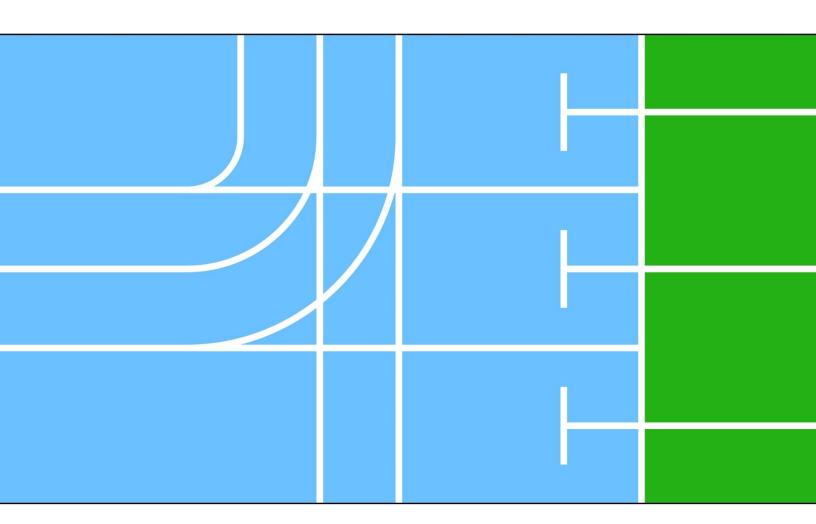


World Anti-Doping Code

# International Standard for Intelligence and Investigations





# International Standard for Intelligence and Investigations

The World Anti-Doping *Code International Standard* for Intelligence and Investigations is a mandatory *International Standard* developed as part of the World Anti-Doping Program. It was developed in consultation with *Signatories*, public authorities, and other relevant stakeholders.

The International Standard for Intelligence and Investigations was first adopted and approved by the World Anti-Doping Agency (WADA) Executive Committee at the Sixth World Conference on Doping in Sport in Busan on 5 December 2025 and is effective as of 1 January 2027.

#### Published by:

World Anti-Doping Agency Stock Exchange Tower 800 Place Victoria (Suite 1700) PO Box 120 Montreal, Quebec Canada H3C 0B4

#### www.wada-ama.org

Tel: +1 514 904 9232 Fax: +1 514 904 8650 E-mail: code@wada-ama.org





# Table of content

		III INTRODUCTION, CODE PROVISIONS, INTERNATIONAL STANDARD  NS AND INTERPRETATION	5
1.0	Intro	duction and Scope	5
2.0	Code	e Provisions	5
3.0	Definitions and Interpretation		5
	3.1	Defined terms from the 2027 Code that are used in the International Standard for Intelligence and Investigations	
	3.2	Defined terms from the International Standard for Data Protection	
	3.3	Defined terms specific to the International Standard for Intelligence and Investigat	
	3.4	Defined terms specific to the International Standard for Testing	
	3.5	Interpretation	9
		D: STANDARDS FOR INFORMATION AND INTELLIGENCE GATHERING AND THE OF INVESTIGATIONS	
4.0	Raw	Information and Anti-Doping Intelligence	10
	4.1	Objective	10
	4.2	Collection, Storage and Sharing	
	4.3	Assessment and Use	11
5.0	Investigations		12
	5.1	Objective	12
	5.2	Purpose	12
	5.3	Conducting Investigations	12
	5.4	Cooperating with an Investigation	14
	5.5	Investigation Outcomes	14



# PART ONE: INTRODUCTION, CODE PROVISIONS, DEFINITIONS AND INTERPRETATION

## 1.0 Introduction and Scope

The *International Standard* for Intelligence and Investigations is a mandatory *International Standard* developed as part of the World Anti-Doping Program. It was developed in consultation with the *Signatories*, public authorities, and other relevant stakeholders.

The *International Standard* for Intelligence and Investigations was first adopted and approved by the *WADA* Executive Committee at the World Anti-Doping Conference in Busan on 5 December 2025 and is effective as of 1 January 2027.

The *International Standard* for Intelligence and Investigations sets out the core responsibilities of *Anti-Doping Organizations* regarding collecting, receiving, storing, and assessing <u>Raw Information</u>, using <u>Anti-Doping Intelligence</u>, and conducting investigations into possible anti-doping rule violations, non-compliance of *Signatories* and *WADA*-accredited laboratories, and other activities that may facilitate doping.

#### 2.0 Code Provisions

The following articles in the 2027 *Code* are directly relevant to the *International Standard* for Intelligence and Investigations; they can be obtained by referring to the *Code* itself:

- Code Article 2 Anti-Doping Rule Violations
- Code Article 3 Proof of Doping
- Code Article 5 Testing and Investigations
- Code Article 8 Results Management: Right to a Fair Hearing and Notice of Hearing Decision
- Code Article 10 Sanctions on Individuals
- Code Article 12 Sanctions by Signatories Against Other Sporting Bodies
- Code Article 13 Results Management. Appeals
- Code Article 14 Confidentiality and Reporting
- Code Article 20 Additional Roles and Responsibilities of Signatories and WADA
- Code Article 21 Additional Roles and Responsibilities of Athletes and Other Persons
- Code Article 23 Acceptance and Implementation

#### 3.0 Definitions and Interpretation

# 3.1 Defined terms from the 2027 *Code* that are used in the *International Standard* for Intelligence and Investigations

**Adverse Analytical Finding:** A report from a *WADA*-accredited laboratory or other *WADA*-approved laboratory that, consistent with the *International Standard* for Laboratories, establishes in a *Sample* the presence of a *Prohibited Substance* or its *Metabolites* or *Markers* or evidence of the *Use* of a *Prohibited Method*.



Anti-Doping Activities: Anti-doping Education and information, test distribution planning, maintenance of a Registered Testing Pool, managing Athlete Biological Passports, conducting Testing, organizing analysis of Samples, gathering of Anti-Doping Intelligence and conduct of investigations, processing of TUE applications, Results Management, monitoring and enforcing compliance with any Consequences imposed, anti-doping research, Quality Assurance, and all other activities related to anti-doping as set out in the Code and/or the International Standards. Processes aimed at improving existing Anti-Doping Activities, such as processes to identify trends and better inform allocation of anti-doping resources, are considered an Anti-Doping Activity.

**Anti-Doping Organization:** WADA or a Signatory that is responsible for adopting rules for initiating, implementing, or enforcing any part of the *Doping Control* process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other *Major Event Organizations* that conduct *Testing* at their *Events*, International Federations, and *National Anti-Doping Organizations*.

**Attempt:** Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an *Attempt* to commit a violation if the *Person* renounces the *Attempt* prior to it being discovered by a third party not involved in the *Attempt*.

Athlete: Any Person who competes in sport at the international level (as defined by each International Federation) or the national level (as defined by each National Anti-Doping Organization). An Anti-Doping Organization has discretion to apply anti-doping rules to an Athlete who is neither an International-Level Athlete nor a National-Level Athlete, and thus to bring them within the definition of "Athlete". In relation to Athletes who are neither International-Level nor National-Level Athletes, an Anti-Doping Organization may elect to: conduct limited Testing or no Testing at all; analyze Samples for less than the full menu of Prohibited Substances; require limited or no whereabouts information; or not require advance TUEs. However, if an Article 2.1, 2.3 or 2.5 anti-doping rule violation is committed by any Athlete over whom an Anti-Doping Organization has elected to exercise its authority to test and who competes below the international or national level, then the Consequences set forth in the Code must be applied. For purposes of Article 2.8 and Article 2.9 and for purposes of anti-doping information and Education, any Person who participates in sport under the authority of any Signatory, government, or other sports organization accepting the Code is an Athlete.

[Comment to Athlete: Individuals who participate in sport may fall in one of five categories: 1) International-Level Athlete, 2) National-Level Athlete, 3) individuals who are not International or National-Level Athletes but over whom the International Federation or National Anti-Doping Organization has chosen to exercise authority, 4) Recreational Athlete, and 5) individuals over whom no International Federation or National Anti-Doping Organization has, or has chosen to, exercise authority. All International and National-Level Athletes are subject to the anti-doping rules of the Code, with the precise definitions of international and national level sport to be set forth in the anti-doping rules of the International Federations and National Anti-Doping Organizations.]

**Athlete Support Personnel:** Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent, guardian, or any other *Person* working with, treating, or assisting an *Athlete* participating in or preparing for sports *Competition*.



Code: The World Anti-Doping Code.

International Standard: A standard adopted by WADA in support of the Code. Compliance with an International Standard (as opposed to another alternative standard, practice, or procedure) shall be sufficient to conclude that the procedures addressed by the International Standard were performed properly. International Standards shall include any Technical Documents issued pursuant to the International Standard.

**National Anti-Doping Organization:** The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of *Samples*, the management of test results, and the conduct of hearings at the national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

**Person:** A natural *Person* or an organization or other entity.

**Protected Person:** An Athlete or other natural Person who at the time of the anti-doping rule violation: (i) has not reached the age of sixteen (16) years; (ii) has not reached the age of eighteen (18) years and is not included in any Registered Testing Pool and has never competed in any International Event in an open category; or (iii) for reasons other than age, has been determined to lack legal capacity under applicable national legislation.

[Comment to Protected Persons: The Code treats Protected Persons differently than other Athletes or Persons in certain circumstances based on the understanding that, below a certain age or intellectual capacity, an Athlete or other Person may not possess the mental capacity to understand and appreciate the prohibitions against conduct contained in the Code. This would include, for example, a Paralympic Athlete with a documented lack of legal capacity due to an intellectual impairment. The term "open category" is meant to exclude competition that is limited to junior or age group categories. Those circumstances where a Protected Person or Recreational Athlete is to be treated differently than Persons or Athletes have been specifically identified in the Code. It should not be assumed, with respect to Article 7.4 or any other Article in the Code, that different treatment was intended where it is not specifically expressed.]

**Results Management:** The process encompassing the timeframe between notification as per Article 5 of the *International Standard* for *Results Management*, or in certain cases (e.g., *Atypical Finding*, *Athlete Biological Passport*, whereabouts failure), such pre-notification steps expressly provided for in Article 5 of the *International Standard* for *Results Management*, through the charge until the final resolution of the matter, including the end of the hearing process at first instance or on appeal (if an appeal was lodged).

**Signatories:** Those entities accepting the *Code* and agreeing to implement the *Code*, as provided in Article 23.

**Substantial Assistance:** For purposes of Article 10.7.1, a *Person* providing *Substantial Assistance* must: (1) fully disclose in a signed written statement or recorded interview all information he or she possesses in relation to anti-doping rule violations or other proceeding described in Article 10.7.1.1, and (2) fully cooperate with the investigation and adjudication of any case or matter related to that information, including, for example, presenting testimony at a hearing if requested to do so by an



Anti-Doping Organization or hearing panel. Further, the information provided must remain credible and valuable throughout any subsequent investigation or proceeding.

**Tampering:** Intentional conduct which subverts the *Doping Control* process, but which would not otherwise be included in the definition of *Prohibited Methods. Tampering* shall include, without limitation, offering or accepting a bribe to perform or fail to perform an act, preventing the collection of a *Sample*, affecting or making impossible the analysis of a *Sample*, falsifying documents submitted to an *Anti-Doping Organization* or *Therapeutic Use Exemption* committee or hearing panel, procuring false testimony from witnesses, committing any other fraudulent act upon the *Anti-Doping Organization* or hearing body to affect *Results Management* or the imposition of *Consequences*, and any other similar intentional interference or attempted interference with any aspect of *Doping Control*.

**Target Testing:** Selection of specific *Athletes* for *Testing* based on criteria set forth in the *International Standard* for *Testing*.

**Testing:** The parts of the *Doping Control* process that involve test distribution planning, *Sample* collection, *Sample* handling, and *Sample* transport to the laboratory.

WADA: The World Anti-Doping Agency.

Without Prejudice Agreement: For purposes of Articles 10.7.1.1 and 10.8.2, a written agreement between an Anti-Doping Organization and an Athlete or other Person that allows the Athlete or other Person to provide information to the Anti-Doping Organization in a defined time-limited setting with the understanding that, if an agreement for Substantial Assistance or a case resolution agreement is not finalized, the information provided by the Athlete or other Person in this particular setting may not be used by the Anti-Doping Organization against the Athlete or other Person in any Results Management proceeding under the Code, and that the information provided by the Anti-Doping Organization in this particular setting may not be used by the Athlete or other Person against the Anti-Doping Organization in any Results Management proceeding under the Code. Such an agreement shall not preclude the Anti-Doping Organization, Athlete, or other Person from using any Information or evidence gathered from any source other than during the specific time-limited setting described in the agreement.

#### 3.2 Defined terms from the *International Standard* for Data Protection

<u>Processing (and its cognates, Process and Processed)</u>: Collecting, accessing, retaining, storing, disclosing, transferring, transmitting, amending, deleting, or otherwise making use of Personal Information.

# 3.3 Defined terms specific to the *International Standard* for Intelligence and Investigations

<u>Anti-Doping Intelligence</u>: <u>Anti-Doping Intelligence</u> is the product of the evaluation and analysis of <u>Raw Information</u> to extract meaningful insights relevant to the end user (e.g., the *Anti-Doping Activities* of an *Anti-Doping Organization*).

<u>Confidential Source</u>: A <u>Confidential Source</u> is a <u>Human Source</u> whose identity is kept confidential by an *Anti-Doping Organization*.



<u>Human Source</u>: A <u>Human Source</u> is an umbrella term for a *Person* that has disclosed <u>Raw Information</u> and/or <u>Anti-Doping Intelligence</u> or evidence to an *Anti-Doping Organization*, usually on an ongoing or regular basis. Informants and whistleblowers are examples of <u>Human Sources</u>.

<u>Raw Information</u>: <u>Raw Information</u> is any raw, unverified, or unevaluated information (in any form) related to *Anti-Doping Activities*. <u>Raw Information</u> can come in many forms including unprocessed data, information reports, media reports, and anonymous disclosures.

#### 3.4 Defined terms specific to the *International Standard* for *Testing*

<u>Sample Collection Personnel</u>: A collective term for qualified officials authorized by the <u>Sample Collection Authority</u> to carry out or assist with duties during the <u>Sample Collection Session</u>.

<u>Test Distribution Plan</u>: A document written by an *Anti-Doping Organization* that plans *Testing* on *Athletes*, in accordance with the requirements of Article 4.7 of the *International Standard* for *Testing*.

#### 3.5 Interpretation

- **3.5.1** The official text of the *International Standard* for Intelligence and Investigations shall be published in English and French. In the event of any conflict between the English and French versions, the English version shall prevail.
- **3.5.2** Like the *Code*, the *International Standard* for Intelligence and Investigations was drafted considering proportionality, human rights, and other applicable legal principles. It shall be interpreted and applied in that light.
- **3.5.3** The comments annotating various provisions of the *International Standard* for Intelligence and Investigations shall be used to guide its interpretation.
- **3.5.4** Unless otherwise specified, references to Articles are references to Articles of the *International Standard* for Intelligence and Investigations.



# PART TWO: STANDARDS FOR INFORMATION AND INTELLIGENCE GATHERING AND THE CONDUCT OF INVESTIGATIONS

## 4.0 Raw Information and Anti-Doping Intelligence

#### 4.1 Objective

Anti-Doping Organizations shall ensure they are able to collect, receive, store, and assess Raw Information and/or Anti-Doping Intelligence, from all available sources, and then use such information and/or Intelligence to inform and guide its Anti-Doping Activities. The object of Article 4 is to establish what an Anti-Doping Organization must do to fulfil the minimum standards set out in this Article.

[Comment to Article 4.1: While Testing will always remain an integral part of the anti-doping effort, Testing alone is not sufficient to detect and establish to the requisite standard, all anti-doping rule violations identified in the Code. This means that Anti-Doping Organizations need to develop a capable intelligence-gathering and investigation function. WADA has devised Intelligence and Investigations Guidelines to assist Anti-Doping Organizations in their efforts to comply with the Code and this International Standard.]

## 4.2 Collection, Storage and Sharing

**4.2.1** Anti-Doping Organizations shall ensure that they are able to collect, receive, and store <u>Raw Information</u> and/or <u>Anti-Doping Intelligence</u> from all available sources.

[Comment to Article 4.2.1: Available sources of <u>Raw Information</u> and/or <u>Anti-Doping Intelligence</u> include, but are not limited to, Athletes and Athlete Support Personnel and members of the public (e.g., by means of a confidential reporting platform like the WADA Speak Up platform), <u>Sample Collection Personnel</u> (whether via mission reports, incident reports, or otherwise), whereabouts data, laboratories, pharmaceutical companies, other Anti-Doping Organizations, WADA, National Federations, law enforcement, other regulatory and disciplinary bodies, and the media (in all its forms).]

4.2.2 Anti-Doping Organizations shall share Anti-Doping Intelligence with other Anti-Doping Organizations where such disclosure is necessary to allow the Anti-Doping Organization receiving the intelligence to fulfill its obligations under the Code and/or the International Standards. Where an Anti-Doping Organization shares Anti-Doping Intelligence with another Anti-Doping Organization it shall do so in accordance with the International Standard for Data Protection. Anti-Doping Organizations shall share Anti-Doping Intelligence with other relevant authorities (e.g. law enforcement) on a need-to-know basis where appropriate and in accordance with the International Standard for Data Protection and applicable law. The sharing of Anti-Doping Intelligence may be delayed in exceptional and justified circumstances.

[Comment to Article 4.2.2: <u>Anti-Doping Intelligence</u> should be shared with the relevant Anti-Doping Organization or other relevant authority as quickly as possible, and in as much detail as possible to maximize the value and benefit of that intelligence to the receiving Anti-Doping Organization or other relevant authority. However, Anti-Doping Organizations may delay their disclosure of <u>Anti-Doping Intelligence</u> where there is a legitimate reason to delay such disclosure (e.g., the immediate disclosure may compromise or adversely impact an ongoing investigation or Results Management process).

An example of a disclosure that is necessary to allow the recipient Anti-Doping Organization to fulfill its obligations under the Code and/or the International Standards is where the <a href="Anti-Doping">Anti-Doping</a>



<u>Intelligence</u> relates to an Athlete, Athlete Support Person, or other Person under the authority (e.g., Results Management jurisdiction) of the recipient Anti-Doping Organization.

An example of an exceptional and justified circumstance not to share <u>Anti-Doping Intelligence</u> with another Anti-Doping Organization would be where the disclosure is likely to seriously compromise the status of an ongoing investigation, or likely to reveal the identity of a <u>Confidential Source</u>, or where the intelligence to be disclosed had been received by the first <u>Anti-Doping Organization</u> as part of a Without Prejudice Agreement.

Nothing in this Article prevents an Anti-Doping Organization from sharing <u>Raw Information</u> with an Anti-Doping Organization or other relevant authority where the Anti-Doping Organization reasonably believes that the <u>Raw Information</u> will likely assist the Anti-Doping Activities of the recipient Anti-Doping Organization, or the work of the other relevant authority. Any such disclosure of <u>Raw Information</u> shall be done in accordance with the International Standard for Data Protection.]

**4.2.3** Anti-Doping Organizations shall not disclose or confirm the identity of a <u>Confidential Source</u> except where such disclosure is required by law or with the informed written consent of the <u>Confidential Source</u>.

[Comment to Article 4.2.3: Where WADA receives an identity disclosure or identity confirmation of a <u>Confidential Source</u>, such information shall be received by WADA in the strictest of confidence and shall only be communicated within WADA on a need-to-know basis.]

**4.2.4** Anti-Doping Organizations shall have policies and procedures in place to ensure that the Raw Information and/or Anti-Doping Intelligence in its possession is handled securely, confidentially (on a need-to-know basis) and shared with other Anti-Doping Organizations or any relevant third party (e.g., law enforcement) where appropriate and in accordance with the International Standard for Data Protection.

[Comment to 4.2.4: WADA has devised applicable policies and procedures templates – housed within the WADA Guidelines for Intelligence and Investigation – as models of best practice to assist Anti-Doping Organizations comply with Article 4.2.4.]

**4.2.5** If an *Anti-Doping Organization* uses a <u>Human Source</u>, the *Anti-Doping Organization* shall have a policy and procedure in place for the handling, management, and use of Human Sources.

[Comment to 4.2.5: To assist Anti-Doping Organizations in their use and management of <u>Human Sources</u>, WADA has published Guidelines for Human Source Management on its website along with a related policy and procedure template.]

#### 4.3 Assessment and Use

**4.3.1** Anti-Doping Organizations shall ensure they can assess the relevance and reliability of the Raw Information and/or Anti-Doping Intelligence that they collect and receive.

[Comment to Article 4.3.1: An example of how to assess reliability would be to consider the nature of the of the information source, the circumstances in which the information had been provided, and whether there is any supporting or corroborating information or evidence. There are also databases and case management systems that may be used to assist in the organization, <u>Processing</u>, analysis, and cross-referencing of such intelligence.]



**4.3.2** Anti-Doping Organizations shall use Raw Information and/or Anti-Doping Intelligence to inform and guide its Anti-Doping Activities.

[Comment to Article 4.3.2: An example of the use that can be made of <u>Raw Information</u> and/or <u>Anti-Doping Intelligence</u> is that outlined in <u>Article 12 of the International Standard for Testing</u> (i.e., to inform the development of an effective, intelligent, and proportionate <u>Test Distribution Plan</u> and to plan Target Testing).]

# 5.0 Investigations

### 5.1 Objective

Investigations conducted by an *Anti-Doping Organization* under the *Code* shall be based on the minimum standards set out in this Article.

#### 5.2 Purpose

In acknowledgement of the fundamental right of an *Athlete* to participate in doping-free sport, the purpose of an investigation includes, but is not limited to, gathering sufficient evidence to prove or disprove that an anti-doping rule violation has been committed. In the case of an investigation conducted by *WADA* per *Code* Article 20.7.14, that purpose includes, but is not limited to, establishing whether a *Signatory* has or has not committed a breach of the *Code* or *International Standard*.

# 5.3 Conducting Investigations

- **5.3.1** Anti-Doping Organizations shall conduct investigations where there is reasonable cause to suspect that a breach of the Code (e.g., anti-doping rule violation) or applicable *International Standard* has occurred.
- **5.3.2** *Anti-Doping Organizations* should ensure all investigations are conducted by individuals with investigative qualifications or experience.

[Comment to Article 5.3.2: WADA's Global Learning and Development Framework provides accessible and standardized training for a range of anti-doping roles, including investigation, to all Anti-Doping Organizations and other stakeholders worldwide.

An example of investigative experience is where the individual has worked in law enforcement or has previously conducted anti-doping related investigations or is currently employed by an Anti-Doping Organization and has conducted investigations for that Anti-Doping Organization.]

**5.3.3** Anti-Doping Organizations shall conduct each investigation impartially, objectively, and with an open mind.

[Comment to Article 5.3.3: Investigations shall not be conducted with a closed mind, pursuing only one outcome (e.g., proving that an Athlete had committed an anti-doping rule violation). Rather, investigators will consider all possible outcomes at each stage of the investigation, seeking to gather not only evidence indicating that there is a case to answer, but also any evidence indicating that there is no case to answer.]

**5.3.4** Anti-Doping Organizations shall have policies and procedures in place for the investigation of *Protected Persons* that reflect the fact that the *Code* treats



Protected Persons differently than other Athletes or Persons in certain circumstances.

5.3.5 Anti-Doping Organizations should plan each investigation and make use of all investigative resources and powers available to it. This may include obtaining Raw Information, Anti-Doping Intelligence, or evidence from other Anti-Doping Organizations or law enforcement, or exercising powers conferred to the Anti-Doping Organization under applicable rules (e.g., the power to demand production of relevant documents and information). An investigation plan is important because it helps identify the resources and avenues of enquiry necessary to complete the investigation in a competent and timely manner.

[Comment to Article 5.3.5: While notification of an Adverse Analytical Finding or other potential anti-doping rule violation is commonly done by letter alone, this practice does not maximise the use of available investigative resources, rather, it may decrease the chances of gathering evidence relevant to whether the Athlete has or has not committed an anti-doping rule violation. In an appropriate case (e.g., an Adverse Analytical Finding involving a professional or international level Athlete), the letter notifying the Athlete of an Adverse Analytical Finding, or a potential anti-doping rule violation should be delivered directly to the Athlete by an investigator. During delivery, the content of the notification letter and the benefits of Code Article 10.7.1 (Substantial Assistance in Discovering or Establishing Code Violations) should be explained to the Athlete by the investigator. Moreover, wherever possible, Anti-Doping Organizations should consult with an investigator before notifying an Athlete of an Adverse Analytical Finding or other potential anti-doping rule violation.]

**5.3.6** To ensure the preservation of evidence and any relevant <u>Raw Information</u> and/or <u>Anti-Doping Intelligence</u> in relation to a potential breach of the <u>Code</u> or <u>International Standards</u>, <u>Anti-Doping Organizations</u> should conduct investigations in a timely manner and without undue delay.

[Comment to Article 5.3.6: Factors which may reasonably impact the length of an investigation include, but are not limited to, the complexity of the investigation, seriousness of the allegation, the involvement of evidence or assistance provided pursuant to Code Article 10.7.1, the availability of resources to the investigating Anti-doping Organization, or the involvement of law enforcement (e.g., law enforcement may request an Anti-Doping Organization to pause its investigation so as not to interfere with the law enforcement investigation).]

- **5.3.7** Anti-Doping Organizations shall preserve and gather all relevant Raw Information, Anti-Doping Intelligence, and evidence as soon as possible to develop such material into further lines of enquiry and/or reliable evidence in relation to the subject matter of the investigation.
- 5.3.8 Anti-Doping Organizations shall treat the Raw Information, Anti-Doping Intelligence and evidence obtained during an investigation confidentially and only share such where appropriate, on a need-to-know basis, and in accordance with the International Standard for Data Protection and applicable law.

[Comment to 5.3.8: When determining who has a need to know for investigation related information and/or intelligence or evidence, the Anti-Doping Organization should consider whether the recipient has a legitimate purpose considering the recipient's role and responsibilities at that point in the investigation. An appropriate sharing of <u>Raw Information</u> and/or Anti-Doping Intelligence by an Anti-Doping Organization would include circumstances where the disclosure would likely help the recipient Anti-Doping Organization conduct its Anti-Doping Activities.]



**5.3.9** Anti-Doping Organizations shall document the conduct of an investigation, the evidence identified in that investigation, and the outcome of that investigation.

[Comment to 5.3.9: Documenting the conduct of an investigation may include, where applicable, obtaining a statement or conducting an interview with a relevant person, and making a record of the enquiries conducted by an investigator.]

# 5.4 Cooperating with an Investigation

- 5.4.1 A Signatory is required under Code Article 20 to cooperate with relevant national organizations and agencies and other Anti-Doping Organizations. Where the Signatory fails to cooperate with an investigation being conducted by an Anti-Doping Organization, that lack of cooperation should be reported to WADA as the entity responsible for monitoring compliance by Code Signatories.
- 5.4.2 Athletes and Athlete Support Personnel are required under Code Article 21 to cooperate with investigations conducted by Anti-Doping Organizations. Where the failure to cooperate involved conduct which undermines the investigation process (e.g., by providing false or misleading information, the destruction of potential evidence, or discouraging another Person from providing information that is relevant to the investigation) the Anti-Doping Organization should bring proceedings against the Athlete or Athlete Support Process for violation of any applicable anti-doping rule violation (e.g., Code Article 2.5 (Tampering or Attempted Tampering)). Where the failure to cooperate involves conduct that does not amount to an anti-doping rule violation, disciplinary action should be taken against the Athlete or Athlete Support Person under applicable rules.

## 5.5 Investigation Outcomes

- 5.5.1 The Anti-Doping Organization shall decide without undue delay whether proceedings should be brought against the Athlete, Athlete Support Person, or other Person for any potential anti-doping rule violation. As set out in Code Article 13.3, if an Anti-Doping Organization fails to make such decision within a reasonable deadline set by WADA, then WADA may elect to appeal directly to the Court of Arbitration for Sport as if the Anti-Doping Organization had rendered a decision finding that no anti-doping rule violation has been committed. As noted in the comment to Code Article 13.3, however, before taking such action WADA will consult with the Anti-Doping Organization and give it an opportunity to explain why it has not yet rendered a decision.
- 5.5.2 Where the Anti-Doping Organization concludes based on the results of its investigation that proceedings should be brought against the Athlete, Athlete Support Person or other Person asserting commission of an anti-doping rule violation, it shall give notice of that decision in the manner set out in the International Standard for Results Management and shall bring forward the proceedings against the Athlete, Athlete Support Person or other Person in question in accordance with Code Article 8.
- **5.5.3** Where the *Anti-Doping Organization* concludes, based on the results of its investigation, that proceedings should not be brought forward against the



Athlete, Athlete Support Person or other Person asserting commission of an anti-doping rule violation:

- **5.5.3.1** It shall notify *WADA* and the *Athlete's* or other *Person's* International Federation and *National Anti-Doping Organization* in writing of that decision, with reasons, in accordance with *Code* Article 14.1.4.
- **5.5.3.2** It shall provide all such other information about the investigation as is required by *WADA* and/or the *International Federation* and/or *National Anti-Doping Organization* to determine whether to appeal against that decision.
- **5.5.3.3** In any event, it shall consider whether any of the information and/or intelligence, or evidence obtained during the investigation should be used to inform and guide its *Anti-Doping Activities* or those of another *Anti-Doping Organization*, and/or should be shared with any other body in accordance with Article 4.2.2.