athlete is not aware of their sport gender, they will be asked to declare the preferred gender of the SCP who will witness the passing of their Sample (i.e. male or female). The Athlete's preference shall be considered final and recorded by the DCO.

C.4.6 ~~C.3.6~~–The DCO/Chaperone shall, where practicable, ensure the *Athlete* thoroughly washes their hands with water only prior to the provision of the *Sample* or wears suitable (e.g., disposable) gloves during provision of the *Sample*.

- C.4.7** ~~C.3.7~~ The DCO/Chaperone and *Athlete* shall proceed to an area of privacy to collect a *Sample*.
- C.4.8** ~~C.3.8~~ The DCO/Chaperone shall ensure an unobstructed view of the *Sample* leaving the *Athlete's* body and shall continue to observe the *Sample* after provision until the *Sample* is securely sealed. ~~In order to~~ To ensure a clear and unobstructed view of the *Athlete* passing ~~of~~ the *Sample*, the DCO/Chaperone shall instruct the *Athlete* to remove or adjust any clothing which restricts the DCO's/Chaperone's clear view of *Sample* provision.
- C.4.9** ~~C.3.9~~ The DCO/Chaperone shall ensure that urine passed by the *Athlete* is collected in the collection vessel to its maximum capacity and thereafter the *Athlete* is encouraged to fully empty their bladder into the toilet. The DCO shall verify, in full view of the *Athlete*, that the Suitable Volume of Urine for Analysis has been provided.
- C.4.10** ~~C.3.10~~ Where the volume of urine provided by the *Athlete* is insufficient, the DCO shall follow the partial *Sample* collection procedure set out in Annex E - Urine *Samples* - Insufficient Volume.
- C.4.11** ~~C.3.11~~ Once the volume of urine provided by the *Athlete* is sufficient, the DCO shall instruct the *Athlete* to select a *Sample* collection kit containing A and B bottles or containers in accordance with Annex C.4.3.
- C.4.12** ~~C.3.12~~ Once a *Sample* collection kit has been selected, the DCO and the *Athlete* shall check that all *Sample* code numbers match and that this code number is recorded accurately by the DCO on the *Doping Control* form. If the *Athlete* or DCO finds that the numbers are not the same, the DCO shall instruct the *Athlete* to choose another kit in accordance with Annex C.4.3. ~~The DCO~~ This shall ~~record~~ be recorded by the ~~matter~~ DCO.
- C.4.13** ~~C.3.13~~ The *Athlete* shall pour the minimum Suitable Volume of Urine for Analysis into the B bottle or container (to a minimum of 30 mL), and then pour the remainder of the urine into the A bottle or container (to a minimum of 60 mL). The Suitable Volume of Urine for Analysis shall be viewed as an absolute minimum. If more than the minimum Suitable Volume of Urine for Analysis has been provided, the DCO shall ensure that the *Athlete* fills the A bottle or container to capacity as per the recommendation of the equipment manufacturer. Should there still be urine remaining, the DCO shall ensure that the *Athlete* fills the B bottle or container to capacity as per the recommendation of the equipment manufacturer. The DCO shall instruct the *Athlete* to ensure that a small amount of urine is left in the collection vessel, explaining that this is to enable the DCO to test the residual urine in accordance with Annex C.4.15.
- C.4.14** ~~C.3.14~~ The *Athlete* shall then seal the A and B bottles or containers as directed by the DCO. The DCO shall check, in full view of the *Athlete*, that the bottles or containers have been properly sealed.
- C.4.15** ~~C.3.15~~ The DCO shall test the residual urine in the collection vessel to determine if the *Sample* has a Suitable Specific Gravity for Analysis. If the DCO's field reading indicates that the *Sample* does not have a Suitable Specific Gravity for Analysis, then the DCO shall follow Annex F - Urine *Samples* that do not meet the requirement for



Suitable Specific Gravity for Analysis.

C.4.16 ~~C.3.16~~ Urine should only be discarded when both the A and B bottles or containers have been sealed and the residual urine has been tested in accordance with Annex C.4.15.

C.4.17 ~~C.3.17~~ The *Athlete* shall be given the option of witnessing the discarding of any residual urine that will not be sent for analysis.

ANNEX D - COLLECTION OF ~~VENOUS~~WHOLE BLOOD SAMPLES

D.1 Objective

D.1.1 To collect an *Athlete's* ~~venous~~whole blood *Sample* by venipuncture in a manner that ensures:

- a) Consistency with relevant principles of internationally recognized standard precautions in healthcare settings, and is collected by a suitably qualified *Person*, so that the health and safety of the *Athlete* and ~~Sample Collection Personnel~~SCP are not compromised;
- b) The *Sample* is of a quality and quantity that meets the relevant analytical guidelines and requirements defined by the Laboratory;
- c) The *Sample* has not been manipulated, substituted, contaminated or otherwise tampered with in any way;
- d) The *Sample* is clearly and accurately identified; and
- e) The *Sample* is securely sealed in a Tamper Evident kit.

D.2 Scope

The requirements of this Annex apply to ~~venous~~whole blood *Samples* collected for the purposes of specific analysis and/or all ~~Modules~~modules of the ~~Athlete Biological Passport~~ABP. The collection of a ~~venous~~whole blood *Sample* begins with ensuring the *Athlete* is informed of the *Sample* collection requirements and ends with ~~properly~~the requirements for storing and transport of the *Sample* ~~prior to transport~~ to the Laboratory that will be analyzing the *Sample*.

[Comment to D.2: Additional requirements applicable only to whole blood Samples collected for the Hematological Module of the Athlete Biological Passport ABP are contained in Annex I - Collection, Storage and Transport of Whole Blood Samples for the Athlete Biological Passport - Samples and requirements for dried blood spot DBS Samples are contained in Annex J - Collection, Storage and Transport of Dried Blood Spot Samples.]

D.3 Responsibility

D.3.1 The DCO has the responsibility for ensuring that:

- a) Each *Sample* is properly collected, identified, and sealed; and
- b) All *Samples* have been properly stored and dispatched in accordance with the relevant analytical guidelines.
- c) If ~~the serum~~a whole blood sample is to be collected in a serum tube from the *Athlete* ~~will be analyzed by a quantification procedure i.e. human growth hormone, Endocrine and blood Steroidal Module of the Athlete Biological Passport~~, *Sample* collection shall not occur within sixty (60) minutes of the *Athlete's* training, participation in *Competition* or other similar physical activity. If the *Athlete* has trained or competed less than sixty (60) minutes before the time the *Athlete* has

been notified of their selection, the DCO or other designated Sample Collection PersonnelSCP shall keep the *Athlete* under direct observation until this 60-minute period has elapsed. The DCO shall document whether the Athlete was engaged in any type of physical activity prior to Sample collection and if so record that the Athlete waited the required sixty (60) minutes prior to Sample collection. This information shall be made available to the Laboratory.

[Comment to D.3.1 c) Part of the sixty (60) minute wait includes the Athlete sitting in an upright stationary position with their feet on the floor for at least ten (10) minutes as outlined in Article D.4.6.]

D.3.2 The BCO has the responsibility for collecting the blood *Sample*, answering related questions during the provision of the *Sample*, and proper disposal of used blood sampling equipment not required to complete the Sample Collection SessionSCS.

D.4 Requirements

D.4.1 Procedures involving blood collection shall be consistent with the local standards and regulatory requirements regarding precautions in healthcare settings where those standards and requirements exceed the requirements set out below.

D.4.2 ~~Venous~~Whole blood Sample Collection Equipment shall consist of:

- a) ~~Whole-blood~~EDTA or serum collection tube(s); and/or
- b) An A bottle or A and B bottles/containers for the secure transportation of collection tube(s); and/or
- c) Unique labels for collection tube(s) with a *Sample* code number; and/or
- d) Such other types of equipment to be used in connection with the collection of whole blood as set out in Article 6.3.4 and WADA's Guidelines for *Sample* Collection.

D.4.3 A temperature data logger shall be used to record the temperature from the collection to the analysis of the whole blood Sample. The temperature data logger shall be able to:

- a) Record the temperature in degrees Celsius at least once per minute;
- b) Record time in GMT;
- c) Report the temperature profile over time in text format with one line per measurement following the format "YYYY-MM-DD HH:MM T"; and
- d) Have a unique ID of at least six characters.

[Comment to D.4.3: Before starting the Sample collection the DCO/BCO shall start the temperature data logger and place it in the storage device outlined in D.4.16]

D.4.4 ~~D.4.3~~-The DCO shall ensure that the *Athlete* is properly notified of the requirements of the *Sample* collection, including any modifications as provided for in Annex A - Modifications for *Athletes* with Impairments.

D.4.5 ~~D.4.4~~-The DCO/Chaperone and *Athlete* shall proceed to the area where the *Sample*

will be provided.

D.4.6 ~~D.4.5~~–The DCO/BCO shall ensure the *Athlete* is offered comfortable conditions and shall instruct the *Athlete* to remain in an upright, stationary seated position with feet on the floor for at least ten (10) minutes prior to providing a ~~venous~~whole blood *Sample*. If the *Athlete*'s feet cannot reach the floor and/or the *Athlete*'s impairment does not allow feet on the floor, the *Athlete* shall remain in an upright, stationary seated position.

[Comment to D.4.6: The Athlete shall not stand up or lay down at any time during the ten (10) minutes prior to Sample collection. To have the Athlete seated during ten (10) minutes in a waiting room and then to call the Athlete into a blood collection room is not permitted.]

D.4.7 ~~D.4.6~~–The DCO/BCO shall instruct the *Athlete* to select the Sample Collection Equipment required for collecting the *Sample* and to check that the selected equipment has not been tampered with and any seals are intact. If the *Athlete* is not satisfied with the selected equipment, they may select another. If the *Athlete* is not satisfied with any equipment and no other is available, this shall be recorded by the DCO. If the DCO does not agree with the *Athlete* that all of the available equipment is unsatisfactory, the DCO shall instruct the *Athlete* to proceed with the Sample Collection Session~~SCS~~. If the DCO agrees with the *Athlete* that all available equipment is unsatisfactory, the DCO shall terminate the blood *Sample* collection, and this shall be recorded by the DCO.

D.4.8 ~~D.4.7~~–When a *Sample* collection kit has been selected, the DCO/BCO and the *Athlete* shall check that all *Sample* code numbers match and that this *Sample* code number is recorded accurately by the DCO on the *Doping Control* form. If the *Athlete* or DCO finds that the numbers are not the same, the DCO shall instruct the *Athlete* to choose another kit. ~~The DCO~~This shall ~~record~~be recorded by the ~~matter~~DCO. If the collection tube(s) are not pre-labelled, the DCO/BCO shall label them with a unique *Sample* code number prior to the blood being drawn and the *Athlete* shall check that the code numbers match.

D.4.9 ~~D.4.8~~–The BCO shall assess the most suitable location for venipuncture that is unlikely to adversely affect the *Athlete* or their performance. This should be the non-dominant arm, unless the BCO assesses the other arm to be more suitable. The BCO shall clean the skin with a sterile disinfectant wipe or swab and, if required apply a tourniquet. The BCO shall take the blood *Sample* from a superficial vein into the tube. The tourniquet, if applied, shall be immediately removed after the venipuncture has been made.

D.4.10 ~~D.4.9~~–The amount of blood removed shall be adequate to satisfy the relevant analytical requirements for the *Sample* analysis to be performed, as set out in *WADA's* Guidelines for *Sample* Collection.

D.4.11 ~~D.4.10~~–If the amount of blood that can be removed from the *Athlete* at the first attempt is insufficient, the BCO shall repeat the procedure up to a maximum of three (3) attempts in total. Should all three (3) attempts fail to produce a sufficient amount of blood, then the BCO shall inform the DCO. The DCO shall terminate the blood *Sample* collection and record the reasons for terminating.

~~D.4.11~~–The BCO shall apply a dressing to the puncture site(s).

~~D.4.12~~ The BCO shall dispose of used blood sampling equipment not required to complete the Sample Collection Session in accordance with the required local standards for handling blood.

D.4.12 ~~D.4.13~~ After the blood flow into the tube ceases, the BCO shall remove the tube from the holder and homogenize the blood in the tube manually by inverting the tube gently at least three (3) times. ~~The~~

D.4.13 The BCO shall apply a dressing to the puncture site(s) and the Athlete shall remain in the blood collection area and observe their Sample until it is sealed in a Tamper Evident kit.

D.4.14 The BCO shall dispose of used blood sampling equipment not required to complete the SCS in accordance with the required local standards for handling blood.

D.4.15 ~~D.4.14~~ The Athlete shall seal their Sample into a Tamper Evident kit as directed by the DCO. In full view of the Athlete, the DCO shall check that the sealing is satisfactory. The Athlete and the BCO/DCO shall sign the Doping Control form.

D.4.16 ~~D.4.15~~ The sealed Sample shall be stored in a ~~manner~~ cool and constant environment in a device located within the DCS that protects its integrity, identity and security prior to transport from the ~~Doping Control Station~~ DCS to the Laboratory that will be analyzing the Sample.

D.4.17 ~~D.4.16~~ Venous/Whole blood Samples shall be transported in accordance with Article 9 and WADA's Guidelines for Sample Collection. The transport procedure is the responsibility of the DCO. ~~Blood Samples shall be transported in a device that maintains the integrity of Samples over time, in a cool and constant environment, measured by a temperature data logger notwithstanding changes in external temperature.~~ The transport device shall be transported by secure means using a method authorized by the ~~Testing Authority~~ TA or ~~Sample Collection Authority~~ SCA.

D.4.18 The storage and transport device(s) shall be capable of maintaining the integrity of whole blood Samples at a cool and constant temperature over time, measured by a temperature data logger during storage and transportation notwithstanding changes in external temperature. Whole blood Samples shall not be allowed to freeze at any time.

[Comment to Annex D.4.18: In choosing the storage and transport device(s), the DCO shall take into account the time of storage, the number of Samples to be stored in the device and the prevailing environmental conditions (hot or cold temperatures). The storage device shall be one of the following:

a) Refrigerator;

b) Insulated cool box;

c) Isotherm bag; or

d) Any other device that possesses the capabilities mentioned above.]

ANNEX E - URINE SAMPLES - INSUFFICIENT VOLUME

E.1 Objective

To ensure that where a Suitable Volume of Urine for Analysis is not provided, appropriate procedures are followed.

E.2 Scope

The procedure begins with informing the *Athlete* that the *Sample* ~~that~~ they have provided is not of Suitable Volume of Urine for Analysis and ends with the *Athlete's* provision of a *Sample* of sufficient volume.

E.3 Responsibility

The DCO has the responsibility for declaring the *Sample* volume insufficient and for collecting the additional *Sample(s)* to obtain a combined *Sample* of sufficient volume.

E.4 Requirements

E.4.1 If the *Sample* collected is of insufficient volume, the DCO shall inform the *Athlete* that a further *Sample* shall be collected to meet the Suitable Volume of Urine for Analysis requirements.

E.4.2 The DCO shall instruct the *Athlete* to select partial Sample Collection Equipment in accordance with Annex C.4.3.

E.4.3 The DCO shall then instruct the *Athlete* to open the relevant equipment, pour the insufficient *Sample* into the new container (unless the ~~Sample Collection Authority~~ SCA's procedures permit retention of the insufficient *Sample* in the original collection vessel) and seal it by using a partial *Sample* sealing system, as directed by the DCO. The DCO shall check, in full view of the *Athlete*, that the container (or original collection vessel, if applicable) has been properly sealed.

E.4.4 The DCO shall record the partial *Sample* number and the volume of the insufficient *Sample* on the *Doping Control* form and confirm its accuracy with the *Athlete*. The DCO shall retain control of the sealed partial *Sample*.

E.4.5 While waiting to provide an additional *Sample*, the *Athlete* shall remain under continuous observation and be given the opportunity to hydrate in accordance with Article 7.3.3.

E.4.6 When the *Athlete* is able to provide an additional *Sample*, the procedures for collection of the *Sample* shall be repeated as prescribed in Annex C - Collection of Urine *Samples*, until a sufficient volume of urine will be provided by combining the initial and additional *Sample(s)*.

E.4.7 Following each *Sample* provided, the DCO and *Athlete* shall check the integrity of the seal(s) on the container(s) containing the previously provided partial *Sample(s)*. Any irregularity with the integrity of the seal(s) ~~will~~ shall be recorded by the DCO and investigated according to Annex A - Review of a Possible Failure to Comply of the *International Standard for Results Management*. The DCO may request ~~that~~ the

Athlete to provide an additional ~~Sample is collected from the Athlete~~. A refusal to provide ~~a further~~an additional *Sample* if requested, where the minimum requirements for *Sample* collection volume are not met, shall be recorded by the DCO and dealt with as a potential Failure to Comply in accordance with the *International Standard for Results Management*.

- E.4.8** The DCO shall then direct the *Athlete* to break the seal(s) and combine the *Samples*, ensuring that additional *Samples* are added in the order they were collected to the original partial *Sample* until, ~~as a minimum,~~ the requirement for Suitable Volume of Urine for Analysis is met.
- E.4.9** The DCO and the *Athlete* shall then continue with Annex C.4.12 or Annex C.4.14 as appropriate.

ANNEX F - URINE SAMPLES THAT DO NOT MEET THE REQUIREMENT FOR SUITABLE SPECIFIC GRAVITY FOR ANALYSIS

F.1 Objective

To ensure that when the urine *Sample* does not meet the requirement for Suitable Specific Gravity for Analysis, appropriate procedures are followed.

F.2 Scope

The procedure begins with the DCO informing the *Athlete* that a further *Sample* is required and ends with the collection of a *Sample* that meets the requirements for Suitable Specific Gravity for Analysis, or appropriate follow-up action by the Testing Authority if required.

F.3 Responsibility

F.3.1 The Sample Collection Authority is responsible for establishing procedures to ensure that a suitable *Sample* is collected, if the original *Sample* collected does not meet the requirement for Suitable Specific Gravity for Analysis.

F.3.2 The DCO is responsible for collecting additional *Samples* until a suitable *Sample* is obtained.

F.4 Requirements

F.4.1 The DCO shall determine that the requirements for Suitable Specific Gravity for Analysis have not been met.

F.4.2 The DCO shall inform the *Athlete* that they are required to provide a further *Sample*.

F.4.3 While waiting to provide a further *Sample*, the *Athlete* shall remain under continuous observation and shall be advised not to hydrate, since this may delay the production of a suitable *Sample*. In appropriate circumstances, further hydration after the provision of an unsuitable *Sample* may be pursued as a violation of Code Article 2.5.

[Comment to F.4.3: It is the responsibility of the Athlete to provide a Sample with a Suitable Specific Gravity for Analysis. Sample Collection Personnel shall advise the Athlete and Athlete Support Personnel as appropriate of this requirement at the time of notification in order to discourage excessive hydration prior to the provision of the Athlete's first Sample. If the Athlete's first Sample does not have a Suitable Specific Gravity for Analysis, they shall be advised to not hydrate any further until a Sample with a Suitable Specific Gravity for Analysis is provided.]

F.4.4 When the *Athlete* is able to provide an additional *Sample*, the DCO shall repeat the procedures for *Sample* collection set out in Annex C - Collection of Urine *Samples*.

F.4.5 The DCO shall continue to collect additional *Samples* until the requirement for Suitable Specific Gravity for Analysis is met, or until the DCO determines that there are exceptional circumstances which mean it is impossible to continue with the Sample Collection Session. Such exceptional circumstances shall be documented accordingly by the DCO.

[Comment to F.4.5: Sample Collection Authorities and DCOs should ensure they have adequate

equipment to comply with the requirements of Annex F. The DCO should wait as long as necessary to collect such additional Sample(s) with a Suitable Specific Gravity for Analysis. The Testing Authority TA may specify procedures to be followed by the DCO in determining whether exceptional circumstances exist that make it impossible to continue with the Sample Collection Session SCS.]

- F.4.6** The DCO shall record that all the Samples collected belong to a single Athlete and the order in which the Samples were provided.
- F.4.7** The DCO shall then continue with the Sample Collection Session SCS in accordance with Annex C.4.17.
- F.4.8** The DCO shall send to the Laboratory for analysis all Samples which were collected, irrespective of whether or not they meet the requirement for Suitable Specific Gravity for Analysis.
- F.4.9** When two (2) Samples are collected from an Athlete, during the same Sample Collection Session SCS, both Samples shall be analyzed by the Laboratory. In cases where three (3) or more Samples are collected during the same Sample Collection Session SCS, the Laboratory shall prioritize and analyze the first and the subsequent collected Sample with the highest specific gravity, as recorded on the Doping Control form. The Laboratory, in conjunction with the Testing Authority TA, may determine if the other Samples need to be analyzed.

[Comment to Annex F: Specific gravity is a measurement of the relative density of urine compared to water. The minimum levels of specific gravity and minimum volumes of urine set out in this International Standard are to ensure that the Laboratory receives Samples that are suitable for the analysis of Prohibited Substances and Prohibited Methods listed on the Prohibited List.]

ANNEX G - SAMPLE COLLECTION PERSONNEL REQUIREMENTS

G.1 Objective

To ensure that ~~Sample Collection Personnel~~SCP have no conflict of interest and have adequate qualifications and experience to conduct ~~Sample Collection Sessions~~SCSs.

G.2 Scope

~~Sample Collection Personnel~~SCP requirements start with the development of the necessary competencies for ~~Sample Collection Personnel~~SCP and end with the provision of identifiable accreditation.

G.3 Responsibility

The ~~Sample Collection Authority~~SCA has the responsibility for all activities defined in this Annex.

G.4 Requirements - Qualifications and Training

G.4.1 The ~~Sample Collection Authority~~SCA shall:

- a) Determine the necessary competence, eligibility and qualification requirements for the positions of ~~DCO~~, ~~Chaperone~~ and ~~BCO~~; and
- b) Develop duty statements for all ~~Sample Collection Personnel~~SCP that outline their respective responsibilities. ~~As~~ and ensure that at a minimum:
 - i) ~~Sample Collection Personnel~~SCP shall not be *Minors*; and
 - ii) ~~BCOs~~ shall have adequate qualifications and practical skills required to perform blood collection from a vein.

G.4.2 The ~~Sample Collection Authority~~SCA shall ensure that ~~Sample Collection Personnel~~SCP sign an agreement dealing with any conflicts of interest as listed in Annex G.4.3, confidentiality and code of conduct.

G.4.3 ~~Sample Collection Personnel~~SCP shall not be appointed to a ~~Sample Collection Session~~SCS where they have an interest in the outcome of a ~~Sample Collection Session~~SCS. At a minimum, ~~Sample Collection Personnel~~SCP are deemed to have such an interest if they are:

- a) Involved in the participation or administration of the sport at the level for which *Testing* is being conducted;
- b) Related to, or involved in the personal affairs of, any *Athlete* who might provide a *Sample* at that ~~Sample Collection Session~~SCS;
- c) Have family members actively involved in the daily activities of the sport at the level for which *Testing* is being conducted (e.g., administration, coaching, training, officiating, competitor, medical);
- d) Are engaged in business with, have a financial interest in or personal stake in a

sport that has *Athletes* who are subject to *Testing*;

- e) Are drawing or likely to draw personal and/or professional gain or advantage directly or indirectly from a third party due to their own decisions taken in the fulfillment of their official functions; and/or
- f) Appear to have private or personal interests that detract from their ability to perform their duties with integrity in an independent and purposeful manner.

G.4.3.1 ~~g)~~ In cases where potential conflicts of interest are declared, the ~~Sample Collection Authority~~ SCA shall document and regularly monitor such conflicts and ensure those ~~Sample Collection Personnel~~ SCP with conflicts are not assigned or involved in ~~anyway~~ any way with those testing missions. Annual follow ups should be undertaken with SCP to ensure any new conflicts of interest are documented.

G.4.4 The ~~Sample Collection Authority~~ SCA shall establish a system that ensures that ~~Sample Collection Personnel~~ SCP are adequately trained to carry out their duties.

G.4.4.1 The training program for BCOs shall include, ~~as at~~ a minimum:

- a) studies and practical implementation of all relevant requirements of ~~the Testing~~ and ~~venous~~ whole blood collection ~~process for~~ from *Athletes* (including those with an impairment) and familiarization with relevant standard precautions in healthcare settings. ~~As~~ ;
- b) as part of recruiting BCOs an ~~Anti-Doping Organization~~ ADO shall ensure that the applicant has the necessary qualifications, experience and proficiency in conducting venipuncture. ~~Where required; and~~
- c) based on local standards and regulatory requirements regarding the collection of blood Samples, BCOs shall ~~may~~ also be required to collect DBS Samples and be trained in ~~dried blood spot~~ DBS *Sample* collection procedures.

G.4.4.2 The training program for DCOs shall include, ~~as at~~ a minimum:

- a) Comprehensive theoretical and practical training in those *Doping Control* activities relevant to the DCO position;
- b) Observation of all ~~Sample Collection Session~~ SCS activities that are the responsibility of the DCO as set out in this *International Standard for Testing*, preferably on-site as part of field training; ~~and~~
- c) The satisfactory performance of at least one complete ~~Sample Collection Session~~ SCS on-site under observation by a qualified DCO trainer or similar. The requirement related to the actual passing of a urine *Sample* shall be included in the on-site observations. The DCO trainer shall observe the trainee DCO witnessing the passing of the urine *Sample* but not observe the actual passing of the *Sample*; ~~and~~

d) The DCO may be required to collect DBS Samples and be trained in DBS Sample collection procedures.

[Comment to G.4.4.2 d): Due to the absence of venipuncture during DBS collection, in many jurisdictions, DBS Samples may be collected by a DCO without the need for a specialized BCO if standard precautions in healthcare settings are followed and the DCO is suitably trained in accordance with Annex J.]

G.4.4.3 The training program for Chaperones shall ~~include~~consist of both theoretical and practical training that ~~includes~~covers all relevant requirements of the ~~Sample Collection Session~~SCS including but not limited to ~~situations dealing with:~~

a) the significance of the Chaperone role and code of conduct;

b) the rights and responsibilities of Athletes ~~and~~;

c) the various scenarios involving notification and escorting of Athletes selected for Testing ~~;~~;

d) the importance of maintaining an unobstructed view of the Athlete;

e) reasons when an Athlete may delay reporting to the DCS;

f) Failure to Comply, ~~or~~ evasion, ~~by an Athlete; and~~

g) Athletes who are Minors and/or Athletes with impairments.

G.4.4.4 Chaperones shall be provided with accreditation card/badge by the ~~Sample Collection Authority~~SCA and are required to have a personal identity document in accordance with Article ~~5.3.3~~5.3.6 and for volunteer Chaperones as outlined in d) below.

a) The use of volunteer Chaperones provided by the organization hosting an Event should be ~~avoided or~~ limited to Events only. ~~The~~

b) ~~If~~ volunteer Chaperones ~~shall receive~~ are to be used at an Event the SCA shall be responsible for providing both theoretical and practical training specific to ~~their~~the role of the volunteer Chaperone at the Event and fulfill the requirements of G.4.2 and G.4.3.

c) Volunteer Chaperones should be trained prior to start of the Event and evaluated as to whether they are suitable to perform their role.

d) ~~b)~~ Volunteer Chaperones shall be provided with a temporary partial accreditation by the ~~Sample Collection Authority~~SCA valid for the Event only that contains at a minimum their name and role and shall also have available government issued photo identification to validate their identity.

e) Volunteer Chaperones shall not be responsible for witnessing the provision of the Athlete's Sample; this shall be the responsibility of the DCO or accredited Chaperone.

G.4.4.5 ~~G.4.4.4~~—A Sample Collection AuthoritySCA that collects Samples from Athletes who are of a different nationality and who may speak a different language to its Sample Collection PersonnelSCP (e.g., at an International Event or in an Out-of-CompetitionOOCC context) or ~~from transgender or gender diverse Athletes where the Athlete's sport gender is not specified by the applicable sport rules they~~ should ensure that such Sample Collection PersonnelSCP are adequately trained on the procedures to carry out their duties in respect of such Athletes.

G.4.4.6 ~~G.4.4.5~~—The Sample Collection AuthoritySCA shall maintain up to date records of education, training, skills, conflicts of interest and experience of all Sample Collection PersonnelSCP including any volunteer Chaperones (if applicable).

G.5 Requirements - Accreditation, Re-Accreditation and Delegation

G.5.1 The Sample Collection AuthoritySCA shall establish a system for accrediting and re-accrediting Sample Collection PersonnelSCP.

G.5.2 The Sample Collection AuthoritySCA shall ensure that Sample Collection PersonnelSCP have completed the training program and are familiar with the requirements of this *International Standard for Testing* (including, where G.4.4.44.4.5 applies,) before granting accreditation.

G.5.3 SCP shall be issued with an accreditation card/badge from the SCA in accordance with Article 5.3.6. Accreditation shall only be valid for a maximum of two (2) years. Sample Collection PersonnelSCP shall be subject to an assessment (theoretical and/or practical) before being re-accredited and shall be required to repeat a full training program if they have not participated in Sample collection activities within the year prior to re-accreditation.

G.5.4 Only Sample Collection PersonnelSCP who have an accreditation recognized by the Sample Collection AuthoritySCA shall be authorized to conduct Sample collection activities on behalf of the Sample Collection AuthoritySCA.

G.5.5 The Sample Collection AuthoritySCA shall develop a system to monitor the performance of Sample Collection PersonnelSCP during the period of accreditation, including defining and implementing criteria for revoking accreditation.

G.5.6 DCOs may personally perform any activities involved in the Sample Collection SessionSCS, with the exception of blood collection unless particularly qualified, or they may direct a Chaperone to perform specified activities that fall within the scope of the Chaperone's authorized duties as determined by the Sample Collection AuthoritySCA.

[Comment to G.5.6: Due to the absence of venipuncture during dried blood spot collection, in many jurisdictions, dried blood spot Samples may be collected by a DCO without the need for a specialized BCO if standard precautions in healthcare settings are followed and the DCO is suitably trained in accordance with Annex J.3.]

ANNEX H - EVENT TESTING

H.1 Objective

To ensure there is a procedure to follow when a request is made by an *Anti-Doping Organization*[ADO](#) for permission to conduct *Testing* at an *Event* where they have been unable to reach agreement on such *Testing* with the ruling body of the *Event*. WADA's objective in considering such requests is to:

- a) Encourage collaboration and coordination between different *Anti-Doping Organizations*[ADOs](#) to optimize the effectiveness of their respective *Testing* programs;
- b) Ensure that each *Anti-Doping Organization*[ADO](#)'s responsibilities are properly managed; and
- c) Avoid creating operational disturbance and harassment for *Athletes*.

H.2 Scope

The procedure starts with the *Anti-Doping Organization*[ADO](#) that is not responsible for initiating or directing *Testing* at an *Event* contacting the ruling body of the *Event* in writing to seek permission to conduct *Testing* and ends with WADA issuing a decision as to who shall be responsible to conduct *Testing* at the *Event*.

H.3 Responsibility

Both *Anti-Doping Organizations*[ADOs](#) seeking permission to conduct *Testing* at an *Event* and the ruling body of the *Event* should collaborate and where possible coordinate *Testing* at the *Event*. However, if this is not possible, then both *Anti-Doping Organizations*[ADOs](#) are required to submit their reasonings to WADA within the timeframes outlined. WADA then has the responsibility of reviewing the circumstances and issuing a decision in accordance with the procedures set out in this Annex.

H.4 Requirements

Any *Anti-Doping Organization*[ADO](#) that is not responsible for initiating and directing *Testing* at an *Event* in accordance with *Code* Article 5.3.2, but which nevertheless desires to conduct *Testing* at such *Event* shall, prior to contacting WADA, request such permission from the ruling body of the *Event* in written form with full supporting reasons.

H.4.1 Such request shall be sent to the ruling body at least thirty-five (35) days prior to the beginning of the *Event* (i.e., thirty-five (35) days prior to the beginning of the *In-Competition*[IC](#) period as defined by the rules of the International Federation in charge of that sport).

[Comment to H.4.1: Where Anti-Doping Intelligence requires Target Testing on specific Athletes to be conducted during the Event Period, a request may be sent to the ruling body within the thirty-five \(35\) day period prior to the beginning to the Event.](#)

H.4.2 If the ruling body refuses or does not respond within seven (7) days from receipt of the request, the requesting *Anti-Doping Organization*[ADO](#) may send to WADA (with a

copy to the ruling body) a written request with full supporting reasons, a clear description of the situation, and all the relevant correspondence between the ruling body and the requesting ~~Anti-Doping Organization~~ ADO. Such request ~~must~~ shall be received by WADA no later than twenty-one (21) days prior to the beginning of the *Event*.

H.4.3 Upon receipt of such request, WADA will immediately ask the ruling body for its position on the request and the grounds for its refusal. The ruling body shall send WADA an answer within seven (7) days of receipt of WADA's request.

H.4.4 Upon receipt by WADA of the ruling body's answer, or if no answer is provided by the ruling body within the seven (7) days, WADA will render a reasoned decision within the next seven (7) days. In making its decision, WADA will consider, amongst others, the following:

- a) The ~~Test Distribution Plan~~ TDP for the *Event*, including the number of Samples and type of *Testing* planned for the *Event*;
- b) The menu of *Prohibited Substances* for which the *Samples* collected will be analyzed;
- c) The overall anti-doping program applied in the sport;
- d) The logistical issues that would be created by allowing the requesting ~~Anti-Doping Organization~~ ADO to conduct *Testing* at the *Event*;
- e) Any other grounds submitted by the requesting ~~Anti-Doping Organization~~ ADO and/or the ruling body refusing such *Testing*; and
- f) Any other available information that WADA considers relevant.

H.4.5 If an ~~Anti-Doping Organization~~ ADO who is not the ruling body for an *Event* in the country in which the *Event* is being hosted, has or receives Anti-Doping Intelligence regarding potential doping by an *Athlete(s)* who is due to compete at the *Event*, the ~~Anti-Doping Organization~~ ADO shall share the Anti-Doping Intelligence with the ruling body of the *Event* as soon as possible. If no *Testing* is planned by the ruling body for the *Event* and the ~~Anti-Doping Organization~~ ADO is in a position to conduct *Testing* itself, the ruling body for the *Event* shall assess whether it or the ~~Anti-Doping Organization~~ ADO can conduct *Testing* regardless of whether the Anti-Doping Intelligence is provided by the ~~Anti-Doping Organization~~ ADO within the thirty-five (35) day period preceding the *Event*. If the ruling body of the *Event* fails to engage with the ~~Anti-Doping Organization~~ ADO that provided the Anti-Doping Intelligence or decides it is not able to conduct *Testing* itself or does not authorize the ~~Anti-Doping Organization~~ ADO to conduct *Testing* at the *Event*, then the ~~Anti-Doping Organization~~ ADO shall notify WADA immediately.

H.4.6 If WADA decides that permission for *Testing* at the *Event* should be granted, either as requested by the requesting ~~Anti-Doping Organization~~ ADO or as proposed by WADA, WADA may give the ruling body the possibility of conducting such *Testing*, unless WADA judges that this is not realistic and/or appropriate in the circumstances.

ANNEX I - COLLECTION, STORAGE AND TRANSPORT OF WHOLE BLOOD SAMPLES FOR THE ATHLETE BIOLOGICAL PASSPORT ~~SAMPLES~~

I.1 Objective

To collect an *Athlete's* whole blood ~~*Athlete Biological Passport Sample*~~ ~~by venipuncture~~, intended for use in connection with the measurement of ~~individual~~ *Athlete* blood variables within the ~~framework of the Hematological Module of the Athlete Biological Passport~~ ABP program, in a manner appropriate for such use. ~~The requirements of this Annex are additional requirements to those contained in Annex D – Collection of Venous Blood Samples.~~

I.2 Scope

This Annex describes the requirements for the collection of whole blood Samples in serum tubes for the Endocrine and Steroidal Modules of the ABP, and the collection of whole blood Samples in EDTA tubes for the Hematological Module of the ABP. The requirements of this Annex are additional requirements to those contained in Annex D - Collection of Whole Blood Samples.

I.3 General Requirements

I.3.1 The Sample collection procedure for the collection of whole blood for the purposes of the ABP is consistent with the procedures set out in Annex D.4, including the ten (10) minute seated period and use of a temperature data logger.

I.3.2 Although a single whole blood Sample is sufficient within the framework of the ABP, it is recommended to collect an additional Sample (B) for a possible subsequent analysis of Prohibited Substances and Prohibited Methods in whole blood (e.g., detection of homologous blood transfusion (HBT) and/or erythropoietin receptor agonists (ERAs) in whole blood, steroid esters and human growth hormone (GH) in serum of whole blood, ERAs in plasma of whole blood).

I.3.3 A and B urine Samples should be collected together with the whole blood Sample(s) for the ABP in order to permit Analytical Testing for relevant substances (e.g., ERAs or testosterone) and/or confounding factors (e.g., ethanol in the case of the Steroidal Module of the ABP) unless otherwise justified by a specific intelligent Testing strategy.

I.4 ~~I.2~~ Requirements for the Endocrine and Steroidal Modules of the Athlete Biological Passport

I.4.1 Test planning shall consider the Athlete's whereabouts information to ensure Sample collection does not occur within sixty (60) minutes of the Athlete's training, participation in Competition or other similar physical activity in accordance with Annex D.3.1.c. If the Athlete has trained or competed less than sixty (60) minutes before the time the Athlete has been notified of their selection, the DCO or other designated SCP shall chaperone the Athlete until this sixty-minute period has elapsed.

1.4.2 If the *Sample* was collected within sixty (60) minutes of training or *Competition*, the nature, duration and intensity of the exertion shall be recorded by the DCO to make this information available to the APMU.

1.5 Requirements for the Hematological Module of the Athlete Biological Passport

1.5.1 ~~1.2.1~~ Planning shall consider the *Athlete's* whereabouts information to ensure *Sample* collection does not occur within two (2) hours of the *Athlete's* training, participation in *Competition* or other similar physical activity. If the *Athlete* has trained or competed less than two (2) hours before the time the *Athlete* has been notified of their selection, the DCO or other designated ~~Sample Collection Personnel~~ SCP shall chaperone the *Athlete* until this two (2)-hour period has elapsed.

1.5.2 ~~1.2.2~~ If the *Sample* was collected within two (2) hours of training or *Competition*, the nature, duration and intensity of the exertion shall be recorded by the DCO to make this information available to the APMU.

~~1.2.3~~ Although a single blood *Athlete Biological Passport Sample* is sufficient within the framework of the Hematological Module of the *Athlete Biological Passport*, it is recommended to collect an additional (B) *Sample* for a possible subsequent analysis of *Prohibited Substances* and *Prohibited Methods* in whole blood (e.g., detection of homologous blood transfusion (HBT) and/or erythropoietin receptor agonists (ERAs)).

~~1.2.4~~ For *Out-of-Competition Testing*, A and B urine *Samples* should be collected together with the blood *Athlete Biological Passport Sample(s)* in order to permit Analytical Testing for ERAs unless otherwise justified by a specific intelligent Testing strategy.

[Comment to 1.2.4: WADA's Guidelines for Sample Collection reflect these protocols and include practical information on the integration of Athlete Biological Passport Testing into "traditional" Testing activities. A table has been included within WADA's Guidelines for Sample Collection that identifies which particular timelines for delivery are appropriate when combining particular types of analysis (e.g., blood Athlete Biological Passport and growth hormone (GH), blood Athlete Biological Passport and HBT, etc.), and which types of Samples may be suited for simultaneous transport.]

~~1.2.5~~ The *Sample* shall be refrigerated from its collection until its analysis with the exception of when the *Sample* is analyzed immediately following collection. The storage procedure is the DCO's responsibility.

~~1.2.6~~ The storage and transport device shall be capable of maintaining blood *Athlete Biological Passport Samples* at a cool temperature during storage. Whole blood *Samples* shall not be allowed to freeze at any time. In choosing the storage and transport device, the DCO shall take into account the time of storage, the number of *Samples* to be stored in the device and the prevailing environmental conditions (hot or cold temperatures). The storage device shall be one of the following:

- a) Refrigerator;
- b) Insulated cool box;
- e) Isotherm bag; or

~~d) Any other device that possesses the capabilities mentioned above.~~

~~1.2.7 A temperature data logger shall be used to record the temperature from the collection to the analysis of the Sample except when the Sample is analyzed immediately following collection. The temperature data logger shall be able to:~~

~~a) Record the temperature in degrees Celsius at least once per minute;~~

~~b) Record time in GMT;~~

~~c) Report the temperature profile over time in text format with one line per measurement following the format “YYYY-MM-DD HH:MM T”; and~~

~~d) Have a unique ID of at least six characters.~~

~~1.2.8 Following notification to the Athlete that they have been selected for Sample collection and following the DCO/BCO’s explanation of the Athlete’s rights and responsibilities in the Sample collection process, the DCO/BCO shall ask the Athlete to remain still, in an upright, stationary seated position, with feet on the floor for at least ten (10) minutes prior to providing a blood Sample. If the Athlete’s feet cannot reach the floor and/or the Athlete’s impairment does not allow feet on the floor, the Athlete shall remain in an upright, stationary seated position.~~

~~[Comment to 1.2.8: The Athlete shall not stand up at any time during the ten (10) minutes prior to Sample collection. To have the Athlete seated during ten (10) minutes in a waiting room and then to call the Athlete into a blood collection room is not acceptable.]~~

~~1.2.9 The DCO/BCO shall collectask the Athlete mandatory questions and record this additional information on an Athlete Biological PassportABP supplementary form, Athlete Biological PassportABP specific Doping Control form or other related report form to be signed by the Athlete and the DCO/BCO that contains the mandatory questions when collecting a blood Athlete Biological Passport Sample within the corresponding WADA template and ADAMS.~~

~~[Comment to Article 1.2.9.3: When collecting a blood Athlete Biological Passport Sample the set of questions that the Athlete shall answer and the DCO/BCO shall recordThe mandatory questions are contained within the ABPAthlete Biological Passport Operating Guidelines.]~~

~~1.2.10 The DCO/BCO shall start the temperature data logger and place it in the storage device. It is important to start recording the temperature before Sample collection.~~

~~1.2.11 The storage device shall be located in the Doping Control Station and shall be kept secure.~~

~~1.2.12 The DCO/BCO instructs the Athlete to select the Sample Collection Equipment in accordance with Annex D.4.6 and continue the Sample Collection Session in accordance with Annex D.4.7.~~

1.3 The Sample Collection Procedure

~~I.3.1~~ The ~~Sample~~ collection procedure for the collection of ~~venous~~ blood for the purposes of the ~~Athlete Biological Passport~~ is consistent with the procedure set out in Annex D.4, including the ~~ten (10) minute (or more) seated period~~.

~~I.3.2~~ The ~~Athlete~~ and the ~~DCO/BCO~~ sign the ~~Doping Control~~ and ~~as well as the WADA template Athlete Biological Passport supplementary report form(s), when applicable~~.

~~I.3.3~~ The blood ~~Athlete Biological Passport Sample~~ is sealed ~~available on WADA's website and deposited in the storage device containing the temperature data logger~~.

~~I.4~~ — **Transportation Requirements**

~~I.4.1~~ ~~Blood~~ADAMS. ~~An ADO may contact an Athlete post collection of a whole blood Sample for the Hematological Module of the Athlete Biological Passport to obtain or clarify further information relating to the Athlete's answers to these mandatory questions.]~~

~~I.5.4~~ Whole blood ~~Samples shall be transported in a device that maintains the integrity of Samples over time, due to changes in external temperature.~~

~~I.4.2~~ ~~The transport procedure is the DCO's responsibility. The transport device for the Hematological Module of the ABP shall be stored and transported by secure means using a Sample Collection Authority authorized transport method in accordance with Article 9 and Annex D.~~

~~I.4.3~~ I.5.5 The integrity of the *Markers* used in the Hematological Module of the ~~Athlete Biological Passport~~ABP is guaranteed when the Blood Stability Score (BSS) remains below eighty-five (85), where the BSS is computed as:

$$\text{BSS} = 3 * T + \text{CAT}$$

with CAT being the Collection to Analysis Time (in hours), and T the average Temperature (in degrees Celsius) measured by the data logger between *Sample* collection and analysis.

I.5.6 ~~I.4.4~~ Within the framework of the BSS, the following table can be used by the ~~DCO/BCO~~ to estimate the maximal transport time to a Laboratory or ABP Laboratory, called the Collection to Reception Time (CRT), for a given average temperature (T), e.g., if shipped at 4°C, the maximal CRT is sixty (60) h.:

T [°C]	CRT [h]
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15	27
12	36
10	42
9	45
8	48
7	51
6	54
5	57
4	60

1.5.7 ~~I.4.5~~—The DCO/BCQ shall as soon as possible transport the whole blood—~~Athlete Biological Passport~~ Sample for the Hematological Module of the ABP to a Laboratory or ABP Laboratory.

1.5.8 ~~I.4.6~~ ~~The Testing Authority or Sample Collection Authority~~In order to ensure the most effective use of the Hematological Module of the ABP the TA or SCA shall report without delay into ADAMS:

- a) The *Doping Control* form, as per Article ~~4.10.18.1 c)~~9.4.1;
- b) The *Athlete Biological Passport* supplementary form, and/or the additional information specific to the ~~Athlete Biological Passport~~ABP Sample collected on a related report form; and
- c) ~~In the Chain of Custody, the~~The temperature data logger ID (without any time reference) and the time zone of the *Testing* location in GMT.

ANNEX J - COLLECTION, STORAGE AND TRANSPORT OF DRIED BLOOD SPOT SAMPLES

J.1 Objective

To collect an *Athlete's* capillary blood as a ~~dried blood spot~~DBS *Sample* in a manner that ensures:

- a) Consistency with relevant principles of internationally-recognized standard precautions in healthcare settings, and is collected by a suitably trained *Person*, so that the health and safety of the *Athlete* and ~~Sample Collection Personnel~~SCP are not compromised;
- b) The *Sample* is of a quality and quantity that meets the relevant analytical requirements;
- c) The *Sample* has not been manipulated, substituted, contaminated or otherwise tampered with in any way;
- d) The *Sample* is clearly and accurately identified; and
- e) The *Sample* is securely sealed in a Tamper Evident kit.

J.2 Scope

The collection of a ~~dried blood spot~~DBS *Sample* begins with ensuring the *Athlete* is informed of the *Sample* collection requirements and ends with properly storing and transport of the *Sample* ~~prior to transport~~ to the Laboratory that will be ~~analysing~~analyzing the *Sample*. ~~Dried blood spot~~DBS *Samples* are collected by puncture/incision of the skin to access capillary vessels (small blood vessels). One ~~dried blood spot~~DBS *Sample* consists of a series of small volumes of capillary blood, which are collected within the same ~~Sample Collection Session~~SCS and allowed to dry on an absorbent *Sample* support.

*[Comment to J.2: In this context, the term “~~dried blood spot~~DBS” refers to a capillary blood *Sample* that is collected and allowed to dry on an absorbent *Sample* support, including *Samples* collected by “spotting” capillary blood directly onto ~~an untreated~~ cellulose-based card or other absorbent *Sample* support made of untreated cellulose or of another material, as well as those collected via a specific device with integrated microneedle(s)/microlancet(s).]*

J.3 Responsibility

When planning to collect ~~dried blood spot~~DBS *Samples* ~~Anti-Doping Organizations~~ADOs shall consider the available type of analyses. ~~Dried blood spot~~DBS *Sample* collections are complementary to existing *Sample* collections ~~however dried blood spot and while DBS~~ *Sample* collections shall not replace the need for urine *Sample* collections as part of an effective *Testing* program.

J.3.1 DBS samples may be collected in isolation (without a urine or a whole blood *Sample*) however in accordance with Article 5.3.2 of the *International Standard for Laboratories* they shall be subject to an *Analytical Testing Procedure* and not collected for the sole purpose of long term storage or later analysis. This also includes if a *DBS Sample* is collected with a whole blood *Sample*.

*[Comment to J.3.1: Where *DBS Samples* are collected with urine *Samples* during the same *SCS*, the *TA**

[may request in advance that the Laboratory shall place the DBS Samples directly in storage \(without initial analysis\) in accordance with Article 5.3.2 of the International Standard for Laboratories.\]](#)

[J.3.2 DBS Samples if collected in isolation on RTP or TP Athletes shall not be counted as part of the minimum number of OOC Test requirements. TAs that decide to collect DBS Samples in isolation shall be able to demonstrate to WADA their rationale for doing so.](#)

[J.3.3](#) Due to the absence of venipuncture during ~~dried blood spot~~DBS collection, ~~dried blood spot~~DBS Samples may be collected by a DCO without the need for a ~~specialized~~ BCO if standard precautions in healthcare settings are followed and the DCO is suitably trained. Procedures for ~~dried blood spot~~DBS collection shall be consistent with local standards and regulatory requirements.

[J.3.4](#) The DCO and/or the BCO have the responsibility for:

- a) Collecting the ~~dried blood spot~~DBS Sample;
- b) Ensuring that each Sample is properly identified and sealed;
- c) Answering relevant questions during the provision of the Sample;
- d) Properly disposing of ~~dried blood spot~~DBS sampling equipment that is opened but not used, or used pieces of equipment not sealed with the absorbent Sample support; and
- e) Properly storing and dispatching each Sample.

[J.3.5](#) ~~J.4~~ Requirements for ~~Dried Blood Spot~~DBS Sample Collection Equipment

[J.4](#) The ~~dried blood spot~~DBS Sample Collection Equipment shall fulfill the following criteria:

- a) Contain a single-use ~~medically approved~~ Sample collection device [that meets the requirements in Article 6.3.4 j\)](#) for the puncture/incision and collection of capillary blood at the fingertip and/or from the upper arm (alternative [puncture/incision sites](#) ~~of punctures~~ may be authorized for Athletes with physical impairments, if required). Both manual (i.e., disposable sterile lancets to be used [in conjunction together](#) with absorbent material), and automatic devices (i.e. with integrated microneedle(s)/microlancet(s)) can be used. ~~No~~The use of ~~additional~~[external](#) supports for the transfer of capillary blood (positive displacement pipettes and pipette tips, end-to-end [separate](#) calibrated capillaries, etc.) is [not](#) permitted.
- b) Both volumetric and non-volumetric [\(the latter only for non-threshold substances without Minimum Reporting Levels \(MRL\)\)](#) collection devices could be used, although, ~~if possible~~, it is recommended to prioritize the use of volumetric collection devices.
- c) The absorbent Sample support shall be made of either cellulose or synthetic polymer. For cellulose cards it is ~~recommended~~[only permitted](#) to use untreated/non impregnated cellulose;
- d) ~~The "A" and "B" absorbent Sample support shall allow the collection of distinct "A" and "B" spots (or equivalent) with a minimum total of approximately 40 µL of capillary blood in the "A" spot(s) and with a minimum total of approximately 20 µL of capillary blood in the "B"~~

~~spot(s) and;~~ For each spot a minimum of 15 µL shall be collected.

[Comment to J.4 (d): Depending on the ~~dried blood spot~~DBS Sample Collection Equipment used, the volume and number of spots may vary. ~~If a spot has a small volume (e.g., around 10 µL), several~~Several spots may be combined to perform the required Analytical Testing Procedure(s). The minimum required ~~volumes~~volume for the “A” and “B” ~~spot~~each spot will enable a single analysis (e.g. steroid esters or ERAs or non-threshold substances etc.). ~~If multiple analysis are to be planned from the same dried blood spot sampling, the number of spots (at least the one for the A Sample) will need to be adjusted. In addition, when possible, it is recommended to collect an increased volume for both the “A” spot(s) and the “B” spot(s), by increasing the number of spots collected for each Sample.]~~

- e) The collection device must not contain heparin. Only EDTA can be used as anticoagulant.
- f) The “A” and “B” absorbent Sample support shall allow the collection of distinct “A” and “B” spots (or equivalent) with a minimum total of with a minimum total of 3 spots for the “A” Sample and 1 spot for the “B” Sample and;
- g) ~~e) The~~Collection devices that can be closed/sealed after Sample collection is complete, should be preferred to other cards/devices which require a minimal drying time prior to closing/sealing. This is to avoid the risk of the Sample getting in contact/glueing with the surface or parts of the collection device. In addition, the Sample container and/or storage sleeves/packages/receptacles shall contain a desiccant to allow the spots to ~~dry expeditiously~~continue drying (or keep dry) when ~~already sealed (without having to wait before sealing and without the risk of the Sample getting in contact/glueing with the surface or parts of the collection device)~~ and shall offer protection against possible premature degradation or contamination of the Sample. ~~Dried blood spot cards/devices that can be closed/sealed as soon as sampled, should be preferred to other cards/devices which require a minimal drying time prior to sealing/closing.~~

[Comment to J.4: Additional guidance for ~~dried blood spot~~DBS Sample Collection Equipment can be found in WADA’s Guidelines for Sample Collection.]

J.5 ~~Dried Blood Spot~~DBS Sample Provision

Procedures involving blood collection shall be consistent with the local standards and regulatory requirements regarding precautions in healthcare settings where those standards and requirements exceed the requirements set out below.

- J.5.1 The DCO shall ensure that the Athlete is properly notified of the requirements of the Sample collection, including any modifications as provided for in Annex A - Modifications for Athletes with Impairments and/or in Annex B - Modifications for Athletes who are Minors.
- J.5.2 The DCO/Chaperone and Athlete shall proceed to the area where the Sample will be provided.
- J.5.3 The DCO/BCO shall wear gloves during the Sample collection process and until the Sample is sealed.
- J.5.4 The DCO/Chaperone shall, where practicable, ensure the Athlete thoroughly washes the area from where the Sample will be collected (e.g. their hands) with water only prior to the provision of the Sample.

[Comment to J.5.4: Any traces of talcum powder, resin, or other products that Athletes use ~~should~~shall be thoroughly cleaned, and alcohol pads or swabs may be used if needed.]

J.5.5 The DCO/BCO shall ensure that the *Athlete* is offered comfortable conditions for the provision of the *Sample*.

[Comment to J.5.5: The requirement for the Athlete to be seated in an upright stationary position for at least 10 minutes with feet on the floor as contained in Annex D.4.54.6 prior to providing a whole blood Sample does not apply before the provision of a ~~dried-blood-spot~~DBS Sample.]

J.5.6 The DCO/BCO shall instruct the *Athlete* to select the Sample Collection Equipment required for collecting the *Sample* and to check that the selected equipment has not been tampered with and any seals are intact. If the *Athlete* is not satisfied with the selected equipment, they may select another. If the *Athlete* is not satisfied with any equipment and no other is available, this shall be recorded by the DCO. If the DCO does not agree with the *Athlete* that all of the available equipment is unsatisfactory, the DCO shall instruct the *Athlete* to proceed with the Sample Collection SessionSCS. If the DCO agrees with the *Athlete* that all available equipment is unsatisfactory, the DCO shall terminate the collection of ~~dried-blood-spot~~DBS Samples, and this shall be recorded by the DCO.

J.5.7 When a *Sample* collection kit has been selected, the DCO and the *Athlete* shall check that all *Sample* code numbers match and that this *Sample* code number is recorded accurately by the DCO on the *Doping Control* form. If the *Athlete* or DCO finds that the numbers are not the same, the DCO shall instruct the *Athlete* to choose another kit. ~~The DCO, and this~~ shall ~~record~~be recorded by the ~~matter~~DCO.

J.5.8 The DCO/BCO shall assess the most suitable location for puncture/incision at the fingertip and/or from the upper arm that is unlikely to adversely affect the *Athlete* or their sporting performance (e.g., non-dominant hand/arm). This should be a ~~site-of~~ puncture/incision site that is free of any calluses, cuts, scars and tattoos. The DCO/BCO should select an alternative suitable ~~site-of~~ puncture/incision site for *Athletes* with physical impairments if applicable.

[Comment to J.5.8: The DCO/BCO should decide whether the ~~dried-blood-spot~~DBS Sample be collected from the right or left hand/arm. However, they may not be given the choice of the collection between the hand or arm, as this is dependent on the Sample Collection Equipment used by the SCA.]

J.5.9 The DCO/BCO shall instruct the *Athlete* to warm the ~~Sample collection~~puncture/incision site by, for example, washing the hands in warm water, shaking the hand/arm, massaging ~~the puncture site~~, or placing the hand/arm in a warm blanket or equivalent.

J.5.10 The DCO/BCO shall clean the skin with a sterile alcohol pad or swab. Disinfectant gels shall not be used. Once the skin is completely dried, the DCO/BCO shall take the capillary blood *Sample* from the fingertip or an area on the upper arm using the ~~dried blood-spot~~DBS collection device in accordance with the instructions provided by the equipment manufacturers.

J.5.10.1 For ~~dried-blood-spot~~DBS Samples collected from the fingertip:

a) The middle or ring finger should be selected if possible. The little finger

may also be selected but the collection may be more painful;

- b) The puncture should be done with a lancet, slightly lateral to the pad of the finger, on the last phalanx of the finger;
- c) Blood flow can be increased by gently massaging the proximal portion of the finger in a distal direction. However, squeezing or milking the finger should be avoided as it may cause hemolysis and dilution of the *Sample*;
- d) The first drop of blood shall be wiped away with a dry sterile compress/gauze pad;
- e) Only the drop of blood shall enter into contact with the ~~dried-blood spot~~DBS absorbent *Sample* support, while the finger shall not touch it. The drop of blood should not be smeared onto the absorbent *Sample* support; and
- f) Only one drop of blood shall be applied per spot, because the dripping of several drops onto the same spot would cause an inhomogeneous *Sample*.

J.5.10.2 For ~~dried-blood spot~~DBS *Samples* collected from the upper arm with a device with integrated microneedle(s)/microlancet(s):

- ~~a)~~ g) The DCO/BCO shall be responsible for applying and removing the device from the *Athlete's* arm. The *Athlete* is permitted to press the button to engage the microneedle(s)/microlancet(s) after having received the necessary instructions from the DCO/BCO. Otherwise, the DCO/BCO will press the button.

J.5.11 The volume of capillary blood removed shall be adequate to satisfy the relevant analytical requirements for the *Sample* analysis to be performed, *i.e.*, a minimum total of ~~approximately 40 μ L of capillary blood in 3 spots for~~ the "A" ~~spot(s)~~Sample and a ~~minimum total of approximately 20 μ L of capillary blood in the "B" spot(s) for chromatography mass spectrometric Analytical Methods. Other special~~1 spot for the "B" Sample. Special analyses may require additional *Samples* and/or increased *Sample* volume.

J.5.12 The DCO/BCO shall verify that capillary blood is deposited on the absorbent *Sample* support and that a sufficient number of spots in the "A" and "B" *Samples* (to produce a sufficient amount of capillary blood, as described in Annex J.5.11) are saturated with blood.

J.5.13 If the volume of capillary blood collected from the *Athlete* at the first attempt is insufficient, the DCO/BCO shall repeat the procedure up to a maximum of three (3) attempts in total. Should all three (3) attempts fail to produce a sufficient volume of capillary blood (for e.g. a total of three (3) A and one (1) B spots independently of the number of kits used), the DCO shall terminate the collection of ~~dried-blood spot~~DBS *Samples* and record the reasons for its termination. If more than one attempt is

needed, another ~~site of~~ puncture/incision site shall be selected by the DCO/BCO. The skin shall be cleaned, and a new lancet/Sample Collection devicekit shall be used for the puncture of the skin.

[Comment to J.5.13: An attempt is defined as the act of puncturing the skin, i.e., only if the lancet or microneedle(s)/microlancet(s) has(ve) been engaged and punctured the skin.]

J.5.14 After collection, the DCO/BCO shall apply pressure to the puncture site(s) or ask the Athlete to do so. The DCO/BCO shall then apply a dressing(s).

J.5.15 The DCO/BCO shall dispose of used pieces of equipment that are not sealed with the absorbent Sample support in accordance with the required local standards for handling blood.

J.5.16 If the Sample requires further on-site processing, such as removal of the absorbent Sample support (e.g., cellulose paper, cartridge) from the collection device, the DCO/BCO shall do so and then transfer the Sample into the Tamper Evident kit. ~~The Athlete shall remain in the collection area and observe their Sample until it is sealed in a Tamper Evident~~ kit.

J.5.17 The Athlete shall remain in the collection area and seal their Sample in ~~the~~ Tamper Evident kit as directed by the DCO. In full view of the Athlete, the DCO shall check that the sealing is satisfactory. The Athlete and the DCO/BCO shall sign the Sample collection documentation; ~~and~~.

J.5.18 The sealed ~~dried blood spot~~ DBS Sample can be stored at room temperature and shall be stored in a manner which minimizes the potential for Sample degradation due to factors such as time delays, exposure to light and extreme temperature variations.

J.1 ~~J.6~~ Requirements for Transport

J.1.1 ~~J.6.1~~ ~~Dried blood spot~~ DBS Samples shall be transported in accordance with ~~Articles 9.1 to 9.3~~ Article 9, with the following specifications:

- a) ~~Dried blood spot~~ DBS Samples can be shipped as non-hazardous materials using regular mail or courier services, subject to any applicable regulations;
- b) While the Sample ~~containers~~ Collection Equipment shall be transparent, it is recommended to transport ~~dried blood spot~~ DBS Samples in a non-transparent transport box/bag to protect the Samples from light exposure; and
- c) ~~Dried blood spot~~ DBS Samples can be transported at ambient temperature. If collecting other whole blood Samples (e.g., whole blood ~~Athlete Biological Passport~~ Samples for the Hematological Module of the ABP) during the same ~~Sample Collection Session~~, ~~dried blood spot~~ SCS, DBS Samples can also be shipped refrigerated.

ANNEX K - COLLECTION OF URINE SAMPLES IN A VIRTUAL ENVIRONMENT DURING A PANDEMIC

K.1 Objective

To provide a modified *Sample* collection procedure in a virtual environment that may only be implemented during a pandemic and/or a national epidemic when local or national government health restrictions in place allow an in-person notification of an *Athlete* but restrict in-person collection of a urine sample by a DCO.

[Comment to K.1: The ability to collect Samples during a pandemic may vary among countries based on the national approach to the pandemic and/or national epidemic, including the international, national and regional laws in place. As a result, Sample collection in a virtual environment is not mandatory. Before considering the implementation of Sample collection in a virtual environment an ADO should liaise with the applicable national health and data privacy authorities. If an ADO can conduct Sample collection in a virtual environment in the circumstances permitted by this Annex K, then the modified Sample collection procedures set out in this Annex, in particular complying with the additional standards referenced in Annex K.3.1 and K.3.2, are mandatory. Additional guidance on how to implement several of the requirements outlined in this Annex are provided in the Guidelines for Testing During a Pandemic.]

K.2 Scope

The procedure begins with the DCO notifying an *Athlete* at the testing location and handing the *Athlete* a package of Sample Collection Equipment and ends with the DCO collecting the sealed *Sample* and the corresponding *Sample* collection documentation from the *Athlete* at the location where notification to the *Athlete* of their selection for *Testing* and requirement to provide a *Sample* occurred, or at another location that the DCO and *Athlete* ~~will~~ agree to.

K.3 Responsibility

K.3.1 In times of a pandemic and/or a national epidemic, all ~~Anti-Doping Organizations~~ ADOs shall follow the advice of national governments and health authorities to ensure the health and safety of *Athletes* and ~~Sample Collection Personnel~~ SCP is protected. Specific requirements ~~must~~ shall be taken into consideration from any relevant international, national and regional laws when considering the implementation of *Sample* collection procedures (e.g., mandatory or recommended occupational health and safety practices such as social distancing, hand washing, mask wearing, vaccination etc.)

K.3.2 Prior to implementation, ~~Anti-Doping Organizations~~ ADOs shall assess modified *Sample* collection procedures in a virtual environment, including any selected IT system and any Third-Party Agent involved in such procedures or IT system, against the requirements of the *International Standard for Data Protection* and applicable laws, such as privacy/data protection and if necessary, shall implement appropriate physical, organizational, technical, and other measures to mitigate privacy and information security risks identified in such assessment.

K.3.3 The DCO has the responsibility for providing the *Athlete* with instructions from the point of the in-person notification and then virtually via the IT system used, and that each *Sample* is properly collected, identified, documented, sealed, and the integrity of the

Sample is maintained throughout the virtual collection and sealing process.

K.4 Requirements

- K.4.1** When initial contact is made, the DCO shall inform the *Athlete*, at the testing location, that they are required to undergo a *Sample* collection. The notification of the *Athlete* shall be in accordance with Article 5.4.1.
- K.4.2** The DCO shall ensure that the *Athlete* is informed that the *Sample* collection and sealing procedure will be conducted in a virtual environment during their ~~Sample Collection Session~~SCS, including any modifications as provided for in Annex A - Modifications for *Athletes* with Impairments and/or in Annex B - Modifications for *Athletes* who are *Minors*.
- K.4.3** The DCO shall complete the 'Athlete Notification' part of the *Sample* collection documentation (either in paper or electronic form) and the *Athlete* shall sign it to acknowledge and accept the notification. If the *Athlete* refuses to sign that they have been notified, or evades the notification, the DCO shall, if possible, inform the *Athlete* of the *Consequences* of a Failure to Comply. The DCO shall document the facts in a detailed report and report the circumstances to the Testing AuthorityTA. The Testing AuthorityTA shall follow the steps prescribed in Annex A - Review of a Possible Failure to Comply of the *International Standard for Results Management*.
- K.4.4** The DCO shall start a two-way video and audio connection via the selected IT system (e.g., tablet, mobile phone, or body camera) with supporting mounting device (if applicable) and provide it to the *Athlete*. The DCO shall advise the *Athlete* that they **must** remain on camera with the DCO via the IT system for the duration of the ~~Sample Collection Session~~SCS. The DCO shall also inform the *Athlete* that recording functions have been completely disabled.
- K.4.5** The DCO shall then provide the *Athlete* with the package that includes Sample Collection Equipment, other supporting devices such as temperature monitoring strips, and applicable documentation. The DCO shall inform the *Athlete* to proceed with the Sample Collection Equipment to a suitable *Sample* collection location that is private and where the ~~Sample Collection Session~~SCS can continue. The DCO shall also ensure they are in a private location.
- K.4.6** When the *Athlete* is positioned in the *Sample* Collection location where the ~~Sample Collection Session~~SCS will be conducted, the DCO, connected virtually via the IT system, shall instruct the *Athlete* to:
- Confirm if an *Athlete* representative is present with the *Athlete* in the *Sample* Collection location;
 - Show the DCO on camera via the IT system the *Sample* Collection location selected where the ~~Sample Collection Session~~SCS will be conducted; and
 - Confirm satisfactory audio and visual quality of the IT system used.

- K.4.7** The DCO shall confirm to the *Athlete* that the DCO will also be on camera for the duration of the ~~Sample Collection Session~~SCS and that the ~~Sample Collection Session~~SCS is not being recorded.
- K.4.8** The DCO shall then ask the *Athlete* to place the IT system in a location where the DCO will have a view of the *Athlete* (including upper body and hands) and have full view of the Sample Collection Equipment.
- K.4.9** The *Athlete* shall place the content of the package with the Sample Collection Equipment, supporting devices and documentation on a steady surface in the *Sample* collection location in full view of the DCO.
- K.4.10** The *Athlete* shall complete the 'Athlete Information' part of the *Sample* collection documentation (either in paper or electronic form) with the assistance of the DCO.
- K.4.11** The DCO shall instruct the *Athlete* to select a collection vessel in accordance with Annex C.4.3. The DCO shall then ask the *Athlete* to apply a temperature monitoring strip to the outside of the collection vessel.
- K.4.12** When the *Athlete* is ready to provide a urine *Sample*, the DCO shall ask the *Athlete* to move to the toilet area and show the DCO on camera the toilet area in which they will be providing their *Sample*. The DCO should direct the *Athlete* as to the best location for the IT system to be positioned during the *Sample* provision. Anything suspicious e.g., other urine *Samples* or doping paraphernalia in the toilet area with potential to compromise the *Sample* collection shall be documented in detail by the DCO.
- K.4.13** The DCO shall also inform the *Athlete* that *Sample* provision will not be directly witnessed as it normally would be, i.e., the DCO observing the urine *Sample* directly leaving their body, however, the *Athlete* will be continuously observed via the IT system in the toilet area. The camera shall be set in a position in the toilet area that provides the DCO with a full view of the *Athlete*'s upper body (i.e., waist to top of head) and arms while they are waiting to provide a *Sample* and/or during the *Sample* provision.
- K.4.14** The *Athlete* shall be reminded of the importance to stay on camera during the sample provision and be advised of the possible *Consequences* of a Failure to Comply. Any loss of connection should be documented including exact time and duration, as well as any further re-connection attempts and explanations from the *Athlete*. If the *Athlete* does not remain visible in the camera field of view or the *Sample* once provided by the *Athlete* does not remain visible in the camera field of view and if the circumstances are deemed suspicious by the DCO, the DCO shall consider collecting an additional *Sample* from the *Athlete*. The DCO shall document the facts in a detailed report and report the circumstances to the Testing AuthorityTA.
- [Comment to K.4.12 and K.4.14: If appropriate, the Testing AuthorityTA shall follow the steps prescribed in Annex A - Review of a Possible Failure to Comply in the International Standard for Results Management.]*
- K.4.15** Once the *Athlete* provides the required volume of urine, the DCO shall ask the *Athlete* to show them the collection vessel with the volume measurement scale on camera to validate that the Suitable Volume of Urine for Analysis has been provided. Where the volume of urine provided by the *Athlete* is insufficient, the DCO shall provide

instructions to the *Athlete* to follow the partial *Sample* collection procedure in accordance with Annex E - Urine *Sample* – Insufficient Volume.

- K.4.16** Once the lid of the collection vessel has been secured, the DCO shall then ask the *Athlete* whilst in the toilet area to show the temperature monitoring strip measurement on camera to allow the DCO to confirm the temperature of the urine *Sample*.
- K.4.17** The *Athlete* shall exit the toilet area and return to the *Sample* collection location, ensuring they keep their *Sample* visible on camera. On return to the *Sample* collection location, the *Athlete* shall position the camera in the same location as it was at the start of the procedure so that their *Sample* are in full view of the DCO until the *Sample* is sealed.
- K.4.18** The DCO shall guide the *Athlete* through the process of selecting and opening a *Sample* collection kit containing A and B bottles in accordance with Annex C.4.3 and Annex C.4.12. The *Athlete* shall show the DCO the *Sample* code numbers and the DCO should document them (and later confirm upon receipt of the *Sample*).
- K.4.19** The division of the *Sample* into the A and B bottles and the sealing of the A and B bottles shall be conducted by the *Athlete* in full view of the DCO in accordance with Annex C.4.13 and C.4.14.
- K.4.20** Once the *Athlete* has finished the sealing of the A and B bottles, the *Athlete* shall test the residual urine in the collection vessel to determine if the *Sample* has a Suitable Specific Gravity for Analysis with the assistance of the DCO. When the urine *Sample* does not meet the requirement for Suitable Specific Gravity for Analysis, the DCO shall provide instructions to the *Athlete* to follow the appropriate procedures in accordance with Annex F - Urine *Samples* that do not meet the requirement for Suitable Specific Gravity for Analysis.
- K.4.21** The *Athlete* shall complete the *Sample* collection documentation with the assistance of the DCO. The *Athlete* and the DCO shall sign appropriate documentation to indicate their satisfaction that the documentation accurately reflects the details of the ~~*Sample Collection Session*~~SCS. The DCO shall ensure that the *Athlete* is advised to keep a copy of the *Sample* collection documentation (if in paper form) or that the *Athlete* receives a copy of the *Sample* collection documentation (if in electronic form).
- K.4.22** Upon completion, the DCO shall ask the *Athlete* to pack their *Sample*, all Sample Collection Equipment and documentation and meet the DCO in the initial location where the *Athlete* was notified or an agreed upon location.
- K.4.23** The *Athlete* shall remain on camera until they have concluded the Sample Collection Session, and they meet the DCO in person.
- K.4.24** The DCO, upon receiving the requested equipment and documentation from the *Athlete*, shall conduct a review of all Sample Collection Equipment, supporting devices and documentation, and confirm, in writing, that *Sample* collection documentation and corresponding *Sample(s)* are enclosed.

*[Comment to Annex K: A pandemic shall be as declared by the World Health Organization. In addition, an ~~Anti-Doping Organization~~ADO shall consider implementing the *Sample* collection in a virtual environment when the national government declares a national epidemic in a certain country or region.]*

~~ANNEX L – MODIFICATIONS FOR TRANSGENDER AND GENDER DIVERSE ATHLETES~~

~~L.1 – Objective~~

~~L.1.1 – To ensure, where possible, that the particular needs of transgender and gender diverse Athletes are considered in relation to the provision of a Sample without compromising the integrity of the Sample Collection Session.~~

~~*[Comment to L.1: A transgender Athlete is a person whose gender identity differs from the sex that was assigned at birth. Athletes may also have identities outside the binary gender system and are defined as gender diverse. Gender diverse Athletes who are part of a Whereabouts Pool have the option to identify their gender diversity and their preferred gender of Sample Collection Personnel in ADAMS.]*~~

~~L.2 – Scope~~

~~Determining whether modifications are necessary starts with identification of situations where Sample collection involves transgender and gender diverse Athletes and ends with modifications to Sample collection procedures where necessary and where possible.~~

~~L.3 – Responsibility~~

~~L.3.1 – The Testing Authority has responsibility for ensuring, when possible, that the Sample Collection Authority and/or the DCO has any information necessary to conduct a Sample Collection Session with transgender and/or gender diverse Athletes.~~

~~L.3.2 – Where Sample collection involves transgender and gender diverse Athletes, the Sample Collection Authority has the responsibility to appoint Sample Collection Personnel who have undergone gender diversity training prior to the Sample collection.~~

~~L.3.3 – Where Sample collection involves transgender Athletes, the Testing Authority and/or the Sample Collection Authority shall assign Sample Collection Personnel of the same gender as the transgender Athlete, based on the gender of the Event the transgender Athlete competes in.~~

~~L.3.4 – Where Sample collection involves gender diverse Athletes and where the gender diverse Athlete has not indicated in ADAMS their preferred gender of Sample Collection Personnel, the Testing Authority and/or the Sample Collection Authority should assign, at a minimum, two Sample Collection Personnel of different gender to the Sample Collection Session.~~

~~*[Comment to L.3.4: The Testing Authority or Sample Collection Authority should make their best efforts to accommodate the gender diverse Athlete's preferred gender of Sample Collection Personnel however, a failure to provide a Sample on the basis that the gender diverse Athlete's preferred gender of Sample Collection Personnel is not available shall be pursued as a potential anti-doping rule violation.]*~~

~~L.4 – Requirements~~

~~L.4.1 – All aspects of notification and Sample collection for transgender and gender diverse Athletes shall be carried out in accordance with the standard notification and Sample collection procedures unless modifications are required.~~

- ~~L.4.2 The Sample Collection Authority and the DCO shall have the authority to make modifications as the situation requires as long as such modifications will not compromise the integrity, identity and security of the Sample. All such modifications shall be documented.~~
- ~~L.4.3 The transgender Athlete should be notified by a Sample Collection Personnel of the same gender as the transgender Athlete, based on the gender of the Event the transgender Athlete competes in.~~
- ~~L.4.4 The DCO/Chaperone who witnesses the passing of the Sample shall be of the same gender as the transgender Athlete providing the Sample and based on the gender of the Event the transgender Athlete competes in.~~
- ~~L.4.5 Once the gender diverse Athlete is informed of the requirements of the Sample Collection Session and upon arrival at the Doping Control Station, they shall be given the option to declare their gender diversity and the preferred gender of Sample Collection Personnel who will witness the passing of the Sample.~~
- ~~L.4.6 For the purpose of Sample analysis and in the absence of a sport gender of the Athlete, the DCO shall record the 'male' sport gender in relation to the Sample Collection Session to indicate to the Laboratory that the Sample shall be analyzed for all Prohibited Substances including those prohibited in males only.~~

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